

## Quality Assurance Bulletin

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Program Support Bureau

County of Los Angeles - Department of Mental Health Marvin J. Southard, DSW, Director

### ICD10 AND DSM5: WHAT ELSE WE KNOW

### 1. Is there an update as to when DSM5 will be implemented?

No. The State Department of Health Care Services (DHCS) has not issued a ruling on implementation of DSM5 nor have they released a list of included DSM5 diagnoses. DHCS has proposed using the ICD "Blue Book", published by the World Health Organization, for all chart audits beginning in January 2016. At this time, because the California Code of Regulations and State Contract only requires an ICD diagnosis and DHCS has not issued a formal statement on DSM, Los Angeles County Department of Mental Health (LACDMH) will not be requiring DSM for Legal Entity providers and will leave it up to providers to determine when, and if, they implement DSM5. If a provider chooses to implement DSM5, they must be able to map the DSM5 diagnosis over to an appropriate and accurate ICD diagnosis and code. The ICD diagnosis and code must be supported by the symptoms and behaviors documented in the Assessment (see additional information regarding revisions to the Organizational Provider's Manual below).

For Directly-Operated providers, DSM5 will be implemented on October 1, 2015 along with ICD-10-CM.

### 2. Are the lists of allowable and Included ICD-10-CM diagnosis codes finalized?

The list of allowable ICD-10-CM diagnosis codes has been finalized and is available in excel format at the link listed below. Allowable ICD-10-CM codes are diagnosis codes which will be accepted on a claim to LACDMH and may be selected as a primary or secondary diagnosis within the Integrated System (IS) and Integrated Behavioral Health System (IBHIS). Note: If a provider does not find a specific ICD-10-CM code on the allowable list and feels it should be, please submit the code to the QA Division (QA@dmh.lacounty.gov) for consideration.

The list of included ICD-10-CM diagnosis codes has been finalized based off of the posted DHCS list of included diagnoses for inpatient and outpatient Specialty Mental Health Services and is available in excel format at the link listed below. Included ICD-10-CM codes identify which diagnoses are covered under Specialty Mental Health Medi-Cal and are required for all services other than assessment and crisis intervention.

See the LACDMH list of allowable and included ICD-10-CM diagnosis codes in excel format at: <u>http://lacdmh.lacounty.gov/hipaa/cp\_home.htm</u>

See the official State DHCS list of included diagnosis for inpatient services at: <a href="http://www.dhcs.ca.gov/formsandpubs/Documents/Info%20Notice%202015/Enclosure2\_15\_30.pdf">http://www.dhcs.ca.gov/formsandpubs/Documents/Info%20Notice%202015/Enclosure2\_15\_30.pdf</a>

See the official State DHCS list of included diagnosis for outpatient services at: <a href="http://www.dhcs.ca.gov/formsandpubs/Documents/Info%20Notice%202015/Enclosure3\_15\_30.pdf">http://www.dhcs.ca.gov/formsandpubs/Documents/Info%20Notice%202015/Enclosure3\_15\_30.pdf</a>

# 3. When will LACDMH convert existing diagnosis records? Will providers be given a report of records that were converted and what needs to be done in the Clinical Record to support the diagnosis?

Based on preliminary conversion data, LACDMH will be able to convert approximately 97% of client diagnoses records, excluding No Diagnosis (V71.09) and Deferred Diagnosis (799.9).

LACDMH will convert diagnosis records (primary and/or secondary diagnosis only) for existing open IS episodes and diagnosis records for IBHIS episodes if clinically indicated per the DSMIV to ICD10 crosswalk (see link below). This conversion is anticipated to occur in the IS on September 18, 2015 and within IBHIS on September 12, 2015. For Electronic Data Interchange (EDI) providers, the ICD-10-CM code must be on the claim submitted. The LACDMH conversion process will NOT affect the diagnosis submitted on the claim. The diagnosis submitted on the claim will NOT be validated against the diagnosis on file for the client in the IS or IBHIS; it will be validated against the list of allowable ICD-10-CM codes.

For any diagnosis converted by LACDMH, no additional documentation in the Clinical Record is needed. LACDMH selected diagnoses in the conversion that are equal to the existing record and/or slightly less specific so the existing documentation in the Clinical Record should support the converted diagnosis. However, for diagnosis not converted by LACDMH, it is assumed that clinical judgment is required to select a diagnosis. If documentation in the Clinical Record already supports the diagnosis that is being selected, no additional documentation is needed. If documentation in the Clinical Record does NOT already support the diagnosis that is being selected, an assessment addendum is required to document the additional symptoms/behaviors supporting the new diagnosis.

