



**LOS ANGELES COUNTY  
DEPARTMENT OF MENTAL HEALTH**

JONATHAN E. SHERIN, M.D., Ph.D., Director  
ROBIN KAY, Ph.D., Chief Deputy Director  
RODERICK SHANER, M.D., Medical Director



**ADOPTED**

BOARD OF SUPERVISORS  
COUNTY OF LOS ANGELES

26 October 31, 2017

LORI GLASGOW  
EXECUTIVE OFFICER

October 31, 2017

The Honorable Board of Supervisors  
County of Los Angeles  
383 Kenneth Hahn Hall of Administration  
500 West Temple Street  
Los Angeles, CA 90012

Dear Supervisors:

**APPROVAL TO AMEND THE DEPARTMENT OF MENTAL HEALTH'S AFFILIATION  
AGREEMENT WITH THE REGENTS OF THE UNIVERSITY OF CALIFORNIA  
FOR THE PROVISION OF ACADEMIC SERVICES FOR  
FISCAL YEARS 2017-18 AND 2018-19  
(ALL SUPERVISORIAL DISTRICTS)  
(3 VOTES)**

**SUBJECT**

Request approval to amend the Department of Mental Health's Affiliation Agreement with The Regents of the University of California for the provision of academic services for Fiscal Years 2017-18 and 2018-19.

**IT IS RECOMMENDED THAT YOUR BOARD:**

1. Approve and authorize the Director of Mental Health (Director), or his designee, to prepare, sign, and execute an amendment, substantially similar to Attachment I, to the existing Department of Mental Health (DMH) Affiliation Agreement with The Regents of the University of California (The Regents) to expand services to provide additional training and mental health services. The amendment will be effective upon your Board's approval and will increase the total amount for Fiscal Year (FY) 2017-18 by \$138,200 and FY 2018-19 by \$137,875.
2. Delegate authority to the Director, or his designee, to prepare, sign, and execute amendments, and establish a new Maximum Compensation Amount (MCA) provided that: 1) the County's total payment to the contract provider for each fiscal year does not exceed an increase of twenty percent (20%) from the last Board approved MCA which includes the aggregate of the original agreement and subsequent amendments; 2) any such increase will be used to provide

additional services or to reflect program and/or federal, State, and County policy changes; 3) sufficient appropriation is available; 4) approval of County Counsel, or her designee, is obtained prior to any such amendment; 5) the parties mutually agree to increase or reduce programs or services; and 6) the Director notifies your Board of any Agreement changes, in writing, within 30 days after execution of each amendment.

### **PURPOSE/JUSTIFICATION OF RECOMMENDED ACTIONS**

Board approval of Recommendations 1 and 2 will enable DMH to amend the Affiliation Agreement with The Regents beyond the twenty percent (20%) delegated authority previously granted by your Board on June 10, 2014, for FYs 2014-15 through 2018-19 and will expand academic services provided by The Regents.

Expansion of academic services provided by The Regents, including Graduate Medical Education (GME) Services and Other Academic Training Services (OATS), allows DMH to improve access to care for the community and increase the volume of consumers served. In addition, academic services contribute to successful recruitment and retention of well-trained psychiatrists with public mental health system experience.

GME Services provide a unique opportunity for the University of California at Los Angeles (UCLA) residents and fellows to receive training in a complex public mental health system in which they provide direct clinical services to mental health clients. OATS provide training and education to DMH's directly operated (DO) and Legal Entity contractors to strengthen DMH's ability to deliver services to underserved populations throughout Los Angeles County (County), consistent with the State Mental Health Services Act (MHSA). Through its long-standing affiliation with DMH, UCLA has developed a deep understanding of the County's mental health system giving it the ability to provide customized trainings that meet the unique needs of DMH staff and its client population.

### **IMPLEMENTATION OF STRATEGIC PLAN GOALS**

The recommended Board actions are consistent with County Strategic Plan Goal 3, "Realize Tomorrow's Government Today," specifically, Strategy III.1 – "Continually Pursue Development of Our Workforce."

### **FISCAL IMPACT/FINANCING**

For FY 2017-18 the total increase is \$138,200 with a revised MCA of \$883,038 which is fully funded by State MHSA revenue and included in DMH's FY 2017-18 Final Adopted Budget. For FY 2018-19 the total increase is \$137,875 with a revised MCA of \$808,754, which will be requested through DMH's annual budget request process.

There is no net County cost associated with the recommended actions.

## **FACTS AND PROVISIONS/LEGAL REQUIREMENTS**

In accordance with Los Angeles County Board of Supervisors' (Board) Policy No. 5.120, DMH notified your Board of its intent to request delegated authority for a percentage increase exceeding ten percent (10%) of the total contract amount on May 28, 2014. Your Board's subsequent approval of this request on June 10, 2014, granted DMH authority to exceed the total contract amount by up to twenty percent (20%) for each of the three fiscal years under the agreement. As it appears DMH will need to further exceed the twenty percent (20%) previously approved on June 10, 2014, for The Regents' Agreement, DMH is returning to your Board to seek additional delegated authority for FYs 2017-18 and 2018-19.

