COUNTY OF LOS ANGELES

MARVIN J. SOUTHARD, D.S.W.
Director

SHEILA A. SHIMA
Chief Deputy Director

RODERICK SHANER, M.D.
Medical Director

550 SOUTH VERMONT AVENUE, LOS ANGELES, CALIFORNIA 90020

BOARD OF SUPERVISORS
GLORIA MOLINA
YVONNE B. BURKE
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DEPARTMENT OF MENTAL HEALTH

http://dmh.lacounty.gov

Reply To: (213) 738-4601
Fax: (213) 386-1297

April 19, 2007

The Honorable Board of Supervisors
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, CA 90012

Dear Supervisors:

AUTHORIZATION FOR ACCEPTANCE OF FEDERAL INDIVIDUALS WITH DISABILITIES EDUCATION ACT FUNDS
AND
APPROVAL OF MEMORANDUM OF UNDERSTANDING WITH LOS ANGELES COUNTY OFFICE OF EDUCATION FOR FISCAL YEAR 2006-07
(ALL SUPERVISORIAL DISTRICTS)
(3 VOTES)

IT IS RECOMMENDED THAT YOUR BOARD:

1. Authorize the receipt of Federal Individuals with Disabilities Education Act (IDEA) funds totaling $13,832,574 from the Los Angeles County Office of Education (LACOE) for Fiscal Year (FY) 2006-07 for mental health services to eligible students identified with disabilities and with an Individualized Education Plan (IEP). Effective upon Board approval, these Federal IDEA funds, which were allocated to LACOE by the California Department of Education in accordance with Assembly Bill (AB) 1801, Chapter 47, Statutes of 2006, will be transferred to the County of Los Angeles on behalf of the Department of Mental Health (DMH) for FY 2006-07 only. These funds are included in DMH's FY 2006-07 Adopted Budget.

2. Approve the attached Memorandum of Understanding (MOU) with LACOE to allow for the transfer of these Federal IDEA funds, in order to comply with legislation, and instruct the Chairman of your Board and the Executive Officer, Board of Supervisors, to sign and certify two (2) original MOUs.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTIONS

Board approval is required to receive the IDEA funding allocation from LACOE to allow County to provide State-mandated mental health services (referred to as "AB 3632" or "Chapter 26.5 services"), without interruption, to eligible students identified with disabilities and with an IEP, pursuant to Government Code Section 7570 et seq. (Chapter 1747, Statutes of 1984). AB 1801 provides Federal IDEA funds for mental health services, pursuant to Government Code Section 7570 et seq., through LACOE.

The MOU is retroactive to July 1, 2006, as a result of LACOE's late submission of the MOU to the County on February 15, 2007. Submission of this Board letter meets the exemption criteria No. 2, "The County's receiving funds (normally from a higher level of government)," noted in the Chief Administrative Officer's (CAO) September 7, 2000, memo regarding your Board's policy on timely submission for Board approval.

Implementation of Strategic Plan Goals

The recommended Board actions are consistent with the County's Programmatic Goal No. 5, "Children and Families' Well-Being," within the Countywide Strategic Plan. These funds will maintain the level of special education services for eligible students with disabilities.

FISCAL IMPACT/FINANCING

There is no impact on net County cost.

Federal IDEA funds in the amount of $13,832,574 are included in DMH's FY 2006-07 Adopted Budget to pay for mandated Chapter 26.5 services provided in FY 2006-07.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

In California, counties are responsible for providing mental health services to students when required in the student's IEP under statute and regulation (Government Code Section 7570 et seq.). This is a Federal mandate under IDEA.

Prior to FY 2002-03, a total of $12 million had been budgeted for counties statewide as categorical funding to pay for mandated services under Chapter 26.5. Since the costs incurred by counties in providing those services far exceeded the categorical funding, counties were reimbursed their excess costs through the Senate Bill (SB) 90 mandate reimbursement process. However, the FY 2002-03 State Budget eliminated the

$12 million categorical funding. Further, State placed a moratorium on SB 90 mandate reimbursements to local governments, creating an acute financial hardship on local governments, mandated to pay for mental health services to more than 27,000 disabled students statewide, including approximately 6,000 in Los Angeles County. Fortunately, in FY 2005-06 the State restored the SB 90 reimbursement mechanism and developed a repayment plan to the counties over a 15 year period to reimburse for prior years claimed.