LACDMH will post two one-time data extracts into the Legal Entity Electronic File Transfer (SIFT/EFT) folders listing current open episodes and the associated admission diagnosis that will be converted. Legal Entity providers on IBHIS will receive the extracts within their existing weekly Avatar extract, while Legal Entity providers on the IS will receive them as separate Excel spreadsheets. The date for posting of this data is tentatively set for either September 21 or September 28, 2015 Further notices will be provided once the dates and files names/content are finalized.

See the finalized DSMIV to ICD10 crosswalk at http://lacdmh.lacounty.gov/hipaa/cp\_home.htm

#### 4. When will the IS/IBHIS be updated to allow for entering ICD10?

The IS is anticipated to be available on September 18, 2015. However, users will not be able to enter an ICD-10-CM diagnosis until October 1, 2015 because the system does not allow for a future date of diagnosis.

For Directly-Operated providers using IBHIS, it is anticipated to be available on September 14, 2015. When a diagnosis description is selected, the system will automatically map the diagnosis to all required code sets including ICD-10-CM (see Question 6 below regarding training). For Legal Entity providers using IBHIS, EDI claims may be submitted with an ICD-10-CM diagnosis beginning October 1, 2015 for any dates of service on or after October 1, 2015. The web service to create a diagnosis record and retrieve diagnosis information is being updated to account for full ICD-10-CM capability and will be unavailable beginning September 12, 2015. This will have no impact on the ability for LE providers to admit clients or submit claims. A release candidate with full ICD-10-CM functionality will be published prior to implementation in IBHIS. Additional information will be published via the IBHIS Integration Notification process (to subscribe to notices, email the IBHIS Web Services Support team at IBHISWSS@dmhlacounty.gov).

### 5. Will the Organizational Provider's Manual be updated? Will forms referencing diagnosis be updated?

Yes, the Organizational Provider's Manual has been updated to reference the new requirements related to diagnosis on an assessment. Please see pages 12 and 17 of the updated Organizational Provider's Manual online at: <a href="http://dmh.lacounty.gov/wps/portal/dmh/admin\_tools/prov\_manuals">http://dmh.lacounty.gov/wps/portal/dmh/admin\_tools/prov\_manuals</a>

In addition, all clinical forms related to diagnosis have been updated and are referenced in Clinical Forms Bulletin <u>15-05</u>.

#### 6. When will the DMH trainings on ICD10 and DSM5 be available?

LACDMH will provide training on both code sets (DSM5 and ICD10-CM) to Directly-Operated providers only. For Directly-Operated providers, program heads and district chiefs should already have been notified regarding access to DSM5 online training. Any questions from program heads or district chiefs related to the DSM5 training or getting additional staff access DSM5 training should be directed to Jeff Gorsuch, Psy.D, Mental Health Clinical Program Head for the Training Division at jgorsuch@dmh.lacounty.gov. LACDMH has developed an on-line training on ICD (21 minutes) which covers what ICD is, how it differs from DSM and what the requirements are related to code sets. The training does not provide direction on coding in ICD-10-CM. This training is available at <a href="http://file.lacounty.gov/dmh/cms1\_233297.wmv">http://file.lacounty.gov/dmh/cms1\_233297.wmv</a> and should be viewed by all staff. *Note: While the training is not specifically for Legal Entity providers, the link is accessible to all providers*. LACDMH has also developed an on-line training on the new Diagnosis form within IBHIS (22 minutes) available at <a href="http://file.lacounty.gov/dmh/cms1\_23321.wmv">http://file.lacounty.gov/dmh/cms1\_23321.wmv</a> and should be viewed by all diagnosing staff.

Additional information and updates regarding ICD10 and DSM5 will be distributed through the service area QICs. Please make sure your provider is connected to the QIC in your service area. If you have any questions regarding this Bulletin, please contact your QA Liaison.

c: Executive Management Team District Chiefs Program Heads Department QA staff QA Service Area Liaisons Gordon Bunch, CIOB Juan Fermin,CIOB Judith Weigand, Compliance Program Office Nancy Butram, Central Business Office Pansy Washington, Managed Care TJ Hill, ACHSA Regional Medical Directors Presley Becerra, CIOB Zena Jacobi, CIOB