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## LOS ANGELES COUNTY **DEPARTMENT OF MENTAL HEALTH**

JONATHAN E. SHERIN, M.D., Ph.D., Director ROBIN KAY, Ph.D., Chief Deputy Director RODERICK SHANER, M.D., Medical Director

September 18, 2017

TO:

Supervisor Mark Ridley-Thomas, Chairman

Supervisor Hilda L. Solis Supervisor Sheila Kuehl Supervisor Janice Hahn Supervisor Kathryn Barger

FROM:

Jonathan E. Sherin, M.D., Ph.D.

Director

Mary C. Wickham County Counsel

SUBJECT: STANDARD OF CARE FOR MENTALLY ILL (ITEM NO. 5, AGENDA OF APRIL 4, 2017)

On April 4, 2017, your Board instructed the Department of Mental Health (DMH) in collaboration with County Counsel and other relevant departments to analyze existing State mental health laws and provide recommendations for the humane treatment of people living with mental illness and who are unwilling or incapable of accepting care. Additionally, you asked us to address potential risks to civil liberties if our recommendations triggered them and to assess the potential expansion of necessary mental health resources and housing based on current and future funding streams.

Our departments established a working group to address these issues and to develop recommendations. As part of this process, DMH convened a symposium with a full range of County and community stakeholders to specifically address approaches to engaging and delivering mental health services to chronically homeless populations suffering from serious mental illness. This symposium served as a springboard to review and revisit the application of the Lanterman-Petris-Short (LPS) Act and other related laws to empower the County to better care for this vulnerable population.

As part of an ongoing collaborative effort, the work group's recommendations were shared, developed, and discussed with these stakeholders. This final set of recommendations is a direct product of this collaboration which furthers the collective goal of humanely caring for homeless persons with mental illness.

This report back sets forth a list of recommendations to humanely treat those suffering from mental illness. Most recommendations are supported by existing law; only one requires legislative change. Each recommendation focuses on the engagement, care, and sustainability of care for those in need of involuntary mental health treatment services without infringing upon the civil liberties of those individuals.

#### BACKGROUND

State and federal law recognizes that every adult with the capacity to make health care decisions has the fundamental right of self-determination over his or her body. While every competent individual has the Constitutional right to refuse any medical treatment, courts have consistently held that this right is not absolute and must yield to compelling State interests. Such interests include the protection of public health and safety from those who present a danger to themselves or others, as well as the protection of persons who cannot care for themselves.

In California, the principal law governing mental health evaluation and treatment is the LPS Act (Welfare and Institutions Code (WIC), § 5000 et seq.). The law sets forth the procedures that law enforcement and health care providers must follow to detain a person for involuntary mental health evaluation and treatment as well as assignment of a surrogate decision-maker. Its purpose is to provide prompt, incremental treatment to persons with mental disorders, to protect public safety, and to safeguard the rights of such persons through judicial review.

We discuss our recommendations and rationales in the context of the key areas of the LPS Act, including the need for resources and housing, through identified funding streams, where applicable. These recommendations address issues relating to detention and conservatorship criteria, transportation, as well as increased access to care.

#### DISCUSSION/RECOMMENDATIONS

I. <u>LPS Detentions and Conservatorship for Involuntary Treatment Due to Mental</u> Illness

Under the LPS Act, persons who pose a danger to self, pose a danger to others, or are gravely disabled may be detained for varying periods of time in designated mental health facilities for involuntary evaluation and treatment. A person is gravely disabled when he or she is unable to provide food, clothing, or shelter for him or herself due to his or her mental disorder and cannot or will not agree to voluntary treatment. The detention period, also referred to as a *hold*, usually depends on the nature and duration of the person's illness and is subject to judicial review. Typically, such persons are initially detained by first responders or health care

professionals for up to 72 hours, pursuant to WIC § 5150. This 72-hour period is commonly referred to as a 5150 hold. Persons may be detained for an additional 14-day period for intensive treatment. For persons who present an imminent threat of suicide during the 14-day period or 72-hour evaluation period, a second 14-day period for such treatment may be authorized.

If at the end of the first 14-day hold, the person continues to be in need of care due to a grave disability, an additional 30-day period of intensive treatment may be authorized or a petition for temporary conservatorship may be filed with the court. If a temporary conservatorship is granted, it lasts a maximum of 30 days and must run concurrently with the 30-day period for intensive treatment because a gravely disabled person may not be held involuntarily for more than a total of 47 days from the initial 72-hour hold.

