August 16, 2018

Addendum #5 to
Request for Statement of Qualifications for
As-Needed Harbor Engineering Consulting Services
RFSQ #DBH49

The Department of Beaches and Harbors issues Addendum Five to the As-Needed Harbor Engineering Consulting Services RFSQ #DBH49, which was released on January 10, 2013.

As indicated in the RFSQ, Section 1.7, County Rights and Responsibilities, the County may amend the RFSQ by written addendum. This addendum contains portions of the RFSQ that have been amended, specifically, RFSQ Section 1.0 General Information; Appendix A, Master Agreement Sections 5.0 Contract Sum and 8.0 Standards Terms and Conditions; and Appendices B, E, and F.

Thank you for your interest in our RFSQ. All other terms and conditions of RFSQ #DBH49 remain in effect.

Very truly yours,
GARY JONES, DIRECTOR

Angelica Vicente
Administrative Services Manager I
The information hereunder supersedes any other information previously provided.

1. RFSQ Subsection 1.4, Vendor’s Minimum Qualifications, is deleted in its entirety and replaced as follows:

1.4 Vendor’s Minimum Mandatory Qualifications

Interested and qualified Vendors that meet the Minimum Mandatory Qualifications stated below are invited to submit an SOQ.

- Proposers and/or their Principal Owner must have a minimum of five years of experience in engineering marine projects;
- Proposer and/or a staff member performing work on the contract must have a current Civil Engineer License and/or registration with the California State Board of Registration for Professional Engineers and Land Surveyors;
- Vendors must satisfactorily complete and return required Forms P-1 through P-19 with the SOQ.
- If Vendor’s compliance with a County contract has been reviewed by the Department of the Auditor-Controller within the last 10 years, Vendor must not have unresolved questioned costs identified by the Auditor-Controller, in an amount over $100,000.00, that are confirmed to be disallowed costs by the contracting County department, and remain unpaid for six months or more from the date of disallowance, unless such disallowed costs are the subject of current good faith negotiations to resolve the disallowed costs, in the opinion of the County.

2. RFSQ Subsection 1.10, Mandatory Requirement to Register on County’s Webven, is deleted in its entirety and replaced with the following:

1.10 Mandatory Requirement to Register on County’s WebVen

Prior to executing a Master Agreement, all potential Contractors must register in the County’s WebVen. The WebVen contains the Vendor’s business profile and identifies the goods/services the business provides. Registration can be accomplished online via the Internet by accessing the County’s home page at http://camisvr.co.la.ca.us/webven/

3. RFSQ Subsection 1.27, County’s Quality Assurance Plan, is deleted in its entirety and replaced with the following:

1.27 County’s Quality Assurance Plan

After award of a Master Agreement and subsequent Work Order(s), the County or its agent will monitor the Contractor’s performance under the Master Agreement and Work Order on an annual basis. Such monitoring will include assessing Contractor’s compliance with all terms and
conditions in the Master Agreement and performance standards identified in the Work Order. Contractor’s deficiencies which the County determines are significant or continuing and that may jeopardize performance of this Master Agreement and subsequent Work Orders will be reported to the County’s Board of Supervisors. The report will include improvement/corrective action measures taken by the County and Contractor. If improvement does not occur consistent with the corrective action measures, the County may terminate the Master Agreement and/or Work Order in whole or in part, or impose other penalties as specified in the Master Agreement.

4. **RFSQ Subsection 1.32, Local Small Business Enterprise (LSBE) Preference Program, is deleted in its entirety and replaced with the following:**

1.32 **Local Small Business Enterprise (LSBE) Preference Program**

1.32.1 In reviewing Work Order Bids, the County will give LSBE preference to businesses that meet the definition of a LSBE, consistent with Chapter 2.204.030C.1 of the Los Angeles County Code. An LSBE is defined as a business: 1) certified by the State of California as a small business and has had its principal place of business located in Los Angeles County for at least one year; or 2) certified as a small business enterprise with other certifying agencies pursuant to the Department of Consumer and Business Affair’s (DCBA) inclusion policy that: a) has its principal place of business located in Los Angeles County, and b) has revenues and employee sizes that meet the State’s Department of General Services requirements. The business must be certified by the DCBA as meeting the requirements set forth above prior to requesting the LSBE Preference in a solicitation.