The Regents' Agreement requires an amendment that will exceed twenty percent (20%) of its Board-approved MCA due to the expansion of academic services, which includes: 1) GME Services, 2) residency training and clinical services at DMH DO sites, and 3) OATS training and education services to strengthen the clinical skills of mental health treatment providers.

The Regents' Agreement includes only academic related services with stringent requirements and principles of accountability for UCLA. Further, The Regents' Agreement restricts the parties' ability to expand the scope of services provided. Under the Agreement, services may only be provided by UCLA faculty, UCLA employees, and UCLA trainees. Further, the provision of OATS is restricted to those services where UCLA has exceptional expertise, or a particular ability as a result of its specialized understanding of DMH Programs or its patient population.

The Amendment (Attachment I) has been approved as to form by County Counsel.

In accordance with Board Policy No. 5.120, DMH notified your Board of its intent to request delegated authority for a percentage increase exceeding ten percent (10%) of the total contract amount on October 11, 2017 (Attachment II).

The County or its agent will monitor the Contractor's performance under this agreement on not less than an annual basis. Such monitoring will include assessing the Contractor's compliance with all contract terms and conditions and performance standards.

## **IMPACT ON CURRENT SERVICES**

Board approval of the proposed action will strengthen DMH's ability to deliver care consistent with MHSA goals, increase the number of well-trained psychiatrists with public mental health experience, and augment the skills of DO clinical staff thereby improving treatment outcomes, decreasing adverse events, and increasing client satisfaction.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'JES', is centered below the text 'Respectfully submitted,'.

Jonathan E. Sherin, M.D., Ph.D.  
Director

JES:RS:MM:SLD:rlr

Enclosures

c: Executive Office, Board of Supervisors  
Chief Executive Office  
County Counsel  
Chairperson, Mental Health Commission

CONTRACT NO. MH010106AMENDMENT NO. 7

THIS AMENDMENT is made and entered into this 31<sup>st</sup> day of October, 2017, by and between the COUNTY OF LOS ANGELES (hereafter "County") and The Regents of the University of California (hereafter "Contractor").

WHEREAS, County and Contractor have entered into a written Agreement, dated July 1, 2014, identified as County Agreement No. MH010106, and including any subsequent amendments (hereafter collectively "Agreement"); and

WHEREAS, for Fiscal Years (FYs) 2017-18 and 2018-19, County and Contractor intend to amend Agreement only as described hereunder.

NOW, THEREFORE, County and Contractor agree that Agreement shall be amended only as follows:

1. For FY 2017-18 only, funding is **added** for a Post Graduate Trainee (PGT) (Global Health Fellow) under Graduate Medical Education Services (GME Services) with a Maximum Compensation Amount (MCA) of **\$120,000**. The revised total GME services funding subtotal is **\$476,490**.
2. For FY 2017-18 only, funding for Other Academic Training Services (OATS), Co-Occurring Disorders System-Wide Training Program, Specialized for Transitional Age Youth (TAY) (Addendum A -7, Subsection A3.1.a) is **increased** in the amount of **\$18,200** for a revised amount not to exceed **\$209,851**. The revised total OATS funding subtotal is **\$406,548**.

3. For FY 2017-18 only, Addendum A – 6, shall be deleted in its entirety and replaced with Addendum A – 7, attached hereto and incorporated herein by reference.
4. Agreement Funding Summary – 6 for FY 2017-18, shall be deleted in its entirety and replaced with Agreement Funding Summary – 7 for FY 2017-18, attached hereto and incorporated herein. Any reference to "Agreement Funding Summary – 6 for FY 2017-18 in the Agreement shall be deemed amended to state "Agreement Funding Summary – 7 for FY 2017-18."
5. For FY 2017-18, the revised MCA is **\$883,038**.
6. For FY 2018-19 only, funding is **added** for a Post Graduate Trainee (PGT) (National Clinician Scholars) under Graduate Medical Education Services (GME Services) with a MCA of **\$137,875**. The revised total GME services funding subtotal is **\$456,406**.
7. For FY 2018-19 only, Addendum A – 4, shall be deleted in its entirety and replaced with Addendum A – 7, attached hereto and incorporated herein by reference.
8. Agreement Funding Summary – 4 for FY 2018-19, shall be deleted in its entirety and replaced with Agreement Funding Summary – 7 for FY 2018-19, attached hereto and incorporated herein. Any reference to "Agreement Funding Summary for FY 2018-19 in the Agreement shall be deemed amended to state "Agreement Funding Summary – 7 for FY 2018-19."
9. For FY 2018-19, the revised MCA is **\$808,754**.
10. Except as provided in this Amendment, all other terms and conditions of the Agreement shall remain in full force and effect.

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IN WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Amendment to be subscribed by County's Director of Mental Health or his designee, and Contractor has caused this Amendment to be subscribed in its behalf by its duly authorized officer, the day, month, and year first above written.

COUNTY OF LOS ANGELES

By \_\_\_\_\_  
Jonathan E. Sherin, M.D., Ph.D.  
Director of Mental Health

The Regents of the University of California  
CONTRACTOR

By \_\_\_\_\_

Name Thomas Strouse, M.D.

