COUNTY OF LOS ANGELES

MARVIN J. SOUTHARD, D.S.W. Director

ROBIN KAY, Ph.D. Chief Deputy Director

RODERICK SHANER, M.D. Medical Director

550 SOUTH VERMONT AVENUE, LOS ANGELES, CALIFORNIA 90020

BOARD OF SUPERVISORS GLORIA MOLINA MARK RIDLEY-THOMAS ZEV YAROSLAVSKY DON KNABE MICHAEL D. ANTONOVICH

DEPARTMENT OF MENTAL HEALTH

http://dmh.lacounty.gov

Reply To: (213) 738-4601 (213) 386-1297

February 3, 2009

BOARD OF SUPERVISORS

The Honorable Board of Supervisors County of Los Angeles 383 Kenneth Hahn Hall of Administration 500 West Temple Street Los Angeles, CA 90012

Dear Supervisors:

FEB 0 3 2009

APPROVAL OF SOLE SOURCE CONSULTANT SERVICES AGREEMENT WITH **WISE & HEALTHY AGING** FOR FISCAL YEAR 2008-09 (ALL SUPERVISORIAL DISTRICTS) (3 VOTES)

SUBJECT

Request approval of a sole source consultant services agreement with WISE & Healthy Aging to continue providing older adult specialty training to providers of older adult mental health services in Los Angeles County.

IT IS RECOMMENDED THAT YOUR BOARD:

Approve and instruct the Director of Mental Health, or his designee, to prepare, sign, and execute a Department of Mental Health (DMH) sole source consultant services agreement, substantially similar to Attachment I, with WISE & Healthy Aging for the provision of the Mental Health Services Act (MHSA) Older Adults Foundation Training Program and Older Adults Advanced Competency Program, for a one-time Total Compensation Amount (TCA) of \$67,310 fully funded by MHSA Community Services and Supports (CSS) funds. The Agreement will be effective upon Board approval through June 30, 2009.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTIONS

This will authorize DMH to enter into a sole source consultant services agreement with WISE & Healthy Aging to train, at two course levels, provider staff who deliver mental health services to older adults 60 years of age and above. The first course is a foundation 30-hour training program, which targets clinical and case management providers in DMH's older adults system of care, for introductory and basic training

"To Enrich Lives Through Effective And Caring Service"

The Honorable Board of Supervisors February 3, 2009 Page 2

regarding the multi-faceted and bio-psychosocial aspects of aging concerning the mental health and related needs of older adult clients. The second course is aimed at the provision of advanced competency training to DMH older adults system of care provider staff possessing prior clinical training and experience, to expand their knowledge and enhance their skills in working with complex geriatric issues.

During Fiscal Year (FY) 2007-08, WISE & Healthy Aging was the selected DMH vendor under a consultant services agreement to provide two basic certificate training programs to older adult service providers.

Based on the feedback of participants and providers, as well as evaluation of the feedback from class participants by DMH, the continuation of similar training will be essential and beneficial to the new and expanding workforce of older adult mental health service providers. This will build the foundation of knowledge and skill sets to ensure basic older adult service competency, especially in the areas of understanding the bio-psychosocial cycle and various aspects of aging, models of interventions and treatment approaches, recovery philosophy, and community resources including available specialty older adult programs.

Additionally, providers recommend to DMH that an advanced competency course be offered for experienced provider staff who have received basic training to further develop their clinical skills in working with older adult clients with complex biopsychosocial needs. Through an integrated didactic and interactive curriculum, mental health providers enrolled in these courses will benefit from presentations by older adult subject matter experts in the field, exchange their own knowledge and experience, and ultimately enhance and strengthen their clinical knowledge and skill sets.

Implementation of Strategic Plan Goals

The recommended Board action is consistent with County Strategic Plan Goal 1, "Service Excellence," Goal 6, "Community Services," and Goal 7, "Health and Mental Health."

FISCAL IMPACT/ FINANCING

The recommended action will have no impact on net County cost. The estimated cost, not to exceed \$67,310, will be fully funded by MHSA CSS Plan funds, and is included in DMH's FY 2008-09 Final Budget.

The Honorable Board of Supervisors February 3, 2009 Page 3

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

The focus of the State approved MHSA CSS Plan is on recovery-oriented services for people who are diagnosed with severe and persistent mental illness or serious emotional problems, including children and family, transition age youth, adults, and older adults. With the graying demographic trend in the United States and Los Angeles County, it is projected that by the Year 2030 older adults will comprise approximately 20 percent of the population. Additionally, there is a severe shortage of personnel in the mental health workforce who are trained and experienced in serving older adults.

The training to be funded under this consultant services agreement will consist of the following components:

- A foundation training comprised of five full-day sessions, six hours each, will
 cover topics including: basic introduction, cultural aspects of aging, assessment,
 cognitive impairment, mental illness and older adults, legal and ethic issues,
 psycho-pharmacology, medical disorders, case management principles and
 community resources, MHSA funded older adult services, such as Full Service
 Partnership (FSP), Field Capable Clinical Services (FCCS), Field Safety Issues,
 Hoarding, Substance Abuse, Death and Dying, Spirituality and Existential Issues
 in End Stages of Life.
- An Advanced Cohort comprised of eight half-day sessions, four hours each, will
 use a didactic and interactive approach to discuss evidence-based and promising
 practices to serve older adults, as well as promoting the sharing of clinical and
 field experience to expand and strengthen knowledge and skill sets through case
 presentations/discussions.

Each class component targets a maximum audience of up to 45 participants. Continuing education credits will be awarded within the proper protocol of each clinical discipline.

CONTRACTING PROCESS

On November 16, 2006, DMH issued Request for Services No. 11 to ten qualified bidders on the Master Agreement List that had indicated interest in providing older adult certificated training. DMH received three proposals. Center for Healthy Aging, which subsequently became WISE & Healthy Aging, was the selected training provider after an evaluation panel had been conducted. The proposed consultant services agreement is being requested on a sole source basis founded on the contractor's specialized expertise and experience in providing the services due to their development and

The Honorable Board of Supervisors February 3, 2009 Page 4

provision of the previous training programs, which will allow the training to begin as expeditiously as possible.

Attached is the required Sole Source Contract Checklist (Attachment II), identifying and justifying the need for the contract with WISE & Healthy Aging. The Sole Source Contract Checklist has been approved by the Chief Executive Office.

IMPACT ON CURRENT SERVICES (OR PROJECTS)

Board approval of the proposed sole source consultant services agreement will benefit the County and prepare DMH with a better trained workforce to provide mental health services to the growing older adult population, especially new services including FSP and FCCS funded under MHSA.

CONCLUSION

DMH will need one copy of the adopted Board action. It is requested that the Executive Officer of the Board notify the DMH's Contracts Development and Administration Division at (213) 738-4684 when this document is available.

Respectfully submitted,

Robin Kay for Marvin J. Southard, D.S.W. Director of Mental Health

MJS:CCS:KW:KT

Attachments (2)

c: Chief Executive Office

County Counsel

Chairperson, Mental Health Commission

WISE & Healthy Aging	
	CONTRACT NUMBER
Business Address:	REFERENCE NUMBER
Dusiness Addices.	THE EXERTS HOWBER
1527 – 4 th Street, 2 nd Floor	
Santa Monica, CA 90401	
Supervisory District(s) 3	

CONSULTANT SERVICES AGREEMENT

TABLE OF CONTENTS

<u>PARAGRAPH</u>		
	RECITALS	1
	PREAMBLE	1
1.0	ADMINISTRATION	5
2.0	APPLICABLE DOCUMENTS	5
3.0	SERVICES PROVIDED	6
4.0	TERM OF AGREEMENT	6
5.0	COMPENSATIONREPORTING RESPONSIBILITY AND USE OF COUNTY RESOURCES	7
6.0	REPORTING RESPONSIBILITY AND USE OF COUNTY RESOURCES	8
7.0	WARRANTYINDEMNIFICATION AND INSURANCE	9
8.0	INDEMNIFICATION AND INSURANCE	9
9.0	CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY	
•	AGREEMENT	12
10.0	AGREEMENT CONSULTANT EMPLOYEE ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT	
	OOM IDENTIFICATION (ELECTRICATION CONTINUED CO	
11.0	TITLE TO PROPERTY	12
12.0	TERMINATION OF AGREEMENT LIMITATION OF COUNTY'S OBLIGATION TO NON-APPROPRIATION	13
13.0	LIMITATION OF COUNTY'S OBLIGATION TO NON-APPROPRIATION	
	OF FUNDS	14
14.0	DEFERMIND VOSIDIAMENT DI COMOCETVIAI	
15.0	SUBCONTRACTING CAPTIONS AND PARAGRAPH HEADINGS	15
16.0	CAPTIONS AND PARAGRAPH HEADINGS	18
17.0	WAIVER	18
18.0	GOVERNING LAW, JURISDICTION AND VENUE	
19.0	CONFLICT OF INTEREST	18
20.0	COMPLETE AGREEMENT	19
21.0	INDEPENDENT CONSULTANT STATUS	19
22.0	COUNTY LOBBYIST	19
23.0	ANTI-DISCRIMINATION	19
24.0	PROJECT PERSONNEL ARE AGENTS OF CONSULTANT	
25.0	TERMINATION FOR IMPROPER CONSIDERATION.	20

PAR/	PARAGRAPH E		
26.0	TERMINATION FOR DEFAULT	20	
27.0	TERMINATION FOR CONVENIENCE	21	
28.0	CONSIDERATION OF HIRING COUNTY EMPLOYEES TARGETED	·····	
20.0	FOR LAYOFF OR FORMER COUNTY EMPLOYEES ON A		
	REEMPLOYMENT LIST	21	
29.0	REEMPLOYMENT LIST CONSIDERATION OF GAIN PROGRAM PARTICIPANTS		
	FOR EMPLOYMENT	21	
30.0	FOR EMPLOYMENT CHILD SUPPORT COMPLIANCE PROGRAM	22	
31.0	AUTHORIZATION WARRANTY	23	
32.0	NOTICE TO EMPLOYEES REGARDING THE FEDERAL EARNED		
	INCOME CREDIT	23	
33.0	USE OF RECYCLED-CONTENT PAPER PRODUCTS	23	
34.0	CONSULTANT RESPONSIBILITY AND DEBARMENT		
35.0	CERTIFICATION REGARDING DEBARMENT, SUSPENSION,		
	INELIGIBILITY AND VOLUNTARY EXCLUSION – LOWER TIER		
	COVERED TRANSACTIONS (45 C.F.R. PART 76)	25	
36.0	CONSULTANTS EXCLUSION FROM PARTICIPATION IN A		
	FEDERALLY FUNDED PROGRAM	26	
37.0	CONSULTANT'S OBLIGATION AS A "BUSINESS ASSOCIATE"		
	UNDER THE HEALTH INSURANCE PORTABILITY AND		
	ACCOUNTABILITY ACT OF 1996	27	
38.0		34	
39.0	NOTICE TO EMPLOYEES REGARDING THE SAFELY	0.5	
	SURRENDERED BABY LAW	35	
40.0	CONSULTANT'S ACKNOWLEDGEMENT OF COUNTY'S	25	
	COMMITMENT TO THE SAFELY SURRENDERED BABY LAW	35	
41.0	COUNTY'S QUALITY ASSURANCE PLAN		
42.0	CONSULTANT'S CHARITABLE ACTIVITIES COMPLIANCE		
43.0	COUNTY'S OBLIGATION FOR CURRENT AND FUTURE FISCAL YEARS	30	
44.0	COMPLIANCE WITH APPLICABLE LAW	30	
45.0	ALTERATION OF TERMS	31 27	
46.0	PERFORMANCE STANDARDS AND OUTCOME MEASURES		
47.0	LOCAL SMALL BUSINESS ENTERPRISE PREFERENCE PROGRAM		
48.0	NOTICES	39	
	<u>EXHIBITS</u>		
Α.	STATEMENT OF WORK		
B.	FEE SCHEDULE		
C.	CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT		
D.	SUB-CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT		
E.	ATTESTATION REGARDING FEDERALLY FUNDED PROGRAMS		
F.	SAFELY SURRENDERED BABY LAW FACT SHEET		
G.	CHARITABLE CONTRIBUTIONS CERTIFICATION		

CONSULTANT SERVICES AGREEMENT

	THIS AGREEMENT for	Consultant Services (hereafter "Agreement") is made and entered into
this _	day of	, 2008, by and between WISE & HEALTHY AGING
(herea	after "CONSULTANT") and	the County of Los Angeles, on behalf of its Department of Mental Health
(herea	after "COUNTY").	

RECITALS

WHEREAS, the COUNTY has a need for, and desires to engage the services of an individual or firm with special expertise and experience to act as a CONSULTANT to the COUNTY for the provision of providing older adult specialty training to providers of older adult mental health services in the development of staff's knowledge and skills, as well as enhancement of advanced competency in the delivery of mental health services to older adults in Los Angeles County; and

WHEREAS, CONSULTANT is specifically trained and possesses the skills, experience, education and competency for the provision of <u>older adult training including</u>, <u>but not limited to, topics such as: basic introduction</u>, <u>cultural aspects of aging, assessment, cognitive impairment, mental illness and older adults, legal and ethic issues, psycho-pharmacology, medical disorders, case management principles and community resources, MHSA funded older adult services, i.e. Full Service Partnerships, and Field Capable Clinical Services, Field Safety Issues, Hoarding, Substance Abuse, Death and Dying, Spirituality and Existential Issues in End Stages of Life; and</u>

WHEREAS, the COUNTY desires to engage CONSULTANT for such special services upon the terms provided in this Agreement; and

WHEREAS, the County is authorized by Government Code Section 31000 to contract for such special services, including those contemplated herein.

NOW, THEREFORE, in consideration of the mutual covenants, conditions, representations and warranties contained herein, it is agreed by and between COUNTY and CONSULTANT as follows:

PREAMBLE

For over a decade, the County has collaborated with its community partners to enhance the capacity of the health and human services system to improve the lives of children and families. These

efforts require, as a fundamental expectation, that the County's contracting partners share the County and community's commitment to provide health and human service that support achievement of the County's vision, goals, values and adopted outcomes. Key to these efforts is the integration of service delivery systems and the adoption of the Customer Service and Satisfaction Standards.