LPS conservatorship is a process in which the court appoints a conservator to manage a conservatee's mental health treatment, including placement and medication. At any time during an LPS hold for evaluation and treatment, if a person is considered to be gravely disabled, a treating physician may recommend the public guardian petition the court to establish a conservatorship over the person. The proposed conservatee has the right to a jury trial, and the jury's determination of gravely disabled must be unanimous and beyond a reasonable doubt. If granted, the conservatorship lasts for a period of one year, and the conservator may petition the court for reappointment each year. During the conservatorship period, the conservatee has the right to request the court rehear the conservatorship decision once every six months.

Although the public guardian must recommend the most suitable person, corporation, or other public or private agency, the court has the sole discretion in appointing the conservator. In making the selection, the court is guided by the best interests of the proposed conservatee, the public guardian's recommendation, and the statutory order of preference when there is more than one conservator recommended. If there is no suitable person or entity willing or able to serve as conservator, the court will appoint the public guardian as conservator. Once appointed, the conservator will have the legal power to make decisions regarding placement and to require the conservatee to receive mental health treatment and psychotropic medications as indicated.

Our analysis of the LPS Act and interaction with stakeholders affirms that a lack of treatment for persons with serious mental illness may result in chronic homelessness and instability for those persons. These recommendations address ways to interrupt the cycle of homelessness through the engagement and care of

those in need of mental health treatment to ensure consistent, lasting care in the least restrictive and most stable placements.

## Recommendation 1

Ensure accurate and consistent interpretation of the proper basis for finding probable cause for grave disability, danger to self, and danger to others for purposes of detention and establish a robust, consistent training for first responders and clinicians based.

This recommendation addresses the lack of consistency among first responders, clinicians, and others in determining when to detain persons under the LPS Act. The development of an accurate and consistent interpretation of causes for involuntary detention under the LPS Act will improve access to care. County Counsel, DMH, and Public Guardian can take the lead in this effort. Developing this interpretation can be combined with robust training of first responders and clinicians to consistently assess for the presence of probable cause for detention by finding danger to self, danger to others, or grave disability. Persons deteriorating as a result of their inability to care for their physical health could be considered a danger to self as well as gravely disabled. A key aspect of such training should be more comprehensive assessment of danger or disability resulting from potential or actual deterioration of an individual's condition due to medical or behavioral issues over time, especially under the initial involuntary holds. The training will include consideration of non-imminent harm factors as well as data collection reflecting the historical course of a person's mental disorder. A checklist with concrete elements may be utilized to further ensure consistency.

Such training can be developed through DMH with the Office of the Medical Director, the Public Guardian, and the Office of Patients' Rights. Resources for such efforts may come from a variety of sources, including Mental Health Services Act (MHSA) funding.

#### Recommendation 2

Transition current Psychiatric Mobile Response Team (PMRT) operations, practice, and policy to grow DMH's real time mobile response capacity in the context of acute and urgent scenarios by: 1) increasing the number of active vehicles as well as personnel (clinician and peer teams) deployed to each Service Area, and 2) expanding the range of activities delivered by each mobile team to include not only outreach and hospitalization under 5150 detention but also real time engagement and triage (with transportation as indicated) for clients needing shelter, respite and/or treatment otherwise.

This recommendation addresses a need for proactive engagement and triage that is better tailored, more humane, timely, cost effective, and efficient with an increased reliance on services and non-inpatient care settings such as Urgent Care Centers (UCC), Crisis Residential Treatment Programs, and other treatment and residential resources.

The need for ambulance and law enforcement assistance, critical in certain scenarios, will be determined in the field by PMRT teams who can leverage these specialized services more selectively as indicated by clinical demand. DMH will utilize MHSA funding for additional clinicians and vehicles needed to implement this recommendation.

#### **Recommendation 3**

Develop guidelines and outreach programs for law enforcement assistance when DMH crisis teams (PMRT) determine the presence of probable cause for a 5150 hold of individuals who resist assessment or transport to an LPS designated facility.

This recommendation addresses the need to engage and assist persons who are resistant or assaultive but in need of hospitalization. Law enforcement may hesitate to physically restrain such persons due to concerns about preserving the individual's civil rights and the reasonable basis for restraint. Absent assistance by personnel trained and equipped to detain and transport individuals who are likely to physically resist or who are potentially assaultive, DMH teams are forced to leave such people in the field even though they meet criteria for detention under section 5150. This restricts access to care and heightens the risk of harm to both the community and those not detained. Under collaboratively developed guidelines, DMH and County Counsel will work with law enforcement to coordinate efforts responding to such situations.

#### Recommendation 4

Develop consistency among LPS designated facilities and their medical staffs in submitting referrals for conservatorship.