Title Vice Chair, Clinical Affairs  
(AFFIX CORPORATE SEAL HERE)

APPROVED AS TO FORM:  
OFFICE OF THE COUNTY COUNSEL

APPROVED AS TO CONTRACT  
ADMINISTRATION:

DEPARTMENT OF MENTAL HEALTH

By \_\_\_\_\_  
Administrative Deputy

Funding Summary - 7  
Fiscal Year 2017-18

The REGENTS of UCLA  
Affiliation Agreement

<b>Graduate Medical Education Services (GME Services)</b>					
Description	Ongoing/One-time	Funding Source	Funding Amount	Admin. Services Amount	Total
Augustus F. Hawkins MHC	Ongoing	WET RP	\$61,200	\$7,840	\$69,040
Edmund D. Edelman MHC	Ongoing	CGF	\$99,114	\$12,486	\$111,600
Older Adult GENESIS	Ongoing	WET RP	\$122,375	\$15,516	\$137,891
Juvenile Justice <u>Amend No. 6</u> adds \$37,959 for a Forensic fellow	One Time	WET RP	\$37,959	\$0	\$37,959
Post Graduate Trainee (PGT) Global Health Fellow <u>Amend No. 7</u> adds \$120,000	One Time	WET RP	\$120,000	\$0	\$120,000
<b>Total GME Services Funding</b>			<b>\$440,648</b>	<b>\$35,842</b>	<b>\$476,490</b>
<b>Addendum A Other Academic Training Services (OATS)</b>					
COD System Wide Training Program	Ongoing	CSS	\$142,438	\$18,213	\$209,851
COD System Wide Training Program, Specilaized for TAY <u>Amend No. 6</u> adds \$31,000 and <u>Amend No. 7</u> adds \$18,200	OneTime	PEI	\$49,200	\$0	
Training & Consultation Program Treatment and Assessment of Older adults <u>Amend No. 5</u> adds \$15,500	Ongoing	POE/TRAINING OA	\$26,910	\$3,390	\$30,300
Annual Statewide Integrated Care Conference <u>Amend No. 3</u> - adds \$50,000 for DPH-SAPC Co-sponsored Conference through a DSO	Ongoing	CSS	\$138,642	\$11,358	\$150,000
Faculty Consultation <u>Amend No. 4</u> - adds \$5,000 for additional consultation	Ongoing	WET RP SEMI-ADULT	\$15,200	\$1,197	\$16,397
<b>Total Addendum A - OATS Funding</b>			<b>\$372,390</b>	<b>\$34,158</b>	<b>\$406,548</b>
<b>Maximum Compensation Amount for FY 2017-18</b>			<b>\$813,038</b>	<b>\$70,000</b>	<b>\$883,038</b>



Funding Summary - 7  
Fiscal Year 2018-19

The REGENTS of UCLA  
Affiliation Agreement

<b>Graduate Medical Education Services (GME Services)</b>					
Description	Ongoing/One-time	Funding Source	Funding Amount	Admin. Services Amount	Total
Augustus F. Hawkins MHC	Ongoing	WET RP	\$61,200	\$7,840	\$69,040
Edmund D. Edelman MHC	Ongoing	CGF	\$99,114	\$12,486	\$111,600
Older Adult GENESIS	Ongoing	WET RP	\$122,375	\$15,516	\$137,891
Post Graduate Trainee (PGT) National Clinician Scholars <u>Amend No. 7</u> adds \$137,875	One Time	WET RP	\$137,875	\$0	\$137,875
<b>Total GME Services Funding</b>			<b>\$420,564</b>	<b>\$35,842</b>	<b>\$456,406</b>
<b>Addendum A Other Academic Training Services (OATS)</b>					
COD System Wide Training Program	Ongoing	CSS	\$142,438	\$18,213	\$160,651
Training & Consultation Program Treatment and Assessment of Older Adults	Ongoing	POE	\$26,910	\$3,390	\$30,300
Annual Statewide Integrated Care Conference <u>Amend No. 3</u> - adds \$50,000 for DPH-SAPC Co-sponsored Conference through a DSO	Ongoing	CSS	\$138,642	\$11,358	\$150,000
Faculty Consultation	Ongoing	WET RP	\$10,200	\$1,197	\$11,397
<b>Total Addendum A - OATS</b>			<b>\$318,190</b>	<b>\$34,158</b>	<b>\$352,348</b>
<b>Maximum Compensation Amount for FY 2018-19</b>			<b>\$738,754</b>	<b>\$70,000</b>	<b>\$808,754</b>

**Addendum A - 7**

FY 2017-18

**Other Academic Training Services**

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## **A1 INTRODUCTION**

UCLA and DMH agree that this Addendum A shall be adopted under Section 3.0 ("Academic Services") of the Agreement of July 1st, 2014, between UCLA and DMH. UCLA and DMH further agree that this Addendum A shall be subject to all of the provisions of the Agreement governing Academic Services.