The County of Los Angeles' Vision is to improve the quality of life in the County by providing responsive, efficient, and high quality public services that promote the self-sufficiency, well-being and prosperity of individuals, families, businesses and communities. This philosophy of teamwork and collaboration is anchored in the shared values of:

- > Responsiveness
- > Professionalism
- Accountability
- Compassion

- > Integrity
- Commitment
- > A Can-Do Attitude
- > Respect for Diversity

These shared values are encompassed in the County Mission to enrich lives through effective and caring service and the County Strategic Plan's eight goals; 1) Service Excellence; 2) Workforce Excellence; 3) Organizational Effectiveness; 4) Fiscal Responsibility; 5) Children and Families' Well-Being; 6) Community Services; 7) Health and Mental Health; and 8) Public Safety. Improving the well-being of children and families requires coordination, collaboration, and integration of services across functional and jurisdictional boundaries, by and between County departments/agencies, and community and contracting partners.

The basic conditions that represent the well-being we seek for all children and families in Los Angeles County are delineated in the following five outcomes, adopted by the Board of Supervisors in January 1993.

- Good Health;
- Economic Well-Being;
- Safety and Survival;
- Emotional and Social Well-Being; and
- Education and Workforce Readiness.

Recognizing no single strategy – in isolation – can achieve the County's outcomes of well-being for children and families, consensus has emerged among County and community leaders that making

substantial improvements in integrating the County's health and human services system is necessary to significantly move toward achieving these outcomes. The County has also established the following values and goals for guiding this effort to integrate the health and human services delivery system:

- ✓ Families are treated with respect in every encounter they have with the health, educational, and social services systems.
- ✓ Families can easily access a broad range of services to address their needs, build on their strengths, and achieve their goals.
- ✓ There is no "wrong door": wherever a family enters the system is the right place.
- ✓ Families receive services tailored to their unique situations and needs.
- ✓ Service providers and advocates involve families in the process of determining service plans, and proactively provide families with coordinated comprehensive information, services and resources.
- ✓ The County service system is flexible, able to respond to service demands for both the Countywide population and specific population groups.
- ✓ The County service system acts to strengthen communities, recognizing that just as individuals live in families, families live in communities.
- ✓ In supporting families and communities, County agencies work seamlessly with public and private service providers, community-based organizations, and other community partners.
- County agencies and their partners work together seamlessly to demonstrate substantial progress towards making the system more strength-based, family-focused, culturallycompetent, accessible, user-friendly, responsive, cohesive, efficient, professional, and accountable.
- County agencies and their partners focus on administrative and operational enhancements to optimize the sharing of information, resources, and best practices while also protecting the privacy rights of families.
- ✓ County agencies and their partners pursue multi-disciplinary service delivery, a single service plan, staff development opportunities, infrastructure enhancements, customer service and

satisfaction evaluation, and revenue maximization.

- County agencies and their partners create incentives to reinforce the direction toward service integration and a seamless service delivery system.
- The County human service system embraces a commitment to the disciplined pursuit of results accountability across systems. Specifically, any strategy designed to improve the County human services system for children and families should ultimately be judged by whether it helps achieve the County's five outcomes for children and families: good health, economic well being, safety and survival, emotional and social well-being, and education and workforce readiness. The County, its clients, contracting partners, and the community will continue to work together to develop ways to make County services more accessible, customer friendly, better integrated, and outcome-focused. Several departments have identified shared themes in their strategic plans for achieving these goals including: making an effort to become more consumer/client-focused; valuing community partnerships and collaborations; emphasizing values and integrity; and using a strengths-based and multi-disciplinary team approach. County departments are also working to provide the Board of Supervisors and the community with a better understanding of how resources are being utilized, how well services are being provided, and what are the results of the services: is anyone better off?
- ✓ The County of Los Angeles health and human service departments and their partners are
 working together to achieve the following Customer Service and Satisfaction Standards in
 support of improving outcomes for children and families.

Personal Service Delivery

The service delivery team – staff and volunteers – will treat customers and each other with courtesy, dignity, and respect.

- Introduce themselves by name
- Listen carefully and patiently to customers
- Be responsive to cultural and linguistic needs
- Explain procedures clearly
- Build on the strengths of families and communities

Service Access

Service providers will work proactively to facilitate customer access to services.

- Provide services as promptly as possible
- Provide clear directions and service information
- Outreach to the community and promote available services
- Involve families in service plan development
- Follow-up to ensure appropriate delivery of services

Service Environment

Service providers will deliver services in a clean, safe, and welcoming environment, which supports the effective delivery of services.

- Ensure a safe environment
- Ensure a professional atmosphere
- Display vision, mission, and values
- Provide a clean and comfortable waiting area
- Ensure privacy
- Post compliant and appeal procedures

The basis for all County health and human services contracts is the provision of the highest level of quality services that support improved outcomes for children and families. The County and its contracting partners must work together and share a commitment to achieve a common vision, goals, outcomes, and standards for providing services.

- 1.0 <u>ADMINISTRATION</u>: Director shall have the authority to administer this Agreement on behalf of County. Consultant shall designate in writing a Contract Manager who shall function as liaison with County regarding Consultant's performance hereunder.
- APPLICABLE DOCUMENTS: Exhibits A, B, C, D, E, F and G are attached to and form a part of this Agreement. Any reference throughout the base agreement and each of its exhibits to "Agreement" shall, unless the context clearly denotes otherwise, denote the base agreement with all exhibits hereby incorporated. In the event of any conflict or inconsistency in meaning or provisions between the base agreement and the exhibits, or between exhibits, such conflict or inconsistency shall be resolved by giving precedence first to the base agreement, and then to the exhibits according to the following priority:
 - Exhibit A- Statement of Work
 - Exhibit B- Payment Schedule

- 3. Exhibit C- Consultant Acknowledgement and Confidentiality Agreement
- 4. Exhibit D- Sub-Consultant Acknowledgement and Confidentiality Agreement
- 5. Exhibit E- Attestation Regarding Federally Funded Programs
- 6. Exhibit F- Safely Surrendered Baby Law Fact Sheet (In English and Spanish)
- 7. Exhibit G- Charitable Contributions Certification
- 3.0 <u>SERVICES PROVIDED</u>: Consultant shall provide services to County as set forth in <u>Exhibit A</u> (Statement of Work) which is attached hereto and incorporated by reference as though fully set forth herein.

4.0 TERM OF AGREEMENT:

- A. <u>Initial Period</u>: The Initial Period of this Agreement shall commence on February 6, 2009 and shall continue in full force and effect through <u>June 30, 2009</u>.
- B. <u>Automatic Renewal Periods</u>: After the Initial Period, this Agreement shall be automatically renewed two additional periods without further action by the parties hereto unless either party desires to terminate this Agreement at the end of the Initial Period, First or Second Automatic Renewal Period and gives written notice to the other party not less than 30 calendar days prior to the end of the Initial Period or at the end of the First or Second Automatic Renewal Period, as applicable.
- (1) <u>First Automatic Renewal Period</u>: If this Agreement is automatically renewed, the First Automatic Renewal Period shall commence on <u>N/A</u> and shall continue in full force and effect through <u>N/A</u>.
- (2) <u>Second Automatic Renewal Period</u>: If this Agreement is automatically renewed, the Second Automatic Renewal Period shall commence on <u>N/A</u> and shall continue in full force and effect through <u>N/A</u>.
- 4.1 <u>Six Months Notification of Agreement Expiration</u>: Consultant shall notify County when this Agreement is within six (6) months of expiration. Consultant shall send such notice to those persons and addresses which are set forth in Paragraph 48.0 (NOTICES).
- 4.2 Suspension of Payments: Payments to Contractor under this Agreement shall be suspended if Director, for good cause, determines that Contractor is in default under any of the provisions of this Agreement. Except in cases of alleged fraud or similar intentional wrongdoing, at least 30 calendar days notice of such suspension shall be provided to Contractor, including a statement of the reason(s) for

such suspension. Thereafter, Contractor may, within 15 calendar days, request reconsideration of the Director's decision. Payments shall not be withheld pending the results of the reconsideration process.

5.0 COMPENSATION:

- 5.1 Notwithstanding such limitation of funds, Consultant agrees to satisfactorily complete all work specified in Exhibit A. In consideration of the performance by Consultant in a manner satisfactory to County of the services described in Exhibit A, Consultant shall be paid in accordance with the Payment Schedule established in Exhibit B.
- 5.3 In no event shall County pay Consultant more than this Total Compensation Amount for Consultant's performance hereunder. Payment to Consultant shall be only upon written approval of the invoice and report by County's Program Manager or his/her designated representative.
- 5.4 To request payment, Consultant shall present to County's Program Manager, monthly in arrears, invoices accompanied by a report of the work completed for the invoice period, including the number of hours worked daily by each individual assigned to the project. This report shall be prepared in a format satisfactory to County's Program Manager or his/her designated representative.
 - 5.5 Consultant shall submit invoices to:

County of Los Angeles Department of Mental Health 550 South Vermont Avenue, 6th Floor Los Angeles, CA 90020

Attn: James Cunningham, Ph.D.

- 5.6 Consultant shall inform County when up to 75 percent (75%) of the Total Compensation Amount has been incurred. Consultant shall send such notice to those persons and addresses which are set forth in Paragraph 48.0 (NOTICES).
- 5.7 <u>No Payment for Services Provided Following Expiration/Termination of Contract:</u>

 Consultant shall have no claim against County for payment of any money or reimbursement, of any money

or reimbursement, of any kind whatsoever, for any service provided by Consultant after the expiration or other termination of this Contract. Should Consultant receive any such payment, it shall immediately notify County and shall immediately repay all such funds to County. Payment by County for services rendered after expiration/termination of this Contract shall not constitute a waiver of County's right to recover such payment from Consultant. This provision shall survive the expiration or other termination of this Contract.

Budget Reductions: In the event that the County's Board of Supervisors adopts, in any fiscal year, a County Budget which provides for reductions in County contracts, the County reserves the right to reduce its payment obligation under this Agreement to implement such Board reductions for that fiscal year and any subsequent fiscal year during the term of this Agreement (including any extensions), and the services to be provided by the Contractor under this Agreement shall also be reduced correspondingly. The County's notice to the Contractor regarding said reduction in payment obligation shall be provided within thirty (30) calendar days of the Board's approval of such action. Except as set forth in the preceding sentence, the Contractor shall continue to provide all of the services set forth in this Agreement.

6.0 REPORTING RESPONSIBILITY AND USE OF COUNTY RESOURCES:

6.1 County's Program Manager:

- 6.1.1 Consultant shall report to County's Program Manager who shall be responsible for coordination of all administrative and contractual matters relating to this Agreement, the approval of all invoices submitted hereunder by Consultant, and final acceptance of all documentation and work.
- 6.1.2 Upon advance approval of the County Program Manager, County may provide Consultant with reasonable or use of certain County resources, such as reasonable clerical support and County facilities, as determined by the County Program Manager, who shall be the sole judge of the reasonableness and extent of any such use. The use or non-use of County resources by Consultant shall not relieve Consultant of its responsibility to provide services and complete all work under this Agreement in a manner satisfactory to County, and shall not affect Consultant's status as an independent Consultant. County's Program Manager shall be: <u>James Cunningham, Ph.D.</u>
 - 6.2 Consultant's Project Manager: Consultant's Project Manager shall be responsible for

coordination of all administrative and contractual matters relating to this Agreement, including, but not limited to, allocation of Consultant's resources, submission of invoices, and resolution of any questions/disputes. Consultant's Project Manager shall be: Holly Kiger, R.N., M.N., V.P. of Clinical Programs.

7.0 <u>WARRANTY</u>: Consultant represents and warrants that all work, deliverables, and other services provided to County shall be of professional quality, will be provided as required by this Agreement, and will be free from any material defects, errors, or omissions.

8.0 INDEMNIFICATION AND INSURANCE:

- 8.1 <u>Indemnification</u>: Consultant shall indemnify, defend, and hold harmless County and its Special Districts, elected and appointed officers, employees, and agents from and against any and all liability, including but not limited to demands, claims, actions, fees, costs, and expenses (including attorney and expert witness fees), arising from or connected with Consultant's acts and/or omissions arising from and/or relating to this Agreement.
- 8.2 <u>General Insurance Requirements</u>: Without limiting Consultant's indemnification of County and during the term of this Agreement, Consultant shall provide and maintain, and shall require all of its Sub-Consultants to maintain, the following programs of insurance specified in this Agreement. Such insurance shall be primary to and not contributing with any other insurance or self-insurance programs maintained by County, and such coverage shall be provided and maintained at Consultant's own expense.
- Evidence of Insurance: Certificate(s) or other evidence of coverage satisfactory to County shall be delivered to *Department of Mental Health 550 South Vermont Avenue*, *Contracts Development and Administration Division*, 5th Floor, Los Angeles, CA 90020, prior to commencing services under this Agreement. Such certificates or other evidence shall:
 - (a) Specifically identify this Agreement.
 - (b) Clearly evidence all coverage's required in this Agreement.
- (c) Contain the express condition that County is to be given written notice by mail at least 30 days in advance of cancellation for all policies evidenced on the certificate of insurance.
 - (d) Include copies of the additional insured endorsement to the commercial

general liability policy, adding the County of Los Angeles, its Special Districts, its officials, officers and employees as insured for all activities arising from this Agreement.