1.32.2 To apply for certification as an LSBE, businesses should contact the DCBA at [http://dcba.lacounty.gov](http://dcba.lacounty.gov).

1.32.3 Certified LSBEs may only request the preference in each of their Work Order Bid responses and may not request the preference unless the certification process has been completed and certification is affirmed. Businesses must complete and submit the Request for Preference Program Consideration with each Work Order Bid response and submit a letter of certification from the DCBA with their bid.

1.32.4 Information about the State’s small business enterprise certification regulations is in the California Code of Regulations, Title 2, Subchapter 8, Section 1896 et seq., and is also available on the California Department of General Services Office of Small Business Certification and Resources Web site at [http://www.pd.dgs.ca.gov/smbus/default](http://www.pd.dgs.ca.gov/smbus/default)
5. **RFSQ Subsection 1.34, Notification to County of Pending Acquisitions/Mergers by Proposing Company, is deleted in its entirety and replaced with the following:**

1.34 **Notification to County of Pending Acquisitions/Mergers by Proposing Company**

The Vendor shall notify the County of any pending acquisitions/mergers of its company unless otherwise legally prohibited from doing so. If the Vendor is restricted from legally notifying the County of pending acquisitions/mergers, then it should notify the County of the actual acquisitions/mergers as soon as the law allows and provide to the County the legal framework that restricted it from notifying the County prior to the actual acquisitions/mergers. This information shall be provided by the Vendor on Appendix A - Required Forms, Form P-1, Vendor's Organization Questionnaire/Affidavit and CBE Information. Failure of the Vendor to provide this information may eliminate its SOQ from any further consideration.

6. **RFSQ Subsection 1.37, Time Off for Voting, is added as follows:**

1.37 **Time Off for Voting**

The Contractor shall notify its employees, and shall require each subcontractor to notify and provide to its employees, information regarding the time off for voting law (Elections Code Section 14000). Not less than 10 days before every statewide election, every Contractor and subcontractors shall keep posted conspicuously at the place of work, if practicable, or elsewhere where it can be seen as employees come or go to their place of work, a notice setting forth the provisions of Section 14000.

7. **RFSQ Subsection 1.38, Vendor’s Acknowledgement of County’s Commitment to Zero Tolerance Policy on Human Trafficking, is added as follows:**

1.38 **Vendor’s Acknowledgement of County’s Commitment to Zero Tolerance Policy on Human Trafficking**

On October 4, 2016, the Los Angeles County Board of Supervisors approved a motion taking significant steps to protect victims of human trafficking by establishing a zero tolerance policy on human trafficking. The policy prohibits Vendors engaged in human trafficking from receiving contract awards or performing services under a County contract.

Vendors are required to complete Form P-18 (Zero Tolerance Policy on Human Trafficking Certification) in Appendix B (Required Forms), certifying that they are in full compliance with the County’s Zero Tolerance Policy on Human Trafficking provision as defined in Subsection 8.54 (Compliance with County’s Zero Tolerance Policy on Human Trafficking) of Appendix A (Sample Master Agreement). Further, contractors are required to comply
with the requirements under said provision for the term of any Master Agreement awarded pursuant to this solicitation.

8. **RFSQ Subsection 1.39, Default Method of Payment: Direct Deposit or Electronic Funds Transfer, is added as follows:**

   **1.39 Default Method of Payment: Direct Deposit or Electronic Funds Transfer**

   1.39.1 The County, at its sole discretion, has determined that the most efficient and secure default form of payment for goods and/or services provided under an agreement/contract with the County shall be Electronic Funds Transfer (EFT) or direct deposit, unless an alternative method of payment is deemed appropriate by the Auditor-Controller (A-C).

