Consumer Perception Survey Data Collection Training for Legal Entity/Contracted Providers

Spring 2021 Survey Administration Week: June 21 to June 25, 2021

Presented by Quality, Outcomes, and Training Division – Quality Improvement Unit June 2, 2021



Please Note

- This training is designed for participating providers from Legal Entity/Contracted programs only. Please locate the June 9, 2021 training for Directly Operated programs accordingly.
- The training will be recorded. The recording and slides will be made available later.



Section 1: General overview and discussion

Section 2: Administration via the CPS User Portal

Five-minute intermission

Section 3: CPS administration using paper forms

Section 4: Data submission

Questions







Please enter questions into the Chat Box Each section will have a time for questions and questions will be taken at the end of the presentation Please keep your microphones and videos off until the designated time for questions

Purpose and Intent

- Opportunity to measure client and family satisfaction with specialty mental health services received from DMH outpatient programs
 - Note: CPS data collection is only permitted during survey week and for the clients and families receiving services during that point in time.
- Grants clients and families the opportunity to provide feedback towards quality improvement
- Fulfills requirements to collect and submit survey data per Title 9, Section 3530.40 of the California Code of Regulations and California Welfare and Institutions Code Sections 5600-5623.5 (Bronzan-McCorquolade Act).
- Required by the Substance Abuse and Mental Health Services Administration (SAMHSA) as recipients of the Community Mental Health Services Block Grant

Consumer Perception Survey Forms Overview

CPS forms are categorized by age group:

- Older Adult Survey (Older Adult) Spring 2021 Without QOL
- Adult Survey (Adult) Spring 2021 Without QOL
- Youth Services Survey for Youth (YSS, Youth) Spring 2021
- Youth Services Survey for Families (YSS-F, Family) Spring 2021

Survey Components

Each CPS form includes:

- Client information (service location, IS/IBHIS number)
- Survey items
 - Older Adult and Adult CPS forms are composed of 36 questions
 - Youth and Family CPS forms are composed of 29 questions
- Demographic questions (forensic history, length of treatment, race/ethnicity)
- Open-ended comments' section



Parents and Caregivers

- Available family members, foster parents, or helpers who regularly look after the child can participate
- Residential (i.e., board and care, group home) or paid staff should not participate

Declined Reasons (If Applicable)

When a consumer or caregiver **declines to participate and/or provides less than two survey responses**, indicate the reason(s) that apply:

- **Refused (Ref)**: Consumer refused to complete the survey
- Impaired (Imp): Consumer is mentally or physically unable to complete the survey
- Language (Lan): Consumer is unable to complete survey as survey is not in a language the consumer understands
- Other (Oth): Any other reason not listed above

Data Analysis

Survey questions are divided into eight domains that aid in data analysis:

- 1. General Satisfaction
- 2. Perception of Access
- 3. Perception of Quality and Appropriateness (Older Adult and Adult surveys)
- 4. Participation in Treatment Planning
- 5. Cultural Sensitivity (Youth and Families surveys)
- 6. Perception of Outcomes
- 7. Perception of Functioning
- 8. Perception of Social Connectedness

Confidentiality

- For both electronic and paper surveys, consumer confidentiality must be respected and maintained during the entire survey collection process
 - The information obtained is confidential
 - HIPAA requirements for authorizations from consumers DO NOT apply
 - However, Privacy Rules <u>DO</u> apply
- Paper survey forms will be destroyed after they have been scanned and verified (approximately six months)
- Refer to <u>DMH Policy/Procedure 508.01</u>, Safeguards for Protected Health Information



Important Dates



Mandatory Training

- June 2, 2021 for LE/Contracted providers
- June 9, 2021 for DO providers
- •Option to review recording, complete quiz, and sign attestation

Technical Support

Daily Technical Assistance Hours with QI
 Monday-Thursday 9:00-9:30 AM

Survey Data Collection Week

• Monday, June 21st through Friday, June 25th at 4:00 PM

Deadline for Provider Survey Counts (Tally Sheets) to SA QIC Chairs

• June 29, 2021 by noon

Deadline for Paper Survey Drop-Off to SA QIC Chairs

• July 6, 2021 - schedule date/time in advance

Deadline for Paper Survey Drop-Off to QI Unit-SA QIC Chairs ONLY

•July 9, 2021 – schedule date/time in advance

Spring 2021 Collection Methods

CPS data collection is open to all outpatient programs, regardless of funding source, and should also include clients and families receiving field-based services.