- (e) Identify any deductibles or self-insured retentions for County's approval. The County retains the right to require Consultant to reduce or eliminate such deductibles or self-insured retentions as they apply to County, or, require Consultant to provide a bond guaranteeing payment of all such retained losses and related costs, including, but not limited to, expenses or fees, or both, related to investigations, claims administrations, and legal defense. Such bond shall be executed by a corporate surety licensed to transact business in the State of California.
- 2) <u>Insurer Financial Ratings</u>: Insurance is to be provided by an insurance company acceptable to the County with A.M. Best rating of not less than A:VII, unless otherwise approved by County.
- insurance, or to provide evidence of insurance coverage acceptable to County, shall constitute a material breach of the contract upon which County may immediately terminate or suspend this Agreement. County, at its sole option, may obtain damages from Consultant resulting from said breach. Alternatively, County may purchase such required insurance coverage, and without further notice to Consultant, County may deduct from sums due to Consultant any premium costs advanced by County for such insurance.
 - 4) <u>Notification of Incidents, Claims or Suits:</u> Consultant shall report to County:
- (a) Any accident or incident relating to services performed under this Agreement which involves injury or property damage which may result in the filing of a claim or lawsuit against Consultant and/or County. Such report shall be made in writing within 24 hours of occurrence.
- (b) Any third party claim or lawsuit filed against Consultant arising from or related to services performed by Consultant under this Agreement.
- (c) Any injury to a Consultant employee which occurs on County property. This report shall be submitted on a County "Non-employee Injury Report" to the County contract manager.
- (d) Any loss, disappearance, destruction, misuse, or theft of any kind whatsoever of County property, monies or securities entrusted to Consultant under the terms of this Agreement.

- 5) <u>Compensation for County Costs</u>: In the event that Consultant fails to comply with any of the indemnification or insurance requirements of this Agreement, and such failure to comply results in any costs to County, Consultant shall pay full compensation for all costs incurred by County.
- 6) <u>Insurance Coverage Requirements for Sub-Consultants</u>: Consultant shall ensure any and all sub-Consultants performing services under this Agreement meet the insurance requirements of this Agreement by either:
- (a) Consultant providing evidence of insurance covering the activities of sub-Consultants, or
- (b) Consultant providing evidence submitted by sub-Consultants evidencing that sub-Consultants maintain the required insurance coverage. County retains the right to obtain copies of evidence of sub-Consultant insurance coverage at any time.

8.3 Insurance Coverage Requirements:

1) <u>General Liability</u>: Insurance (written on ISO policy form CG 00 01 or its equivalent) with limits of not less than the following:

General Aggregate:

One Million Dollars (\$1,000,000)

Products/Completed Operations

Aggregate:

One Million Dollars (\$1,000,000)

Personal and Advertising Injury:

One Million Dollars (\$1,000,000)

Each Occurrence:

One Million Dollars (\$1,000,000)

- 2) <u>Automobile Liability</u>: Insurance (written on ISO policy form CA 00 01 or its equivalent) with a limit of liability of not less than One Million Dollars (\$1,000,000) for each accident. Such insurance shall include coverage for all "owned", "hired" and "non-owned" vehicles, or coverage for "any auto".
- Workers Compensation and Employers' Liability: Insurance providing workers compensation benefits, as required by the Labor Code of the State of California or by any other state, and for which Consultant is responsible. If Consultant's employees will be engaged in maritime employment, coverage shall provide workers compensation benefits as required by the U.S. Longshore and Harbor Worker's Compensation Act, Jones Act or any other Federal law for which Consultant is responsible. In all

cases, the above insurance also shall include Employers Liability coverage with limits of not less than the following:

Each Accident: One Million Dollars (\$1,000,000)

Disease – policy limit: One Million Dollars (\$1,000,000)

Disease – each employee: One Million Dollars (\$1,000,000)

4) <u>Professional Liability</u>: Insurance covering liability arising from any error, omission, negligent or wrongful act of the Consultant, its officers or employees with limits of not less than One Million Dollars (\$1,000,000) per occurrence and One Million Dollars (\$1,000,000) aggregate. The coverage also shall provide an extended two-year reporting period commencing upon termination or cancellation of this Agreement.

9.0 CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT: Consultant shall provide to County an executed Consultant Acknowledgement and Confidentiality Agreement (Exhibit C) prior to performing work under this Agreement. Such Agreement shall be delivered to Department of Mental Health, ATTN: Chief, Contracts Development and Administration Division, 550 South Vermont Avenue, Los Angeles, CA 90020 on or immediately after the effective date of this Agreement but in no event later than the date the Consultant first performs work under this Agreement.

SUB-CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT:

Consultant shall maintain on file an executed Sub-Consultant Acknowledgement and Confidentiality

Agreement (Exhibit D) for each individual who performs work under this Agreement after the effective date of this Agreement but in no event later than the date the individual first performs work under this Agreement. Such Agreements shall be maintained in accordance with all applicable County, State and Federal requirements and made available for inspection and/or audit by authorized representatives of County, State and/or Federal governments.

11.0 <u>TITLE TO PROPERTY</u>: County and Consultant agree that all design concepts, algorithms, programs, formats, documentation, and all other original materials and work product produced by the Consultant pursuant to performance under this Agreement, are the sole property of the Consultant.

County and Consultant agree that all data, including enhancements and modifications of the data,

generated during the course of this agreement shall remain the sole property of the County.

Consultant further agrees that any documentation or technical materials provided by County or generated by County or Consultant during the course of Consultant performance pursuant to this Agreement shall not be reproduced or disclosed without the prior written consent of County's Project Manager.

12.0 TERMINATION OF AGREEMENT:

- This Agreement may be terminated, in whole or in part, from time to time, when such action is deemed by County to be in its best interest. Termination of work hereunder shall be effected by notice of termination to Consultant specifying the extent to which performance of work is terminated and the date upon which such termination becomes effective which shall be no less than five (5) business days after the notice is sent. Such termination shall be without liability to County other than payment for work already rendered up to the date of termination. County shall pay Consultant the reasonable value for such work not to exceed the maximum sum due under this Agreement.
- 12.2 After receipt of a notice of termination and except as otherwise directed by County, Consultant shall:
- A. Stop work under this Agreement on the date and to the extent specified in such notice;
 - B. Transfer title and deliver to County all completed work and work in process; and
- C. Complete performance of such part of the work as shall not have been terminated by such notice.
- 12.3 Notwithstanding any other provision of this Agreement, the failure of Consultant to comply with the terms of this Agreement or any directions by or on behalf of County issued pursuant thereto, may constitute a material breach hereof, thereby justifying immediate termination or suspension of this Agreement.

Consultant shall maintain accurate and complete financial records of its activities and operations relating to this Agreement and, for a period of four (4) years after termination or final settlement under this Agreement.

Consultant shall make available to County, all of its books, records, documents, or other evidence

bearing on the costs and expenses of Consultant under this Agreement with respect to Consultant's work hereunder. All such material shall be maintained by Consultant at a location in Los Angeles County, provided that if any such material is located outside Los Angeles County, then, at County's option, Consultant shall pay County for travel, per diem, and other cost incurred by County to examine, audit, excerpt, copy or transcribe such material at such other location.

13.0 <u>LIMITATION OF COUNTY'S OBLIGATION DUE TO NON-APPROPRIATION OF FUNDS:</u>

Notwithstanding any other provision of this Agreement, County shall not be obligated for Contractor's performance hereunder or by any provision of this Agreement during this or any of County's future fiscal years unless and until County's Board of Supervisors appropriates funds for this Agreement in County's Budget for each such fiscal year. Should County, during this or any subsequent fiscal year impose budgetary restrictions which appropriate less than the amount provided for in this Agreement, County shall reduce services under this Agreement consistent with such imposed budgetary reductions. In the event funds are not appropriated for this Agreement, then this Agreement shall terminate as of June 30 of the last fiscal year for which funds were appropriated. County shall notify Contractor of any such changes in allocation of funds at the earliest possible date.

14.0 DELEGATION AND ASSIGNMENT BY CONSULTANT:

A. Consultant shall not assign its rights or delegate its duties under this Agreement, or both, whether in whole or in part, without the prior written consent of County, in its discretion, and any attempted assignment or delegation without such consent shall be null and void. For purposes of this paragraph, County consent shall require a written amendment to this Agreement, which is formally approved and executed by the parties. Any payments by County to any approved delegate or assignee on any claim under this Agreement shall be deductible, at County's sole discretion, against the claims which Consultant may have against County.

B. Shareholders, partners, members, or other equity holders of Consultant may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is effected in such a way as to give majority control of Consultant to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of this Agreement, such disposition is an assignment

requiring the prior written consent of County in accordance with applicable provisions of this Agreement.

C. Any assumption, assignment, delegation, or takeover of any of the Consultant's duties, responsibilities, obligations, or performance of same by any entity other than the Consultant, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without County's express prior written approval, shall be a material breach of this Agreement which may result in the termination of this Agreement. In the event of such termination, County shall be entitled to pursue the same remedies against Consultant as it could pursue in the event of default by Consultant.

15.0 SUBCONTRACTING:

A. No performance of this Agreement or any portion thereof may be subcontracted by Consultant without the prior written consent of County, as provided in this Paragraph 15.0. Any attempt by Consultant to subcontract any performance, obligation, or responsibility under this Agreement, without the prior written consent of County, shall be null and void and shall constitute a material breach of this Agreement. Notwithstanding any other provision of this Agreement, in the event of any such breach by Consultant, this Agreement may be terminated forthwith by County. Notwithstanding any other provision of this Agreement, the parties do not in any way intend that any person or entity shall acquire any rights as a third party beneficiary of this Agreement.

- B. If Consultant desires to subcontract any portion of its performance, obligations, or responsibilities under this Agreement, Consultant shall make a written request to County for written approval to enter into the particular subcontract. Consultant's request to County shall include:
 - (1) The reasons for the particular subcontract.
 - (2) A detailed description of the services to be provided by the subcontract.
- (3) Identification of the proposed subcontract and an explanation of why and how the proposed Sub-Consultant was selected, including the degree of competition involved.
- (4) A description of the proposed subcontract amount and manner of compensation, together with Consultant's cost or analysis thereof.
 - (5) A copy of the proposed subcontract which shall contain the following provision:

"This contract is a subcontract under the terms of the prime contract with the County of Los Angeles and shall be subject to all of the provisions of such prime contract."

(6) A copy of the proposed subcontract, if in excess of \$10,000 and utilizes State funds, shall also contain the following provision:

"The contracting parties shall be subject to the examination and audit of the Auditor General for a period of three (3) years after final payment under contract (Government Code, Section 8546.7)."

The Consultant will also be subject to the examination and audit of the State Auditor General for a period of three (3) years after final payment under contract (Government Code, Section 8546.7).

- (7) Any other information and/or certifications requested by County.
- C. County shall review Consultant's request to subcontract and shall determine, in its sole discretion, whether or not to consent to such on a case-by-case basis.
- D. Consultant shall indemnify and hold harmless County, its officers, employees, and agents, from and against any and all liability, damages, costs, and expenses, including, but not limited to, defense costs and legal fees, arising from or related to Consultant's use of any Sub-Consultant, including any officers, employees, or agents of any Sub-Consultant, in the same manner as required for Consultant, its officers, employees, and agents, under this Agreement.
- E. Notwithstanding any County consent to any subcontracting, Consultant shall remain fully liable and responsible for any and all performance required of it under this Agreement, and no subcontract shall bind or purport to bind County. Further, County approval of any subcontract shall not be construed to limit in any way Consultant's performance, obligations, or responsibilities, to County, nor shall such approval limit in any way any of County's rights or remedies contained in this Agreement. Additionally, County approval of any subcontract shall not be construed in any way to constitute the determination of the allow ability or appropriateness of any cost or payment under this Agreement.
- F. In the event that County consents to any subcontracting, such consent shall be subject to County's right to give prior and continuing approval of any and all Sub-Consultant personnel providing services under such subcontract. Consultant shall assure that any Sub-Consultant personnel not approved by County shall be immediately, removed from the provision of any services under the particular subcontract

or that other action is taken as requested by County. County shall not be liable or responsible in any way to Consultant, to any Sub-Consultant, or to any officers, employees, or agents of Consultant or any Sub-Consultant, for any liability, damages, costs or expenses arising from or related to County's exercise of such right.

- G. In the event that County consents to any subcontracting, such consent shall be subject to County's right to terminate, in whole or in part, any subcontract at any time upon written notice to Consultant when such action is deemed by County to be in its best interest. County shall not be liable or responsible in any way to Consultant, to any Sub-Consultant, or to any officers, employees, or agents of Consultant or any Sub-Consultant, for any liability, damages, costs, or expenses arising from or related to County's exercise or such right.
- H. In the event that County consents to any subcontracting, each and all of the provisions of this Agreement and any amendment thereto shall extend to, be binding upon, and inure to the benefit of, the successors or administrators of the respective parties.
- I. In the event that County consents to any subcontracting, such consent shall apply to each particular subcontract only and shall not be, or be construed to be, a waiver of this Paragraph or a blanket consent to any further subcontracting.
- J. In the event that County consents to any subcontracting, Consultant shall be solely liable and responsible for any and all payments and/or other compensation to all Sub-Consultants and their officers, employees, and agents. County shall have no liability or responsibility whatsoever for any payment and/or other compensation for any Sub-Consultants or their officers, employees, and agents.
- K. Consultant shall deliver to the Chief of DMH's Contracts Development and Administration Division a fully executed copy of each subcontract entered into by Consultant pursuant to this Paragraph, on or immediately after the effective date of the subcontract but in no event later than the date any services are performed under the subcontract.
- L. In the event that County consents to any subcontracting, Consultant shall obtain and maintain on file an executed Sub-Consultant Employee Acknowledgement or Employer, in the form as contained in the Agreement, for each Sub-Consultant's employees performing services under the

subcontract. Such acknowledgements shall be delivered to the Chief of DMH's Contract Development and Administration Division on or immediately after the commencement date of the particular subcontract but in no event later than the date such employee first performs any services under the subcontract.