## **A2 DESCRIPTION OF SERVICES**

### **A2.1 Other Academic Training Services (OATS)**

UCLA shall provide training and educational activities consistent with the Mental Health Services Act (MHSA) for DMH staff, trainees, family members, and peers that will strengthen DMH's ability to deliver services to underserved populations in Los Angeles County.

Special focus will be on:

- Delivering services to older adults, and individuals with co-occurring (mental health, physical health and substance use) diagnoses,
- Stigma reduction, and
- The unique needs of at-risk populations including women, young adults, veterans, minorities, and persons involved in the justice system.

In accordance with the intent of the MHSA all training and educational services shall meet one or more of the following objectives:

- a. Training on specific treatment approaches, including short-term focused psychotherapy models, behavioral interventions, and trauma recovery;
- b. Teaching and consultation for DMH staff on interventions geared to the needs of individuals (including those involved in the justice system) at risk of suicide and those who are engaged in health-related high risk behaviors;
- c. Providing didactic training and consultation for clinical and paraprofessional staff including physicians and nurse practitioners who provide direct treatment services to older adults and their caregivers at DMH directly operated programs, contract agencies, and community partner sites.
- d. Providing ongoing consultation to trained staff to enhance screening, assessment, treatment, care coordination and care management practices.
- e. Developing a series of seminars, workshops and forums focused on mental health, physical health, substance abuse, cognitive impairment and other issues faced by DMH clients, including any age-group specific issues.
- f. Teaching and ongoing consultation for clinical supervisors regarding evidence-based and recovery-oriented treatment approaches;
- g. Developing educational materials specifically requested by DMH.

### **A2.1.a Co-Occurring Disorders System-Wide Training Program**

In an effort to continue current transformation efforts (i.e., integration of mental health, physical health, and substance use services) toward a more co-occurring capable system of care, University shall work with DMH representatives of the specific age groups to build upon existing competencies and reinforce current screening and assessment skills, build capacity in the provision of ongoing treatment, increase management skills in the provision of co-occurring disorders (COD) clinical supervision, and increase competencies in the provision of COD family-involved treatment and recovery.

University shall provide an integrated COD curriculum tailored to the unique needs of TAY, adult, older adult, and MHSA Innovations focal populations and will develop new content as the system changes and/or new needs are identified to ensure that the training is responsive to DMH within the current context of healthcare reform.

### **A2.1.b Training and Consultation on Assessment and Treatment of Older Adults**

The University shall develop and implement training and consultation for clinical and paraprofessional staff including physicians and nurse practitioners who provide direct treatment services to older adults and their caregivers at DMH directly operated programs, contract agencies, and at community partner sites. The goal is to integrate academic expertise into clinical practice. Training will focus primarily on models that promote wellness and recovery strategies for older adults with mental illness.

Special focus will be on older adults with mental illness who have co-occurring disorders such as substance abuse, cognitive impairment, and complex medical conditions. In addition, there will be a focus on screening tools, medication and Evidence Based Practices consistent with the MHSA recovery model.

The University shall provide the following services:

- ◆ Assist with identifying staff training needs relative to older adult clinical issues.
- ◆ Assist with curriculum development based on identified training needs.
- ◆ Coordinate training, education and consultation activities with DMH to develop and shape clinical programs utilizing researched evidenced-based models and best practice strategies.

- ◆ Identify UCLA faculty, with specialization and expertise in older adults with mental health diagnoses and co-morbid issues, to provide training and consultation for DMH staff.
- ◆ Identify evidenced based assessment tools and treatment modalities for use with the older adult population and make recommendations for implementation in DMH.
- ◆ Secure the required documents from trainers and submit timely to DMH for the purposes of Continuing Education Units (CEU) and Continuing Medical Education (CME).
- ◆ Purchase of training materials, payment for training sites, and the purchase of additional services and supplies that support training, as needed.

### **A2.1.c Annual Statewide Integrated Care Conference**

University shall coordinate, organize and implement an annual Statewide Integrated Care Conference that will reinforce those best practices and competencies gained through the COD System-wide Training Project. University shall take the lead on coordinating and organizing the COD Conference by providing the following services:

- ◆ Facilitate and develop a conference curriculum, including workshop topics and an overall conference theme and focus.
- ◆ Ensure the conference curriculum represents all DMH age groups, including children, TAY, adults, and older adults, and the MHSIA Innovations focal populations
- ◆ Identify and procure workshop and keynote speakers.
- ◆ Identify key community stakeholders.
- ◆ Identify volunteers and staff to assist with conference logistics.
- ◆ Secure the required CEU/CME for all applicable professional boards.
- ◆ Purchase of conference materials (e.g. bags, booklets, flyers, etc.) and payment for conference location (including food).

### **A2.1.d Faculty Consultation**

At the request of DMH program sites, University shall assign UCLA Department of Psychiatry and Biobehavioral Sciences eligible faculty members ("University Faculty"), with specialized knowledge and expertise in specific mental health issues or with specific underserved or inappropriately served populations to provide clinical case consultation to DMH personnel.