Providers may choose to administer:

- 1. Electronic surveys using the CPS User Portal
 - Email, text, in-person, or over the telephone
- 2. Paper surveys (PDF-fillable) provided by UCLA through their site
 - In-person or over the telephone
- 3. Hybrid both paper and electronic surveys
 - ONE survey per client/caregiver. Clients/caregivers should complete an electronic survey OR a paper survey, and NOT both.

Excluded Settings

CPS data collection is NOT intended for consumers in:

- One-time assessment services
- Inpatient settings
- Crisis services
- Jail/Juvenile Halls
- Institutional placements (i.e., State Hospitals and Institution for Mental Diseases)

Different Ways to Assist Consumers Offer to read questions and help explain words that are unfamiliar to them

For paper forms, have ink pens and clipboards on-hand, using virus precautions

Incentives are okay (stickers, snacks, etc.) - if they can be handled safely using virus precautions

CPS Workflow Considerations

- Billing is not allowed for surveys
 - Surveys do not constitute the provision of medically necessary services
- The use of volunteers, peers, consumers, and/or family advocates is recommended
 - Identify a designated person (and backup) to administer the surveys
 - Consumers who are illiterate may be read the surveys
 - It is best if consumers feel comfortable with the person helping to administer surveys so that they can give honest feedback



Open-ended Comments

- For paper and electronic versions
- Please confirm that a supervisor is available to review openended comments DAILY for high-risk material and follow-up if needed
 - Consider having a backup supervisor for emergencies (sick time, etc.)
 - Supervisors should be able to read the language of the survey
 - Each site will be responsible for setting up this workflow

Open-ended Comments

- Submission of open-ended comments
 - Details will be emailed to providers at a later date
 - For paper versions, consider copying the pages of the survey with comments and saving them in a secure file or location that has a lock
 - For electronic versions, sort the Comments? Column to more easily find the comments
 - Comments will be organized and submitted as "themes"

Survey Technical Assistance Calls

Monday, June 21 through Thursday, June 24, 2021 9:00-9:30 AM using Microsoft Teams Join on your computer or mobile app Click here to join the meeting Or call in (audio only) 323-776-6996

Phone Conference ID: 308 775 617#



LEGAL ENTITY (LE)/CONTRACTED PROVIDER PORTAL ELECTRONIC CPS APPLICATION

DISCLAIMER

While the portal demonstration is in a test environment, it is important to remember that the information in the portal is connected to IBHIS. This information is not for public viewing.

Compliance with DMH Oath of Confidentiality, the HIPAA Privacy Rule, Policies, and Procedures do apply.

IMPORTANT: Portal Access

The portal User Guide can also be found in the CPS reference materials on the DMH QI website:

Please use **ONLY**:

- Google Chrome
- Microsoft Edge

https://dmh.lacounty.gov/qid/ cs/for-providers-and-staff/

Logging In

- <u>https://lacdmhpp.powerappsportals.us</u>
- Contract (C) Numbers and Multi-factor Authentication (MFA) enrollment are required to access the LE portal
 - Log in with your <u>CNumber@dmh.lacounty.gov</u>
 (<u>C12345@dmg.lacounty.gov</u>) and password
 - Two-step authentication will email a code for additional security
 - Enter authentication code



Log In Screenshot



Select the CPS tile to enter the application





E CPS Home (Landing Page)

List of provider program numbers and names

- Click the program number to access the Survey Client list
- The User Guide is available under the Help drop-down

E CPS Home (Landing Page) Screenshot



E CPS Survey Client List

- Program Name
- Survey Client list columns
 - Client name: consumer's name
 - Survey Status: whether the survey is sent or not sent
 - Survey Recipient: who received the survey (client, caregiver, or both)
 - Client Delivery Preference: how the survey was delivered or if the survey was Declined
 - Caregiver Delivery Preference: how the survey was delivered or if the survey was Declined
 - **Comment**?: a consumer and/or caregiver comment is available
 - Age in Years: consumer's age
 - Survey Date: when the survey was sent to consumer/caregiver
 - Created On: when the survey was created by staff

E CPS Survey Client List (Screenshot)

Survey Clients	Survey Status Survey R		Client Delivery Preference	Caregiver Delivery Preference	The column you are sorting by will have up or down arrows			ing wn
Name		Survey Recipient			Comment	Age in Years 🕇	Survey Date	Created On
	Sent	Client	Cell		No	86		4/20/2021 2:34 PM
	Sent	Client	Enter by Staff		No	85	5/12/2021	5/12/2021 9:59 AM
	Sent	Client	Email		Yes	81	4/25/2021	4/26/2021 1:39 PM

