HOUSING AUTHORITY
of the County of Los Angeles
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Sean Rogan
Executive Director

ADOPTED
BOARD OF SUPERVISORS
COUNTY OF LOS ANGELES

1-H March 22, 2016

LORI GLASGOW
EXECUTIVE OFFICER

March 22, 2016

Honorable Board of Commissioners
Housing Authority of the
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, California 90012

Dear Commissioners:

APPROVE THE ANNUAL PLAN FOR THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES
(ALL DISTRICTS) (3 VOTE)

SUBJECT

This letter recommends approval of the Housing Authority's Annual Plan for Fiscal Year 2016-2017. The Annual Plan updates the Housing Authority's program goals, major policies, and financial resources. Submission of the Annual Plan is required by the U.S. Department of Housing and Urban Development for receipt of Capital Fund Program funds, operating funds for the Public Housing Program and administrative fees for the Housing Choice Voucher Program. Included as part of the Annual Plan are the updated Capital Fund Program Annual Statement and Five-Year Action Plan, the Admissions and Continued Occupancy Policy for the Public Housing Program, the Public Housing Lease Agreement and the Housing Choice Voucher Program Administrative Plan.

IT IS RECOMMENDED THAT THE BOARD, AT THE CONCLUSION OF THE PUBLIC HEARING:

1. Recommend that the Board of Commissioners find that the activities in the Annual Plan, as described herein, are not subject to the provisions of the California Environmental Quality Act (CEQA), because they will not have the potential for causing a significant effect on the environment.

2. Recommend that the Board of Commissioners approve the Annual Plan, as required by the U.S. Department of Housing and Urban Development (HUD), to update the Housing Authority's program

goals, major policies and financial resources, including the Capital Fund Program (CFP) Annual Statement and Five-Year Action Plan, the Admissions and Continued Occupancy Policy for the Public Housing Program, the Public Housing Lease Agreement, and the Housing Choice Voucher Program Administrative Plan.

3. Recommend that the Board of Commissioners adopt and instruct the Chair to sign the Resolution approving the Annual Plan for submission to HUD, and authorize the Executive Director or his designee to take all actions required for implementation of the Annual Plan.

4. Recommend that the Board of Commissioners authorize the Executive Director or his designee to execute all documents required to receive HUD-allocated CFP funds in the amount of $4,793,396.

5. Recommend that the Board of Commissioners authorize the Executive Director or his designee to incorporate into the Annual Plan all public comments received and approved for inclusion by the Board; and authorize the Executive Director or his designee to submit the Annual Plan to HUD by April 17, 2016.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

Section 511 of the Quality Housing and Work Responsibility Act of 1998 (QHWRA) mandates that the Housing Authority submit an Annual Plan for Fiscal Year 2016-2017.

FISCAL IMPACT/FINANCING

There is no impact on the County general fund. Upon approval of the Annual Plan, the Housing Authority will receive $4,793,396 in CFP funds from HUD for management improvements, administrative costs and housing rehabilitation for the Public Housing Program. HUD allocated funds for the Public Housing Program, CFP and the Housing Choice Voucher Program will be included in the annual budget process.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

On October 21, 1998, the QHWRA mandated that all housing authorities submit an Annual Plan every year and a Five Year Plan every five years to HUD.

The Five-Year Plan is a strategic planning document that identifies the Housing Authority's goals for the next five years. On March 26, 2013, the Board approved the current Five-Year Plan for Fiscal Years 2013-2017.

The Annual Plan identifies major program policies and financial resources. It updates information on housing needs, waiting lists, housing strategies, program policy changes and other program and management data. The Annual Plan must be updated each year, and was last approved by the Board on March 24, 2015.

Also included as part of the Annual Plan are the updated CFP Annual Statement and Five-Year Action Plan, the Admissions and Continued Occupancy Policy for the Public Housing Program, the Public Housing Lease Agreement and the Housing Choice Voucher Program Administrative Plan. Significant changes to these documents are discussed below.

Capital Fund Annual Statement

During Fiscal Year 2014-2015, a total of 1,628 housing units were rehabilitated at ten Public Housing Program developments.

The Fiscal Year 2016-2017 Capital Fund Annual Statement summarizes the Housing Authority's plan to use modernization funds for management improvements, administrative costs, and to rehabilitate 1,061 housing units at eight (8) Public Housing Program developments. Included are proposed work items, estimated costs, and an implementation schedule for the work to be completed.

As authorized by HUD, the Executive Director may amend the CFP Annual Statement as necessary to respond to needs such as housing emergencies, to safeguard property or protect health and safety, or to implement other changes that are in the interests of the Housing Authority and public housing residents. The Executive Director may also implement changes to the CFP Annual Statement in response to changes in federal funding.

Public Housing Program Admissions and Continued Occupancy Policy and Lease Agreement

The purpose of the Admissions and Continued Occupancy Policy (ACOP) and Lease Agreement for the Public Housing Program is to set guidelines to determine eligibility for admission and continued occupancy. The revised Public Housing Program Admissions and Continued Occupancy Policy and Lease Agreement reflect the following five (5) major changes:

1. **Transitional Aged Youth**

   Currently, Transitional Age Youth (TAY) has a 1st admissions homeless preference, but is not limited to a specific housing development.

   Since there are a vast amount of resident services available at the Carmelitos, Nueva Maravilla, and Harbor Hills housing developments, this preference will only

be available at these three (3) family sites. Additionally, the Housing Authority will reserve a maximum of three (3) households per site for this preference.

2. Homeless Preference for South County Family Sites

The Housing Authority currently has a countywide waiting list preference for homeless families. The preference is limited to 30% of the number of vacant general occupancy public housing units available on July 1 of each fiscal year.

For the family properties located specifically in the South Los Angeles County area, the Housing Authority will expand its waiting list homeless preference, which includes victims of domestic violence, by first offering any unit that becomes available to a homeless family. The family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person.

To qualify for this preference, homeless families must be referred by County agencies or Community Based Organizations (CBOs) with a contract or Memorandum of Understanding (MOU) in place with the Housing Authority. Additionally, the Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

3. Interim reexaminations for Income Increases

Currently the Housing Authority processes interim reexaminations for income increases in the following circumstances: receipt of a deferred payment in a lump sum which represents the delayed start of a periodic payment such as unemployment or social security benefits; change in family composition; change in source of income; and an increase in income of $200/month or more.