### **A2.1.e Additional Training Projects**

DMH may request additional training and consultation services that meet at least one of the objectives outlined in Section A2 above. Training and

consultation projects must receive prior approval by the Joint Mental Health Operations Committee. Each additional project must have a Statement of Work and include the following:

- ◆ A University Faculty Sponsor
- ◆ A brief description of the training project and how it meets at least one of the objectives identified in Section A2 above.
- ◆ Training deliverables
- ◆ A fee schedule/spending plan for all covered services that is tied to the training deliverables
- ◆ A projected start date and end date or an indication if training is ongoing.

**A2.2** While present at the DMH Program, UCLA Staff providing OATS will comply with the DMH policies and procedures that are identified as Distribution Level 1.

### A3 FINANCIAL PROVISIONS

#### A3.1.a Co-Occurring Disorders System-Wide Training

For the services described in A2.1.a COD System-Wide Training, DMH shall pay to University an amount not to exceed **\$191,638** annually in accordance with the following fee schedule

<b>A. Curriculum and Training Development and Coordination</b> (at \$150 per hour)
▪ Develop clinical training curriculum
▪ Research best practices strategies
▪ Identify/recruit trainers and consultants
▪ Provide education and consultation
▪ Other training related activities
<b>B. Consultation (at \$150 per hour)</b>
<b>C. Didactic Trainings (includes preparation time)</b>
▪ Half-Day (4 hours) trainings: \$1500
▪ Full-Day trainings: \$2,000

#### A3.1.b Training and Consultation on Assessment and Treatment of Older Adults

For the services described in A.2.1b, DMH shall pay to University an amount not to exceed **\$26,910** annually in accordance with the following fee schedule:

<b>A. Curriculum and Training Development and Coordination</b> (at \$150 per hour)
▪ Identify/recruit UCLA faculty to train and consultant
▪ Develop clinical training curricula
▪ Research best practice strategies
▪ Other training related activities
<b>B. Consultation Sessions \$600 per session</b>
<b>C. Didactic Trainings (\$1000 per Seminar)</b>

### A3.1.c Annual Statewide Integrated Care Conference

For the services described in A.2.1.c, DMH shall pay to University an amount not to exceed **\$138,642** annually:

<b>A. Conference Curriculum Development and Coordination at the rate of \$150 per hour</b>
<ul style="list-style-type: none"> <li>▪ Develop conference curriculum</li> <li>▪ Research best practices strategies</li> <li>▪ Identify/recruit trainers, educators and consultants</li> <li>▪ Other training related activities</li> </ul>
<b>B. Coordinate Conference Logistics at the rate of \$150 per hour</b>
<ul style="list-style-type: none"> <li>• Identify and secure a venue</li> <li>• Coordinate planning meetings and identify key stakeholders</li> <li>• Develop and provide conference materials</li> <li>• Develop and monitor timelines and deadlines</li> <li>• Coordinate volunteer and staffing for day of conference</li> <li>• Secure CMEs and CEUs for all professional boards.</li> </ul>
<b>C. Provide Didactic Conference Workshops</b>
<ul style="list-style-type: none"> <li>▪ \$1500 per workshop</li> </ul>
<b>D. Venue Expenses</b>
<ul style="list-style-type: none"> <li>• All venue expenses are reimbursed via invoice as described below.</li> </ul>

For reimbursement of space, materials, speakers/trainers, etc, UCLA shall submit copies of paid invoices from all merchants to DMH along with the monthly invoices.

### A3.1.d Faculty Consultation

For the services described in A.2.1.d, DMH shall pay to University an amount not to exceed **\$15,200** annually at the rates set forth below:

- Sessions lasting between 2 and 4 hours at \$400 per session.
- Sessions lasting between 5 minutes and 1 hour and 59 minutes at \$200 per session.

### A3.2 Maximum Compensation Amount (MCA) for Addendum A

The MCA for Addendum A is:

	<b>Program</b>	<b>Funding</b>	<b>Admin Services</b>	<b>Total</b>
A3.1.a	COD System-Wide Training Program	\$191,638	\$18,213	\$209,851
A3.1.b	Training & Consultation on Treatment and Assessment of Older Adults	\$26,910	\$3,390	\$30,300
A3.1.c	Annual Statewide Integrated Care Conference	\$138,642	\$11,358	\$150,000
A3.1.d	Faculty Consultation	\$15,200	\$1,197	\$16,397
<b>Total MCA for Addendum A</b>		<b>\$372,390</b>	<b>\$34,158</b>	<b>\$406,548</b>



### **A3.3 Payment Procedures**

UCLA and DMH understand and agree that persons providing Academic Services under this Agreement are faculty members of the David Geffen School of Medicine at UCLA – Department of Psychiatry and Biobehavioral Sciences as agreed upon by DMH and UCLA Academic Administrators. The parties understand and agree that the University shall be responsible for submitting monthly invoices as described in Section 7.5 ("Invoice Content") of the Agreement. Further, the UCLA shall track, verify, and certify all claims for services provided under this Section (A2) for Academic Services provided by David Geffen School of Medicine at UCLA faculty at DMH, and subsequently submit monthly invoices.

Upon receipt and approval of invoices from UCLA, DMH shall directly reimburse UCLA for services set forth in Section A2.1. ("Other Academic Training Services") to the rates set forth for each project in A3 ("Financial Provisions").