This recommendation addresses the lack of consistency among various LPS designated facilities in determining when to refer a person to the Public Guardian for LPS conservatorship. Such consistency is expected to ensure greater access to care by promoting the congruous application of LPS referral practices among LPS designated facilities. DMH will review the practices of these facilities to identify any differences in their conservatorship referral processes which are inconsistent with best practices or DMH's LPS Designation Guidelines. If any inconsistencies or irregularities are identified such that individuals are not properly held and

conserved, DMH will re-train these facilities, create a corrective action plan, or take other necessary action.

Since conservatorship referrals are made by individual doctors affiliated with LPS designated facilities, DMH, as a long-term goal, will explore the possibility of the LPS designation of individual doctors in addition to the current designation of hospitals and facilities. This will enable DMH to hold not only the facility accountable for its referral decisions but also the treating doctors. As a result both may be subject to corrective action plans, the doctor may lose his or her ability to make LPS referrals, and the facility may lose its LPS designation.

## **Recommendation 5**

Support legislation which defines "grave disability" to include a person's inability to provide medical care for him or herself due to a mental disorder.

Persons in need of involuntary care often have complex medical and substance use issues which are not sufficiently addressed with the existing definition of gravely disabled. This recommendation will address the issue by expanding the definition of gravely disabled to include a person's inability to care for his or her physical health. Assembly Member Phillip Chen introduced Assembly Bill No. 1539 (2017-2018 Reg. Sess.) to expand the definition of grave disability to include instances where an individual is unable to provide for his or her medical care due to his or her mental disorder. This legislation appears to have stalled and has little momentum in the Legislature. We propose that your Board make all efforts to revisit this legislation at the appropriate time or support others akin to this legislation.

#### Recommendation 6

In order to increase access to the current inventory of acute psychiatric beds, facilitate the movement of individuals on LPS conservatorships from acute settings to other levels of care by increasing capacity and quality of care at licensed facilities [Board and Care, Enhanced Residential Service (ERS), Institute for Mentally Diseased (IMD)].

This recommendation addresses the need to increase access to care and support for those in need of involuntary treatment. In addition to the 2,300 acute psychiatric beds in the County, there are 550 ERS beds and 1,054 IMD beds. ERS beds are a board-and-care-type facility with intensive treatment and additional support services. IMD beds are long-term locked facilities. The County would benefit from an expansion of ERS and IMD beds and the development of alternative care facilities to provide a more robust continuum of care.

This recommendation may be accomplished through supplemental funding for board and care providers and developing a Temporary Conservatorship Alternative Care facility. Supplemental payments to board and cares will improve living arrangements and services provided to clients while incentivizing facilities to take clients with complex needs.

DMH will consider developing a Temporary Conservatorship Alternative Care facility. This program would be limited to a certain population at hospitals that is non-violent and is not a flight risk, but has a history of non-compliance with discharges to open settings without conservatorship. It would provide an enriched environment for those who need a locked facility but, with extra support, could be conserved in a less restrictive, open setting. This innovative idea of placing a person on temporary conservatorship directly in the community from an acute setting is not without its challenges. To ensure compliance with the intent of the LPS Act while still placing the conservatee in the least restrictive environment, this program would require the addition of DMH psychiatrists who would be involved in acute and lower levels of care. These psychiatrists could provide testimony at conservatorship hearings, overcoming any evidentiary challenges that may arise with a referring doctor differing from the treating doctor at the lower level of care. The facilities and the treatment plans would need to provide sufficient evidence for supervision and involuntary medication, two hallmarks necessary to establish a LPS conservatorship.

#### Recommendation 7

Develop new Full Service Partnership (FSP) models, including "FSP on steroids," "Street FSP," and Public Guardian FSP that are more flexible and provide intensive services, including housing and 24-hour access.

This recommendation is intended to increase the intensity of FSP services to avoid the unnecessary hospitalization of individuals in crisis and increase services to conservatees. Several ideas and projects are in process or under consideration which will increase services to the homeless and increase the use of FSP programs countywide. For instance, DMH is releasing a Statement of Eligibility and Interest for new Homeless FSPs that will be funded by MHSA at a higher rate than the current FSPs and that could meet the interest of the "FSP on steroids." As part of increasing intensive services, Measure H is funding 16 new multidisciplinary outreach teams now which will expand to 25 in Fiscal Year (FY) 2017-2018 and then 36 in FY 2018-2019. These outreach teams, composed of team members with expertise in health, mental health, substance use, outreach and engagement, and peer support will be engaging the most vulnerable homeless persons throughout the County.

DMH is also expanding interim housing beds which will allow for quicker access to temporary housing while we work on a long-term housing plan. Assembly Bill No. 727 (2017-2018 Reg. Sess.) (AB 727), a County co-sponsored bill, may also assist with housing. If it passes, AB 727 will allow counties to spend MHSA funds on housing assistance for MHSA target populations regardless of whether the person participates in an FSP. This population includes persons who are mentally ill or who require or are at risk of requiring acute psychiatric inpatient care, residential treatment, or outpatient crisis intervention.