Proposition 1A, approved by the voters in the November 2004 election, amended the California Constitution to require full funding to local governments to provide programs and services mandated by the State. The Budget Act of 2006-07 (AB 1801) provides $69 million statewide of Federal IDEA funds, of which $13,832,574 are allocated for Los Angeles County.

The Budget Act also renewed the categorical program of State General Funds, in the amount of $52 million statewide, of which $16,086,217 is allocated to Los Angeles County. Authorization to accept the categorical State General Funds will be presented to your Board for approval in a separate action to this request.

The requirement for DMH to receive this funding funnelled through LACOE is determined by AB 1801 disbursement methodology. This AB 1801 funding is allocated only to local education agencies. The funds are granted to the County Office of Education of each county. Legislation further stipulates that the local County Office of Education shall contract with the local Mental Health Plan for the transfer of these funds in their entirety. The allocation amount to each county is determined by the amount of funding each county received in FY 2004-05, according to the allocation formula approved by the State.

The attached MOUs have been approved as to form by County Counsel. The CAO and LACOE have reviewed and approved the proposed actions.

IMPACT ON CURRENT SERVICES

Board approval of the acceptance of Federal IDEA funds totaling $13,832,574 from LACOE will provide continual funding to the County to provide mandated mental health services to eligible students identified with disabilities and with an IEP so that they may benefit from public education.

CONCLUSION

The DMH will need one (1) copy of the adopted Board actions and two (2) original signed MOUs. It is requested that the Executive Officer of the Board notify the DMH Contracts Development and Administration Division at (213) 738-4684 when these documents are available.

Respectfully submitted,

Marvin J. Southard, D.S.W.
Director of Mental Health

MJS:SS:OC:RK

Attachments (2)

c: Chief Administrative Officer
Auditor-Controller
County Counsel
Los Angeles County Office of Education (LACOE), Donald K. Shelton
Chairperson, Mental Health Commission

RK:FM:PP: LACOE MOU IDEA FY 06-07

MEMORANDUM OF UNDERSTANDING
BETWEEN
COUNTY OF LOS ANGELES
AND
LOS ANGELES COUNTY OFFICE OF EDUCATION

This MEMORANDUM OF UNDERSTANDING (MOU), entered into this 8th day of February, 2007, which date is enumerated for purposes of reference only, is by and between the COUNTY OF LOS ANGELES (COUNTY) and LOS ANGELES COUNTY OFFICE OF EDUCATION (LACOE). This MOU shall be administered by the County of Los Angeles Department of Mental Health (DIRECTOR).

WHEREAS, Government Code Section 7570 et seq. (Chapter 1747, Statutes of 1984) (Assembly Bill 3632) requires COUNTY to provide mental health services which are identified within the individualized education plan (IEP) pursuant to Government Code Section 7572 et seq.;

WHEREAS, since 1984, COUNTY has provided mental health services pursuant to AB 3632;

WHEREAS, COUNTY and Special Education Local Planning Areas (SELPA) enter into an Interagency Agreement(s) for services pursuant to Government Code Section 7570 et seq. which is reviewed annually;

WHEREAS, Assembly Bill (AB) 1801 (Chapter 47, Statutes of 2006) provides Federal Individuals with Disabilities Education Act (IDEA) funds for mental health services pursuant to Government Code Section 7570 et seq. through county offices of education, which in Los Angeles is LACOE;

WHEREAS, this Agreement will, consistent with AB 1801, enable LACOE to transfer these funds in their entirety to COUNTY to provide specified mental health services to eligible students;

WHEREAS, this funding shall be considered offsetting revenue within the meaning of Government Code Section 17556, subdivision (e), for any reimbursable mandated cost claim for the provision of these mental health services provided in Fiscal Year 2006-2007; and

WHEREAS, these funds shall be used exclusively for purposes of funding mental health services, which are related to an individualized education plan (IEP) in Fiscal Year 2006-2007.