**UCLA shall submit invoices to:**

DMH ACADEMIC ADMINISTRATOR  
COUNTY OF LOS ANGELES- DEPARTMENT OF MENTAL HEALTH  
550 SOUTH VERMONT AVENUE, 12TH FLOOR  
LOS ANGELES, CALIFORNIA 90020

**DMH shall send payment to:**

UCLA-SEMEL INSTITUTE  
DEPARTMENT OF FINANCE  
760 WESTWOOD PLAZA, ROOM B7-357  
LOS ANGELES, CALIFORNIA 90024-1759  
ATTENTION: ASSISTANT DIRECTOR OF FINANCE

**Addendum A - 7**

FY 2018-19

**Other Academic Training Services**

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A3.2 TCA for Addendum A .....	7
A3.3 Payment Procedures.....	8

## **A1 INTRODUCTION**

UCLA and DMH agree that this Addendum A shall be adopted under Section 3.0 ("Academic Services") of the Agreement of July 1st, 2014, between UCLA and DMH. UCLA and DMH further agree that this Addendum A shall be subject to all of the provisions of the Agreement governing Academic Services.

## **A2 DESCRIPTION OF SERVICES**

### **A2.1 Other Academic Training Services (OATS)**

UCLA shall provide training and educational activities consistent with the Mental Health Services Act (MHSA) for DMH staff, trainees, family members, and peers that will strengthen DMH's ability to deliver services to underserved populations in Los Angeles County.

Special focus will be on:

- Delivering services to older adults, and individuals with co-occurring (mental health, physical health and substance use) diagnoses,
- Stigma reduction, and
- The unique needs of at-risk populations including women, young adults, veterans, minorities, and persons involved in the justice system.

In accordance with the intent of the MHSA all training and educational services shall meet one or more of the following objectives:

- a. Training on specific treatment approaches, including short-term focused psychotherapy models, behavioral interventions, and trauma recovery;
- b. Teaching and consultation for DMH staff on interventions geared to the needs of individuals (including those involved in the justice system) at risk of suicide and those who are engaged in health-related high risk behaviors;
- c. Providing didactic training and consultation for clinical and paraprofessional staff including physicians and nurse practitioners who provide direct treatment services to older adults and their caregivers at DMH directly operated programs, contract agencies, and community partner sites.
- d. Providing ongoing consultation to trained staff to enhance screening, assessment, treatment, care coordination and care management practices.
- e. Developing a series of seminars, workshops and forums focused on mental health, physical health, substance abuse, cognitive impairment and other issues faced by DMH clients, including any age-group specific issues.
- f. Teaching and ongoing consultation for clinical supervisors regarding evidence-based and recovery-oriented treatment approaches;
- g. Developing educational materials specifically requested by DMH.

### **A2.1.a Co-Occurring Disorders System-Wide Training Program**

In an effort to continue current transformation efforts (i.e., integration of mental health, physical health, and substance use services) toward a more co-occurring capable system of care, University shall work with DMH representatives of the specific age groups to build upon existing competencies and reinforce current screening and assessment skills, build capacity in the provision of ongoing treatment, increase management skills in the provision of co-occurring disorders (COD) clinical supervision, and increase competencies in the provision of COD family-involved treatment and recovery.

University shall provide an integrated COD curriculum tailored to the unique needs of TAY, adult, older adult, and MHSA Innovations focal populations and will develop new content as the system changes and/or new needs are identified to ensure that the training is responsive to DMH within the current context of healthcare reform.

### **A2.1.b Training and Consultation on Assessment and Treatment of Older Adults**

The University shall develop and implement training and consultation for clinical and paraprofessional staff including physicians and nurse practitioners who provide direct treatment services to older adults and their caregivers at DMH directly operated programs, contract agencies, and at community partner sites. The goal is to integrate academic expertise into clinical practice. Training will focus primarily on models that promote wellness and recovery strategies for older adults with mental illness.

Special focus will be on older adults with mental illness who have co-occurring disorders such as substance abuse, cognitive impairment, and complex medical conditions. In addition, there will be a focus on screening tools, medication and Evidence Based Practices consistent with the MHSA recovery model.

The University shall provide the following services:

- ◆ Assist with identifying staff training needs relative to older adult clinical issues.
- ◆ Assist with curriculum development based on identified training needs.
- ◆ Coordinate training, education and consultation activities with DMH to develop and shape clinical programs utilizing researched evidenced-based models and best practice strategies.

- ◆ Identify UCLA faculty, with specialization and expertise in older adults with mental health diagnoses and co-morbid issues, to provide training and consultation for DMH staff.
- ◆ Identify evidenced based assessment tools and treatment modalities for use with the older adult population and make recommendations for implementation in DMH.
- ◆ Secure the required documents from trainers and submit timely to DMH for the purposes of Continuing Education Units (CEU) and Continuing Medical Education (CME).
- ◆ Purchase of training materials, payment for training sites, and the purchase of additional services and supplies that support training, as needed.