The Housing Authority will now limit the processing of interim reexaminations for income increases upon the household's request or if there is a change in family composition. This new policy will alleviate the staff administrative burden incurred when processing the vast amount of annual interims. Additionally, this proposed policy is consistent with the Housing Choice Voucher Program. The Housing Authority will continue to process interim reexaminations for income decreases.

4. Over Income Households

Currently there is no existing policy for families that reside in Public Housing with income over 120 percent of the Area Median Income (AMI).

Now, if a family that resides in Public Housing has an income that is over 120 percent of the AMI for the two (2) most recent consecutive years, the Housing

Authority will terminate the family's tenancy within six (6) months. The over income policy will exclude terminating families receiving the Earned Income Disregard and families participating in the Family Self-Sufficiency Program.

5. Family Additions

Currently, upon approval by the Housing Authority, a family may add a disabled adult that requires disability-required care.

The Housing Authority is proposing to clarify language in the ACOP from "a disabled adult who requires disability-required care" to "a disabled adult **parent or child of the head of household/co-head** who requires disability-required care".

Housing Choice Voucher Program Administrative Plan

The purpose of the Housing Choice Voucher Program Administrative Plan is to set forth the policies and procedures that govern the Housing Authority's administration of rental assistance under this program. The revised Housing Choice Voucher Program Administrative Plan reflects the following three (3) major changes for the Section 8 Program:

1. Victims of Sex Trafficking

The Housing Authority currently has a preference for families who live and work in-jurisdiction and are referred to the Housing Authority by law enforcement agencies, subject to the Executive Director's approval. The types of referrals allowable under this preference include victims of domestic violence, person's involuntarily displaced to avoid reprisals, or displaced victims of a hate crime.

The Housing Authority will now expand the types of allowable referrals under this preference to include "victims of sex trafficking".

2. Homeless Preference

The Housing Authority currently has a waiting list preference for homeless families referred by an eligible organization. The number of families that qualify for this preference is currently limited to a number annually determined by the Housing Authority. To qualify for this preference, homeless families must be referred by County agencies with a contract or MOU in place with the Housing Authority, or by CBOs contracted with the Housing Authority. The referring agency provides a certification of the family's homeless status.

The Housing Authority will now require homeless families that qualify for this preference to be referred by an eligible entity utilizing a Coordinated Access System. The referring entity must provide a certification of the family's homeless status. Annually, the Housing Authority will assign a percentage of applications,

as approved by its governing Board of Commissioners, not to exceed thirty-five percent of expected annual voucher attrition.

3. Mandatory Use of a Coordinated Access System

Currently, the Housing Authority does not require its partner agencies to use a Coordinated Access System to refer homeless families for housing assistance.

The Housing Authority will now require the use of a Coordinated Access System for homeless referrals for the following programs:

- Continuum of Care Program – 100 percent of referrals
- Housing Choice Voucher Program Homeless Waiting List Preference– 100 percent of referrals
- Project Based Voucher Program – 80 percent of homeless referrals
- Project Based Veterans Affairs Supportive Housing Program – 80 percent of referrals
- Shelter Plus Care Program – 80 percent of referrals
- Veterans Affairs Supportive Housing Program – 100 percent of referrals

The Housing Authority also updated the Administrative Plan to include the recently adopted Non-Discrimination Policy and Portability policies to comply with codified portability regulations and guidance released since last fiscal year.

Section 24 of the Code of Federal Regulations, Part 903.17, requires a public hearing to approve the Annual Plan. Copies of the Annual Plan were made available for review and comment during a public comment period from December 24, 2015 to February 6, 2016 at 11 housing developments, the South Whittier Community Resource Center, Housing Authority administrative offices, and the Housing Authority website. Notices of the availability of the documents and the public hearing were also published in newspapers of general circulation during the public comment period.

The Summary of Public Outreach regarding the Annual Plan, a list of the eight (8) Public Housing Program housing developments in the Capital Fund Annual Statement for Fiscal Year 2016-2017, and the Annual Plan, are provided as Attachments, A, B, and C, respectively.

The Resolution approving the Annual Plan for submission to HUD, provided as Attachment D, has been approved as to form by County Counsel. At the conclusion of the Public Review and Comment period, the Housing Authority will provide to the Board all public comments pertaining to the Annual Plan. At the conclusion of the public hearing, public comments approved by the Board will be incorporated into the Annual Plan and submitted to HUD.

ENVIRONMENTAL DOCUMENTATION

These activities are exempt from the provisions of the National Environmental Policy Act (NEPA) pursuant to 24 Code of Federal Regulations, Part 58, Section 58.34 (a)(1), because they involve planning activities that will not have a physical impact on or result in any physical changes to the environment. The activities are also not subject to the provisions of CEQA pursuant to State CEQA Guidelines 15060(c)(3) and 15378, because they are not defined as a project under CEQA and do not have the potential for causing a significant effect on the environment.

Prior to implementation of any particular project, an Environmental Service Request will be submitted to the Community Development Commission's Environmental Services Unit for review. Each project will receive an environmental clearance in accordance with CEQA Guidelines and NEPA regulations before proceeding with the project.

IMPACT ON CURRENT PROGRAMS

Submission of the Annual Plan is required by HUD for the receipt of CFP funds and for the continuation of the Public Housing and Housing Choice Voucher Programs.

Respectfully submitted,

SEAN ROGAN
Executive Director

Enclosures

Attachment A

Summary of Public Outreach

Section 511 of the QHWRA instructs every public housing authority to convene one or more Resident Advisory Boards (RABs) to assist and make recommendations on the development of the Annual Plan, as well as on any significant amendments or modifications. Public Housing Program residents and Section 8 Program participants were invited to participate on the RAB to learn about programs included in the Annual Plan and to provide input.

Summary of RAB Activities

Public Housing Program

- On October 13, 2015, a Resident Council Forum was held at the Nueva Maravilla housing development and the Annual Plan was summarized to the Resident Councils. The Housing Authority encouraged all Resident Council members to participate in the upcoming monthly RAB meetings. Staff provided an overview and review of the Annual Plan timeline, and outline.
- A second Resident Council Forum meeting was held during the 45-day public review and comment period on January 12, 2016 at Nueva Maravilla to review all proposed Annual Plan policy and program changes for Fiscal Year 2016-2017.
- Sixteen Resident Council members volunteered to participate in the development of the Annual Plan.
- Two public housing RAB meetings were held. The meetings on November 6, 2015 and November 20, 2015 were held at the Housing Authority Alhambra main office located at 700 W. Main Street, Alhambra, CA 91801.