- Navigate through pages of consumers at the bottom of the page
- Sort ascending/descending by clicking the name of the column

E CPS Create New Survey (Screenshot)

 Click the blue Create button on the right side of the screen to start a new survey

		Cre
Age in Years	Survey Date	Created On +
79	5/12/2021	5/12/2021 3:52 PM
9	5/5/2021	5/5/2021 2:32 PM
9	5/5/2021	5/5/2021 2:30

E CPS Entering Consumer Information (Screenshot)

Consumer Perception Survey		CPS Home Help
ne + Programa List + Survey Cherrit List		
ram: 7584A		
ent Information		
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larvey Recipiant		
Data of Birth		
Aga in Years		



E CPS Entering Consumer Information-Fields

Client



- Click on the looking glass
- Search by name or IBHIS number
- Check the consumer's name and click the blue Select button
- Consumer name, date of birth, and age should auto-populate

E CPS Entering Consumer Information-Fields

Survey Recipient

- Click the drop-down arrow to access the menu
- Highlight client, caregiver, or both and additional fields will populate

rvey Recipient	
Client	
Caregiver	
Both	

E CPS Delivery Preference

Under Send Survey to Client/Caregiver

- Email: enter email address
- **Cell**: enter cell phone for text delivery
- **Declined Survey**: consumer/caregiver declined survey
 - **Decline Survey** enter reason (Refuse, Impaired, Language, Other)
- Enter by Staff: staff enters responses from a limited number of paper surveys

E CPS Delivery Preference – Generates Link in Portal

- Administration by Telephone: staff verbally reads survey over the phone to the consumer/caregiver and enters responses
- Administration in Person: staff verbally reads survey to the consumer/caregiver and enters responses
 - Client Survey URL generated



E CPS Select the Client/Caregiver Survey

Some auto-populate

- Check that the correct survey populated
- If not, click the Client/Caregiver Survey drop-down arrow
 - Highlight the correct survey





E CPS Available Languages

- Arabic
- Chinese Simplified
- Chinese Traditional
- English
- Filipino
- Khmer

- Korean
- Persian
- Russian
- Spanish
- Vietnamese

E CPS Select the Client/Caregiver Survey Language

- Click the Client/Caregiver drop-down arrow
- Highlight preferred language

rabic	
hinese Simplified	
hinese Traditional	
ngilsh	
ilpino	
hmer	
orean	
ersian	
lussian	
panish	
fetnamese	



E CPS Saving and Sending a Survey

Saving a Survey

 After entering consumer and/or caregiver information, delivery preference, survey, and language select the blue Save button at the bottom left of the page





E CPS Saving and Sending a Survey

Send a Survey

- After entering consumer and/or caregiver information, delivery preference, survey, and language scroll to the top right of the screen
- Click the drop-down arrow under Survey Status
- Highlight Sent
- Scroll to bottom
- Click the blue Submit button



CPS Home



E CPS Sending a Saved/Drafted Survey

From the Survey Client List

- Click on the consumer's name
- On the top right of the screen, click the drop-down arrow under Survey Status
- Highlight Sent
- Scroll to bottom
- Click the blue Submit button



- (

E CPS Viewing Consumer/Caregiver Comments

Cor

- On the Client Survey List, a Yes in the Comment column indicates a comment from a consumer or caregiver
- To view comments
 - Click on the consumer's name
 - Survey Comments are located on the right of the screen under the client/caregiver survey dates
- Please review the comments DAILY for high-risk material and follow-up by staff

Caregiver Survey Completed Date
Caregiver Date of Survey Initiation
Survey Comment
Client Comment
Thank you

Electronic Survey Cut-off

Electronic survey creation and consumer links will end on:

Friday, June 25, 2021

4:00 PM

Please ensure that electronic surveys have been created and sent to consumers by 3:00 PM.

Please review your site's surveys for comments and follow-up as needed before the end of the business day

Reminder: User Guide

- The User Guide can be found in the portal on the right side of the screen
 - Click Help
 - Select User Manual

CPS Home
User Manual



Paper CPS Administration



Getting Started

- Please make certain the correct is form offered to consumers/caregivers during the week of June 21 June 25, 2021 only
- Preparation should begin **before** Friday, June 18, 2021
- Count the number of consumers scheduled for upcoming appointments (excluding intakes)
 - Consumers are asked to rate the LAST SIX MONTHS or provide answers based on the services received so far
 - 1. Establish the number and type of CPS forms needed by **age and language**
 - 2. Download and save prefilled surveys from UCLA:
 - 3. If using paper surveys, assign a licensed clinician to review forms and comments' sections before the end of each day