   1.39.2 Upon contract award or at the request of the A-C and/or the contracting department, the Contractor shall submit a direct deposit authorization request with banking and vendor information, and any other information that the A-C determines is reasonably necessary to process the payment and comply with all accounting, record keeping, and tax reporting requirements.

   1.39.3 Any provision of law, grant, or funding agreement requiring a specific form or method of payment other than EFT or direct deposit shall supersede this requirement with respect to those payments.

   1.39.4 Upon contract award or at any time during the duration of the agreement/contract, a Contractor may submit a written request for an exemption to this requirement. The A-C, in consultation with the contracting department(s), shall decide whether to approve exemption requests.

9. **RFSQ Subsection 1.40, Vendor’s Acknowledgement of County’s Commitment to Fair Chance Employment Hiring Practices, is added as follows:**

   **1.40 Vendor’s Acknowledgement of County’s Commitment to Fair Chance Employment Hiring Practices**

   On May 29, 2018, the Los Angeles County Board of Supervisors approved a Fair Chance Employment Policy in an effort to remove job barriers for individuals with criminal records. The policy requires businesses that contract with the County to comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History (Section 12952).
Contractors are required to complete Form P-19 (Compliance with Fair Chance Employment Hiring Practices Certification) in Appendix B (Required Forms), certifying that they are in full compliance with Section 12952, as indicated in the Master Agreement. Further, contractors are required to comply with the requirements under Section 12952 for the term of any contract awarded pursuant to this solicitation.

10. **Master Agreement Subsection 5.5 Default Method of Payment: Direct Deposit or Electronic Funds Transfer, is added as follows:**

5.5 **Default Method of Payment: Direct Deposit or Electronic Funds Transfer**

5.5.1 The County, at its sole discretion, has determined that the most efficient and secure default form of payment for goods and/or services provided under an agreement/contract with the County shall be Electronic Funds Transfer (EFT) or direct deposit, unless an alternative method of payment is deemed appropriate by the Auditor-Controller (A-C).

5.5.2 The Contractor shall submit a direct deposit authorization request via the website https://directdeposit.lacounty.gov with banking and vendor information, and any other information that the A-C determines is reasonably necessary to process the payment and comply with all accounting, record keeping, and tax reporting requirements.

5.5.3 Any provision of law, grant, or funding agreement requiring a specific form or method of payment other than EFT or direct deposit shall supersede this requirement with respect to those payments.

5.5.4 At any time during the duration of the agreement/contract, a Contractor may submit a written request for an exemption to this requirement. Such request must be based on specific legal, business or operational needs and explain why the payment method designated by the A-C is not feasible and an alternative is necessary. The A-C, in consultation with the contracting department(s), shall decide whether to approve exemption requests.

11. **Master Agreement Subsection 8.2 Assignment and Delegation/Mergers or Acquisitions, is deleted in its entirety and replaced with the following:**

8.2 **Assignment and Delegation/Mergers or Acquisitions**

8.2.1 The Contractor shall notify the County of any pending acquisitions/mergers of its company unless otherwise legally prohibited from doing so. If the Contractor is restricted from legally notifying the County of pending acquisitions/mergers, then it should
notify the County of the actual acquisitions/mergers as soon as the law allows and provide to the County the legal framework that restricted it from notifying the County prior to the actual acquisitions/mergers.

8.2.2 The Contractor shall not assign its rights or delegate its duties under this Master Agreement, or both, whether in whole or in part, without the prior written consent of County, in its discretion, and any attempted assignment or delegation without such consent shall be null and void. For purposes of this sub-paragraph, County consent shall require a written amendment to the Master Agreement, which is formally approved and executed by the parties. Any payments by the County to any approved delegate or assignee on any claim under this Master Agreement shall be deductible, at County’s sole discretion, against the claims, which the Contractor may have against the County.