NOW, THEREFORE, it is mutually agreed as follows:

A. COUNTY shall submit an invoice to LACOE requesting all funds specified in the local allocation prescribed under AB 1801.

B. Upon its receipt of funds, LACOE shall transfer to COUNTY the local allocation prescribed under AB 1801 for Fiscal Year 2006-2007 in its entirety.

C. COUNTY shall deposit these funds into a separate account for the purpose of identifying expenditures incurred for the provision of mental health services pursuant to this MOU.

D. COUNTY shall provide an accounting to LACOE of expenditures incurred pursuant to this MOU.

E. This MOU pertains only to the enactment of funding and other provisions of AB 1801 and shall terminate on June 30, 2007.

F. GENERAL ASSURANCES - By executing this MOU, both parties agree that the General Assurances and Federal Funds Conditions specified in Attachment I will be observed. It is understood and agreed that nothing in Attachment I is intended to make LACOE responsible for the expenditure of funds following their transfer to COUNTY.

IN WITNESS WHEREOF, the parties have caused this Memorandum of Understanding to be executed by their duly authorized officers in the County of Los Angeles, State of California.

LOS ANGELES COUNTY OFFICE OF EDUCATION

BY: _____ DATED 2/8/07
Donald Kenneth Shelton

TITLE: Assistant Superintendent, Business Services

COUNTY OF LOS ANGELES

BY: _____ DATED_____
CHAIRMAN OF THE BOARD OF SUPERVISORS

SIGNED AND CERTIFIED THAT A COPY
OF THIS DOCUMENT HAS BEEN DELIVERED
TO THE CHAIRMAN OF THE BOARD

_____ DATED_____
Clerk of the Board of Supervisors
of The County of Los Angeles, California

APPROVED AS TO FORM
OFFICE OF THE COUNTY COUNSEL
LOS ANGELES, CALIFORNIA

BY: _____ DATED 3/30/07
DEPUTY COUNTY COUNSEL

GENERAL ASSURANCES AND FEDERAL FUNDS CONDITIONS

The signature of the Authorized Official on the Certificate of Acceptance of Grant conditions acknowledges that General Assurances and Federal Funds Conditions will be observed.

GENERAL ASSURANCES

1. Programs and services shall be in compliance with Title VI and Title VII of the U.S. Civil Rights Act of 1964, the California Fair Employment Practices Act, and Subchapter 4 (commencing with Section 30) of Chapter I of Division 1 of Title 5, California Code of Regulations. A statement of compliance with Title VI of the Civil Rights Act of 1964 has been filed with the County Office of Education.

2. Programs and services shall be in compliance with Title IX (nondiscrimination on the basis of sex) of the Federal Education Amendments of 1972 (20 U.S.C. 1681-1683) and subsequent amendments.

3. Programs and services shall be in compliance with affirmative action provisions of the Federal Education Amendments of 1972 and subsequent amendments.

4. Programs and services shall be in compliance with the Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) and subsequent amendments.

5. Programs and services for disabled persons shall be in compliance with the Individuals with Disabilities Education Act (20 U.S.C. Sec. 1400-1487, and attendant regulations) and Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 784).

6. (a) When Federal funds are made available, they will be used so as to supplement, and to the extent practicable, increase the amount of State and Local funds that would, in the absence of such funds, be made available for uses specified in the State Plan, and in no case supplant such State or Local funds.
   (b) The awardees shall ensure that Federal funds are not used to reduce the level of expenditures for the preceeding fiscal year as described in 34 CFR 300.231-300.232.
   (c) In accepting these funds under this grant program the grantee and any sub grantees ensure that they will continue to provide services for students with disabilities as required by Government Code Chapter 26.5, Division 7, Title I.

7. All State and Federal statutes, regulations, program plans, and applications applicable to each program under which Federal and State funds are made available through this application will be met by the applicant agency in its administration of each program, and the undersigned is authorized to file these assurances for such applicant agency.

8. The Local agency will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, State and Federal funds paid to that agency under each program.