CPS Paper Form Available Languages

- Arabic
- Armenian
- Chinese
- English
- Farsi
- Hmong

- Khmer
- Korean
- Russian
- Spanish
- Tagalog
- Vietnamese

Getting Started

- Download and save PDF-fillable surveys from UCLA: <u>https://www.uclaisap.org/mh-consumer-perception</u> <u>-survey.html</u>
- Do NOT download QOL versions
- If using paper surveys, assign a supervisor/licensed clinician to review forms and comments' sections before the end of each day for high-risk content and follow-up



Access and Print pdf CPS Forms

- DO NOT PHOTOCOPY forms as they cannot be scanned
- Photocopy should fit the 8.5x11 inch piece of paper
- Double-sided copies and staples above/across the <u>staple</u> <u>line</u> are acceptable



Prefilling Surveys

- County Code for ALL providers is 19
- Please enter the correct date for the day the survey was completed by the consumer
- Please complete the County Client Number (CCU) at the bottom of EACH page. It should be the same number on each page.

CSI County Client Number (CCN)

- The CSI CCN must be completed on the bottom of each page of the survey
 - This number links the pages together during scanning and without this number, the data will get lost in the database
 - Field is nine digits long and must be filled in completely
 - CCNs must be all numbers. It is not acceptable to use nine zeros on paper versions due to tracking issues



Required Survey Information



- ✓ County Code
 ✓ Date of Administration
 ✓ Reason (if applicable)
- ✓CSI CCN
- ✓ County Reporting Unit

County Reporting Unit:



Most Common Errors

- Surveys are photocopied
- Post-It notes left on surveys
- Surveys contain staples BELOW the staple line
- CRU is not valid

Assurance of Confidentiality Statement

- Presented to consumers or families completing a survey
- Can be posted or sent through electronic means as part of CPS letter
 - Individual copies are not necessary though please take virus precautions
- Available in English, Spanish, Tagalog, and Russian languages via the QI website
- Note: Surveys are confidential not anonymous

Assurance of Confidentiality Statement

This is to assure you as a consumer receiving mental health services through the Los Angeles County Department of Mental Health that the consumer perception survey that you are about to complete is confidential. Your therapist will not see this and your responses will in no way affect your right to services. Because the Department will use the results to improve the quality of services, we are interested in your honest opinions, whether they are positive and/or negative. Thank you for your cooperation and help in improving our services to you.

If you have any issues or concerns that are serious and sensitive, please discuss/report these concerns immediately to the program manager who will assist you!

Important Reminders

ü Print pdf surveys directly from the UCLA website

ü If at least TWO questions are completed in paper form, do not mark as declined/refused

- ü Review Comments **Daily**
- ü Do not staple package
- ü Survey all consumers not specific age groups
- ü Do not submit confidentiality statements

ü Responses should be bubbled-in with **blue or black** pen for paper forms

CPS Paper Form Submission Checklist

CPS forms were reviewed and counted

- q Bubbles were filled in completely with PEN
- q County code is filled in, always **19** for LA County
- q Date of survey completion is filled in to reflect survey period
- q CCNs were filled in and the same number was reflected on each page
- q CRU was completed correctly (correct SA and provider number)
- q Appropriate provider tally sheets have been completed
 - q Complete Service Area-specific tally sheet

Monitoring CPS Responses Contracted Providers



Monitoring by Program

Click into each provider site if you are responsible for multiple sites

LOS ANGELES COUNTY DEPARTMENT OF MENTAL HEALTH hope. recovery. wellbeing	Provider A	Application Portal	A Your Name
Consumer Perception S	Survey		CPS Home Help 🗸
Home		List of Programs associated	
Program 🕇	Program Name (Program)	with the CPS user	

Monitoring Individual Responses

Survey Clients

Click on the field in the client list to sort by the column (e.g., alphabetical, oldest to newest, highest to lowest) you are interested in

 For example, Sort "Survey Status" with arrow up to show clients "Not Sent" the survey followed by those "Sent" the survey

The column you are

			Caregiver			sorting by will have up or down arrows			Create
Name	Survey Status	Survey Recipient	Client Delivery Preference	Delivery Preference	Comment?	Age in	Survey Date	Created On	
	Sent	Client	Cell		No	86		4/20/2021 2 PM	2:34
	Sent	Client	Enter by Staff		No	85	5/12/2021	5/12/2021 9 AM):59
	Sent	Client	Email		Yes	81	4/26/2021	4/26/2021 1 PM	:39

Monitoring Individual Responses

Variables That Can be Tracked

Survey status

 Are there any clients that were not sent a survey during survey week?