8.2.3 Shareholders, partners, members, or other equity holders of Contractor may transfer, sell, exchange, assign, or divest themselves of any interest they may have therein. However, in the event any such sale, transfer, exchange, assignment, or divestment is effected in such a way as to give majority control of Contractor to any person(s), corporation, partnership, or legal entity other than the majority controlling interest therein at the time of execution of the Master Agreement, such disposition is an assignment requiring the prior written consent of County in accordance with applicable provisions of this Master Agreement.

8.2.4 Any assumption, assignment, delegation, or takeover of any of the Contractor’s duties, responsibilities, obligations, or performance of same by any entity other than the Contractor, whether through assignment, subcontract, delegation, merger, buyout, or any other mechanism, with or without consideration for any reason whatsoever without County’s express prior written approval, shall be a material breach of the Master Agreement which may result in the termination of this Master Agreement. In the event of such termination, County shall be entitled to pursue the same remedies against Contractor as it could pursue in the event of default by Contractor.

12. Master Agreement Subsection 8.10 Consideration of Hiring GAIN/GROW Participants, Paragraph 8.10.1 is deleted in its entirety and replaced with the following:

8.10 Consideration of Hiring GAIN/GROW Participants

8.10.1 Should the Contractor require additional or replacement personnel after the effective date of this Master Agreement, the Contractor shall give consideration for any such employment openings to participants in the County’s Department of Public Social Services Greater Avenues for Independence (GAIN) Program or General
Relief Opportunity for Work (GROW) Program who meet the Contractor’s minimum qualifications for the open position. For this purpose, consideration shall mean that the Contractor will interview qualified candidates. The County will refer GAIN/GROW participants by job category to the Contractor. Contractors shall report all job openings with job requirements to: GAINGROW@DPSS.LACOUNTY.GOV and BSERVICES@WDACS.LACOUNTY.GOV and DPSS will refer qualified GAIN/GROW job candidates.

13. **Master Agreement Subsection 8.12** Contractor’s Acknowledgement of County’s Commitment to Safely Surrendered Baby Law is **deleted** in its entirety and **replaced** with the following:

8.12 **Contractor’s Acknowledgement of County’s Commitment to Safely Surrendered Baby Law**

The contractor acknowledges that the County places a high priority on the implementation of the Safely Surrendered Baby Law. The contractor understands that it is the County’s policy to encourage all County contractors to voluntarily post the County’s “Safely Surrendered Baby Law” poster, in Exhibit C, in a prominent position at the contractor’s place of business. The contractor will also encourage its subcontractors, if any, to post this poster in a prominent position in the subcontractor’s place of business. Information and posters for printing are available at www.babysafela.org.

14. **Master Agreement Subsection 8.55** Compliance with Fair Chance Employment Practices, is **added** as follows:

8.55 **Compliance with Fair Chance Employment Practices**

Contractor shall comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History. Contractor’s violation of this paragraph of the Contract may constitute a material breach of the Contract. In the event of such material breach, County may, in its sole discretion, terminate the Contract.

15. **Master Agreement Subsection 9.1** Local Small Business Enterprise (LSBE) Preference Program, Paragraph 9.1.4, is **replaced** in its entirety and **replaced as follows**:

9.1.4 If the Contractor has obtained certification as a LSBE by reason of having furnished incorrect supporting information or by reason of having withheld information, and which knew, or should have known, the information furnished was incorrect or the information withheld was relevant to its request for certification, and which by reason of such certification has been awarded this Master Agreement to which it would not otherwise have been entitled, shall:
1. Pay to the County any difference between the Master Agreement amount and what the County’s costs would have been if the Master Agreement had been properly awarded;

2. In addition to the amount described in subdivision (1), be assessed a penalty in an amount of not more than ten (10) percent of the amount of the Master Agreement; and


The above penalties shall also apply to any business that has previously obtained proper certification, however, as a result of a change in their status would no longer be eligible for certification, and fails to notify the State and the Department of Consumer and Business Affairs of this information prior to responding to a solicitation or accepting a Master Agreement award.