- M. County shall have no liability or responsibility whatsoever for any payment or other compensation for any Sub-Consultant or its officers, employees, and agents.
- N. Director is hereby authorized to act for and on behalf of County pursuant to this Paragraph, including, but not limited to, consenting to any subcontracting.
- 16.0 <u>CAPTIONS AND PARAGRAPH HEADINGS</u>: Captions and paragraph headings used throughout this Agreement, including all exhibits, are for convenience only and are not a part of the Agreement and shall not be used in constructing the Agreement.
- 17.0 <u>WAIVER</u>: No waiver by either party of any breach of any provision of this Agreement shall constitute a waiver of any other breach of such provision. Failure of either party to enforce at any time, or from time to time, any provision of this Agreement shall not be construed as a waiver thereof.
- 18.0 <u>GOVERNING LAW, JURISDICTION AND VENUE</u>: This Agreement shall be governed by, and construed in accordance with, the laws of the State of California. Consultant agrees and consents to the exclusive jurisdiction of the courts of the State of California for all purposes regarding this Agreement and further agrees and consents that venue of any action brought hereunder shall be exclusively in the County of Los Angeles, California.
- 19.0 <u>CONFLICT OF INTEREST</u>: No County employee whose position in County enables such employee to influence the award or administration of this Agreement or any competing agreement, and no spouse or Consultant economic dependent of such employee, shall be employed in any capacity by or have any direct or indirect financial interest in this Agreement. No officer or employee of Consultant who may financially benefit from the provision of services hereunder shall in any way participate in County's approval, or ongoing evaluation, of such services, or in any way attempt to unlawfully influence County's approval or ongoing evaluation of such services.

Consultant shall comply with all conflict of interest laws, ordinances and regulations now in effect or hereafter to be enacted during the term of this Agreement. Consultant warrants that it is not now aware of

any facts which create a conflict of interest. If Consultant hereafter becomes aware of any facts which might reasonably be expected to create a conflict of interest, it shall immediately make full written disclosure of such facts to County. Full written disclosure shall include, without limitation, identification of all persons implicated and complete description of all relevant circumstances.

- 20.0 <u>COMPLETE AGREEMENT</u>: The body of this Agreement and the Exhibits thereto, shall constitute the complete and exclusive statement of understanding between the parties which supersedes all previous agreements, written or oral, and all communications between the parties relating to the subject matter of this Agreement.
- 21.0 <u>INDEPENDENT CONSULTANT STATUS</u>: It is understood and agreed, and it is the intention of the parties hereto, that Consultant is an independent Consultant and not the employee, agent, joint venture, or partner of County for any purpose whatsoever. Consultant shall be solely liable and responsible for the payment of any and all Federal, State or local taxes which may be or become due as a result of Consultant's engagement under this Agreement.
- 22.0 <u>COUNTY LOBBYIST</u>: Consultant, and each County lobbyist or County lobbying firm as defined in County Code Section 2.160.010 retained by Consultant, shall fully comply with County's Lobbyist Ordinance, County Code Chapter 2.160. Failure on the part of Consultant or any County lobbyist or County lobbying firm retained by Consultant to fully comply with County's Lobbyist Ordinance shall constitute a material breach of this Agreement, upon which County may immediately terminate or suspend this Agreement.
- ANTI-DISCRIMINATION: Consultant certifies and agrees that all persons employed by Consultant, its affiliates, subsidiaries or holding companies, are and will be treated equally by Consultant without regard to or because of race, religion, ancestry, national origin or sex, and in compliance with all anti-discrimination laws of California and the United States. Consultant certifies and agrees that it will deal with its Sub-Consultants, bidders or vendors without regard to or because of race, religion, ancestry, national origin or sex. Consultant shall allow County access to its employment records during regular business hours to verify compliance with these provisions when so requested by County. If County finds that any of these provisions have been violated, such violation shall constitute a material breach of contact upon which County may determine to cancel, terminate, or suspend this Agreement. In addition to an independent finding by County

of such violation, a finding by the State of California or by the United States of violation shall constitute a finding by County of such violation.

Consultant and County agree that in the event of a violation by Consultant of the anti-discrimination provisions of this Agreement, County shall, at its option, be entitled to the sum of Two Thousand Dollars (\$2,000.00) pursuant to California Civil Code Section 1671 as damages in lieu of canceling, terminating, or suspending this Agreement.

- 24.0 PROJECT PERSONNEL ARE AGENTS OF CONSULTANT: Consultant represents and warrants that all individuals performing work under this Agreement including, but not limited to, the individuals listed in Exhibit D hereto, and their agents and Sub-Consultants, are fully authorized agents of Consultant for all purposes of this Agreement, and have actual and full authority to perform all activity and work related to this Agreement on behalf of Consultant.
- TERMINATION FOR IMPROPER CONSIDERATION: County may, by written notice to Consultant, immediately terminate the right of Consultant to proceed under this Agreement if it is found that consideration, in any form, was offered or given by Consultant, either directly or through an intermediary, to any County officer, employee or agent with the intent of securing the Agreement or securing favorable treatment with respect to the award, amendment or extension of the Agreement or the making of any determination with respect to Consultant's performance pursuant to the Agreement. In the event of such termination, County shall be entitled to pursue the same remedies against Consultant as it could pursue in the event of default by Consultant.

Consultant shall immediately report any attempt by a County officer or employee to solicit such improper consideration. The report shall be made either to the County manager charged with the supervision of the employee or to the County Auditor-Controller's Employee Fraud Hotline at (800) 544-6861.

Among other items, such improper consideration may take the form of cash, discounts, and service, the provision of travel or entertainment, or tangible gifts.

26.0 TERMINATION FOR DEFAULT:

26.1 County may, by written notice of default to Consultant, terminate this Agreement immediately in any one of the following circumstances:

If, as determined in the sole judgment of County, Consultant fails to perform any services within the times specified in this Agreement or any extension thereof as County may authorize in writing; or

If, as determined in the sole judgment of County, Consultant fails to perform and/or comply with any of the other provisions of this Agreement, or so fails to make progress as to endanger performance of this Agreement in accordance with its terms, and in either of these two circumstances, does not cure such failure within a period of five days (or such longer period as County may authorize in writing) after receipt of notice from County specifying such failure.

- 26.2 In the event that County terminates this Agreement as provided in Sub- paragraph A, County may procure, upon such terms and in such manner as County may deem appropriate, services similar to those so terminated, and Consultant shall be liable to County for any reasonable excess costs incurred by County, as determined by County, for such similar services.
- 26.3 The rights and remedies of County provided in this Paragraph 26.0 shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Agreement.
- 27.0 <u>TERMINATION FOR CONVENIENCE</u>: The performance of services under this Agreement may be terminated in whole or in part from time to time when such action is deemed by County to be in its best interest. Termination of services hereunder shall be effected by delivery to Consultant of a thirty (30) day advance Notice of Termination specifying the date upon which such termination becomes effective.

After receipt of a Notice of Termination and except as otherwise directed by County, Consultant shall stop services under this Agreement on this date specified in such Notice of Termination.

- 28.0 <u>CONSIDERATION OF HIRING COUNTY EMPLOYEES TARGETED FOR LAYOFF OR FORMER COUNTY EMPLOYEES ON A REEMPLOYMENT LIST</u>: Should Consultant require additional or replacement personnel after the effective date of this Agreement to perform the services set forth herein, Consultant shall give first consideration for such employment openings to qualified permanent County employees who are targeted for layoff or qualified former County employees who are on a reemployment list during the term of this Agreement.
- 29.0 <u>CONSIDERATION OF GAIN PROGRAM PARTICIPANTS FOR EMPLOYMENT</u>: Should Consultant require additional or replacement personnel after the effective date of this Agreement, Consultant

shall give consideration for any such employment openings to participants in the County's Department of Public Social Services' Greater Avenues for Independence (GAIN) Program who meet Consultant's minimum qualifications for the open position. The County will refer GAIN participants by job category to the Consultant.

30.0 CHILD SUPPORT COMPLIANCE PROGRAM:

30.1 Consultant's Warranty of Adherence to County's Child Support Compliance Program:

Consultant acknowledges that County has established a goal of ensuring that all individuals who benefit financially from County through contract are in compliance with their court-ordered child, family and spousal support obligations in order to mitigate the economic burden otherwise imposed upon County and its taxpayers.

As required by County's Child Support Compliance Program (County Code Chapter 2.200) and without limiting Consultant's duty under this Agreement to comply with all applicable provisions of law, Consultant warrants that it is now in compliance and shall during the term of this Agreement maintain compliance with employment and wage reporting requirements as required by the Federal Social Security Act (42 USC Section 653a) and California Unemployment Insurance Code Section 1088.5, and shall implement all lawfully served Wage and Earnings Withholdings Orders or Child Support Services Department Notices of Wage and Earnings Assignment for Child, Family, or Spousal Support, pursuant to Code of Civil Procedure Section 706.031 and Family Code Section 5246(b).

30.2. <u>Termination for Breach of Warranty to Maintain Compliance with County's Child Support Compliance Program</u>: Failure of Consultant to maintain compliance with the requirements set forth pursuant to Subparagraph 31.1 (Consultant's Warranty of Adherence to County's Child Support Compliance Program) shall constitute a default under this Agreement. Without limiting the rights and remedies available to County under any other provision of this Agreement, failure of Consultant to cure such default within 90 calendar days of written notice shall be grounds upon which County may terminate this Agreement pursuant to Paragraph 27.0 (TERMINATION FOR DEFAULT) and pursue debarment of Consultant, pursuant to County Code Chapter 2.202.

- 31.0 <u>AUTHORIZATION WARRANTY</u>: Consultant represents and warrants that the person executing this Agreement on its behalf is an authorized agent who has actual authority to bind Consultant to each and every term, condition, and obligation of this Agreement and that all requirements of Consultant have been fulfilled to provide such actual authority.
- 32.0 NOTICE TO EMPLOYEES REGARDING THE FEDERAL EARNED INCOME CREDIT: Consultant shall notify its employees, and shall require each Sub-Consultant to notify its employees, that they may be eligible for the Federal Earned Income Credit under the Federal income tax laws. Such notice shall be provided in accordance with the requirements set forth in Internal Revenue Service Notice 1015.
- 33.0 <u>USE OF RECYCLED-CONTENT PAPER PRODUCTS</u>: Consistent with the Board of Supervisors' policy to reduce the amount of solid waste deposited at the County landfills, the Consultant agrees to use recycled-content paper to the maximum extent possible on the Project.

34.0 CONSULTANT RESPONSIBILITY AND DEBARMENT:

- A. A responsible Consultant is a Consultant who has demonstrated the attribute of trustworthiness, as well as quality, fitness, capacity and experience to satisfactorily perform the contract. It is the County's policy to conduct business only with responsible Consultants.
- B. The Consultant is hereby notified that, in accordance with Chapter 2.202 of the County Code, if the County acquires information concerning the performance of the Consultant on this or other Agreements which indicates that the Consultant is not responsible, the County may, in addition to other remedies provided in the Agreement, debar the Consultant from bidding or proposing on, or being awarded, and/or performing work on County Agreements for a specified period of time, which generally will not exceed five years but may exceed five years or be permanent if warranted by the circumstances, and terminate any or all existing Agreements the Consultant may have with the County.
- C. The County may debar a Consultant if the Board of Supervisors finds, in its discretion, that the Consultant has done any of the following: (1) violated a term of an Agreement with the County or a nonprofit corporation created by the County; (2) committed an act or omission which negatively reflects on the Consultant's quality, fitness or capacity to perform a contract with the County, any other public entity, or a nonprofit corporation created by the County, or engaged in a pattern or practice which negatively reflects on same; (3) committed an act or offense which indicates a lack of business integrity or business

honesty; or (4) made or submitted a false claim against the County or any other public entity.

- D. If there is evidence that the Consultant may be subject to debarment, the Department will notify the Consultant in writing of the evidence which is the basis for the proposed debarment and will advise the Consultant of the scheduled date for a debarment hearing before the Consultant Hearing Board.
- E. The Consultant Hearing Board will conduct a hearing where evidence on the proposed debarment is presented. The Consultant and/or the Consultant's representative shall be given an opportunity to submit evidence at that hearing. After the hearing, the Consultant Hearing Board shall prepare a tentative proposed decision, which shall contain a recommendation regarding whether the Consultant should be debarred, and, if so, the appropriate length of time of the debarment. The Consultant and the Department shall be provided an opportunity to object to the tentative proposed decision prior to its presentation to the Board of Supervisors.
- F. After consideration of any objections, or if no objections are submitted, a record of the hearing, the proposed decision and any other recommendation of the Consultant Hearing Board shall be presented to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny or adopt the proposed decision and recommendation of the Hearing Board.
- G. If a Consultant has been debarred for a period longer than five years, that Consultant may, after the debarment has been in effect for at least five years, submit a written request for review of the debarment determination to reduce the period of debarment or terminate the debarment. The County may, in its discretion, reduce the period of debarment or terminate the debarment if it finds that the Consultant has adequately demonstrated one or more of the following: (1) elimination of the grounds for which the debarment was imposed; (2) a bona fide change in ownership or management; (3) material evidence discovered after debarment was imposed; or (4) any other reason that is in the best interest of the County.
- H. The Consultant Hearing Board will consider a request for review of a debarment determination only where (1) the Consultant has been debarred for a period longer than five years; (2) the debarment has been in effect for at least five years; and (3) the request is in writing, states one or more of

the grounds for reduction of the debarment period or termination of the debarment, and includes supporting documentation. Upon receiving an appropriate request, the Consultant Hearing Board will provide notice of the hearing on the request. At the hearing, the Consultant Hearing Board shall conduct a hearing where evidence on the proposed reduction of debarment period or termination of debarment is presented. This hearing shall be conducted and the request for review decided by the Consultant Hearing Board pursuant to the same procedures as for a debarment hearing.

- I. The Consultant Hearing Board's proposed decision shall contain a recommendation on the request to reduce the period of debarment or terminate the debarment. The Consultant Hearing Board shall present its proposed decision and recommendation to the Board of Supervisors. The Board of Supervisors shall have the right to modify, deny, or adopt the proposed decision and recommendation of the Consultant Hearing Board.
 - J. These terms shall also apply to Sub-Consultants of County Consultants.
- EXCLUSION LOWER TIER COVERED TRANSACTIONS (45 C.F.R. PART 76): The Consultant hereby acknowledges that the County is prohibited from contracting with and making sub-awards to parties that are suspended, debarred, ineligible, or excluded or whose principals are suspended, debarred, ineligible, or excluded contracts. By executing this Agreement, Consultant certifies that neither it nor any of its owners, officers, partners, directors or other principals is currently suspended, debarred, ineligible, or excluded from securing federally funded contracts. Further, by executing this Agreement, Consultant certifies that, to its knowledge, none of its Sub-Consultants, at any tier, or any owner, officer, partner, director or other principal of any Sub-Consultant is currently suspended, debarred, ineligible, or excluded from securing federally funded contracts. Consultant shall immediately notify County in writing, during the term of this Agreement, should it or any of its Sub-Consultants or any principals of either be suspended, debarred, ineligible, or excluded from securing federally funded contracts. Failure of Consultant to comply with this provision shall constitute a material breach of this Agreement upon which the County may immediately terminate or suspend this Agreement.