DMH is working on two additional proposals, Public Guardian FSP and DMH LPS Case Management Services, both of which would increase services to Public Guardian and conservatees.

The Public Guardian FSP will be a dedicated FSP program for Public Guardian clients. While Public Guardian clients have had some access to FSP programs, this FSP will be dedicated to Public Guardian conservatees who are high-utilizers of emergency rooms; frequently transition back and forth from locked to open settings; frequently abscond from facilities; are at risk of incarceration; and who have complex medical, substance use, and mental health issues. This program will be funded through MHSA.

DMH LPS Case Management Services, while still in development, will establish a dedicated team of clinicians and case managers from DMH to work with a dedicated unit of Public Guardian deputies and conservatees. The target population will be conservatees moving from locked facilities to community-based settings who require 24/7 support and services to maintain them in the least restrictive setting. These conservatees are anticipated to receive nearly daily visits from clinicians and a peer to ensure a smooth transition and ongoing stability in the community. This program will be funded through MHSA.

#### **Recommendation 8**

# Develop a pilot program using private entities to serve as LPS conservators.

This recommendation will increase the capacity of the pool of conservators in the County but would not change the Public Guardian's responsibility of evaluating and recommending the most suitable conservator to the court. By building upon existing relationships, it would expand the County's ability to care and advocate for the seriously mentally ill. Specifically, DMH seeks to establish a pilot program with private advocacy groups to provide conservatorship services. Possible advocacy groups include the National Alliance on Mental Illness (NAMI), the Hollywood Business Improvement District, and related medical and case management service providers to the homeless. These advocacy groups may be able to serve as

suitable conservators because of their existing relationships with the proposed conservatees, which will further enhance and support their involvement. This type of relationship may allow these conservatees to be good candidates for the Temporary Conservatorship Alternative Care Facility project (Recommendation 6). DMH would commit support services to these conservators encouraging greater success. Support service ideas could include expansion of the Public Guardian-private conservator liaison program, access to clinicians, peer support, assistance with filling reappointment forms, benefit assistance. These conservators, if appointed by the court, would have to comply will all laws applicable to LPS conservators.

# II. <u>LPS Detentions and Conservatorship for Involuntary Treatment Due to Use of Controlled Substance</u>

WIC § 5340 et seq. provides legal procedures for the custody, evaluation, and treatment of users of controlled substances, including narcotic drugs as defined in Health and Safety Code section 11019. If any person is a danger to others or to him or herself or is gravely disabled as a result of the use of controlled substances, then that person may be subject to an LPS hold or conservatorship.

#### Recommendation 9

Establish a workgroup with support from the Health Agency and various stakeholders to explore the development of a program authorized under WIC § 5340 et seq.

This recommendation serves as an opportunity to care for homeless individuals who are a danger to self, are a danger to others, or are gravely disabled due to substance use disorders, a population for which there could be greater access to mental health treatment through the LPS Act. Since these legal provisions have not been used in the County, DMH will evaluate potential challenges. A main challenge will be to qualitatively identify the subject population intended by this legislation and ensure that federal regulations have not made this program obsolete. Other challenges include determining the level of training and expertise required by those designated with section 5150 powers; determining and preparing for the potential impact on the Public Guardian, the Superior Court, County Counsel, the Public Defender, placements and treatment providers; as well as the ramifications related to SAPC (Substance Abuse Prevention and Control) and Public Health responsibilities and mandates.

# III. Court-Ordered Evaluation Due to Mental Illness

WIC § 5200 et seq. provides that any individual who alleges that another person is, due to a mental disorder, a danger to self, a danger to others, or gravely disabled, may request a person or agency designated by the county (i.e., DMH) and

approved by the State Department of Health Care Services to file a petition with the superior court to order an evaluation of the subject person's condition. This legal procedure is rarely used but provides an alternate method to compel an evaluation to treat or, if applicable, conserve a person who requires treatment. The designated person or agency must file a petition if it determines there is probable cause to believe the initial allegations and the subject person refuses to voluntarily receive an evaluation or crisis intervention. If the court orders an evaluation and it determines that the subject person is a danger to self or to others or is gravely disabled, he or she may be detained and involuntarily treated for up to 72 hours. Thereafter, the person will be released, referred for care and treatment on a voluntary basis, detained further for intensive treatment, or recommended for LPS conservatorship.

#### **Recommendation 10**

Explore, through the establishment of a workgroup, the use of the court-ordered evaluation process for treating those who are a danger to self, danger to others, or gravely disabled due to mental illness. The workgroup shall explore the practical implications of implementation of these court-ordered evaluations including the demand for services, required staffing, and impacts on the Mental Health Court.