Section 8

- On December 14, 2015, at the Administrative Office located at 2323 E. Palmdale Blvd. Suite B, Palmdale, CA 93655 a RAB meeting was held. An overview of the 5-Year and Annual Plan and the Administrative Plan policy changes for the Fiscal Year 2016 were reviewed and discussed.
- On December 15, 2015, at the Section 8 Administrative Office located at 700 W. Main St. Alhambra, CA 91801 a second RAB meeting was held. An overview of the 5-Year and Annual Plan and the Administrative Plan policy changes for Fiscal Year 2016-2017 was provided.
- A total of seventeen RAB members participated in the two (2) RAB meetings.

Other Outreach Activities

- A summary of the RAB comments and Housing Authority responses are included in Attachment F of the Annual Plan.
- Spanish translators were provided during the Public Housing and Section 8 RAB meetings if requested.

- In December 2015, a public notice was mailed to all Public Housing residents notifying them of the Public
- Review and Comment Period. A request for Section 8 RAB volunteers is advertised continuously on the Housing Authority website.
- The October 2015 Tenant Talk Newsletter advertised the RAB to all Section 8 program participants. Additionally, a letter was sent to all past RAB members, as well as new Section 8 participants inquiring about the RAB, inviting them to attend a RAB meeting.
- In December of 2015, a public notice announcing the Public Review and Comment Period was published in the Los Angeles Times, La Opinion, International Daily News, Whittier Daily News and the Long Beach Press Telegram. The names of bilingual (Spanish and Russian) Housing Authority staff contacts were provided in the public notice.
- During the Public Review and Comment Period, the Annual Plan was made available at 11 housing developments, the South Whittier Community Resource Center, the Housing Authority Administrative Offices and the Housing Authority website.
- Summaries of the Annual Plan were available during the Public Review and Comment Period in Russian, Mandarin Chinese, Korean and Spanish at the above locations.
- Information regarding the RAB and the Annual Plan is published annually in the Section 8 Tenant Talk newsletter.

Attachment B

Housing Developments in the Capital Fund Annual Statement for Fiscal Year 2016-17

Housing Development	Address	District
1. Southbay Gardens	230 E. 130th St. Los Angeles, CA 90061	2
2. Carmelitos Family	700 Via Wanda Long Beach, CA 90805	4
3. Harbor Hills	26607 S. Western Ave. Lomita, CA 90717	4
4. Monica Manor	1901-1909 11th St. Santa Monica, CA 90405	3
5. Whittier Manor	11527 Slauson Ave. Whittier, CA 90606	4
6. Big Normandie	11431-463 S. Normandie Ave. Los Angeles, CA 90047	2
7. 110th Street	1310 W. 110th Street Los Angeles, CA 90044	2
8. Addington Street	4212-20 E. Addington St. Compton, CA 90221	2

ATTACHMENT C

Streamlined Annual PHA Plan *(High Performer PHAs)*	**U.S. Department of Housing and Urban Development Office of Public and Indian Housing**	**OMB No. 2577-0226 Expires: 02/29/2016**

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

(1) ***High-Performer PHA*** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.

(2) ***Small PHA*** - A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.

(3) ***Housing Choice Voucher (HCV) Only PHA*** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.

(4) ***Standard PHA*** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.

(5) ***Troubled PHA*** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.

(6) ***Qualified PHA*** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

A. PHA Information.

A.1

PHA Name: **Housing Authority of the County of Los Angeles** **PHA Code**: **CA002**
PHA Type: ☐ Small ☒ High Performer
PHA Plan for Fiscal Year Beginning: (MM/YYYY): **07/2016**
PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)
Number of Public Housing (PH) Units: 2,962 **Number of Housing Choice Vouchers (HCVs): 23,792**
Total Combined: 26,754
PHA Plan Submission Type: ☒ **Annual Submission** ☐Revised Annual Submission

Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.

☐ **PHA Consortia**: (Check box if submitting a Joint PHA Plan and complete table below)

Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program	
				PH	HCV
Lead PHA:					

B. Annual Plan Elements

B.1

Revision of PHA Plan Elements.

(a) Have the following PHA Plan elements been revised by the PHA since its last **Annual PHA Plan** submission?

Y N
☒ ☐ Statement of Housing Needs and Strategy for Addressing Housing Needs.
☒ ☐ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.
☒ ☐ Financial Resources.

☒ ☐ Rent Determination.
☐ ☒ Homeownership Programs.
☒ ☐ Safety and Crime Prevention.
☐ ☒ Pet Policy.
☐ ☒ Substantial Deviation.
☐ ☒ Significant Amendment/Modification

(b) The PHA must submit its Deconcentration Policy for Field Office Review.

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At the briefing, families are encouraged to search for housing in non-impacted areas. The Housing Authority provides assistance to families who wish to do so.
The assistance provided to such families includes: Direct contact with owners; Counseling with the family; Providing information about services in various non-impacted areas; Meeting with neighborhood groups to promote understanding; Formal or informal discussions with owner groups; Formal or informal discussions with social service agencies; Meeting with rental referral companies or agencies; and Meeting with fair housing groups or agencies.
The Housing Authority currently contracts with Socialserve.com, an internet-based housing search service. This service, part of the LA County Housing Resource Center, lists properties submitted by owners within its jurisdiction to ensure greater mobility and housing choice to very low-income households. Each property listed indicates if it is in an area of low poverty concentration.
The Housing Authority also maintains a listing of job, education, transportation and other information for cities not impacted by poverty or minority concentration. The cities for which the Housing Authority maintains this information are: Alhambra; Azusa; Bellflower; Covina; Downey; Lakewood; Lawndale; Lomita; Paramount; Santa Fe Springs; West Covina; West Hollywood; Whittier
This information may be obtained at the Section 8 Administrative Office.

Public Housing
The HA's admission policy is designed to provide for de-concentration of poverty and income-mixing by bringing higher income residents into lower income developments and lower income residents into higher income developments.
A resident's gross annual income is used to determine income limits at admission and for income-mixing purposes.
De-concentration and Income-Mixing Goals
The HA's de-concentration and income-mixing goal, in conjunction with the requirement to target at least 40 percent of new admissions to public housing in each fiscal year to "extremely low-income families," will be to admit higher income families to lower income developments, and lower income families to higher income developments.
De-concentration will apply to transfer families as well as applicant families.
Development Designation Methodology
The HA will determine on an annual basis the average income of all families residing in general occupancy developments.
The HA will then determine whether each general occupancy development falls above, within, or below the Established Income Range (EIR).
The EIR is 85 percent to 115 percent (inclusive of 85 percent and 115 percent) of the HA-wide average income for general occupancy developments.