36.0 CONSULTANT'S EXCLUSION FROM PARTICIPATION IN A FEDERALLY FUNDED PROGRAM:

Consultant hereby warrants that neither it nor any of its staff members is restricted or excluded from providing services under any health care program funded by the Federal Government, directly or indirectly, in whole or in part, and that Consultant will notify Director within (30) calendar days in writing of: (1) any event that would require Consultant or a staff member's mandatory exclusion from participation in a Federally funded health care program; and (2) any exclusionary action taken by any agency of the Federal Government against Consultant or one or more staff members barring it or the staff members from participation in a Federally funded health care program, whether such bar is direct or indirect, or whether such bar is in whole or in part.

There are a variety of different reasons why an individual or entity may be excluded from participating in a federally funded health care program. Sometimes, the exclusion is mandatory and in other cases the Office of Inspector General (OIG) has the discretion not to exclude.

The mandatory bases for exclusion include: (1) felony convictions for program related crimes, including fraud or false claims, or for offenses related to the dispensing or use of controlled substances, or (2) convictions related to patient abuse.

Permissive exclusions may be based on: (1) conviction of a misdemeanor related to fraud or financial misconduct involving a government program; (2) obstructing an investigation; (3) failing to provide access to documents or premises as required by Federal health care program officials; (4) conviction of a misdemeanor related to controlled substances; (5) failing to disclose information about the entity itself, its Sub-Consultants or its significant business transactions; (6) loss of a state license to practice a health care profession; (7) default on a student loan given in connection with education in a health profession; (8) charging excessive amounts to a Federally funded health care program or furnishing services of poor quality or which are substantially in excess of the needs of the patients; (9) paying a kickback or submitting a false or fraudulent claim. Persons controlling or managing excluded entities who knew of the conduct leading to the exclusion can themselves be excluded, and entities which are owned and controlled by excluded individuals can also be excluded.

Consultant shall indemnify and hold County harmless against any and all loss or damage County

may suffer arising from any Federal exclusion of Consultant or its staff members from such participation in a Federally funded health care program. Consultant shall provide the certification set forth in Exhibit E as part of its obligation under this Paragraph.

Failure by Consultant to meet the requirements of this Paragraph shall constitute a material breach of Agreement upon which County may immediately terminate or suspend this Agreement.

ONSULTANT'S OBLIGATION AS A BUSINESS ASSOCIATE UNDER THE HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT OF 1996: Under this Agreement, Consultant ("Business Associate") provides services ("Services") to County ("Covered Entity") and Business Associate receives, has access to, or creates Protected Health Information in order to provide those Services. Covered Entity is subject to the Administrative Simplification requirements of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), and regulations promulgated thereunder, including the Standards for Privacy of Individually Identifiable Health Information ("the Privacy Regulations") and the Health Insurance Reform: Security Standards ("the Security Regulations") at 45 Code of Federal Regulations Parts 160 and 164 ("together, the "Privacy and Security Regulations").

The Privacy and Security Regulations require Covered Entity to enter into a contract with Business Associate in order to mandate certain protections for the privacy and security of Protected Health Information, and those Regulations prohibit the disclosure to or use of Protected Health Information by Business Associate if such a contract is not in place.

Therefore, the parties agree as follows:

DEFINITIONS

- 1.1 "<u>Disclose</u>" or "<u>Disclosure</u>" means, with respect to Protected Health Information, the release, transfer, provision of access to, or divulging in any other manner of Protected Health Information outside Business Associate's internal operations or to other than its employees.
- 1.2 "Electronic Media" has the same meaning as the term "electronic media" in 45 C.F.R. § 160.103. Electronic Media means (1) Electronic storage media including memory devices in computers (hard drives) and any removable/transportable digital memory medium, such as magnetic tape or disk, optical disk, or digital memory card; or (2) Transmission media used to exchange information already in

electronic storage media. Transmission media include, for example, the internet (wide-open), extranet (using internet technology to link a business with information accessible only to collaborating parties), leased lines, dial-up lines, private networks, and the physical movement of removable/transportable electronic storage media. Certain transmissions, including of paper, via facsimile, and of voice, via telephone, are not considered to be transmissions via electronic media, because the information being exchanged did not exist in electronic form before the transmission.

- 1.3 "Electronic Protected Health Information" has the same meaning as the term "electronic protected health information" in 45 C.F.R. § 160.103. Electronic Protected Health Information means Protected Health Information that is (i) transmitted by electronic media; (ii) maintained in electronic media.
- 1.4 "Individual" means the person who is the subject of Protected Health Information and shall include a person who qualifies as a personal representative in accordance with 45 C.F.R. § 164.502(g).
- "Protected Health Information" has the same meaning as the term "protected health information" in 45 C.F.R. § 160.103, limited to the information created or received by Business Associate from or on behalf of Covered Entity. Protected Health Information includes information, whether oral or recorded in any form or medium, that (i) relates to the past, present, or future physical or mental health or condition of an Individual; the provision of health care to an Individual, or the past, present or future payment for the provision of health care to an Individual; (ii) identifies the Individual (or for which there is a reasonable basis for believing that the information can be used to identify the Individual); and (iii) is received by Business Associate from or on behalf of Covered Entity, or is created by Business Associate, or is made accessible to Business Associate by Covered Entity. "Protected Health Information" includes Electronic Health Information.
- 1.6 "Required By Law" means a mandate contained in law that compels an entity to make a Use or Disclosure of Protected Health Information and that is enforceable in a court of law. Required by law includes, but is not limited to, court orders and court-ordered warrants; subpoenas or summons issued by a court, grand jury, a governmental or tribal inspector general, or any administrative body authorized to require the production of information; a civil or an authorized investigative demand; Medicare conditions of

participation with respect to health care providers participating in the program; and statutes or regulations that require the production of information, including statutes or regulations that require such information if payment is sought under a government program providing benefits.

- 1.7 "Security Incident" means the attempted or successful unauthorized access, Use, Disclosure, modification, or destruction of information in, or interference with system operations of, an Information System which contains Electronic Protected Health Information. However, Security Incident does not include attempts to access an Information System when those attempts are not reasonably considered by Business Associate to constitute an actual threat to the Information System.
 - 1.8 "Services" has the same meaning as in the body of this Agreement.
- 1.9 "<u>Use</u>" or "<u>Uses</u>" means, with respect to Protected Health Information, the sharing, employment, application, utilization, examination or analysis of such Information within Business Associate's internal operations.
- 1.10 Terms used, but not otherwise defined in this Paragraph shall have the same meaning as those terms in the HIPAA Regulations.

OBLIGATIONS OF BUSINESS ASSOCIATE

- 2.1 <u>Permitted Uses and Disclosures of Protected Health Information</u>. Business Associate:
- (a) shall Use and Disclose Protected Health Information as necessary to perform the Services, and as provided in Sections 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 4.3 and 5.2 of this Agreement;
 - (b) shall Disclose Protected Health Information to Covered Entity upon request;
- (c) may, as necessary for the proper management and administration of its business or to carry out its legal responsibilities:
 - (i) Use Protected Health Information; and
- (ii) Disclose Protected Health Information if the Disclosure is Required by Law.

 Business Associate shall not Use or Disclose Protected Health Information for any other purpose.
 - 2.2 Adequate <u>Safeguards for Protected Health Information</u>. Business Associate:
 - (a) shall implement and maintain appropriate safeguards to prevent the Use or Disclosure of Protected Health Information in any manner other than as permitted by this Paragraph. Business

Associate agrees to limit the Use and Disclosure of Protected Health Information to the minimum necessary in accordance with the Privacy Regulation's minimum necessary standard.

- (b) effective as of April 20, 2005, specifically as to Electronic Health Information, shall implement and maintain administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of Electronic Protected Health Information.
- Reporting Non-Permitted Use or Disclosure and Security Incidents. Business Associate shall report to Covered Entity each Use or Disclosure that is made by Business Associate, its employees, representatives, agents or Sub-Consultants but is not specifically permitted by this Agreement, and effective as of April 20, 2005, shall report to Covered Entity each Security Incident of which Business Associate becomes aware. The initial report shall be made by telephone call to the Department of Mental Health's Privacy Officer, telephone number (213) 738-4864 within forty-eight (48) hours from the time the Business Associate becomes aware of the non-permitted Use or Disclosure or Security Incident, followed by a full written report no later than ten (10) business days from the date the Business Associate becomes aware of the non-permitted Use or Disclosure or Security Incident to the Chief Privacy Officer at:

Chief Privacy Officer, County of Los Angeles
Kenneth Hahn Hall of Administration
500 West Temple Street, Suite 525
Los Angeles, CA 90012

- 2.4 <u>Mitigation of Harmful Effect.</u> Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a Use or Disclosure of Protected Health Information by Business Associate in violation of the requirements of this Paragraph.
- Associate agrees to make its internal practices, books, and records relating to the Use and Disclosure of Protected Health Information available to the Secretary of the Federal Department of Health and Human Services for purposes of determining Covered Entity's compliance with the Privacy and Security Regulations. Business Associate shall immediately notify Covered Entity of any requests made by the Secretary and provide Covered Entity with copies of any documents produced in response to such

request.

- Access to Protected Health Information. Business Associate shall, to the extent Covered Entity determines that any Protected Health Information constitutes a "designated record set" as defined by 45 C.F.R. § 164.501, make the Protected Health Information specified by Covered Entity available to the Individual(s) identified by Covered Entity as being entitled to access and copy that Protected Health Information. Business Associate shall provide such access for inspection of that Protected Health Information within two (2) business days after receipt of request from Covered Entity. Business Associate shall provide copies of that Protected Health Information within five (5) business days after receipt of request from Covered Entity.
- Amendment of Protected Health Information. Business Associate shall, to the extent Covered Entity determines that any Protected Health Information constitutes a "designated record set" as defined by 45 C.F.R. § 164.501, make any amendments to Protected Health Information that are requested by Covered Entity. Business Associate shall make such amendment within ten (10) business days after receipt of request from Covered Entity in order for Covered Entity to meet the requirements under 45 C.F.R. § 164.526.
- Accounting of Disclosures. Business Associate agrees to maintain documentation of the information required to provide an accounting of Disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528, and to make this information available to Covered Entity upon Covered Entity's request, in order to allow Covered Entity to respond to an Individual's request for accounting of disclosures. However, Business Associate is not required to provide an accounting of Disclosures that are necessary to perform its Services if such Disclosures are for either payment or health care operations purposes, or both. Additionally, such accounting is limited to disclosures that were made in the six (6) years prior to the request (not including disclosures that were made prior to the compliance date of the Privacy Rule, April 14, 2003) and shall be provided for as long as Business Associate maintains the Protected Health Information.

Any accounting provided by Business Associate under this Section 2.8 shall include: (a) the date of the Disclosure; (b) the name, and address if known, of the entity or person who received the Protected Health

Information; (c) a brief description of the Protected Health Information disclosed; and (d) a brief statement of the purpose of the Disclosure. For each Disclosure that could require an accounting under this Section 2.8, Business Associate shall document the information specified in (a) through (d), above, and shall securely maintain the information for six (6) years from the date of the Disclosure. Business Associate shall provide to Covered Entity, within ten (10) business days after receipt of request from Covered Entity, information collected in accordance with this Section 2.8 to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 C.F.R. § 164.528.

OBLIGATION OF COVERED ENTITY

3.1 <u>Obligation of Covered Entity</u>. Covered Entity shall notify Business Associate of any current or future restrictions or limitations on the use of Protected Health Information that would affect Business Associate's performance of the Services, and Business Associate shall thereafter restrict or limit its own uses and disclosures accordingly.

TERM AND TERMINATION

- 4.1 <u>Term.</u> The term of this Paragraph shall be the same as the term of this Agreement. Business Associate's obligations under Sections 2.1 (as modified by Section 4.2), 2.3, 2.4, 2.5, 2.6, 2.7, 2.8, 4.3 and 5.2 shall survive the termination or expiration of this Agreement.
- 4.2 <u>Termination for Cause</u>. In addition to and notwithstanding the termination provisions set forth in this Agreement, upon Covered Entity's knowledge of a material breach by Business Associate, Covered Entity shall either:
- (a) Provide an opportunity for Business Associate to cure the breach or end the violation and terminate this Agreement if Business Associate does not cure the breach or end the violation within the time specified by Covered Entity;
- (b) Immediately terminate this Agreement if Business Associate has breached a material term of this Paragraph and cure is not possible; or
- (c) If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary of the Federal Department of Health and Human Services.
 - 4.3 <u>Disposition of Protected Health Information Upon Termination or Expiration.</u>

- (a) Except as provided in paragraph (b) of this section, upon termination for any reason or expiration of this Agreement, Business Associate shall return or destroy all Protected Health Information received from Covered Entity, or created or received by Business Associate on behalf of Covered Entity. This provision shall apply to Protected Health Information that is in the possession of Sub-Consultants or agents of Business Associate. Business Associate shall retain no copies of the Protected Health Information.
- (b) In the event that Business Associate determines that returning or destroying the Protected Health Information is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make it infeasible. If return or destruction is infeasible, Business Associate shall extend the protections of this Agreement to such Protected Health Information and limit further Uses and Disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Business Associate maintains such Protected Health Information.