This recommendation utilizes an existing legal process that allows *anyone* to request a petition for evaluation of a mentally ill person's condition be filed with the superior court. This process may be commenced without the initiation of an involuntary 5150 hold by first responders or health care providers. It would allow friends and family members to request the County-designated agency to investigate and determine whether a court-ordered evaluation is warranted and, if so, to file the appropriate petition. This process would also allow the designated agency, as part of its assessment, to engage and offer crisis intervention services to these subject persons while they are in the community. As a legal process, a person's individual rights continue to be protected. False allegations that a person is a danger to self or others or gravely disabled may result in civil and criminal penalties. The person may remain within the community prior to the court-ordered evaluation and reasonable efforts must be made to safeguard the person's personal property while he or she is undergoing the evaluation.

## IV. Assisted Outpatient Treatment (Laura's Law)

WIC § 5345 et seq., also known as Laura's Law, provides for assisted outpatient treatment (AOT). It allows counties to pursue court-ordered outpatient treatment for people with serious mental illness while ensuring individual's due process rights are recognized. AOT has been shown to be effective in reducing re-

hospitalizations, incarcerations, victimizations, episodes of violence, and homelessness.

On July 15, 2014, your Board voted to implement Laura's Law countywide as a tool for making treatment possible for persons with severe mental illness who are too ill to seek help for themselves. Laura's Law authorizes the Director of DMH, after exploring all other voluntary methods of treatment, to petition for court-ordered outpatient treatment. Such treatment may be ordered if the court finds, by clear and convincing evidence, that the subject person satisfies all of the statutory AOT eligibility criteria. This criteria includes, but is not limited to, the person: having a serious mental illness, being unlikely to survive safely in the community, having a history of treatment non-compliance, continuing to refuse offered mental health services, and being at substantial risk for deterioration or detention on an LPS hold.

Through the AOT process, the subject person is afforded all due process protections. If, however, that person fails to comply with court-ordered AOT, rejects efforts made to solicit compliance, and needs to be involuntarily detained for evaluation, the subject person may be placed on a 5150 hold for up to 72 hours. He or she may be further detained for evaluation and treatment only if the subject person meets the applicable criteria under the LPS Act.

#### Recommendation 11

Further expand the use of AOT to maximize both voluntary treatment and increase court-ordered treatment as applicable.

#### Recommendation 12

Explore court-ordered administration of antipsychotic medication for AOT candidates.

These recommendations support the increased filing of AOT petitions as well as seeking court orders to involuntarily administer medication in order to provide necessary stabilizing treatment for persons affected by mental illness.

Implementation of Laura's Law countywide started in May 2015 and allows DMH to serve seriously mentally ill persons at substantial risk of deterioration or detention under an LPS hold as a direct result of poor psychiatric treatment compliance. AOT has been enhanced since 2015 with the inclusion of services from FSPs.

In an effort to further maximize AOT, DMH will evaluate its referral review process by qualitatively examining the AOT eligibility criteria; expand its use to all aspects of the continuum of care, explore solutions to challenges raised by private health insurance; and analyze other relevant issues impacting the program.

Additionally, where appropriate, DMH will seek court-ordered medication for those individuals who are candidates for AOT to stabilize those individuals, to encourage successful AOT, and potentially to reduce the need for future detention or conservatorship. Laura's Law does not expressly authorize or prohibit the use of involuntary medication. Rather, it provides that a separate order must be obtained prior to the involuntary administration of antipsychotic medication in accordance with existing law. Thus, a medication capacity (*Riese*) hearing petition should be filed concurrently with an AOT petition to obtain a judicial determination that an individual lacks the capacity to rationally decide whether to refuse or consent to medication.

These recommendations will require additional County Counsel and DMH staff including DMH psychiatrists.

## V. <u>Court-Ordered Medical Treatment</u>

Probate Code section 3200 et seq. allows a third-party to petition the superior court to make health care decisions and provide informed consent related to a specific medical procedure on behalf of a patient that lacks capacity to make his or her own health care decisions. After determining a patient lacks capacity based on a doctor's declaration, a court may grant decision-making authority to a third party, who can then authorize medical treatment on the patient's behalf. The County uses this process in its hospitals for patients who are incapacitated in order to perform non-emergency but life-saving procedures. In addition to the County's efforts, these petitions may also be filed by a friend, relative, or other interested person on the patient's behalf. The authority granted by these petitions is limited to a particular treatment or procedure identified by the patient's treating physician and authority to continue making long-term medical decisions should be pursued by a petition for conservatorship.