The HA will then determine whether or not developments outside the EIR are consistent with local goals and strategies in the HA Agency Plan.
The HA may explain or justify the income profile for these developments as being consistent with and furthering two sets of goals:

1. Goals of de-concentration of poverty and income mixing (bringing higher income residents into lower income developments and vice versa); and
2. Local goals and strategies contained in the HA's Agency Plan.

De-concentration Policy
If, at annual review, there are found to be development(s) with average income above or below the EIR, and where the income profile for a general occupancy development above or below the EIR is not explained or justified in the PHA Plan, the HA shall adhere to the following policy for de-concentration of poverty and income mixing in applicable developments.
Skipping a family on any of the thirteen waiting lists to reach another family in an effort to further the goals of the HA's de-concentration policy:
If a unit becomes available at a development below the EIR, the first eligible family on a waiting list with income above the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list with income above the EIR will be offered the unit. The process will continue in this order. For the available unit at the development below the EIR, if there is no family on the waiting list with income above the EIR, or no family with income above the EIR accepts the offer, then the unit will be offered to the next family regardless of income.
If a unit becomes available at a development above the EIR, the first eligible family on the waiting list with income below the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list with income below the EIR will be offered the unit. The process will continue in this order. For the available unit at the development above the EIR, if there is no family on the waiting list with income below the EIR, or no family with income below the EIR accepts the offer, then the unit will be offered to the next family regardless of income.
Skipping of families for de-concentration purposes will be applied uniformly to all families.
A family has the sole discretion whether to accept an offer of a unit made under the HA's de-concentration policy. The HA shall not take any adverse action toward any eligible family for choosing not to accept an offer of a unit under this de-concentration policy. However, the HA shall uniformly limit the number of offers received by applicants, described in this Chapter.
The HA provides a waiting list preference to homeless families referred by the agencies listed in this Chapter. Only the veteran/serviceperson and homeless preferences can override de-concentration and income mixing policies.
If the average incomes of all general occupancy developments are within the Established Income Range, the HA will be considered to be in compliance with the de-concentration agreement.
Nothing in the de-concentration policy relieves the HA of the obligation to meet the income targeting requirements.
HA Incentives for Higher Income Families
The HA may offer certain incentives to families with incomes above the EIR willing to move into a development with average income below the EIR.

(c) If the PHA answered yes for any element, describe the revisions for each element below:

- **Statement of Housing Needs and Strategy for Addressing Housing Needs.**

The following paragraphs were updated to reflect current waiting list statistics:

The Section 8 program currently has a waiting list of 40,581 applicants, of which 47 percent are black, 28 percent are white, 5 percent are Asian, and 20 percent listed Other. Twenty eight percent of applicants reported Hispanic ethnicity and 17 percent did not disclose an ethnicity. About 19 percent of those on the waiting list are elderly and 14 percent are disabled. The amount of time spent on the waiting list often varies and can be as long as several years. The waiting list does not include special admissions.

The Public Housing program currently has a waiting list of 28,285 unduplicated applicants of which 46 percent are African American, 25 percent White, 6 percent Asian, percent American Indian, 1 percent Pacific Islander, and 21 percent identified as 'Other' or declined to state. 33 percent of applicants reported Hispanic ethnicity and 67 percent did not disclose an ethnicity. Approximately 18 percent of public housing applicants are elderly and 18 percent are non-elderly disabled. The waiting period for public housing applicants is about three to five years, depending on household member size.

- **Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions.**

Section 8 – Admission Policies Changes

1. **Waiting List, Victims of Sex Trafficking Preference**
   HACoLA currently has a preference for families who live and work in-jurisdiction and are referred to HACoLA from law enforcement agencies, subject to Executive Director approval. The types of referrals allowable under this preference include victims of domestic violence, person's involuntarily displaced to avoid reprisals, or displaced victims of a hate crime.

   HACoLA will now expand the types of allowable referrals under this preference to include "victims of sex trafficking".

2. **Waiting List, Homeless Preference**
   HACoLA currently has a preference for homeless families referred by an eligible organization. The number of families that qualify for this preference is currently limited to a number annually determined by the Housing Authority. To qualify for this preference, homeless families must be referred by County agencies with a contract or Memorandum of Understanding (MOU) in place with the Housing Authority, or by Community Based Organizations (CBO's) contracted with the Housing Authority. The referring agency provides a certification of the family's homeless status.

   HACoLA will now require homeless families that qualify for this preference to be referred by an eligible entity utilizing a Coordinated Access System. The referring entity must provide a certification of the family's homeless status. Annually, the Housing Authority will assign a percentage of applications, as approved by its governing board of commissioners, not to exceed thirty-five percent of expected annual voucher attrition.

3. **Mandatory Use of a Coordinated Access System**
   Currently, HACoLA does not require its partner agencies to use a Coordinated Access System to refer homeless families for housing assistance.

   HACoLA will now require the use of a Coordinated Access System for homeless referrals for the following programs:
   - Continuum of Care Program – 100 percent of referrals
   - Housing Choice Voucher Program Homeless Waiting List Preference– 100 percent of referrals
   - Project Based Voucher Program – 80 percent of homeless referrals
   - Project Based Veterans Affairs Supportive Housing Program – 80 percent of referrals
   - Shelter Plus Care Program – 80 percent of referrals
   - Veterans Affairs Supportive Housing Program – 100 percent of referrals

Public Housing:

1. **Transitional Aged Youth**
   Currently, Transitional Age Youth (TAY) has a 1st admissions homeless preference, but is not limited to a specific housing development.

   Since there are a vast amount of resident resources available at the Carmelitos, Nueva Maravilla and Harbor Hills housing developments, this preference will only be available at these three (3) family sites. Additionally, HACoLA will reserve a maximum of three (3) households per site for this preference.

2. **Homeless Preference for South County Family Sites**

   The Housing Authority currently has a countywide waiting list preference for homeless families. The preference is limited to 30% of the number of vacant general occupancy public housing units available on July 1 of each fiscal year.

   For the family properties located specifically in the South Los Angeles County area, the Housing Authority will expand its waiting list homeless preference, which includes victims of domestic violence, by first offering any unit that becomes available to a homeless family. The family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person.