MISCELLANEOUS

- 5.1 <u>No Third Party Beneficiaries.</u> Nothing in this Paragraph shall confer upon any person other than the parties and their respective successors or assigns, any rights, remedies, obligations, or liabilities whatsoever.
- 5.2 <u>Use of Sub-Consultants and Agents.</u> Business Associate shall require each of its agents and Sub-Consultants that receive Protected Health Information from Business Associate, or create Protected Health Information for Business Associate, on behalf of Covered Entity, to execute a written agreement obligating the agent or Sub-Consultant to comply with all the terms of this Paragraph.
- 5.3 <u>Relationship to Services Agreement Provisions</u>. In the event that a provision of this Paragraph is contrary to another provision of this Agreement, the provision of this Paragraph shall control.

 Otherwise, this Paragraph shall be construed under, and in accordance with, the terms of this Agreement.
- 5.4 <u>Regulatory References</u>. A reference in this Paragraph to a section in the Privacy or Security Regulations means the section as in effect or as amended.
- 5.5 <u>Interpretation</u>. Any ambiguity in this Paragraph shall be resolved in favor of a meaning that permits Covered Entity to comply with the Privacy and Security Regulations.

5.6 <u>Amendment.</u> The parties agree to take such action as is necessary to amend this Paragraph from time to time as is necessary for Covered Entity to comply with the requirements of the Privacy and Security Regulations.

38.0 COMPLIANCE WITH JURY SERVICE PROGRAM:

A <u>Jury Service Program</u>: This Agreement is subject to the provisions of the County's ordinance entitled Consultant Employee Jury Service ("Jury Service Program") as codified in Sections 2.203.010 through 2.203.090 of the Los Angeles County Code.

B Written Employee Jury Service Policy:

- (1) Unless Consultant has demonstrated to the County's satisfaction either that Consultant is not a "Consultant" as defined under the Jury Service Program (Section 2.203.020 of the County Code) or that Consultant qualifies for an exception to the Jury Service Program (Section 2.203.070 of the County Code), Consultant shall have and adhere to a written policy that provides that its Employees shall receive from the Consultant, on an annual basis, no less than five days of regular pay for actual jury service. The policy may provide that Employees deposit any fees received for such jury service with the Consultant or that the Consultant deduct from the Employee's regular pay the fees received for jury service.
- (2) For purposes of this Section, "Consultant" means a person, partnership, corporation or other entity which has an Agreement with the County or a subcontract with a County Consultant and has received or will receive an aggregate sum of \$50,000 or more in any 12-month period under one or more County Agreements or subcontracts. "Employee" means any California resident who is a full-time employee of Consultant. "Full-time" means 40 hours or more worked per week or a lesser number of hours if: 1) the lesser number is a recognized industry standard as determined by the County, or 2) Consultant has a long-standing practice that defines the lesser number of hours as full-time. Full-time employees providing short-term, temporary services of 90 days or less within a 12-month period are not considered full-time for purposes of the Jury Service Program. If Consultant uses any Sub-Consultant to perform services for the County under the Agreement, the Sub-Consultant shall also be subject to the provisions of this Section. The provisions of this Section shall be inserted into any such subcontract Agreement and a copy of the Jury Service Program shall be attached to the Agreement.

- Agreement commences, Consultant shall have a continuing obligation to review the applicability of its "exception status" from the Jury Service Program, and Consultant shall immediately notify County if Consultant at any time either comes within the Jury Service Program's definition of "Consultant" or if Consultant no longer qualifies for an exception to the Program. In either event, Consultant shall immediately implement a written policy consistent with the Jury Service Program. The County may also require, at any time during the Agreement and at its sole discretion, that Consultant demonstrate to the County's satisfaction that Consultant either continues to remain outside of the Jury Service Program's definition of "Consultant" and/or that Consultant continues to qualify for an exception to the Program.
- (4) Consultant's violation of this section of the Agreement may constitute a material breach of the Agreement. In the event of such material breach, County may, in its sole discretion, terminate the Agreement and/or bar Consultant from the award of future County Agreements for a period of time consistent with the seriousness of the breach.
- 39.0 NOTICE TO EMPLOYEES REGARDING THE SAFELY SURRENDERED BABY LAW: The Consultant shall notify and provide to its employees, and shall require each Sub-Consultant to notify and provide to its employees, a fact sheet regarding the Safely Surrendered Baby Law, its implementation in Los Angeles County, and where and how to safely surrender a baby.

The fact sheet is set forth in Exhibit F of this Agreement and is also available on the Internet at www.babysafela.org for printing purposes.

40.0 CONSULTANT'S ACKNOWLEDGEMENT OF COUNTY'S COMMITMENT TO THE SAFELY SURRENDERED BABY LAW: The Consultant acknowledges that the County places a high priority on the implementation of the Safely Surrendered Baby Law. The Consultant understands that it is the County's policy to encourage all County Consultants to voluntarily post the County's "Safely Surrendered Baby Law" poster in a prominent position at the Consultant's place of business. The Consultant will also encourage its Sub-Consultants, if any, to post this poster in a prominent position in the Sub-Consultant's place of business. The County's Department of Children and Family Services will supply the Consultant with the poster to be used.

- 21.0 <u>COUNTY'S QUALITY ASSURANCE PLAN</u>: The County or its agent will evaluate Consultant's performance under this Agreement on not less than an annual basis. Such evaluation will include assessing Consultant's compliance with all contract terms and performance standards. Consultant deficiencies which County determines are severe or continuing and that may place performance of the Agreement in jeopardy if not corrected will be reported to the Board of Supervisors. The report will include improvement/corrective action measures taken by the County and Consultant. If improvement does not occur consistent with the corrective action measures, County may terminate this Agreement or impose other penalties as specified in this Agreement.
- CONSULTANT'S CHARITABLE ACTIVITIES COMPLIANCE: The Supervision of Trustees and Fundraisers for Charitable Purposes Act regulates entities receiving or raising charitable contributions. The "Nonprofit Integrity Act of 2004" (SB 1262, Chapter 919) increased Charitable Purposes Act requirements. By requiring Consultant to complete the certification in Exhibit G, the County seeks to ensure that all County Consultants which receive or raise charitable contributions comply with California law in order to protect the County and its taxpayers. A Consultant which receives or raises charitable contributions without complying with its obligations under California law commits a material breach subjecting it to either contract termination or debarment proceedings or both. (County Code Chapter 2.202)
- OUNTY'S OBLIGATION FOR CURRENT AND FUTURE FISCAL YEARS: Notwithstanding any other provision of this Agreement, this Agreement shall not be effective and binding upon the parties unless and until County's Board of Supervisors appropriates funds for purposes hereof in County's Budget for County's current Fiscal Year. Further, County shall not be obligated for Contractor's performance hereunder or by any provision of this Agreement during any of County's future Fiscal Years unless and until County's Board of Supervisors appropriates funds for purposes hereof in County's Budget for each such future Fiscal Year. In the event that funds are not appropriated for this Agreement, then this Agreement shall terminate as of June 30 of the last Fiscal Year for which funds were appropriated.

44.0 COMPLIANCE WITH APPLICABLE LAW:

A. Contractor shall comply with all Federal, including, but not limited to, Title XIX of the

Social Security Act, State, and local laws, ordinances, rules, regulations, manuals, guidelines, Americans with Disabilities Act (ADA) standards, and directives applicable to its performance hereunder. Further, all provisions required thereby to be included in this Agreement are hereby incorporated herein by reference.

- B. Contractor shall indemnify and hold harmless County from and against any and all liability, damages, costs or expenses, including, but not limited to, defense costs and attorneys' fees, arising from or related to any violation on the part of Contractor, its officers, employees, or agents, of any such Federal, State or local laws, ordinances, rules, regulations, manuals, guidelines, ADA standards, or directives.
- C. Contractor shall maintain in effect an active compliance program in accordance with the recommendations set forth by the Department of Health and Human Services, Office of the Inspector General.
- D. Duty to Notify: Contractor agrees to notify County of any and all legal complaints, citations, enforcement proceedings, administrative proceedings, judgments or litigation, known to Contractor, whether civil or criminal initiated against Contractor, its officers, employees, or agents which are likely to have a material effect on the organization's stewardship, financial position and/or ability to perform and deliver services under this contract.
- Agreement, or Statement of Work or Fee Schedule hereto, whether by written or oral understanding of the parties, their officers, employees or agents, shall be valid and effective unless made in the form of a written amendment to this Agreement which is formally approved and executed by the parties.

The County's Board of Supervisors or Chief Executive Officer or designee may require the addition and/or change of certain terms and conditions in the Agreement during the term of this Agreement. The County reserves the right to add and/or change such provisions as required by the County's Board of Supervisors or Chief Executive Officer. To implement such orders, an Amendment to the Agreement shall be prepared and executed by the Contractor and by the Director of Mental Health.

46.0 <u>PERFORMANCE STANDARDS AND OUTCOME MEASURES</u>: The Contractor shall comply with all applicable Federal, State, and County policies and procedures relating to performance standards and outcome measures. This is applicable whenever specific Federal or State funding, which has policies or

procedures for performance standards and/or outcome measures has been included as part of the Contractor's contract and shall apply for all County policies, procedures, or departmental bulletins approved by the Director or his designee for performance standards and/or outcome measures. County will notify Contractor whenever County policies or procedures are to apply to this contract provision (e.g., AB 2034 grant) at least, where feasible, 30 calendar days prior to implementation.

These Federal, State or County performance standards and/or outcome measures will be used as part of the determination of the effectiveness of the services delivered by the Contractor.

47.0 <u>LOCAL SMALL BUSINESS ENTERPRISE PREFERENCE PROGRAM</u>: This Contract is subject to all provisions of the County's ordinance entitled Local Business Enterprise Preference Program, as codified in Chapter 2.204 of the Los Angeles County Code. Specifically, Contractor shall pay particular attention to the following provisions in Chapter 2.204:

Contractor shall not knowingly and with the intent to defraud, fraudulently obtain, retain, attempt to obtain or retain, or aid another in fraudulently obtaining or retaining or attempting to obtain or retain certification as a Local Small Business Enterprise.

Contractor shall not willfully and knowingly make a false statement with the intent to defraud, whether by affidavit, report, or other representation, to a County official or employee for the purpose of influencing the certification or denial of certification of any entity as a Local Small Business Enterprise.

If Contractor has obtained certification as a Local Small Business Enterprise by reason of having furnished incorrect supporting information or by reason of having withheld information, and which knew, or should have known, the information furnished was incorrect or the information withheld was relevant to its request for certification, and which by reason of such certification has been awarded this contract to which it would not otherwise have been entitled, shall:

- Pay to the County any difference between the Contract amount and what the County's costs would have been if the contract had been properly awarded;
- 2. In addition to the amount described in subdivision (1), be assessed a penalty in an amount of not more than 10 percent (10%) of the amount of the contract; and
- 3. Be subject to the provisions of Chapter 2.202 of the Los Angeles County Code

(Determinations of Contractor Non-responsibility and Contractor Debarment).

The above penalties shall also apply to any Contractor that has previously obtained proper certification, however, as a result of a change in their status would no longer be eligible for certification, and fails to notify the State and the Office of Affirmative Action Compliance of this information prior to responding to a solicitation or accepting a contract award.

NOTICES: All notices or demands required or permitted to be given or made under this Agreement shall be in writing and shall be hand-delivered with signed receipt or mailed by first-class, registered or certified mail, postage prepaid, addressed to the parties at the following addresses and to the attention of the persons named. Director shall have the authority to execute all notices or demands which are required or permitted by County under this Agreement. Addresses and persons to be notified may be changed by either party by giving ten days prior written notice thereof to the other party.

County of Los Angeles

Department of Mental Health

550 S. Vermont Avenue

Los Angeles, California 90020

ATTN:

If to CONSULTANT:

IN WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Agreement to be subscribed by County's Director of Mental Health or his designee, and Consultant has caused this Agreement to be subscribed in its behalf by its duly authorized officer, the day, month, and year first above written.

	COUNTY OF LOS ANGELES	
	By MARVIN J. SOUTHARD, D.S.W. Director of Mental Health	
	WISE & Healthy Aging CONSULTANT	
	Ву	
	Name Grace Cheng Braun	
	Title President and CEO (AFFIX CORPORATE SEAL HERE)	
APPROVED AS TO FORM: OFFICE OF THE COUNTY COUNSEL		
APPROVED AS TO CONTRACT ADMINISTRATION:		
DEPARTMENT OF MENTAL HEALTH		
ByChief, Contracts Development and Administration Division		
Wise and Healthy Aging Consultant Services Agreement.		

EXHIBIT A

WISE & Healthy Aging

STATEMENT OF WORK

A. MENTAL HEALTH SERVICES ACT - OLDER ADULT TRAINING PROGRAM

1. Overview

The Department of Mental Health (DMH) Older Adult Training Programs addresses the unique developmental, physical, social and emotional needs of older adults, age 60 and above that will enable clinicians to appropriately and effectively evaluate, assess, diagnose and treat the serious mental health disorders commonly found in this population. Professionals currently delivering or administering mental health services to older adults within the public mental health system as well as professionals who have been trained to work with other populations (e.g. adults) and who are preparing to work with older adults, will be eligible to enroll in the training program. Participants successfully completing the program will receive continuing educational units applicable to the California Boards of Registered Nursing, Behavioral Sciences and Psychology.

2. Scope of Work

Develop curriculum to equip mental health professionals with the knowledge and skills to work with older adult consumers in the public mental health system. The training will include didactic material in background issues, evaluation and assessment of older adults, treatment and case management. Some classes will provide opportunities for case consultation conducted by licensed mental health professionals.

3. Deliverables

- **a.** Fundamentals of Older Adult Mental Health Recovery Services—a 30-hour course offered on 5 consecutive 6-hour days starting in February 2009.
- b. Advanced Applications in Evidenced Based, Promising & Clinical Interventions in Older Adult Mental Health Services—a 32-hour course consists of a weekly series of 4-hour courses offered in Spring 2009 designed for mental health clinicians who work in Los Angeles County's DMH directly-operated and contracted agencies.

