





Policy of Equity: Background

Highlights of County's Longstanding Equity Complaint Process

1994	2000	2011	2017
County Code § 5.019.010 (c) Sexual Harassment Prohibition and Reporting Process	Board instructed departments to comply with discrimination complaint process (former OAAC)	Board approved CPOE	Board approved CPOE

Policy of Equity

- Unanimously adopted by the Board in 2011
- Replaced existing County equity policies



Policy of Equity: Scope of Coverage

- Workplace conduct
- Workplace conduct must be connected to protected characteristics under State and Federal Law
- Retaliation is strictly prohibited



Policy of Equity: Protected Characteristics

- Age
- Ancestry
- Color
- Ethnicity
- Religious Creed
- Denial of Family and Medical Care Leave
- Disability
- Marital Status

- Medical Condition
- Genetic information
- Military and Veteran Status
- National Origin
- Race
- Sex & Gender
- Gender Identity
- Gender Expression
- Sexual Orientation



Policy of Equity: Proactive Features

- Independent review by legal experts
- 'Checks and Balances system'
- Inappropriate Conduct Toward Others (ICTO) Standard
- Duties of Supervisors and Managers to Report
 - (County was ahead of California State Law)
- No barriers to reporting



Policy of Equity: Protections & Benefits

- Enables positive culture change/corrects behavior
- Promotes equity and inclusion
- Preserves workplace dignity and professionalism
- Provides forum to air complaints
- Applies uniform standard to all (even contractors)
- Allows for early intervention
- Satisfies legal requirements



Policy of Equity: Workplace Awareness

- Regular policy dissemination/acknowledgment (annually)
- Regular mandatory training (every 2 years)
 - Specialized training for supervisors and managers on reporting obligations
- Intranet site (online filing portal/informational resources)



Policy of Equity: Complaint Reporting

- No barriers to reporting
- Complaints can be filed at any time
- Managers and supervisors are required to report (regardless of chain of command)
- Every complaint undergoes thorough assessment
- Comprehensive case management system



Policy of Equity: Reporting Methods

- Online (County intranet)
- Telephone (hotline)
- Fax
- E-mail
- Mail
- In-person



Policy of Equity: Checks and Balances System

County Equity Oversight Panel Independent review by legal experts

BOS	DHR
Complaint IntakeComplaint Assessment	 Complaint Investigation (<i>Potential policy violations</i>) Mediation Services



Policy of Equity: Complaint & Investigations Process

Complaint filed

Employee files complaint, or manager/supervisor reports complaint on behalf of employee

CISU (BOS)

(Intake, triage, analysis/assessment of complaint 'A,' 'B,' 'C,' or 'N')

CEIU (DHR)

(Investigates 'A' Complaints)

CEOP (BOS)

(Reviews all
CISU Assessments,
Briefs CEIU
Investigations,
and makes
Disciplinary
Recommendations

DEPT.

(Responsibility to impose CEOP's recommendations & can take departmental administrative action on B, C, and N complaints)

Employees Retain ALL Grievance and Appeal Rights following a Disciplinary Recommendation from the CPOE.



Policy of Equity: Meets DFEH & EEOC Best Practices

DFEH & EEOC	Policy of Equity Process	
 Policy support at highest organizational levels 	Board of Supervisors & Department Heads	✓
Widely known reporting system that accepts all equity complaints	County intranet, hotline, in-person, mail, e-mail (articulated in Policy, marketing, and training materials)	✓
Lower threshold to prevent illegal conduct	ICTO	✓
4. Prohibition against retaliation	Policy of Equity explicitly prohibits retaliation	✓
5. Confidentiality of information	Policy of Equity states that all complaint information is to be kept confidential	✓
6. Communication back to complaint parties on outcomes	Department responsibility to keep parties updated	✓



Policy of Equity: Meets DFEH & EEOC Best Practices

DFEH & EEOC	Policy of Equity Process	
7. Regularly communicated Policy and training	Regular distribution of Policy and mandatory training	✓
8. Discipline for Policy violations	CEOP briefing recommendations/departmental implementation of discipline	✓
9. Supervisor and manager accountability	Policy of Equity explicitly requires mandatory reporting and workplace monitoring	✓
10. Well-trained, objective, and neutral investigators	All investigative staff are highly qualified and trained	✓



Policy of Equity: Recommendations

- Additional permanent staff and resources to accommodate potential integration of quasi-County entities
- Enhanced marketing campaign
- Augmented technology resources (including online filing)
- Evaluate County contractor processes as it relates to the Policy of Equity
- Ensure compliance with regular mandatory training