16. Appendix B, Required Forms, Form P-1, is deleted in its entirety and to be replaced with the following form:

Form P-1 – Vendor’s Organization Questionnaire/Affadavit and CBE Information

17. Appendix B, Required Forms, Form P-10, is deleted in its entirety and to be replaced with the following form:

Form P-10 – Attestation of Willingness to Consider GAIN/GROW Participants

18. Appendix B, Required Forms, Form P-12, is deleted in its entirety and to be replaced with the following form:

Form P-12 – Certification of Compliance with the County’s Defaulted Property Tax Reduction Program

19. Appendix B, Required Forms, is amended to include the following form:

Form P-19 – Compliance with Fair Chance Employment Hiring Practices Certification

20. Appendix E, Listing of Contractors Debarred in Los Angeles County, is deleted in its entirety and to be replaced with the following form:

Appendix E: Listing of Contractors Debarred in Los Angeles County

21. Appendix F, IRS Notice 1015, is deleted in its entirety and to be replaced with the following form:

Appendix F: IRS Notice 1015
VENDOR'S ORGANIZATION QUESTIONNAIRE/AFFIDAVIT AND CBE INFORMATION

Please complete, sign and date this form. The person signing the form must be authorized to sign on behalf of the Vendor and to bind the applicant in a Contract.

1. Is your firm a corporation or limited liability company (LLC)? □ Yes □ No
   If yes, complete:
   Legal Name (found in Articles of Incorporation) ______________________________________________________
   State ____________________________________________ Year Inc. ________________

2. If your firm is a limited partnership or a sole proprietorship, state the name of the proprietor or managing partner:
   ________________________________________________________________

3. Is your firm doing business under one or more DBA’s? □ Yes □ No
   If yes, complete:
   Name                                                               County of Registration       Year became DBA
   ________________________________________________________________       ________________________  ___________________
   ________________________________________________________________       ________________________  ___________________

4. Is your firm wholly/majority owned by, or a subsidiary of another firm? □ Yes □ No
   If yes, complete:
   Name of parent firm: _______________________________________________________________
   State of incorporation or registration of parent firm: _________________________

5. Has your firm done business as other names within last five (5) years? □ Yes □ No
   If yes, complete:
   Name ____________________________________________ Year of Name Change ______
   Name ____________________________________________ Year of Name Change ______

6. Is your firm involved in any pending acquisition or mergers, including the associated company name?
   □ Yes □ No   If yes, provide information:
   ____________________________________________________________________________
   ____________________________________________________________________________
Vendor acknowledges and certifies that it meets and will comply with all of the Minimum Mandatory Requirements listed in Section 1.4 – Vendor’s Minimum Mandatory Requirements, of this Request for Statement of Qualification, as listed below.

Check the appropriate boxes:

☐ Yes ☐ No Proposer and/or their Principal Owner has a minimum of five years’ experience in engineering marine projects.

☐ Yes ☐ No Proposer and/or a staff member performing work on the contract has a current Civil Engineering License and/or is registered with the California State Board of Registration for Professional Engineers and Land Surveyors.

☐ Yes ☐ No Vendor must complete and return required Forms P-1 - P-19 with the SOQ.

☐ Yes ☐ No Vendor does not have unresolved questioned cost, as identified by the Auditor-Controller, in an amount over $100,000.00, that are confirmed to be disallowed costs by the contracting County department, and remain unpaid for a period of six months or more from the date of disallowance, unless such disallowed costs are the subject of current good faith negotiations to resolve the disallowed costs, in the opinion of the County.

Vendor further acknowledges that if any false, misleading, incomplete, or deceptively unresponsive statements in connection with this SOQ are made, the SOQ may be rejected. The evaluation and determination in this area shall be at the Director’s sole judgment and his/her judgment shall be final.