   To qualify for this preference, homeless families must be referred by County agencies or Community Based Organizations (CBOs) with a contract or Memorandum of Understanding (MOU) in place with the Housing Authority. Additionally, the Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

3. **Family Additions**
   Currently, upon approval by the HA, a family can add a disabled adult that requires disability-required care.

HACoLA is proposing to clarify language in the ACOP from "a disabled adult who requires disability-required care" to "a disabled adult **parent or child of the head of household/co-head** who requires disability-required care".

- **Financial Resources.**

Financial Resources: Estimate FY 2016-2017 Planned Sources and Uses		
Sources	**Planned $**	**Planned Uses**
1. Federal Grants		
a) Public Housing Operating Fund	$9,007,142	
b) Public Housing Capital Fund	$4,793,396	
c) HOPE VI Revitalization	0	
d) HOPE VI Demolition	0	
e) Annual Contributions for Section 8 Tenant-Based Assistance (Account 3111 – Only HAP002)	$229,921,057	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	$0	
g) Resident Opportunity and Self-Sufficiency Grants "Family Self-Sufficiency"	$685,000 (9 AH + 1 HM)	
h) Community Development Block Grant	$1,500,000	
i) HOME	$0	
Other Federal Grants (list below)		
Telemedicine	$0	
Shelter Plus Care	$14,654,575	
HOPWA	$340,465	
2. Prior Year Federal Grants (unobligated funds only) (list below)		
3. Public Housing Dwelling Rental Income	$10,315,914	
4. Other income (list below)		
Tenant Charges	$68,300	
Interest Income	$34,934	
5. Non-federal sources (list below)	$0	
Total resources	$271,320,783	

- **Rent Determination**

Section 8

The Housing Authority increased its Housing Choice Voucher program payment standards from 94%of the 2015 Fair Market Rents (FMR) to 100% of the 2015 FMR. The Housing Authority's current payment standard is 96% of the 2016 FMR.

Additionally, the Housing Authority was granted two HUD regulatory waivers that permitted the implementation of an exclusive payment standard, set at 110% of the 2015 FMR, for the Veterans Affairs Supportive Housing (VASH) program. The second waiver permitted the VASH payment standard to be increased and set at 120% of the 2016 FMR.

Public Housing

For Fiscal Year 2016-2017, HACoLA is proposing the following change for interims due to income increases:

Currently, HACoLA processes interims for income increases in the following circumstances: receipt of a deferred payment in a lump sum which represents the delayed start of a periodic payment such as unemployment or social security benefits; change in family composition; change in source of income; and an increase in income of $200/month or more.

The Housing Authority will now limit the processing of interim reexaminations for income increases upon the household's request or if there is a change in family composition. The Housing Authority will continue to process interim reexaminations for income decreases.

- **Safety and Crime Prevention.**

HACoLA VAWA Policies

In 2013 HACoLA provided the following clarifications in its policies to comply with the Violence Against Women Authorization Act of 2013 (VAWA):

• The protections under VAWA have been extended to victims of sexual assault.
• HACoLA expanded protection for families that prohibit the termination of assistance if an immediate family member is the victim of domestic violence by replacing the term "immediate family member" with "affiliated individual". An affiliated individual is defined as "a spouse, parent, brother, sister, or child of that individual, or an individual to whom that individual stands in place of a parent, or any individual, tenant, or lawful occupant living in the household of that individual."
• HACoLA expanded protection under the bifurcation of lease by changing the definition of "violent acts" from "criminal acts of physical violence against family members or others" to "criminal activity directly related to domestic violence, dating violence, sexual assault, or stalking against an affiliated individual or other individual.
• HACoLA expanded policy regarding the types of forms that victims may provide. Acceptable forms may include a record of an administrative agency or documentation from a mental health professional. Additionally, the victim is only required to provide the name of the perpetrator on HUD form 50066 if the victim knows the name of the perpetrator and it is safe to provide it.
• HACoLA expanded the VAWA notification requirements to include applicants that are denied assistance, when a person is admitted, and when a tenant is notified of eviction/termination.

HACOLA ADMINISTRATIVE PLAN CITATIONS FOR VAWA
1.21 TERMINOLOGY
7.6.1 VICTIMS OF VIOLENCE
8.8 VOUCHER ISSUANCE DETERMINATION FOR SPLIT HOUSEHOLDS
13.2.4 RESTRICTIONS ON MOVES DURING THE INITIAL LEASE
14.4 TERMINATION OF THE LEASE BY THE OWNER: DOMESTIC ABUSE
15.2 SPECIAL CIRCUMSTANCE TERMINATIONS

HACOLA ACOP CITATIONS FOR VAWA
Chapter 1: STATEMENT OF POLICIES AND OBJECTIVES
C. FAIR HOUSING POLICY; Posting of Required Information
D. VIOLENCE AGAINST WOMEN ACT
H. VAWA CONFIDENTIALITY
Chapter 2: ELIGIBILITY AND SUITABILITY FOR ADMISSION TO PUBLIC HOUSING
F. Prohibited Criteria for Denial of Admission
Chapter 3: APPLICATIONS AND MANAGEMENT OF THE SITE BASED WAITING LISTS
E. REMOVAL FROM A WAITING LIST AND PURGING
F. WAITING LIST PREFERENCES
Chapter 6: VERIFICATION PROCEDURES
C. ITEMS TO BE VERIFIED
K. VERIFICATION OF VAWA STATUS
Chapter 12: LEASE TERMINATIONS
G. VAWA
Chapter 14: GRIEVANCES AND APPEALS
PART II: GRIEVANCE PROCEDURES
A. DEFINITIONS
g. Decisions of the Hearing Officer
GLOSSARY
D. TERMS USED IN THE VIOLENCE AGAINST WOMEN ACT PROVISION

EXECUTIVE SUMMARY

HACoLA is proposing the following major changes for the Section 8 Program:

1. **Victims of Sex Trafficking**

HACoLA currently has a preference for families who live and work in-jurisdiction and are referred to HACoLA from law enforcement agencies, subject to Executive Director approval. The types of referrals allowable under this preference include victims of domestic violence, person's involuntarily displaced to avoid reprisals, or displaced victims of a hate crime.

HACoLA will now expand the types of allowable referrals under this preference to include "victims of sex trafficking".

2. **Waiting List, Homeless Preference**

HACoLA currently has a preference for homeless families referred by an eligible organization. The number of families that qualify for this preference is currently limited to a number annually determined by the Housing Authority. To qualify for this preference, homeless families must be referred by County agencies with a contract or Memorandum of Understanding (MOU) in place with the Housing Authority, or by Community Based Organizations (CBO's) contracted with the Housing Authority. The referring agency provides a certification of the family's homeless status.