#### **Recommendation 13**

Create a workgroup with support from the Health Agency and stakeholders to explore the feasibility of using "treating street doctors" associated with advocacy groups to file Probate Code section 3200 petitions to provide involuntary medical treatment to those found to lack the capacity to make their own healthcare decisions.

This recommendation may serve as a temporary solution pending any legislative change to the definition of "gravely disabled." It will allow street doctors with existing relationships with homeless individuals to seek necessary medical attention for those who lack the capacity to seek treatment for themselves. Since the person would typically not be an existing patient in a hospital, the process to file and obtain an order from the court may take longer than one week. This may

cause a work impact for the superior court and the Public Guardian with a possible increase in the number of petitions filed as well as referrals to the Public Guardian for probate conservatorships. Increased probate conservatorships will further exacerbate the lack of sufficient care facilities available for this population and will require the development and funding of new placement resources.

#### JES:tld

c: Executive Office, Board of Supervisors Chief Executive Office



# Los Angeles County

## DEPARTMENT OF MENTAL HEALTH

JONATHAN E. SHERIN, M.D., Ph.D. DIRECTOR

March 2, 2018

TO: Supervisor Sheila Kuehl, Chair

Supervisor Hilda L. Solis

Supervisor Mark Ridley-Thomas

Supervisor Janice Hahn Supervisor Kathryn Banger

FROM: Jonathan E. Sherin, M.D., Ph. D.

Director

SUBJECT: REPORT RESPONSE TO RECOMMENDATION NOS. 1 THROUGH 13

OUTLINED IN THE STANDARD OF CARE FOR THE MENTALLY ILL REPORT, WITH THE EXCEPTION OF RECOMMENDATION NO. 5

(ITEM 6, AGENDA OF OCTOBER 17, 2017)

On October 17, 2017, the Director of Mental Health was instructed to work with County Counsel, the Chief Executive Officer and other pertinent Departments to pursue Recommendation Nos. 1 through 13 outlined in the Standard of Care for the Mentally III report, with the exception of Recommendation No. 5, and report back to the Board in 120 days with progress.

This report will provide you a status on the recommendations.

#### **Recommendation 1**

Ensure accurate and consistent interpretation of the proper basis for finding probable cause for grave disability, danger to self and danger to others for purposes of detention and establish a robust, consistent training for first responders and clinicians.

The Department of Mental Health (DMH) has developed new designation guidelines and will provide training on the guidelines to all individuals authorized to involuntarily detain due to danger to self, danger to others and grave disability.

The information used for determination of probable cause for detention under Welfare and Institutions Code Section 5150 varies from provider to provider. Consistency will be

optimized by clarifying specific guidelines for the basis of probable cause for each of the three detention criteria – danger to self, danger to others and grave disability. DMH has identified new guidelines for the finding of probable cause and going forward the guidance will be integrated into departmental training of individuals authorized by DMH to detain. New guidelines will require that information used to determine probable cause be obtained by direct observation, statements by the subject for potential detention, and by direct reports from others or from relevant records addressing either immediate events, historical events or both. Specific criteria for grave disability include the inability to reliably obtain or consume sufficient safe food to sustain health; the inability to reliably obtain and/or wear sufficient clothing to protect from environmental hazards including heat, cold and rain; and the inability to reliably obtain and use safe shelter sufficient to protect from environmental hazards including heat, cold, rain and the imminent threat of violence and/or subjugation by others. Departures from these guidelines will serve as a basis for revocation of such authorization.

## **Recommendation 2**

Transition current Psychiatric Mobile Response Team (PMRT) operations, practice and policy to grow DMH's real time mobile response capacity in the context of acute and urgent as well as ongoing street medicine scenarios by 1) increasing the number of active vehicles, tailoring the vehicles themselves (to be accommodating in size and seating with dedicated space for clients and clinical staff that is separated from compartment for driver to ensure safe operation), the equipment inside the vehicles (to include tele-Mental Health capacity) and the number as well as the range of personnel deployed to each Service Area, and 2) expanding the range of activities delivered by each mobile team to include not only outreach and hospitalization under 5150 detention but also real time engagement and triage for clients needing shelter, respite and/or treatment.

DMH is developing a proposal that will focus on proactively Engaging the Disengaged in our communities whether in the street, public environments, facilities, or homes. The proposal will involve the creation of mobile, multidisciplinary corps (including social work, psychology, nursing, peers and psychiatry when needed) of Response and Triage Teams that aim to engage those individuals with mental illness who are disengaged from treatment and/or not receiving any assistance at all. The teams will proactively roam communities as a human service presence alongside partners including law enforcement to bridge the gap between those in need of services and care that can be provided directly by the mobile team or direct access to care and resources available in community. In various situations where safety (of both clients and staff) risks are acceptable, these teams will transport individuals under existing authority in county vehicles to various

destinations including accepting facilities (hospitals, urgent care and sobering centers, board and care homes, shelters, etc.) as indicated and available.