### **A2.1.c Annual Statewide Integrated Care Conference**

University shall coordinate, organize and implement an annual Statewide Integrated Care Conference that will reinforce those best practices and competencies gained through the COD System-wide Training Project. University shall take the lead on coordinating and organizing the COD Conference by providing the following services:

- ◆ Facilitate and develop a conference curriculum, including workshop topics and an overall conference theme and focus.
- ◆ Ensure the conference curriculum represents all DMH age groups, including children, TAY, adults, and older adults, and the MHSIA Innovations focal populations
- ◆ Identify and procure workshop and keynote speakers.
- ◆ Identify key community stakeholders.
- ◆ Identify volunteers and staff to assist with conference logistics.
- ◆ Secure the required CEU/CME for all applicable professional boards.
- ◆ Purchase of conference materials (e.g. bags, booklets, flyers, etc.) and payment for conference location (including food).

### **A2.1.d Faculty Consultation**

At the request of DMH program sites, University shall assign UCLA Department of Psychiatry and Biobehavioral Sciences eligible faculty members ("University Faculty"), with specialized knowledge and expertise in specific mental health issues or with specific underserved or inappropriately served populations to provide clinical case consultation to DMH personnel.

### **A2.1.e Additional Training Projects**

DMH may request additional training and consultation services that meet at least one of the objectives outlined in Section A2 above. Training and

consultation projects must receive prior approval by the Joint Mental Health Operations Committee. Each additional project must have a Statement of Work and include the following:

- ◆ A University Faculty Sponsor
- ◆ A brief description of the training project and how it meets at least one of the objectives identified in Section A2 above.
- ◆ Training deliverables
- ◆ A fee schedule/spending plan for all covered services that is tied to the training deliverables
- ◆ A projected start date and end date or an indication if training is ongoing.

**A2.2** While present at the DMH Program, UCLA Staff providing OATS will comply with the DMH policies and procedures that are identified as Distribution Level 1.

### A3 FINANCIAL PROVISIONS

#### A3.1.a Co-Occurring Disorders System-Wide Training

For the services described in A2.1.a COD System-Wide Training, DMH shall pay to University an amount not to exceed **\$142,438** annually in accordance with the following fee schedule

<b>A. Curriculum and Training Development and Coordination</b>
(at \$150 per hour)
<ul style="list-style-type: none"> <li>▪ Develop clinical training curriculum</li> <li>▪ Research best practices strategies</li> <li>▪ Identify/recruit trainers and consultants</li> <li>▪ Provide education and consultation</li> <li>▪ Other training related activities</li> </ul>
<b>B. Consultation (at \$150 per hour)</b>
<b>C. Didactic Trainings (includes preparation time)</b>
<ul style="list-style-type: none"> <li>▪ Half-Day (4 hours) trainings: \$1500</li> <li>▪ Full-Day trainings: \$2,000</li> </ul>

#### A3.1.b Training and Consultation on Assessment and Treatment of Older Adults

For the services described in A.2.1b, DMH shall pay to University an amount not to exceed **\$26,910** annually in accordance with the following fee schedule:

<b>A. Curriculum and Training Development and Coordination</b>
(at \$150 per hour)
<ul style="list-style-type: none"> <li>▪ Identify/recruit UCLA faculty to train and consultant</li> <li>▪ Develop clinical training curricula</li> <li>▪ Research best practice strategies</li> <li>▪ Other training related activities</li> </ul>
<b>B. Consultation Sessions \$600 per session</b>
<b>C. Didactic Trainings (\$1000 per Seminar)</b>

### A3.1.c Annual Statewide Integrated Care Conference

For the services described in A.2.1.c, DMH shall pay to University an amount not to exceed **\$138,642** annually:

<b>A. Conference Curriculum Development and Coordination at the rate of \$150 per hour</b>
<ul style="list-style-type: none"> <li>▪ Develop conference curriculum</li> <li>▪ Research best practices strategies</li> <li>▪ Identify/recruit trainers, educators and consultants</li> <li>▪ Other training related activities</li> </ul>
<b>B. Coordinate Conference Logistics at the rate of \$150 per hour</b>
<ul style="list-style-type: none"> <li>• Identify and secure a venue</li> <li>• Coordinate planning meetings and identify key stakeholders</li> <li>• Develop and provide conference materials</li> <li>• Develop and monitor timelines and deadlines</li> <li>• Coordinate volunteer and staffing for day of conference</li> <li>• Secure CMEs and CEUs for all professional boards.</li> </ul>
<b>C. Provide Didactic Conference Workshops</b>
<ul style="list-style-type: none"> <li>▪ \$1500 per workshop</li> </ul>
<b>D. Venue Expenses</b>
<ul style="list-style-type: none"> <li>• All venue expenses are reimbursed via invoice as described below.</li> </ul>

For reimbursement of space, materials, speakers/trainers, etc, UCLA shall submit copies of paid invoices from all merchants to DMH along with the monthly invoices.

### A3.1.d Faculty Consultation

For the services described in A.2.1.d, DMH shall pay to University an amount not to exceed **\$10,200** annually at the rates set forth below:

- Sessions lasting between 2 and 4 hours at \$400 per session.
- Sessions lasting between 5 minutes and 1 hour and 59 minutes at \$200 per session.