HACoLA will now require homeless families that qualify for this preference to be referred by an eligible entity utilizing a Coordinated Access System. The referring entity must provide a certification of the family's homeless status. Annually, the Housing Authority will assign a percentage of applications, as approved by its governing board of commissioners, not to exceed thirty-five percent of expected annual voucher attrition.

3. **Mandatory Use of a Coordinated Access System**

Currently, HACoLA does not require its partner agencies to use a Coordinated Access System to refer homeless families for housing assistance.

HACoLA will now require the use of a Coordinated Access System for homeless referrals for the following programs:

- Continuum of Care Program – 100 percent of referrals
- Housing Choice Voucher Program Homeless Waiting List Preference– 100 percent of referrals
- Project Based Voucher Program – 80 percent of homeless referrals
- Project Based Veterans Affairs Supportive Housing Program – 80 percent of referrals
- Shelter Plus Care Program – 80 percent of referrals
- Veterans Affairs Supportive Housing Program – 100 percent of referrals

HACoLA is proposing the following major changes for the Public Housing Program:

1. **Transitional Aged Youth**

Currently, Transitional Age Youth (TAY) has a 1st admissions homeless preference, but is not limited to a specific housing development.

Since there are a vast amount of resident resources available at the Carmelitos, Nueva Maravilla and Harbor Hills housing developments, this preference will only be available at these three (3) family sites. Additionally, HACoLA will reserve a maximum of three (3) households per site for this preference.

2. **Homeless Preference for South County Family Sites**

The Housing Authority currently has a countywide waiting list preference for homeless families. The preference is limited to 30% of the number of vacant general occupancy public housing units available on July 1 of each fiscal year.

For the family properties located specifically in the South Los Angeles County area, the Housing Authority will expand its waiting list homeless preference, which includes victims of domestic violence, by first offering any unit that becomes available to a homeless family. The family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person.

To qualify for this preference, homeless families must be referred by County agencies or CBOs with a contract or MOU in place with the Housing Authority. Additionally, the Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

3. Interim reexaminations for Income Increases

Currently, HACoLA processes interims for income increases in the following circumstances: receipt of a deferred payment in a lump sum which represents the delayed start of a periodic payment such as unemployment or social security benefits; change in family composition; change in source of income; and an increase in income of $200/month or more.

The Housing Authority will now limit the processing of interim reexaminations for income increases upon the household's request or if there is a change in family composition. The Housing Authority will continue to process interim reexaminations for income decreases.

4. Over Income Households

Currently there is no existing policy for families that reside in Public Housing with income over 120 percent of the Area Median Income (AMI).

Now, if a family that resides in Public Housing has an income that is over 120 percent of the AMI for the two (2) most recent consecutive years, HACoLA will terminate the family's tenancy within 6 months. The over-income policy will exclude terminating families receiving the Earned Income Disregard and families participating in the Family Self-Sufficiency Program.

5. Family Additions

Currently, upon approval by the HA, a family can add a disabled adult that requires disability-required care.

The Housing Authority is proposing to clarify language in the ACOP from "a disabled adult who requires disability-required care" to "a disabled adult **parent or child of the head of household/co-head** who requires disability-required care".

B.2 **New Activities.**

(a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year?

Y	N	
☐	☒	Hope VI or Choice Neighborhoods.
☐	☒	Mixed Finance Modernization or Development.
☐	☒	Demolition and/or Disposition.
☐	☒	Conversion of Public Housing to Tenant Based Assistance.
☐	☒	Conversion of Public Housing to Project-Based Assistance under RAD.
☒	☐	Project Based Vouchers.
☒	☐	Units with Approved Vacancies for Modernization.
☐	☒	Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

(b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project based units and general locations, and describe how project basing would be consistent with the PHA Plan.

Description of Activities in FY 2015

Section 8 Project-Based Vouchers Program.

In September of 2015, HACoLA released a Notice of Funding Availability that solicited applications from developers interested in applying for 175 Project-Based Vouchers and/or 100 Project-Based VASH Vouchers. The Housing Authority received 10 applications from developers throughout the Los Angeles County (East Los Angeles; Unincorporated Los Angeles; Unincorporated South Los Angeles; Palmdale; La Puente; West Covina; Bell; El Monte; Huntington Park; Lancaster; and Palmdale). Together, 154 PBVs were requested and 212 PBV-VASH were requested. The Housing Authority is currently evaluating the applications.

In August of 2015, HACoLA applied and was awarded 52 PBV VASH set-aside funding (PIH 2015-11). HACoLA selected, through an RFP process, the Francisquito Veterans property located in West Covina, to project-base the VASH vouchers.

In October of 2015, HUD Los Angeles invited HACoLA to administer 72 Tenant Protection Project-Based Vouchers for the Bellflower Friendship Manor property located at 955 E. Oak Street, Bellflower, CA 90706. The Housing Authority accepted the invitation and is in the process of determining family eligibility.

Public Housing

HACoLA will continue to obtain approval for vacancies for modernization as needed. Throughout the Fiscal Year, HACoLA may request approval for vacancies for modernization due to unforeseen and emergency type circumstances at the sites. Currently, HACoLA has six (6) Public Housing units offline due to modernization activities. Additionally, HACoLA has one unit ready to be modernized but is pending HUD approval.

B.3 **Progress Report.**

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

PHA Goal 1: Improve the Availability of Decent, Safe, and Affordable Housing

OBJECTIVES:

- **Apply for additional rental vouchers.** HACoLA applied and was awarded the following rental assistance:

PROGRAM	GRANT AMOUNT	VOUCHERS
Continuum of Care- Renewals	$11,395,792	781
Continuum of Care - New Projects	$2,453,611	179
Veteran's Affairs Supportive Housing (VASH)	$1,855,467	225
Housing Opportunities for Persons with Aids	$410,000	34
Project-Based VASH	$428,819	52
TOTAL	$16,543,689	1271

- **Explore ways to increase housing opportunities for target populations (i.e. Chronically Homeless, Special needs families, and transition aged youth):** HACoLA released a Notice of Funding Availability that solicited applications from developers interested in housing special needs populations. The NOFA invited developers to apply for 175 Project-Based Vouchers and/or 100 Project-Based VASH Vouchers. HACoLA received 10 applications from developers throughout the Los Angeles County (East Los Angeles; Unincorporated Los Angeles; Unincorporated South Los Angeles; Palmdale; La Puente; West Covina; Bell; El Monte; Huntington Park; Lancaster; and Palmdale). Together, 154 PBVs were requested and 212 PBV-VASH were requested. HACoLA is currently evaluating the applications.