9. The public agency shall make reports to the State agency or board and to the State Superintendent of Public Instruction as may reasonably be necessary to enable the State agency or board and the Superintendent to perform their duties and will maintain such records and provide access to those records as the State agency or board or the Superintendent deems necessary. Such records shall include, but not be limited to, records which fully disclose the amount and disposition by the recipient of those funds, the total cost of the activity for which the funds are used, the share of that cost provided from other sources, and such other records as will facilitate an effective audit. The recipient shall maintain such records for five years after completion of the activities for which the funds are used.

10. Any application, evaluation, periodic program plan, and/or report relating to each program will be made readily available to parents and other members of the general public.

11. Auditable records of each participating school program will be maintained on file at the district office. (5 C.C.R. 3944; 34 C.F.R. 74.24)

12. Each Local agency shall have adopted policies and procedures consistent with Chapter 5.1 (commencing with Section 4600 of Division 1 of Title 5 of the California Code of Regulations) for investigation and solution of complaints. Each LEA shall annually notify in writing, as applicable, its students, employees, parents or guardians of its students, the district advisory committee, school advisory committees, and other interested parties of their LEA complaint procedures, including the opportunity to appeal to the California Department of Education and the provisions of Chapter 5.1 (5 C.C.R. 4620-4632).

13. Any funds under any application program, which pursuant to paragraph (1), are available for obligation and expenditure in the year appropriated shall be obligated and expended in accordance with: (a) the Federal statutory and regulatory provisions relating to such program which are in effect for such succeeding fiscal year, and (b) any program plan or application submitted by such educational agencies for institutions for such programs for such succeeding fiscal year. "Obligations" are the amounts of orders placed, contracts and sub-grants awarded, services received, and similar transactions during a given period, which will require payment during the same or future period.

14. As required by Section 8355 of the California Government Code and Sections 701-707 of Chapter 10 of Title 41 of the United States Code, and implemented at 34 C.F.R. Part 85, Sections 85.600-635, to provide drug-free workplace, the above named contractor or grant recipient will continue to provide a drug-free workplace by: (a) publishing a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to taken against employees for violations, as defined at 34 C.F.R., Part 85, Section 85.605 and 85.610; (b) establishing a Drug-Free Awareness Program as required by the California Government Code Section 8355(b), to inform employees about all of the following: (1)

the dangers of drug abuse in the workplace; (2) the person organization's policy of maintaining a drug-free workplace; (3) any available counseling, rehabilitation and employee assistance programs; and ; (4) penalties that may be imposed upon employees for drug abuse violations; and (c) provide as required by California Government Code Section 8355(c) that every employee who works on the proposed contract or grant: (1) will receive a copy of the company's drug-free workplace policy statement, and (2) will agree to abide by the terms of the company's statement as a condition of employment on the contract or grant.

15. As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 C.F.R. Part 82, for prospective participants entering into a grant or cooperative agreement over $100,000, as defined at 34 C.F.R. Part 82, Section 82.105 and 82.110, the applicant certifies that: (a) no Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of a member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement; (b) if any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of a member of Congress in connection with Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, "Disclosure Form to Report Lobbying," in accordance with these instructions; and (c) the undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub grants, contracts under grants and cooperative agreements, and subcontracts) and that all sub recipients shall certify and disclose accordingly.

16. As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 C.F.R. Part 85, for prospective participants in primary covered transactions, as defined at 34 C.F.R. Part 85, Sections 85.105 and 85.110. The applicant certifies that it and its principals: (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency; (b) have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or distraction of records, making false statements or receiving stolen property; (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or Local) with commission of any offenses enumerated in paragraph (15)(b) of this certification; and (d) have not within a three-year period preceding this application had one or more public transactions (Federal, State, or Local) terminated for cause or default.
Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this grant.

17. **The following is required for all State grants:**

Recipient, by signing this grant, hereby acknowledges the applicability of Government Code Section 16645 through 126649 to this agreement. Furthermore, recipient, by signing this agreement, hereby certifies that: (a) no State funds disbursed by this grant will be used to assist, promote, or deter union organizing; (b) recipient shall account for State funds disbursed for a specific expenditure by this grant to show that those funds were allocated to that expenditure; (c) recipient shall, where State funds are not designated as in item b above, allocate on a pro rata basis all disbursements that support the grant program; (d) recipient makes expenditures to assist, promote, or deter union organizing. Recipient will maintain records sufficient to show that no State funds were used for those expenditures, and shall provide those records to the Attorney General upon request; and (e) recipient hereby certifies that no request for reimbursement, or payment under this agreement, will seek reimbursement for cost incurred to assist, promote, or deter union organizing.