Recipient

• How many surveys went to clients, caregivers, or both?

Client & Caregiver Delivery Preferences

- Track declines
- How many surveys completed by different methods? (e.g., cell, email, administrative entry)

Comments

How many surveys have a comment?

Age

• Can match survey type with client's age

Survey Date

 Can sort to track dates the client and/or caregiver were sent the survey

Created On Date

 Can sort to find records you created on specific dates

Tallying Responses for Paper Surveys





Electronic Tally Form for Paper Surveys: SA 1-2



SA 1 -<u>https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZB</u> <u>zjqG0WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUMTFNOU</u> <u>NBRDU0VEFTSFhOTUhXNjFYUzINSCQIQCN0PWcu</u>



SA 2 -

https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZB zjqG0WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUNUhQR0 hDSzU00EI3TEYzSFdJQVpKRzNFOCQIQCN0PWcu



Electronic Tally Form for Paper Surveys: SA 3-4



SA 3 -

SA 4 -

https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvqY47dusgbosSZmUG05AgNB_S5NUdwZURERaMzFUSFAwQkpLM UI1RVIPNVE0SjA1WiQIQCN0PWcu



https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUMVpCTExRRDVEWFIJW UtLM1E3UVRPWEhVViQIQCN0PWcu



Electronic Tally Form for Paper Surveys: SA 5-6



SA 5 -

SA 6 -

https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUNE1HSFZOSk1TVkNLSE 9QME5ETjUyWEZKNCQIQCN0PWcu



https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUMjU4VFdYOTcwR1BVT 1czTEpJSIhVWUMzQyQlQCN0PWcu



Electronic Tally Form for Paper Surveys: SA 7-8



SA 7 https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUM0xNMIBWV0M4TDc3 TUNQVEE4RENJVE9CVCQIQCN0PWcu



SA 8 https://forms.office.com/Pages/ResponsePage.aspx?id=SHJZBzjqG0 WKvgY47dusgbosS7mLIG05AgNB_S5NLIdw7LINTA5TF7OR0wwMlk51

WKvqY47dusgbosSZmUG05AgNB_S5NUdwZUNTA5TFZQR0wwMlk5N VdaSURCNVAxMURLTiQlQCN0PWcu

Electronic Tally Form for Paper Surveys

Survey includes questions regarding:

- Your information and provider number
- Training status
- Declined Surveys
 - By Age Group
 - By Language
- Completed Surveys
 - By Age Group
 - By Language

COMPLETED Survey Tally-Adult MHSIP

66. Please enter the number of COMPLETED surveys you have for Adult MHSIP in ENGLISH. Enter 0 if none. *

Enter your answer

67. Please enter the number of COMPLETED surveys you have for Adult MHSIP in SPANISH. Enter 0 if none. *

Enter your answer

68. Please enter the number of COMPLETED surveys you have for Adult MHSIP in CHINESE. Enter 0 if none. *

Enter your answer

Transporting Completed Paper Surveys

 All safeguards must be taken to ensure the security of the surveys with <u>Protected Health Information (PHI)</u>. When transporting the completed surveys: "travel strictly from Point A to Point B with no stops prior drop off" has been advised by our HIPAA Privacy Officer to reduce the risk for unauthorized access when paper records are left in the car during any stops on the way to Point B or in the car at home overnight.

Submitting Completed Surveys to QI Administration Deadline:

 Providers must submit paper surveys to Service Area (SA) Quality Improvement Committee (QIC) Chairpersons by:

Tuesday, July 6 by noon

• SA QIC Chairpersons must submit paper surveys by appointment only:

On or before Friday, July 9th

Contact for drop-off scheduling:

Alicia Avelar, Senior Secretary III 600 S. Commonwealth Ave Los Angeles, CA 90005 (213) 739-5404 AAvelar@dmh.lacounty.gov

DMH Contacts

Quality Improvement (QI)

Daiya Cunnane, Psy.D. Clinical Psychologist II (213) 393-1242 dcunnane@dmh.lacounty.gov

Jen Regan, Ph.D. Clinical Psychologist II (213) 392-0456 jregan@dmh.lacounty.gov



Please complete the Knowledge Test & Attestation Forms survey to confirm your training attendance.

Spring 2021 CPS Webinar Knowledge Test & Attestation Statement:

https://forms.office.com/Pages/ResponsePage.asp x?id=SHJZBzjqG0WKvqY47dusgd3PzpIfIShOkZg 0I_tGS49URFhJOVAyRFVBR0Y5OFhNU1NTUjdV OFI4Si4u