  Currently, Transitional Age Youth (TAY) have a 1st admissions homeless preference in Public Housing, but is not limited to a specific site. Due to the vast amount of resident resources available at Carmelitos, Nueva Maravilla and Harbor Hills family housing developments, beginning in July 1, 2016, HACoLA will reserve a maximum of three (3) households per site for this preference.

  Additionally, HACoLA currently has a preference for homeless families for all Public Housing properties limited to 30% of the number of vacant general occupancy units available on July 1 of each fiscal year. The homeless family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person. HACoLA considers victims of domestic violence, dating violence, sexual assault or stalking as part of the definition of homeless families.

  Beginning on July 1, 2016, HACoLA will expand its preference for homeless families for the family properties located in the South Los Angeles County area. Any unit that becomes available in these family properties will be first offered to a homeless family. To qualify for this preference, homeless families must be referred by County agencies or CBOs with a contract or MOU in place with the Housing Authority. Additionally, the Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

- **Reduce public housing vacancies**: HACoLA has maintained 98% occupancy throughout the years. At the end of Fiscal Year 2014-2015 there were no vacancies.

- **Leverage private or other public funds to create additional housing opportunities:** HACoLA has successfully sought after several grant opportunities in the private sector, by leveraging a unique collaboration with the Community Development Foundation (CDF), the non-profit partner to HACoLA. CDF applied and was awarded the following grants: (1) **California Community Foundation** to support scholarships for High School graduates participating in either the Public Housing or Section 8 Program; awarded $60,000 over two years. (2) **Edison International Community Grants** to support After School STEM education, 5 scholarships in STEM, and SAT Preparation; awarded $25,000 for one year.

  HACoLA also applied for the **HUD ROSS – Resident Service Coordinator** grant to support service coordination and self- sufficiency for public housing residents; awarded $738,000 over three years. HACoLA was awarded a **ROSS – Family Self Sufficiency** grant for 2013, 2014. Lastly, for FY 2015, HACoLA was awarded a Family-Self-Sufficiency Program grant for $690,000 that is expected to fund 10 coordinator positions.

PHA Goal 2: Improve the Quality of Assisted Housing

OBJECTIVES:

- **Maintain SEMAP High Performer rating:** HACoLA certified, and HUD confirmed, 145 SEMAP points thereby allowing HACoLA to maintaining the High Performer designation. HACoLA has been a high performer since 2011.
- **Maintain PHAS High Performer rating:** HACoLA averages an annual Occupancy rate of 98% per AMP and maintains an average score of 86 on the annual REAC physical inspections conducted by HUD. HACoLA has been a high performer since 2009.
- **Increase customer satisfaction:** HACoLA designed the Self-Service Interactive Receptionist (SSIR) to accommodate its heavy lobby traffic and eliminate the need for additional receptionists. Clients arriving to the lobby are directed to the SSIR system, an application available through 3 iPad stations. That prompts clients to push touch-screen buttons that navigate them through a series of questions until the client makes direct contact with the desired service or employee. In addition, HACoLA meets with the housing developments' Resident Councils,

and Resident Advisory Boards (RAB) to discuss any concerns at the public housing developments, the Section 8 program and policy changes included in the Annual Plan. HACoLA also provides training to HACoLA employees on customer service.

- **Concentrate efforts to improve specific management functions**; during Fiscal Year 2014-2015, the HA initiated 88 unlawful detainer cases, 71 were for non-payment of rent, 5 were 3-day notices for drug and/or violent criminal activity, 8 were 30-day material breaches related to other crime-related activity or fraud, 3 were 5-day notices related to trespassing and 1 were 10-day notices pursuant to breached settlement agreements.
- Renovate or modernize public housing units: HACoLA continues to efficiently utilize Capital Fund Program (CFP) and Community Development Block Grant (CDBG) funds to renovate public and affordable housing sites to improve site conditions, install energy efficient measures, address ADA compliance, and upgrade appliances and equipment.
- Demolish or dispose of obsolete public housing units: Over the past few years, HACOLA has contemplated the submittal of an application to the U.S. Department of Housing and Urban Development (HUD) for the disposition of 241 public housing units that are located in various unincorporated communities in South Los Angeles ("South Scattered Sites"). HACOLA's proposed application was predicated on the fact that the South Scattered Sites have become financially unsustainable to operate and maintain with the current level of funding from the federal government.

  However, given the current affordability crisis permeating the region, the potential loss of this significant amount of affordable housing stock which is currently dedicated for the very-low income population creates cause for concern. On March 24, 2015, the Los Angeles County Board of Supervisors requested that HACOLA complete an additional assessment that included the pros and cons of a disposition and conversion. HACOLA also facilitated a roundtable discussion with affordable housing stakeholders, service providers and developers to assess whether they would be willing to assume operation of these units while maintaining the existing affordability level. The consensus was that the affordable housing community would also be unable to maintain the properties and provide appropriate social services to tenants without additional subsidy.

  Given the significant need for affordable housing units throughout the region, particularly for the low-income population, it is not in the County's or the tenants' best interest to dispose of this housing stock at this time. Furthermore, given the lay-out of the units, with most having multiple bedrooms, there is an opportunity to utilize these properties to house homeless families and those who have been victims of domestic violence. Additionally, for the family properties located in the South Los Angeles County area, the HA will first offer any unit that becomes available to a homeless family referred by a County agencies or CBOs with a contract or MOU in place with the Housing Authority. The Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

PHA Goal 3: Increase Assisted Housing Choices

OBJECTIVES:

- **Provide voucher mobility counseling**: HACoLA provides applicants and participants voucher mobility counseling during its Section 8 fist-time voucher issuance, program participant unit move requests, and program participant portability requests. HACoLA promotes moves to low-poverty areas. Additionally, HACoLA contracted with Del Richardson & Associates, Inc. (DRA) to assist families with their housing search and to outreach to landlords. DRA provided, on a full-time basis, applicant families with housing relocation services. DRA employed a concierge service approach to helping families secure a home. DRA surveyed each family and matched each with available rental units in the market. DRA contacts the landlord to advocate for the family and facilitates the completion of the landlord's rental application, including the Housing Authority's Request for Tenancy Approval (RTA) process. From April to September 2015, DRA surveyed over 1,039 applicants, conducted a total of 5 applicant and landlord outreach workshops, and attended voucher briefing sessions each week.
- **Conduct outreach efforts to potential voucher landlords:** See Voucher Mobility Section. on Del Richardson & Associates, Inc. (DRA)
- **Implement Public Housing site-based waiting lists:** on March 26, 2013, the Board of Commissioners approved the conversion of HACoLA's public housing countywide waiting list to several site-based waiting lists based on geographical locations. Applicants now have the option, based on eligibility, to be on up to 13 site-based waiting lists, 7 of which are for elderly families.