Federal Funds Conditions

1. This award is valid and enforceable only if the United States Government makes sufficient funds available to the State for the current Fiscal Year for the purposes of this program. In addition, this award is subject to any additional restrictions, limitation, or conditions enacted by Congress or any statute enacted by Congress, which may affect the provisions, terms or funding of this award in any manner.

2. It is mutually agreed that if Congress does not appropriate sufficient funds for the program, this grant shall be amended to reflect any reduction of funds.

3. For the purpose of compliance with the Office of Management and Budget Circular A-133 Compliance Supplement, the "State laws and procedures applicable to sub recipients" of the California Department of Education are those State laws, regulations, and procedures applicable to State agencies.

4. Section 80.21, Title 34, of the Code of Federal Regulations allows the State's sub recipients to receive payments provided they demonstrate the willingness and ability to minimize the time elapsing between the receipt and disbursements of Federal funds; otherwise, reimbursement is the preferred method of payment. Further, this section requires the State's sub recipients to promptly remit to the Federal agency any interest greater than $100 that they earn on the payments. Additionally, if the State's sub recipients receive payments, Section 80.20(b)(7), Title 34, of the Code of Federal Regulations, requires them to follow procedures for minimizing the time between the receipt and disbursement of Federal funds.

MEMORANDUM OF UNDERSTANDING
BETWEEN
COUNTY OF LOS ANGELES
AND
LOS ANGELES COUNTY OFFICE OF EDUCATION

This MEMORANDUM OF UNDERSTANDING (MOU), entered into this 8th day of February, 2007, which date is enumerated for purposes of reference only, is by and between the COUNTY OF LOS ANGELES (COUNTY) and LOS ANGELES COUNTY OFFICE OF EDUCATION (LACOE). This MOU shall be administered by the County of Los Angeles Department of Mental Health (DIRECTOR).

WHEREAS, Government Code Section 7570 et seq. (Chapter 1747, Statutes of 1984) (Assembly Bill 3632) requires COUNTY to provide mental health services which are identified within the individualized education plan (IEP) pursuant to Government Code Section 7572 et seq.;

WHEREAS, since 1984, COUNTY has provided mental health services pursuant to AB 3632;

WHEREAS, COUNTY and Special Education Local Planning Areas (SELPA) enter into an Interagency Agreement(s) for services pursuant to Government Code Section 7570 et seq. which is reviewed annually;

WHEREAS, Assembly Bill (AB) 1801 (Chapter 47, Statutes of 2006) provides Federal Individuals with Disabilities Education Act (IDEA) funds for mental health services pursuant to Government Code Section 7570 et seq. through county offices of education, which in Los Angeles is LACOE;

WHEREAS, this Agreement will, consistent with AB 1801, enable LACOE to transfer these funds in their entirety to COUNTY to provide specified mental health services to eligible students;

WHEREAS, this funding shall be considered offsetting revenue within the meaning of Government Code Section 17556, subdivision (e), for any reimbursable mandated cost claim for the provision of these mental health services provided in Fiscal Year 2006-2007; and

WHEREAS, these funds shall be used exclusively for purposes of funding mental health services, which are related to an individualized education plan (IEP) in Fiscal Year 2006-2007.

NOW, THEREFORE, it is mutually agreed as follows:

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C. COUNTY shall deposit these funds into a separate account for the purpose of identifying expenditures incurred for the provision of mental health services pursuant to this MOU.

D. COUNTY shall provide an accounting to LACOE of expenditures incurred pursuant to this MOU.

E. This MOU pertains only to the enactment of funding and other provisions of AB 1801 and shall terminate on June 30, 2007.

F. GENERAL ASSURANCES - By executing this MOU, both parties agree that the General Assurances and Federal Funds Conditions specified in Attachment I will be observed. It is understood and agreed that nothing in Attachment I is intended to make LACOE responsible for the expenditure of funds following their transfer to COUNTY.