## **Recommendation 3**

Develop shared guidelines for law enforcement assistance when DMH teams determine the presence of probable cause for a 5150 hold of individuals who resist transportation to Lanterman-Petris-Short (LPS) designated facility.

DMH and law enforcement partners are in dialog about shared and complementary duties from which a set of co-authored, interagency agreements will be developed that prioritize safety and security while at the same time bringing a core focus on treatment over incarceration. These agreements will require a heavy investment by DMH in training and modeling to optimize the partnerships needed in communities and critical to our day-to-day approaches. As part of this effort, DMH will establish a communications and logistical support plan that facilitates real-time, regular dialog between law enforcement and the Response and Triage teams above (see Recommendation 2).

## **Recommendation 4**

Develop consistency among LPS designated facilities and their medical staffs in submitting referrals for conservatorship.

DMH will invest in staff expansion to help manage the flow of patients in/out of hospitals and their referral for involuntary treatment. Non-DMH providers seeking fee-for-service specialty mental health Medi-Cal reimbursement for services provided to patients in LPS designated facilities must be members of the Department's Fee-for-Service Provider Network. Continued membership in this network will be predicated on compliance with departmental standards of care including those that relate to referral of appropriate patients to the public guardian for investigation and petition to LPS Conservatorship. To address the problem of inconsistency, more specific LPS designation guidelines will be promulgated and reinforced with all LPS designated facilities and associated hospital professional staff members. Additional information related to such referrals to the Public Guardian (PG) will be compiled by the Department for each LPS designated facility and will be used as part of a basis for continued LPS designation and membership of individual hospital professional staff members in the fee-for-service provider network. The Department will conduct annual reviews of referral rates and associated reviews of medical records.

DMH is also developing a centralized Mental Health Resource Locator and Manager application (MHRLM) that incorporates all County-operated or contracted 24-hour mental

health resources (beds) at facilities such as IMDs, acute psychiatric inpatient hospitals, crisis residential treatment programs, and board and cares. This application will be used by various DMH groups to provide services to our clients. The application should help to create needed continuity in how beds are found and clients placed in them across the Department, ideally cutting down on the potential for miscommunication and errors in this process.

## **Recommendation 6**

In order to increase access to the current inventory of acute psychiatric beds, facilitate movement of individuals on LPS conservatorships from acute settings to other levels of care by increasing capacity and quality of care at licensed facilities.

DMH is actively seeking additional beds at long-term treatment facilities, which will facilitate the movement of Conservatees from acute psychiatric beds. Recently, the addition of 90 IMD beds at Sylmar Health and Rehabilitation Center and Crestwood Behavior Health Center was authorized by the Board of Supervisors in February. The Department continues to seek additional inpatient, residential treatment and board and care bed capacity across the County and state. As of late February, DMH is joining forces with the other health departments (DHS, DPH) to power Health Agency efforts aimed at sustaining, growing and enriching the existing board and care network.

#### **Recommendation 7**

Develop new Full Service Partnership (FSP) models, including FSP on steroids, Street FSP, and Public Guardian (PG) FSP that are more flexible and responsive with 24-hour access and housing.

DMH has developed new FSP models to address the needs of individual's currently experiencing homelessness and for those conserved under the authority of the Office of the Public Guardian.

Homeless FSP programs will provide comprehensive intensive community field-based mental health services designed to meet the unique needs of individuals that have a Severe Mental Illness (SMI) or Serious Emotional Disturbance (SED) and who are homeless. Homeless FSP services are intended to focus on assisting people who are homeless with accessing permanent housing using a Housing First approach and to assist clients in retaining housing. All services shall be provided in the field/street. DMH will provide 1630 Homeless FSP slots countywide in Fiscal Year 2017-18 via 15 different directly-operated and contracted providers. Each Service Area will have at least two Homeless FSP providers, and each provider will be allocated a minimum of 70 slots to

maintain a staff to client ratio of 1:10. Performance targets will focus on clients obtaining and retaining housing and decreasing their use of emergency services.

DMH will dedicate FSP services with intensive case management/coordination to all Public Guardian Conservatees. This PG FSP program will be delivered and/or confirmed and monitored by DMH staff for Conservatees whether placed in board and care settings, living at home, or in other settings. The PG FSP program will pilot an innovative team approach that includes clinicians and Peers assigned to work with each Deputy Public Guardian and Conservatees. The Department plans to also include substance abuse counselors on these teams.