4. Training Goals

a. Overall Goals

- i. Increase understanding of the treatment providers in the complex interactions of psychiatric disorders, the aging process, medical illness, and disability as well as the psychological, social, cultural, economic and environmental factors that are relevant to the proper assessment and treatment of older adult consumers.
- ii. Develop knowledge and skills for geriatric mental health assessment, treatment, case management, and utilization of community resources regarding concerns impacting older adults such as medical illness, psycho-pharmacology, substance use, hoarding, spirituality, and end-of-life issues.
- iii. Provide information, guiding principles, and professional tools for treatment providers working in Full Service Partnerships (FSP) and Field Capable Clinical Service (FCCS) programs.
- iv. Increase knowledge and depth of understanding of cultural competency and the Recovery Model.

b. Evaluation

- i. Develop and provide evaluation tools that will evaluate the effectiveness of the training program.
- ii. Provide summaries of evaluations to DMH as requested.

c. Curriculum

- i. Develop a syllabus, learning objectives, methodology, trainers, and training schedules.
- ii. Content areas for Fundamentals of Older Adult Mental Health Recovery Services will include introduction to aging, the Recovery Model, multicultural issues, mental illness and assessment methods in older adults, cognitive disorders, legal and ethical issues, psycho-pharmacology, medical disorders, case management principles and specific community resources, FCCS & FSP principles, field safety, hoarding assessment and treatment, death and dying, spirituality, existential issues in later life, and substance abuse.

iii. Content areas for Advanced Applications in Evidenced-Based, Promising & Clinical Interventions in Older Adult Mental Health Services will include FCCS & FSP principles, providing mental health services to older adults in the field: outreach and engagement, case management, cultural issues, boundary challenges, crisis intervention, field safety, goal setting, intensive mental health services, documentation, the Harm Reduction Model as applied to older adults who abuse substances and other addictions, hoarding, and end of life issues including death and dying, existential and spirituality, legal issues, community resources, clinical issues, and other psycho-therapeutic issues. Concept application groups will be offered, giving participants opportunities to apply class content to their specific needs.

5. Time Frame Critical Elements

The entire training will be completed during the period of February 6, 2009 through June 30, 2009.

6. Experience/Minimum Requirements

Licensed clinicians with experience in mental health and aging will be involved in all aspects of the planning and implementation of the training.

7. Responsibility of DMH & WISE & Healthy Aging

WISE & Healthy Aging is required to provide notification to DMH of any matters that may impact the timely completion of this project. WISE & Healthy Aging will provide DMH with the results of the evaluation tool, and where indicated by the evaluation results, adjust training (content or presentation) in collaboration with DMH in such a manner as to improve any significant adverse findings emanating from the evaluation.

DMH will review the proposal and will contact WISE & Healthy Aging within 21 business days to provide approval of curriculum. In the event that a substantive disagreement occurs between DMH and WISE & Healthy Aging, additional time for completion may be negotiated collaboratively by DMH and WISE & Healthy Aging.

EXHIBIT A – STATEMENT OF WORK Page 4

8. Term of Agreement

The project will commence upon execution of the Agreement and conclude at the completion of the evaluation of the training, but no later than June 30, 2009.

.....

EXHIBIT B

WISE & Healthy Aging

FEE SCHEDULE

Total cost of the Mental Health Services Act (MHSA) Older Adult Training Program is \$67,310 divided into 2 parts: \$30,711 for Fundamentals of Older Adult Mental Health Recovery Services, and \$36,599 for Advanced Applications in Evidenced Based, Promising & Clinical Interventions in Older Adult Mental Health Services

A. FUNDAMENTALS OF OLDER ADULT MENTAL HEALTH RECOVERY SERVICES

1. Payment Schedule

For the services described in Exhibit A (Statement of Work) DMH shall pay to WISE & Healthy Aging a grand total of \$30,711.

Total costs for all aspects of this training project, including curriculum development, speaker's fees, room rental, refreshments, training materials, use of audio/visual equipment will not exceed \$30,711. This will cover the development and offering of five six-hour classes of approximately 40-45 participants starting in February 2009.

Payment to WISE & Healthy Aging shall be based on periodic invoices from WISE & Healthy Aging as described below. No payment shall be made without prior approval of a designated DMH representative. This individual shall review the invoice and project report to determine whether WISE & Healthy Aging is in substantial compliance with the terms and conditions of this Exhibit B.

2. Time Frame and Payment For Deliverables

Deliverable A: \$30,711

Deliverable is to be completed by March 6, 2009. <u>THIRTY THOUSAND SEVEN HUNDRED ELEVEN</u> Dollars (\$30,711) will be paid at the end of the Fundamentals of Older Adult Mental Health Recovery Services training, March 6, 2009.

3. Payment Procedures

Payment of \$30,711 shall be made upon completion of 5 training sessions (6 hours each) to be delivered no later than February 6, 2009.

Upon receipt of invoices from WISE & Healthy Aging, DMH shall make payment to WISE & Healthy Aging within 30 days of the date the invoice was approved for payment. If any portion of the invoice is disputed by DMH, DMH shall reimburse WISE & Healthy Aging for the undisputed services contained on the invoice and work diligently with WISE & Healthy Aging to resolve the disputed portion of the claim in a timely manner.

DMH shall make reimbursements payable to "WISE & Healthy Aging." DMH shall send payments to:

WISE & Healthy Aging 1527 - 4th Street, 2nd Floor Santa Monica, CA 90401

4. Designated DMH Contact Person

All questions should be directed to Dr. James Cunningham at (213) 351-7254.

5. Mental Health Services Act Funds

In the event MHSA funds are not available to pay MHSA claims or if the State denies any or all of the MHSA claims submitted by County on behalf of Contractor, County is not responsible for any substantive payment obligation.

County shall evaluate Contractor utilization of MHSA funding allocated under this Agreement and shall adjust and reallocate amounts to any one or a combination of the following: 1) another Legal Entity contractor, 2) DMH directly-operated clinics, and/or 3) the County DMH reserve of unallocated funding for MHSA services. Amounts to be reduced and reallocated will be based on County's projected underutilization of such MHSA funds.

6. Term of Agreement

The project will commence upon execution of the Agreement and conclude on or before June 30, 2009. Any modifications of the time frame will be determined between DMH and WISE & Healthy Aging.

DMH and WISE & Healthy Aging will jointly review the work under the Agreement on a bi-monthly basis to determine if any modifications or changes need to be made.

DMH or WISE & Healthy Aging may cancel the Agreement at any time with 30 days written notice.

B. ADVANCED APPLICATIONS IN EVIDENCED BASED, PROMISING & CLINICAL INTERVENTIONS IN OLDER ADULT MENTAL HEALTH SERVICES

1. Payment Schedule

For the services described in Exhibit A (Statement of Work) DMH shall pay to WISE & Healthy Aging a grand total of \$36,599.

Total costs for all aspects of this training project, including curriculum development, speaker's fees, room rental, use of audio/visual equipment, refreshments and training materials will not exceed \$36,599. This will cover the development and offering of eight four-hour classes of approximately 40-45 participants each during the spring of 2009.

Payment to WISE & Healthy Aging shall be based on invoices from WISE & Healthy Aging as described below. No payment shall be made without prior approval of a designated DMH representative. This representative shall review the invoice and project report to determine whether WISE & Healthy Aging is in substantial compliance with the terms and conditions of this Exhibit B.

2. TIME FRAME AND PAYMENT FOR DELIVERABLES

Deliverable B: \$36,599

Deliverable is to be completed by June 30, 2009. THIRTY-SIX THOUSAND FIVE HUNDRED NINETY-NINE Dollars (\$36,599) will be paid at the end of the Advanced Applications in Evidenced Based, Promising & Clinical Interventions in Older Adult Mental Health Services Training at the end of June 2009.

3. Payment Procedures

Payment of \$36,599 shall be made upon completion of 8 training sessions (4 hours each) to be delivered no later than June 30, 2009.

Upon receipt of invoices from WISE & Healthy Aging, DMH shall make payment to WISE & Healthy Aging within 30 days of the date the invoice was approved for payment. If any portion of the invoice is disputed by DMH, DMH shall reimburse WISE & Healthy Aging for the undisputed

services contained on the invoice and work diligently with WISE & Healthy Aging to resolve the disputed portion of the claim in a timely manner.

DMH shall make reimbursements payable to "WISE & Healthy Aging." DMH shall send payments to:

WISE & Healthy Aging 1527 4th Street, 2nd Floor Santa Monica, CA 90401

4. Designated DMH Contact Person

All questions should be directed to Dr. James Cunningham at (213) 351-7254.

5. Mental Health Services Act Funds

In the event MHSA funds are not available to pay MHSA claims or if the State denies any or all of the MHSA claims submitted by County on behalf of Contractor, County is not responsible for any substantive payment obligation. County shall evaluate Contractor utilization of MHSA funding allocated under this Agreement and shall adjust and reallocate amounts to any one or a combination of the following: 1) another Legal Entity contractor, 2) DMH directly-operated clinics, and/or 3) the County DMH reserve of unallocated funding for MHSA services. Amounts to be reduced and reallocated will be based on County's projected underutilization of such MHSA funds.

6. Term of Agreement

The project will commence upon execution of the Agreement and conclude on or before June 30, 2009. Any modifications of the time frame will be determined between DMH and WISE & Healthy Aging.

DMH and WISE & Healthy Aging will jointly review the work under the Agreement on a bi-monthly basis to determine if any modifications or changes need to be made.

DMH or WISE & Healthy Aging may cancel the Agreement at any time with 30 days written notice.

CONSULTANT ACKNOWLEDGEMENT AND CONFIDENTIALITY AGREEMENT

CONSULTANT	WISE & Healthy Aging	
CONTRACT NUMBER		

CONSULTANT ACKNOWLEDGEMENT:

I understand and agree that I am an independent Consultant and that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced contract. I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.

CONFIDENTIALITY AGREEMENT:

You may be involved with work pertaining to services provided by the County of Los Angeles and, if so, you may have access to confidential data and information pertaining to persons and/or entities receiving services from the County. In addition, you may also have access to proprietary information supplied by the County of Los Angles or by other vendors doing business with the County of Los Angeles. The County has a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, mental health, criminal and welfare recipient records. If you are to be involved in County work, the County must ensure that you, too, will protect the confidentiality of such data and information. Consequently, you must sign this agreement as a condition of your work for the County. Please read this agreement and take due time to consider it prior to signing.

I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work pursuant to the above-referenced contract with the County of Los Angeles. I agree to forward all requests for the release of any data or information received by me to the County Project Manager.

CONSULTANT ACKNOWLEDGEMENT

AND CONFIDENTIALITY AGREEMENT (Continued)

I agree to keep confidential all financial, health, criminal and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from the County, design concepts, algorithms, programs, formats, documentation, County proprietary information and all other original materials produced, created or provided to or by me under the above-referenced contract. I agree to protect these confidential materials against disclosure to other than County employees who have a need to know the information. I agree that if proprietary information supplied by the County or by other County vendors is provided to me during this engagement, I shall keep such information confidential.

I agree to report to the County Project Manager any and all violations of this contract by myself and/or by any other person of which I became aware. I agree to return all confidential materials to the County Project Manager upon completion of termination of this contract.

I acknowledge that violation of this agreement may subject me to civil and/or criminal action and that the County of Los Angeles may seek all possible legal redress.

NAME:		DATE:	
	(Signature)		
NAME:			
	(Please print)		
POSITION:_	CONSULTANT		

Revised: 4/21/05

SUB-CONSULTANT ACKNOWLEDGEMENT

AND CONFIDENTIALITY AGREEMENT

SUB-CONSULTANT NAME		
CONTRACT NUMBER		
SUB-CONSULTANT EMPLOYEE ACKNOWLEDGEMENT:		
I understand and agree that I am an employee of, and that I am not an employee of the County of Los Angeles for any purpose whatsoever and that I do not have and will not acquire any rights or benefits of any kind from the County of Los Angeles by virtue of my performance of work under the above-referenced Agreement Although has an Agreement with the County to provide Consultant services, I understand and agree that I do not have and will not acquire any rights or benefits from the County of Los Angeles pursuant to any agreement between any person or entity and the County of Los Angeles.		
EMPLOYEE CONFIDENTIALITY AGREEMENT:		
You may be involved with work pertaining to services provided by County or and, if so, you may have access to confidential data and information pertaining to persons and/or entities receiving services from County or In addition, you may also have access to proprietary information supplied by County or or by other vendors doing business with have a legal obligation to protect all such confidential data and information in its possession, especially data and information concerning health, mental health, criminal and welfare recipient records. If you are to be involved in County work, the County must ensure that you, too, will protect the confidentiality of such data and information. Consequently, you must sign this agreement as a condition of your work with Please read this agreement and take due time to consider it prior to signing.		
I hereby agree that I will not divulge to any unauthorized person any data or information obtained while performing work in connection with the Agreement with the County. I agree to forward all requests for the release of any data or information received by me to the Contractor Project Manager.		
I agree to keep confidential all financial, health, criminal and welfare recipient records and all data and information pertaining to persons and/or entities receiving services from County or, design concepts, algorithms, programs, formats, documentation, County proprietary information and all other original materials produced, created or provided to or by me under the above referenced Agreement.		

SUB-CONSULTANT ACKNOWLEDGEMENT

AND CONFIDENTIALITY AGREEMENT

agree to protect these confidential materials against disclosure to other than County employees who have a need to know the information. I agree that if proprietary nformation supplied by County or or by other County vendors is provided to me during this engagement, I shall keep such information confidential.			
I agree to report to the Consultant Project Manager any and all violations of this Agreement by myself and/or by any other person of which I became aware. I agree to return all confidential materials to the Consultant Project Manager upon completion of termination of this Agreement.			
I acknowledge that violation of this agreement may subject me to civil and/or criminal action and that the County of Los Angeles may seek all possible legal redress.			
BY: DATE: (Employee Signature)			
NAME: (Please Print)			
When completed, this form must be maintained on file by CONSULTANT in accordance with all applicable County, State and Federal requirements and made available for inspection and/or audit by authorized representatives of County, State, and/or Federal governments.			
Revised (5/17/05)			

EXHIBIT E

ATTESTATION REGARDING FEDERALLY FUNDED PROGRAMS

In accordance with your agreement with the County of Los Angeles Department of Mental Health under Paragraph (CONTRACTOR'S EXCLUSION FROM PARTICIPATION IN A FEDERALLY FUNDED PROGRAM):

I, the undersigned certify that I am not presently excluded from participation in federally funded health care programs, nor is there an investigation presently pending or recently concluded of me which is likely to result in my exclusion from any federally funded health care program, nor am I otherwise likely to be found by a federal or state agency to be ineligible to provide goods or services under the federally funded health care programs.