IN WITNESS WHEREOF, the parties have caused this Memorandum of Understanding to be executed by their duly authorized officers in the County of Los Angeles, State of California.

LOS ANGELES COUNTY OFFICE OF EDUCATION

BY: _____ DATED 2/8/07
Donald Kenneth Shelton

TITLE: Assistant Superintendent, Business Services

COUNTY OF LOS ANGELES

BY: _____ DATED_____
CHAIRMAN OF THE BOARD OF SUPERVISORS

SIGNED AND CERTIFIED THAT A COPY
OF THIS DOCUMENT HAS BEEN DELIVERED
TO THE CHAIRMAN OF THE BOARD

_____ DATED_____
Clerk of the Board of Supervisors
of The County of Los Angeles, California

APPROVED AS TO FORM
OFFICE OF THE COUNTY COUNSEL
LOS ANGELES, CALIFORNIA

BY: _____ DATED 3/30/07
DEPUTY COUNTY COUNSEL

GENERAL ASSURANCES AND FEDERAL FUNDS CONDITIONS

The signature of the Authorized Official on the Certificate of Acceptance of Grant conditions acknowledges that General Assurances and Federal Funds Conditions will be observed.

GENERAL ASSURANCES

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3. Programs and services shall be in compliance with affirmative action provisions of the Federal Education Amendments of 1972 and subsequent amendments.

4. Programs and services shall be in compliance with the Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) and subsequent amendments.

5. Programs and services for disabled persons shall be in compliance with the Individuals with Disabilities Education Act (20 U.S.C. Sec. 1400-1487, and attendant regulations) and Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 784).

6. (a) When Federal funds are made available, they will be used so as to supplement, and to the extent practicable, increase the amount of State and Local funds that would, in the absence of such funds, be made available for uses specified in the State Plan, and in no case supplant such State or Local funds.
   (b) The awardees shall ensure that Federal funds are not used to reduce the level of expenditures for the preceeding fiscal year as described in 34 CFR 300.231-300.232.
   (c) In accepting these funds under this grant program the grantee and any sub grantees ensure that they will continue to provide services for students with disabilities as required by Government Code Chapter 26.5, Division 7, Title I.

7. All State and Federal statutes, regulations, program plans, and applications applicable to each program under which Federal and State funds are made available through this application will be met by the applicant agency in its administration of each program, and the undersigned is authorized to file these assurances for such applicant agency.

8. The Local agency will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, State and Federal funds paid to that agency under each program.

9. The public agency shall make reports to the State agency or board and to the State Superintendent of Public Instruction as may reasonably be necessary to enable the State agency or board and the Superintendent to perform their duties and will maintain such records and provide access to those records as the State agency or board or the Superintendent deems necessary. Such records shall include, but not be limited to, records which fully disclose the amount and disposition by the recipient of those funds, the total cost of the activity for which the funds are used, the share of that cost provided from other sources, and such other records as will facilitate an effective audit. The recipient shall maintain such records for five years after completion of the activities for which the funds are used.

10. Any application, evaluation, periodic program plan, and/or report relating to each program will be made readily available to parents and other members of the general public.

11. Auditable records of each participating school program will be maintained on file at the district office. (5 C.C.R. 3944; 34 C.F.R. 74.24)

12. Each Local agency shall have adopted policies and procedures consistent with Chapter 5.1 (commencing with Section 4600 of Division 1 of Title 5 of the California Code of Regulations) for investigation and solution of complaints. Each LEA shall annually notify in writing, as applicable, its students, employees, parents or guardians of its students, the district advisory committee, school advisory committees, and other interested parties of their LEA complaint procedures, including the opportunity to appeal to the California Department of Education and the provisions of Chapter 5.1 (5 C.C.R. 4620-4632).

13. Any funds under any application program, which pursuant to paragraph (1), are available for obligation and expenditure in the year appropriated shall be obligated and expended in accordance with: (a) the Federal statutory and regulatory provisions relating to such program which are in effect for such succeeding fiscal year, and (b) any program plan or application submitted by such educational agencies for institutions for such programs for such succeeding fiscal year. "Obligations" are the amounts of orders placed, contracts and sub-grants awarded, services received, and similar transactions during a given period, which will require payment during the same or future period.

