

DISCLAIMER: Please note that this Quality Assurance (QA) Survey is intended for educational purposes only, including determining areas of emphasis for training. The documentation samples used are excerpts adapted from real documentation and exclude any Protected Health Information or agency identifiers. The samples used are purely intended to aid in the educational process and are in no way intended to represent an example of documentation that meets all Federal, State and County requirements.

QA KNOWLEDGE ASSESSMENT - SURVEY #3

TREATMENT PLAN DOCUMENTATION SAMPLE

Objective #1:

Client will reduce depressive symptoms from a PHQ-9 score of 17 (moderately severe) to less than 4 (minimal)

Intervention (for Objective #1):

MHS Services, individual therapy, 1time/week

Therapist will use CBT techniques to assist client to identify triggers to depression, discuss process of change, increase positive coping skills, manage negative self-attributions, and improve communications skills

Objective #2:

Client will access community resources (housing, employment services, education, basic needs, and supportive services)

Intervention (for Objective #2):

Targeted Case Management, as needed

Therapist will provide client with referral and linkage to community resources, housing, employment, supportive services, and basic needs in efforts to promote self-sufficiency

QUESTIONS (Total of 5)

1. Which of the following is true? (choose only one option)

- ☐ a) Objective #2 is specific and measurable
- ☐ b) There must be a separate "TCM Objective" under which TCM interventions are listed
- ☐ c) Multiple interventions, including TCM interventions, can be listed under one objective if they all address the objective
- ☐ d) The Intervention for Objective # 2 meets County/State requirements

2. Which of the following is true? (choose only one option)

- ☐ a) An example of an acceptable TCM intervention to address Objective #1 would be: *“1-2 times/week will provide client with referral and linkage to service animal program to access a service pet to assist with reducing Depression”*
- ☐ b) Identifying on the treatment plan that (TCM) interventions will be provided “as needed” meets County/State requirements
- ☐ c) A TCM intervention must always have its own “TCM Objective”
- ☐ d) None of the above

3. In order for the (TCM) Intervention associated with Objective #2 to be consistent with State/County requirements, which of the following is needed? (choose only one option)

- ☐ a) Only the specific services to be accessed (e.g. housing, employment, primary medical care) are identified in the intervention
- ☐ b) The services identified in the intervention need to tie back to impairments described in the assessment
- ☐ c) A specific frequency (e.g. 1 time/week, 2 times/month) must be included in the intervention to be provided (as needed is not acceptable)
- ☐ d) All of the above
- ☐ e) None of the above

4. Which of the following statements is true regarding the TCM Intervention associated with Objective #2 in the documentation sample? (choose only one option)

- ☐ a) The terms “Community resources”, “supportive services”, and “basic needs” are specific enough to meet requirements as long as there is documentation in the assessment to support the need for these services
- ☐ b) Providing specific documentation in the Assessment, Assessment Addendum, or a needs evaluation supporting the need for housing and employment is not necessary since the purpose of TCM is to link the client to community resources in general, as needed
- ☐ c) Terms such as “community resources”, “supportive services”, or “basic needs” are not specific enough to describe the services to be accessed when documenting a TCM intervention in the treatment plan.
- ☐ d) The TCM intervention does not have to be as specific as the MHS intervention because it involves accessing community resources rather than implementing clinical techniques

5. Indicate which of the following is true? (choose only one option)

- ☐ a) It's ok to document TCM interventions in progress notes that are not identified in the Treatment Plan, as long as it is clear that these interventions will help the client reach his/her clinical goals
- ☐ b) As it's written, the TCM intervention of the Treatment Plan documentation sample will pose no risk of any disallowances of services provided, because the targeted issues in the intervention are clear
- ☐ c) MHS interventions in the Treatment Plan must be supported by impairments documented in the Assessment/Assessment Addendum (and clearly be the basis for the interventions documented in the Progress Notes). These requirements do not apply to TCM interventions.
- ☐ d) Because the TCM intervention in the Treatment Plan documentation sample does not include a specific frequency and includes vague terms to describe the services the client will be linked to, there will be a high risk of disallowance for the TCM services provided and documented in the progress notes.