Vendor’s Name:
____________________________________________________________________________________

Address:
____________________________________________________________________________________
____________________________________________________________________________________

E-mail address: __________________________ Telephone number: __________________________

Fax number: ______________________________

On behalf of _______________________________(Vendor’s name), I __________________________ (Name of Vendor’s authorized representative) certify that the information contained in this Vendor’s Organization Questionnaire/Affidavit is true and correct to the best of my information and belief.

_____________________________ _______________________________
Signature Internal Revenue Service
Employer Identification Number

_____________________________
Title California Business License Number

_____________________________
Date County WebVen Number
I. FIRM/ORGANIZATION INFORMATION: The information requested below is for statistical purposes only. On final analysis and consideration of award, contractor/vendor will be selected without regard to race/ethnicity, color, religion, sex, national origin, age, sexual orientation or disability.

**Business Structure:**
- ☐ Sole Proprietorship
- ☐ Partnership
- ☐ Corporation
- ☐ Non-Profit
- ☐ Franchise
- ☐ Other (Specify)

**Total Number of Employees** (including owners):

**Race/Ethnic Composition of Firm.** Distribute the above total number of individuals into the following categories:

<table>
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<tr>
<th>Race/Ethnic Composition</th>
<th>Owners/Partners/Associate Partners</th>
<th>Managers</th>
<th>Staff</th>
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</thead>
<tbody>
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<td>Black/African American</td>
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<td>White</td>
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II. PERCENTAGE OF OWNERSHIP IN FIRM: Please indicate by percentage (%) how ownership of the firm is distributed.

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<tr>
<th></th>
<th>Black/African American</th>
<th>Hispanic/Latino</th>
<th>Asian or Pacific Islander</th>
<th>American Indian</th>
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<td>Men</td>
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III. CERTIFICATION AS MINORITY, WOMEN, DISADVANTAGED, AND DISABLED VETERAN BUSINESS ENTERPRISES: If your firm is currently certified as a minority, women, disadvantaged or disabled veteran owned business enterprise by a public agency, complete the following and attach a copy of your proof of certification. (Use back of form, if necessary.)

<table>
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<tr>
<th>Agency Name</th>
<th>Minority</th>
<th>Women</th>
<th>Disadvantaged</th>
<th>Disabled Veteran</th>
<th>Other</th>
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Vendor further acknowledges that if any false, misleading, incomplete, or deceptively unresponsive statements in connection with this SOQ are made, the SOQ may be rejected. The evaluation and determination in this area shall be at the Director’s sole judgment and his/her judgment shall be final.

**DECLARATION:** I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE ABOVE INFORMATION IS TRUE AND ACCURATE.

<table>
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<tr>
<th>VENDOR NAME:</th>
<th>COUNTY WEBVEN NUMBER:</th>
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| ADDRESS: | |
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| PHONE NUMBER: | E-MAIL: | |
|---------------|---------||

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<tr>
<th>INTERNAL REVENUE SERVICE EMPLOYER IDENTIFICATION NUMBER:</th>
<th>CALIFORNIA BUSINESS LICENSE NUMBER:</th>
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| VENDOR OFFICIAL NAME AND TITLE (PRINT): | |
|----------------------------------------||

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### JUDGMENTS IN THE LAST FIVE YEARS

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<th>COURT JURISDICTION</th>
<th>OUTCOME OF CASE</th>
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### PENDING LITIGATION

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<th>COURT CASE ID#</th>
<th>COURT JURISDICTION</th>
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Attach additional pages if necessary
ATTESTATION OF WILLINGNESS TO CONSIDER GAIN/GROW PARTICIPANTS

As a threshold requirement for consideration for contract award, Vendor shall demonstrate a proven record for hiring GAIN/GROW participants or shall attest to a willingness to consider GAIN/GROW participants for any future employment opening if they meet the minimum qualifications for that opening. Additionally, Vendor shall attest to a willingness to provide employed GAIN/GROW participants access to the Vendor’s employee mentoring program, if available, to assist these individuals in obtaining permanent employment and/or promotional opportunities.