14. As required by Section 8355 of the California Government Code and Sections 701-707 of Chapter 10 of Title 41 of the United States Code, and implemented at 34 C.F.R. Part 85, Sections 85.600-635, to provide drug-free workplace, the above named contractor or grant recipient will continue to provide a drug-free workplace by: (a) publishing a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to taken against employees for violations, as defined at 34 C.F.R., Part 85, Section 85.605 and 85.610; (b) establishing a Drug-Free Awareness Program as required by the California Government Code Section 8355(b), to inform employees about all of the following: (1)

the dangers of drug abuse in the workplace; (2) the person organization's policy of maintaining a drug-free workplace; (3) any available counseling, rehabilitation and employee assistance programs; and ; (4) penalties that may be imposed upon employees for drug abuse violations; and (c) provide as required by California Government Code Section 8355(c) that every employee who works on the proposed contract or grant: (1) will receive a copy of the company's drug-free workplace policy statement, and (2) will agree to abide by the terms of the company's statement as a condition of employment on the contract or grant.

15. As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 C.F.R. Part 82, for prospective participants entering into a grant or cooperative agreement over $100,000, as defined at 34 C.F.R. Part 82, Section 82.105 and 82.110, the applicant certifies that: (a) no Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of a member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement; (b) if any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of a member of Congress in connection with Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form – LLL, "Disclosure Form to Report Lobbying," in accordance with these instructions; and (c) the undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub grants, contracts under grants and cooperative agreements, and subcontracts) and that all sub recipients shall certify and disclose accordingly.

16. As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 C.F.R. Part 85, for prospective participants in primary covered transactions, as defined at 34 C.F.R. Part 85, Sections 85.105 and 85.110. The applicant certifies that it and its principals: (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency; (b) have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or distraction of records, making false statements or receiving stolen property; (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or Local) with commission of any offenses enumerated in paragraph (15)(b) of this certification; and (d) have not within a three-year period preceding this application had one or more public transactions (Federal, State, or Local) terminated for cause or default.
Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this grant.

17. **The following is required for all State grants:**

Recipient, by signing this grant, hereby acknowledges the applicability of Government Code Section 16645 through 126649 to this agreement. Furthermore, recipient, by signing this agreement, hereby certifies that: (a) no State funds disbursed by this grant will be used to assist, promote, or deter union organizing; (b) recipient shall account for State funds disbursed for a specific expenditure by this grant to show that those funds were allocated to that expenditure; (c) recipient shall, where State funds are not designated as in item b above, allocate on a pro rata basis all disbursements that support the grant program; (d) recipient makes expenditures to assist, promote, or deter union organizing. Recipient will maintain records sufficient to show that no State funds were used for those expenditures, and shall provide those records to the Attorney General upon request; and (e) recipient hereby certifies that no request for reimbursement, or payment under this agreement, will seek reimbursement for cost incurred to assist, promote, or deter union organizing.

Federal Funds Conditions

1. This award is valid and enforceable only if the United States Government makes sufficient funds available to the State for the current Fiscal Year for the purposes of this program. In addition, this award is subject to any additional restrictions, limitation, or conditions enacted by Congress or any statute enacted by Congress, which may affect the provisions, terms or funding of this award in any manner.

2. It is mutually agreed that if Congress does not appropriate sufficient funds for the program, this grant shall be amended to reflect any reduction of funds.

3. For the purpose of compliance with the Office of Management and Budget Circular A-133 Compliance Supplement, the "State laws and procedures applicable to sub recipients" of the California Department of Education are those State laws, regulations, and procedures applicable to State agencies.

4. Section 80.21, Title 34, of the Code of Federal Regulations allows the State's sub recipients to receive payments provided they demonstrate the willingness and ability to minimize the time elapsing between the receipt and disbursements of Federal funds; otherwise, reimbursement is the preferred method of payment. Further, this section requires the State's sub recipients to promptly remit to the Federal agency any interest greater than $100 that they earn on the payments. Additionally, if the State's sub recipients receive payments, Section 80.20(b)(7), Title 34, of the Code of Federal Regulations, requires them to follow procedures for minimizing the time between the receipt and disbursement of Federal funds.