### A3.2 Maximum Compensation Amount (MCA) for Addendum A

The MCA for Addendum A is:

	<b>Program</b>	<b>Funding</b>	<b>Admin Services</b>	<b>Total</b>
A3.1.a	COD System-Wide Training Program	\$142,438	\$18,213	\$160,651
A3.1.b	Training & Consultation on Treatment and Assessment of Older Adults	\$26,910	\$3,390	\$30,300
A3.1.c	Annual Statewide Integrated Care Conference	\$138,642	\$11,358	\$150,000
A3.1.d	Faculty Consultation	\$10,200	\$1,197	\$16,397
<b>Total MCA for Addendum A</b>		<b>\$318,190</b>	<b>\$34,158</b>	<b>\$352,348</b>



### **A3.3 Payment Procedures**

UCLA and DMH understand and agree that persons providing Academic Services under this Agreement are faculty members of the David Geffen School of Medicine at UCLA – Department of Psychiatry and Biobehavioral Sciences as agreed upon by DMH and UCLA Academic Administrators. The parties understand and agree that the University shall be responsible for submitting monthly invoices as described in Section 7.5 ("Invoice Content") of the Agreement. Further, the UCLA shall track, verify, and certify all claims for services provided under this Section (A2) for Academic Services provided by David Geffen School of Medicine at UCLA faculty at DMH, and subsequently submit monthly invoices.

Upon receipt and approval of invoices from UCLA, DMH shall directly reimburse UCLA for services set forth in Section A2.1. ("Other Academic Training Services") to the rates set forth for each project in A3 ("Financial Provisions").

**UCLA shall submit invoices to:**

DMH ACADEMIC ADMINISTRATOR  
COUNTY OF LOS ANGELES- DEPARTMENT OF MENTAL HEALTH  
550 SOUTH VERMONT AVENUE, 12TH FLOOR  
LOS ANGELES, CALIFORNIA 90020

**DMH shall send payment to:**

UCLA-SEMEL INSTITUTE  
DEPARTMENT OF FINANCE  
760 WESTWOOD PLAZA, ROOM B7-357  
LOS ANGELES, CALIFORNIA 90024-1759  
ATTENTION: ASSISTANT DIRECTOR OF FINANCE



**LOS ANGELES COUNTY  
DEPARTMENT OF MENTAL HEALTH**

JONATHAN E. SHERIN, M.D., Ph.D., Director  
ROBIN KAY, Ph.D., Chief Deputy Director  
RODERICK SHANER, M.D., Medical Director



October 11, 2017

TO: Supervisor Mark Ridley-Thomas, Chairman  
Supervisor Hilda L. Solis  
Supervisor Sheila Kuehl  
Supervisor Janice Hahn  
Supervisor Kathryn Barger

FROM: Jonathan E. Sherin, M.D., Ph.D.  
Director

SUBJECT: **NOTICE OF INTENT TO REQUEST DELEGATED AUTHORITY FOR A  
PERCENTAGE INCREASE EXCEEDING TEN PERCENT OF THE  
TOTAL CONTRACT AMOUNT**

In accordance with Los Angeles County Board of Supervisors' (Board) Policy No. 5.120, the Department of Mental Health (DMH) is notifying your Board of our department's intent to request delegated authority for a percentage increase exceeding 10 percent of the total contract amount. More specifically, DMH will request delegated authority for a 20 percent increase of the total contract amount for the Affiliation Agreement with The Regents of The University of California (The Regents).

**JUSTIFICATION**

Approval of this request will grant additional flexibility and authority to DMH to expand Academic Services with The Regents. In addition to funding Administrative Services, Graduate Medical Education (GME) Services, and Academic Training Services, the funding also covers the cost of a nationally renowned Annual Statewide Integrated Care Conference. Additionally, approval will enhance DMH's ability to expeditiously amend the agreement which allows for training of residents and fellows at three directly-operated sites. Commitments to trainees are made at least three months prior to the start of each rotation, and should DMH want to increase the number of residency commitments or expand to additional directly-operated sites, DMH would need to give at least a six-month advanced notice to The Regents. Should there be a need to exceed the 20 percent delegated authority, DMH will return to your Board with a request for authority to amend the contracts accordingly.

Each Supervisor  
October 11, 2017  
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### **NOTIFICATION TIMELINE**

Board Policy No. 5.120 requires departments to provide written notice to your Board, with a copy to the Chief Executive Office, at least two weeks prior to the Board Meeting at which the request to exceed 10 percent of the total contract amount will be presented. In compliance with this policy, DMH is notifying your Board of our intent to request delegated authority for a percent increase of up to 20 percent of the total contract amount through a Board letter to be presented at the October 31, 2017, Board Hearing.

If you have questions or concerns, please contact me at (213) 738-4601, or your staff may contact Sara Lee Dato, Chief, Contracts Development and Administration Division, at (213) 738-4684.

JES:RS:MM:SLD:rlr

c: Executive Office, Board of Supervisors  
Chief Executive Office  
County Counsel  
Robin Kay, Ph.D.  
Roderick Shaner, M.D.  
Margo Morales  
Kimberly Nall