I further certify as the official responsible for the administration of <u>WISE & HEALTHY AGING</u>, (hereafter "Contractor") that all of its officers, employees, agents and/or Sub-Contractors are not presently excluded from participation in any federally funded health care programs, nor is there an investigation presently pending or recently concluded of any such officers, employees, agents and/or Sub-Contractors which is likely to result in an exclusion from any federally funded health care program, nor are any of its officers, employees, agents and/or Sub-Contractors otherwise likely to be found by a federal or state agency to be ineligible to provide goods or services under the federally funded health care programs.

I understand and certify that I will notify DMH within thirty (30) calendar days, in writing of:

- Any event that would require Contractor or any of its officers, employees, agents and/or Sub-Contractors exclusion or suspension under federally funded health care programs, or
- Any suspension or exclusionary action taken by an agency of the federal or state government against Contractor, or one or more of its officers, employees, agents and/or Sub-Contractors, barring it or its officers, employees, agents and/or Sub-Contractors from providing goods or services for which federally funded healthcare program payment may be made.

Name of authorized official			
	Please print name		
Signature of authorized official		Date	

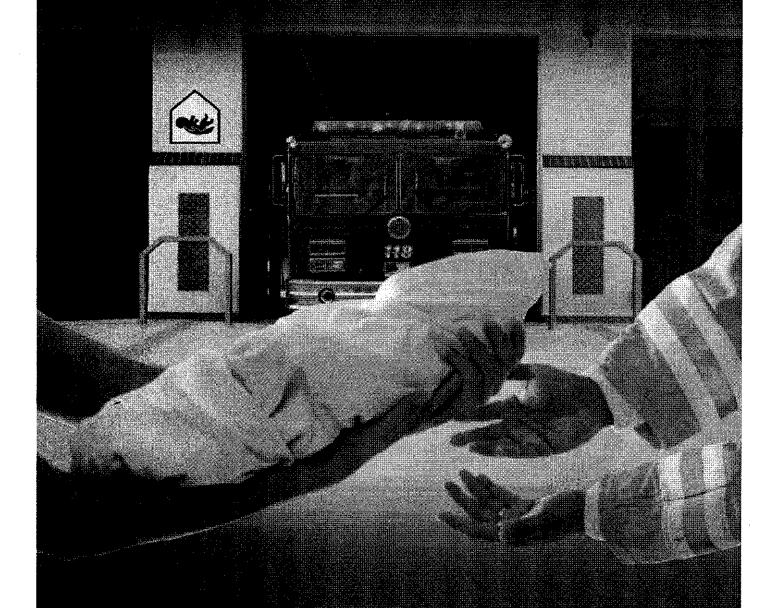
Contractor FY07-08 Attestation Exhibit E (03/27/07)

SAFELY SURRENDERED BABY LAW

Posters and Fact Sheets are available in English and Spanish for printing purposes at the following website:

www.babysafela.org

Safely surrendered



No shame. No blame. No names.

In Los Angeles County: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Safely Surrendered Baby Law

What is the Safely
Surrendered Baby Law?

How does it work?

A distressed parent who is unable or unwilling to care for a baby can legally, confidentially, and safely surrender a baby within three days (72 hours) of birth. The baby must be handed to an employee at a hospital or fire station in Los Angeles County. As long as the baby shows no sign of abuse or neglect, no name or other information is required. In case the parent changes his or her mind at a later date and wants the baby back, staff will use bracelets to help connect them to each other. One bracelet will be placed on the baby, and a matching bracelet will be given to the parent or other surrendering adult.

What if a parent wants the baby back?

Parents who change their minds can begin the process of reclaiming their baby within 14 days. These parents should call the Los Angeles County Department of Children and Family Services at 1-800-540-4000.

Can only a parent bring in the baby?

No. While in most cases a parent will bring in the baby, the Law allows other people to bring in the baby if they have lawful custody.

Does the parent or surrendering adult have to call before bringing in the baby?

No. A parent or surrendering adult can bring in a baby anytime, 24 hours a day, 7 days a week, as long as the parent or surrendering adult surrenders the baby to someone who works at the hospital or fire station.

Does the parent or surrendering adult have to tell anything to the people taking the baby?

No. However, hospital or fire station personnel will ask the surrendering party to fill out a questionnaire designed to gather important medical history. Information, which is very useful in caring for the baby. The questionnaire includes a stamped return envelope and can be sent in at a later time.

What happens to the baby?

The baby will be examined and given medical treatment. Upon release from the hospital, social workers immediately place the baby in a safe and loving home and begin the adoption process.

What happens to the parent or surrendering adult?

Once the parent or surrendering adult surrenders the baby to hospital or fire station personnel, they may leave at any time.

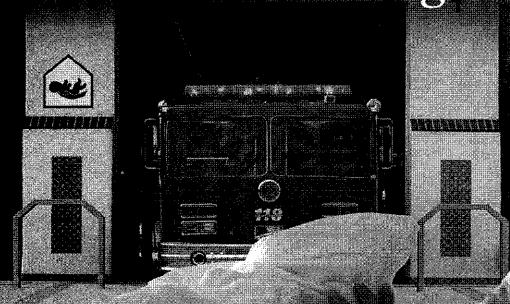
Why is California doing this?

The purpose of the Safely Surrendered Baby Law is to protect babies from being abandoned, hurt or killed by their parents. You may have heard tragic stories of bables left in dumpsters or public bathrooms. Their parents may have been under severe emotional distress. The mothers may have hidden their pregnancies, fearful of what would happen if their families found out. Because they were afraid and had no one or nowhere to turn for help, they abandoned their bables. Abandoning a baby is illegal and places the baby in extreme danger. Too often, it results in the baby's death. The Safely Surrendered Baby Law prevents this tragedy from ever happening again in California.

A baby's story

Early in the morning on April 9, 2005, a healthy baby boy was safely surrendered to nurses at HarbotUCLA Medical Center. The woman who brought the baby to the hospital identified herself as the baby's aunt
and stated the baby's mother had asked her to bring the baby to the hospital on her behalf. The aunt was given a
bracelet with a number matching the anklet placed on the baby; this would provide some identification in the event the
mother changed her mind about surrendering the baby and wished to reclaim the baby in the 14-day period allowed by the
Law. The aunt was also provided with a medical questionnaire and said she would have the mother complete and mail back in
the stamped return envelope provided. The baby was examined by medical staff and pronounced healthy and full-term. He was placed
with a loving family that had been approved to adopt him by the Department of Children and Family Services.

Ley ale Entrega de Bebés Sin Peligro



Los recién nacidos pueden ser entregados en forma segura al personal de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles

Sin pena. Sin culpa. Sin nombres.

En el Condado de Los Ángeles: 1-877-BABY SAFE • 1-877-222-9723

www.babysafela.org



Ley de Entrega de Bebés Sin Peligro

¿Qué es la Ley de Entrega de Bebés sin Peligro?

Cada recién nacido se merece la oportunidad de tener una vida saludable. Si alguien que usted conoce está pensando en abandonar a un recién nacido, infórmele que tiene otras opciones. Hasta tres días (72 horas) después del nacimiento, se puede entregar un recién nacido al personal de cualquier hospital o cuartel de homberos del condado de Los Angeles.

¿Cómo funciona?

El padre/madre con dificultades que no pueda o no quiera cuidar de su recién nacido puede entregarlo en forma legal, confidencial y segura dentro de los tres días (72 horas) del nacimiento. El bebé debe ser entregado a un empleado de cualquier hospital o cuartel de bomberos del Condado de Los Ángeles. Siempre que el bebé no presente signos de abuso o negligencia, no será necesario suministrar nombres ni información alguna. Si el padre/madre cambia de opinión posteriormente y desea recuperar a su bebé, los trabajadores utilizarán brazaletes para poder vincularlos. El bebé llevará un brazalete y el padre/madre o el adulto que lo entregue recibiră un brazalete igual.

¿Qué pasa si el padre/madre desea recuperar a su bebé?

Los padres que cambien de opinión pueden comenzar el proceso de reclamar a su recién nacido dentro de los 14 días. Estos padres deberán llamar al. Departamento de Servicios para Niños y Familias (Department of Children and Family Services) del Condado de Los Angeles al 1-800-540-4000.

¿Sólo los padres podrán llevar al recién nacido?

No. Si bien en la mayoría de los casos son los padres los que llevan al bebé, la ley permite que otras personas lo hagan si tienen custodia legal.

¿Los padres o el adulto que entrega al bebé deben llamar antes de llevar al bebé?

No. El padre/madre o adulto puede llevar al bebé en cualquier momento, las 24 horas del día, los 7 días de la semana, siempre y cuando entreguen a su bebé a un empleado del hospital o cuartel de bomberos.

¿Es necesario que el padre/ madre o adulto diga algo a las personas que reciben al bebé?

No. Sin embargo, el personal del hospital o cuartel de homberos le pedirá a la persona que entregue al bebé que llene un cuestionario con la finalidad de recabar antecedentes médicos importantes, que resultan de gran utilidad para cuidar bien del bebé. El cuestionario incluye un sobre con el sello postal pagado para enviarlo en otro momento.

¿Qué pasará con el bebé?

El bebé será examinado y le brindarán atención médica. Cuando le den el alta del hospital, los trabajadores sociales inmediatamente ubicarán al bebé en un hogar seguro donde estará bien atendido, y se comenzará el proceso de adopción.

¿Qué pasará con el padre/madre o adulto que entregue al bebé?

Una vez que los padres o adulto hayan entregado al bebé al personal del hospital o cuartel de bomberos, pueden irse en cualquier momento.

¿Por qué se está haciendo esto en California? ?

La finalidad de la Ley de Entrega de Bebés sin Peligro es proteger a los bebés para que no sean abandonados, lastimados o muertos por sus padres. Usted probablemente haya escuchado historias trágicas sobre bebés abandonados en basureros o en baños públicos. Los padres de esos bebés probablemente hayan estado pasando por dificultades emocionales graves. Las madres pueden haber ocultado su embarazo, por temor a lo que pasaría si sus familias se enteraran. Abandonaron a sus bebés porque tenían miedo y no tenían nadie a quien pedir ayuda. El abandono de un recién nacido es ilegal y pone al bebé en una situación de peligro extremo. Muy a menudo el abandono provoca la muerte del bebé. La Ley de Entrega de Bebés sin Peligro impide que vuelva a suceder esta tragedia en California.

Historia de un bebé

A la mañana temprano del día 9 de abril de 2005, se entregó un recién nacido saludable a las enfermeras del Harbor-UCLA Medical Center. La mujer que llevó el recién nacido al hospital se dío a conocer como la tía del bebé, y dijo que la madre le había pedido que llevara al bebé al hospital en su nombre. Le entregaron a la tía un brazalete con un número que coincidía con la pulsera del bebé; esto servirta como identificación en caso de que la madre cambiara de opinión con respecto a la entrega del bebé y decidiera recuperatio dentro del período de 14 días que permire esta ley. También le dieron a la tía un cuestionario médico, y ella dijo que la madre lo llenaria y lo enviaria de vuelta dentro del sobre con franqueo pagado que le habían dado. El personal médico examinó al bebé y se determinó que estaba saludable y a término. El bebé fue ubicado con una buena familia que ya había sido aprobada para adoptarlo por el Departamento de Servicios para Niños y Familias.

CHARITABLE CONTRIBUTIONS CERTIFICATION

Con	npany Name	
Add	ress	
Inte	rnal Revenue Service Employer Identification Number	
Calif	fornia Registry of Charitable Trusts "CT" number (if applica	ble)
Sup	Nonprofit Integrity Act (SB 1262, Chapter 919) add ervision of Trustees and Fundraisers for Charitable Pur siving and raising charitable contributions.	
Che	ck the Certification below that is applicable to your co	mpany.
	Proposer or Contractor has examined its activities and receive or raise charitable contributions regulated understees and Fundraisers for Charitable Purposes Act. subjecting it to those laws during the term of a County them and provide County a copy of its initial regist Attorney General's Registry of Charitable Trusts when the	inder California's Supervision of If Proposer engages in activities contract, it will timely comply with tration with the California State
	OR	
	Proposer or Contractor is registered with the Californ under the CT number listed above and is in compliance requirements under California law. Attached is a copy Registry of Charitable Trusts as required by Title 11 sections 300-301 and Government Code sections 1258	with its registration and reporting of its most recent filing with the California Code of Regulations,
Sign	ature	Date
Nam	ne and Title of Signer (Official Name, Office Title)	Please print

ATTACHMENT II

SOLE SOURCE CHECKLIST

Check JUSTIFICATION FOR SOLE SOURCE CONTRACTS	
(√)	
Identify applicable justification and provide documentation for each checked item.	
Only one bona fide source for the service exists; performance and price	}
competition are not available.	
Quick action is required (emergency situation).	
·	
Proposals have been solicited but no satisfactory proposals were	
received.	
Additional services are needed to complete an ongoing task and it	
would be prohibitively costly in time and money to seek a new service	
provider.	
➤ Maintenance service agreements exist on equipment which must be	
serviced by the authorized manufacturer's service representatives.	
> It is more cost-effective to obtain services by exercising an option under	r
an existing contract.	•
(✓) > It is in the best interest of the County, e.g., administrative cost savings,	
excessive learning curve for a new service provider, etc.	
Please explain:	
The proposed consultant services agreement is being requested on a sole source	:e
basis founded on the contractor's specialized expertise and experience	
providing the services due to their development and provision of the previous	
training programs, which will allow the training to begin as expeditiously a	as
possible.	
120109	
Deputy Chief Executive Officer, CEO Date	