## **Recommendation 8**

## Develop a pilot program using private entities to serve as LPS conservators.

DMH convened a workgroup to discuss the expansion of private conservatorships. This workgroup included representatives from Housing Works, National Alliance on Mental Illness (NAMI), The Center in Hollywood, County Counsel, and Office of the Public Guardian (OPG). Participants affirmed their strong interest in expanding private conservatorship options and identified legal, financial and support issues that must be resolved in order to move the pilot project forward. Family and non-profit organizations indicated that incentives are necessary to increase the number of private conservators. Suggested incentives included transportation for conservators and conservatees, financial assistance for conservators, formal education and training programs for family members and agency representatives, dedicated liaisons from the OPG that could help conservators with logistics involved in serving in this capacity and dedicated clinical support. Increasing access to Representative Payee programs was also considered important. It was agreed that a job description and minimal requirements for those interested in becoming private conservators must be developed. In accordance with Welfare and Institutions Code Section 5355, the Public Guardian may recommend a person or entity as conservator so long as the proposed conservator does not have any conflicts of interest. Specifically, no conservator's "interests, activities, obligations or responsibilities are such as to compromise his or her or their ability to represent and safeguard the interest of the Conservatees."

#### **Recommendation 9**

Establish a workgroup with support from the Health Agency and various stakeholders to explore the development of a program authorized under Welfare and Institutions Code Section 5340 et seq.

DMH convened key stakeholders from within the department, other agencies and outside community to review the possibility of pursuing detentions under Section 5340 and examine the impact on users of the mental health system services, the components of the mental health system and supporting agencies. Several substantial challenges would need to be resolved to implement Section 5340 on a large scale including training of first responders, emergency departments and urgent care centers, mental health and substance use treatment providers. New LPS designation guidelines and reimbursement procedures would be necessary as would new residential substance-treatment facilities. Additional staffing and training for Public Guardian and County Counsel would be required and there will be a workload impact on the Mental Health Court and Public Defender as well with a likely increase in the number of petitions for conservatorship. The Department's workgroup will continue to explore the challenges of implementing a pilot project using Section 5340.

## Recommendation 10

Explore through the establishment of a workgroup, the use of court-ordered evaluation process for treating those who are a danger to self, danger to others or gravely disabled due to mental illness. The workgroup shall explore the practical implications of implementation of these court-ordered evaluations including the demand for services, required staffing and impacts on the Mental Health Court.

DMH and County Counsel convened stakeholders to discuss the implementation of Welfare and Institutions Code Section 5200, a court-ordered evaluation process. Use of the Section 5200 process is seen as an Access Initiative that will involve outreach and engagement for assessment, treatment and in some cases the possibility of making conservatorship referrals with no hospitalization. Use of Section 5200 will have a direct impact on DMH and Superior Court and could have an impact on the OPG with an increase in referrals for conservatorship. Superior Court is very interested to know the extent to which the County may seek these court ordered evaluation so they know what will be needed by the Court.

The Department is preparing to propose a limited pilot project for which a Board of Supervisors' Resolution to implement Section 5200 must be issued. A resolution can be presented to the Board within a few months.

## **Recommendation 11**

Further expand the use of Assisted Outpatient Treatment (AOT) to maximize both voluntary treatment and increase court-ordered treatment as applicable.

In recent months, DMH and County Counsel have increased the number of AOT petitions filed with the court for court-ordered treatment. As part of the Mental Health Services Act 3-Year Plan, DMH seeks to expand the number of positions dedicated to providing AOT services.

## **Recommendation 12**

Explore court-ordered administration of anti-psychotic medication for AOT candidates.

DMH and County Counsel have explored the possibility of obtaining a court order for administration of psychotropic medication for AOT candidates when medication non-compliance is an undeniable barrier to engagement. County Counsel, in consultation with AOT members, will need to determine which AOT candidates would most benefit from court-ordered psychotropic meds. Due to understandable concern and requests for ongoing dialog from various entities both within and outside the County, this recommendation will be further explored with a full range of stakeholder groups.

## **Recommendation 13**

Create a workgroup with support from the Health Agency and stakeholders to explore the feasibility of using treating street doctors associated with advocacy groups to file Probate Code Section 3200 petitions to provide involuntary medical treatment to those found to lack the capacity to make their own healthcare decisions.

While a formal workgroup on this recommendation has not been scheduled, Section 3200 petitions have been discussed in other stakeholder meetings associated with the Expanding Conservatorship Capacity in Los Angeles County. Some hospital representatives have expressed reluctance to move forward on this proposal indicating it is a conflict for the hospital to petition for authority and be the entity that is granted authority to act. Furthermore, physicians are reluctant to be named as the decision maker. Further exploration of the feasibility of Probate Code Section 3200 by physicians will be pursued by the DMH.

JES:tld

c: Executive Office, Board of Supervisors Chief Executive Office County Counsel