To report all job openings with job requirements to obtain qualified GAIN/GROW participants as potential employment candidates, Contractor shall email: GAINGROW@DPSS.LACOUNTY.GOV and BSERVICES@WDACS.LACOUNTY.GOV.

Vendors unable to meet this requirement shall not be considered for contract award.

Vendor shall complete all of the following information, sign where indicated below, and return this form with any resumes and/or fixed price bid being submitted:

A. Vendor has a proven record of hiring GAIN/GROW participants.
   ______YES  (subject to verification by County)  ______NO

B. Vendor is willing to provide DPSS with all job openings and job requirements to consider GAIN/GROW participants for any future employment openings if the GAIN/GROW participant meets the minimum qualifications for the opening. “Consider” means that Vendor is willing to interview qualified GAIN/GROW participants.
   ______YES  ______NO

C. Vendor is willing to provide employed GAIN/GROW participants access to its employee-mentoring program, if available.
   ______YES  ______NO  ______N/A (Program not available)

Vendor Organization: _________________________________________________________

Signature: ___________________________________________________________________

Print Name: ________________________________________________________________

Title: _______________________________ Date: _______________________________

Telephone No.: ______________________ Fax No.: _____________________________
REQUEST FOR PREFERENCE CONSIDERATION

INSTRUCTIONS: Businesses requesting preference consideration must complete and return this form for proper consideration of the bid. Businesses may request consideration for one or more preference programs. Check all certifications that apply.*

I MEET ALL OF THE REQUIREMENTS AND REQUEST THIS BID BE CONSIDERED FOR THE PREFERENCE PROGRAM(S) SELECTED BELOW. A COPY OF THE CERTIFICATION LETTER ISSUED BY THE DEPARTMENT OF CONSUMER AND BUSINESS AFFAIRS (DCBA) IS ATTACHED.

☐ Request for Local Small Business Enterprise (LSBE) Program Preference

☐ Certified by the State of California as a small business and has had its principal place of business located in Los Angeles County for at least one (1) year; or
☐ Certified as a LSBE with other certifying agencies under DCBA’s inclusion policy that has its principal place of business located in Los Angeles County and has revenues and employee size that meet the State’s Department of General Services requirements; and
☐ Certified as a LSBE by the DCBA.

☐ Request for Social Enterprise (SE) Program Preference

☐ A business that has been in operation for at least one year providing transitional or permanent employment to a Transitional Workforce or providing social, environmental and/or human justice services; and
☐ Certified as a SE business by the DCBA.

☐ Request for Disabled Veterans Business Enterprise (DVBE) Program Preference

☐ Certified by the State of California, or
☐ Certified by U.S. Department of Veterans Affairs as a DVBE; or
☐ Certified as a DVBE with other certifying agencies under DCBA’s inclusion policy that meets the criteria set forth by: the State of California as a DVBE or is verified as a service-disabled veteran-owned small business by the Veterans Administration: and
☐ Certified as a DVBE by the DCBA.

*BUSINESS UNDERSTANDS THAT ONLY ONE OF THE ABOVE PREFERENCES WILL APPLY. IN NO INSTANCE SHALL ANY OF THE ABOVE LISTED PREFERENCE PROGRAMS PRICE OR SCORING PREFERENCE BE COMBINED WITH ANY OTHER COUNTY PROGRAM TO EXCEED FIFTEEN PERCENT (15%) IN RESPONSE TO ANY COUNTY SOLICITATION.

DECLARATION: I DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE ABOVE INFORMATION IS TRUE AND ACCURATE.

☐ DCBA certification is attached.

Name of Firm | County Webven No.
--- | ---
Print Name: | Title:
Signature: | Date:

Reviewer's Signature | Approved | Disapproved | Date
--- | --- | --- | ---


COMPLIANCE WITH FAIR CHANCE EMPLOYMENT HIRING PRACTICES CERTIFICATION

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<td>Email address:</td>
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<td>Solicitation/Contract for Services</td>
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PROPOSER/CONTRACTOR CERTIFICATION

The Los Angeles County Board of Supervisors approved a Fair Chance Employment Policy in an effort to remove job barriers for individuals with criminal records. The policy requires businesses that contract with the County to comply with fair chance employment hiring practices set forth in California Government Code Section 12952, Employment Discrimination: Conviction History (California Government Code Section 12952), effective January 1, 2018.

Proposer/Contractor acknowledges and certifies compliance with fair chance employment hiring practices set forth in California Government Code Section 12952 and agrees that proposer/contractor and staff performing work under the Contract will be in compliance. Proposer/Contractor further acknowledges that noncompliance with fair chance employment practices set forth in California Government Code Section 12952 may result in rejection of any proposal, or termination of any resultant Contract, at the sole judgment of the County.

I declare under penalty of perjury under the laws of the State of California that the information herein is true and correct and that I am authorized to represent this company.

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LISTING OF CONTRACTORS DEBARRED
IN LOS ANGELES COUNTY

List of Debarred Contractors in Los Angeles County may be obtained by going to the following website:

http://doingbusiness.lacounty.gov/DebarmentList.htm
APPENDIX F

IRS NOTICE 1015


Notice 1015

(Rev. December 2017)

Have You Told Your Employees About the Earned Income Credit (EIC)?

What is the EIC?
The EIC is a refundable tax credit for certain workers.

Which Employees Must I Notify About the EIC?
You must notify each employee who worked for you at any time during the year and from whose wages you did not withhold income tax. However, you do not have to notify any employee who claimed exemption from withholding on Form W-4, Employee’s Withholding Allowance Certificate.

Note: You are encouraged to notify each employee whose wages for 2017 are less than $53,930 that he or she may be eligible for the EIC.

How and When Must I Notify My Employees?
You must give the employee one of the following:
• The IRS Form W-2, Wage and Tax Statement, which has the required information about the EIC on the back of Copy B.
• A substitute Form W-2 with the same EIC information on the back of the employee’s copy that is on Copy B of the IRS Form W-2.
• Notice 797, Possible Federal Tax Refund Due to the Earned Income Credit (EIC).
• Your written statement with the same wording as Notice 797.

If you give an employee a Form W-2 on time, no further notice is necessary if the Form W-2 has the required information about the EIC on the back of the employee’s copy. If you give an employee a substitute Form W-2, but it does not have the required information, you must notify the employee within 1 week of the date the substitute Form W-2 is given. If Form W-2 is required but is not given on time, you must give the employee Notice 797 or your written statement by the date Form W-2 is required to be given. If Form W-2 is not required, you must notify the employee by February 7, 2018.

You must hand the notice directly to the employee or send it by first-class mail to the employee’s last known address. You will not meet the notification requirements by posting Notice 797 on an employee bulletin board or sending it through office mail. However, you may want to post the notice to help inform all employees of the EIC. You can download copies of the notice at www.irs.gov/Form797. Or you can go to www.irs.gov/OrderForms to order it.

How Will My Employees Know If They Can Claim the EIC?
The basic requirements are covered in Notice 797. For more detailed information, the employee needs to see Pub. 586, Earned Income Credit (EIC), or the instructions for Form 1040, 1040A, or 1040EZ.

How Do My Employees Claim the EIC?
An eligible employee claims the EIC on his or her 2017 tax return. Even an employee who has no tax withheld from wages and owes no tax may claim the EIC and ask for a refund, but he or she must file a tax return to do so. For example, if an employee has no tax withheld in 2017 and owes no tax but is eligible for a credit of $800, he or she must file a 2017 tax return to get the $800 refund.

Notice 1015 (Rev. 12-2017)
Cat. No. 20598I