  Applicants continue to be selected from each waiting list in order of admission preferences and then by date and time. Additionally, applicants are only provided with one unit offer before being removed from all waiting lists.

PHA Goal 4: Improve the Quality of Life and Economic Vitality of the Community

OBJECTIVES:

- **Implement public housing security improvements:** HACoLA successfully competed for a $237,000 2015 HUD Emergency Security grant that will improve the CCTV systems, lighting and fencing at selected sites in unincorporated South Los Angeles. In addition, new funds were identified to upgrade and maintain the CCTV systems at other housing sites in the County.
- **Designate developments or buildings for particular resident groups (elderly, persons with disabilities):** on August 23, 2013, HACoLA received HUD approval to designate 12 public housing senior developments as housing for elderly families only. The U.S. Census Bureau projects that the elderly in California will have an overall increase of 112 percent from 1990 to 2020. Los Angeles County mirrors this trend. Through senior designation, HACoLA will address the specific and growing housing needs of the elderly.
- **Partner with community-based organizations and local colleges and universities through service learning to provide educational prevention/intervention activities:** HACoLA has been successful in developing and maintaining partnerships and collaborations with community-based agencies, colleges, and universities. HACoLA has formal MOUs with California State University Los Angeles and Long Beach to accept intern, service learning, and practicum students. These students provide afterschool enrichment activities in homework assistance, recreation and leisure, senior services, etc. HACoLA has brought on several new partners specifically Cottonwood Church, Fellowship of Christian Athletes, and CAS Protective group to support HACoLA's self-sufficiency efforts. Additionally, HACoLA convenes quarterly "Vision Team" meetings to support the housing developments. These meetings are made up of the faith based community, local businesses, non-profits, and educational institutions.
- **Provide Smoke-Free housing for all of HACoLA's residents with specified open areas 20 feet from a HACoLA building labeled as a "Smoking Designated Area":** HACoLA adopted a smoke-free policy for its 63 public housing developments. The policy protects families,

youth, the elderly, and persons with disabilities from the dangers of secondhand smoke. Through a systemized process of outreach activities to our residents, educational workshops, surveys, marketing campaigns and collaboration with the Los Angeles County Department of Public Health, Tobacco Control and Prevention Program (DPH), the policy was approved by HUD. DPH effectively provided extensive research, communication, grass root participation, and over $40,000 in smoke free materials for our residents.

To facilitate the transition of living in a smoke free environment, HACoLA provided residents with a one year transition period beginning July 1, 2013 through June 30, 2014. During this time period, current residents and new move-ins had the option to sign a "Non-Smoking Lease Agreement Addendum." During the one year transition period, DPH provided smoke free education and resources to residents. Due to HACoLA's concerted efforts, our public housing residents have broadly supported this smoke-free policy. DPH and the University of California Los Angeles American Medical Student Association (UCLA) facilitated smoking cessation workshops at the public housing developments; over 400 residents participated in the sessions. Beginning on July 1, 2014, the policy is fully enforced and all properties are smoke free. Once HACoLA management completed a Smoking Designated Areas Implementation Evaluation for each housing development, it was determined that only the South Bay Gardens senior housing development had a feasible "Smoking Designated Area."

HACoLA created a campaign to publicize the policy to all residents, employees, vendors, and volunteers who frequent the housing developments. Non-smoking signage was placed throughout the housing developments in common areas, hallways, community rooms, laundry rooms, stairways, and playground areas, to ensure visibility for all. Residents were given the opportunity to opt-in and sign an addendum to the lease making their units smoke-free before the mandatory deadline of July 1, 2014. Progress was communicated through residents newsletters, notices, community meetings, and through the resident associations and advisory boards. Through the smoke-free policy, HACoLA will be able to improve the health of our residents. Due to the success of the smoke-free policy, HACoLA has received several national and local awards.

- **Partner with other county agencies to identify and provide housing and supportive services to the homeless population:** In collaboration with LAHSA, HACoLA entered into an agreement with Hathaway-Sycamores Child and Family Services to provide transitional independent living services to DCFS foster youth who aged out of the system with no family and housing support. These youth live at the Harbor Hills housing site and access HACoLA services as well. After this year, the plan is to expand the program to two more sites, Carmelitos and Nueva Maravilla, which will provide housing and supportive services for additional transitional-age foster youth. HACoLA entered into Memorandum of Understandings with the following agencies that will provide the wrap around services needed to successfully house, and maintain housed, the homeless: Mental Health Association of Los Angeles; Dept. of Mental Health; New Directions; Tri-City Mental Health Center; Pacific Clinics; South Central Health and Rehabilitation Programs; Asian Pacific Counseling and Treatment Center; Homeless Health Care Los Angeles; A Community of Friends; Homes for Life; The Whole Child; Ocean Park Community Center; Path Gateway Connection; New Directions; Antelope Valley Domestic Violence Council; The City of West Hollywood; Beyond Shelter; People Assisting The Homeless; Los Angeles County Department of Veterans Affairs; Los Angeles County Dept. of Health Services; Los Angeles County Dept. of Public Social Services; and Los Angeles Homeless Services Authority/Family Solution Center.

PHA Goal 5: Promote Self-sufficiency and Asset Development of Assisted Families and Individuals

OBJECTIVES:

- **Increase the number and percentage of employed persons in assisted families:**
- **Provide or attract supportive services to improve assistance recipients' employability:**

HACoLA meets this objective by partnering with agencies that provide job training services. In 2014 HACoLA partnered with Pacific Gateway for an adult training program in Home Health and in 2015, the CAS Protective Group for Security Guard Training.

When available, HACoLA applies for set-aside funds for the Family Self-Sufficiency (FSS) program. HACoLA has continuously been awarded the FSS grant (2013, 2014, and 2015). For FY 2015, HACoLA was awarded FSS funds to employ 10 FSS coordinator positions, for a total of $690,000 in funds.

For its Section 8 program, HACoLA has a total of 517 participants, with 256 families earning escrow. This fiscal year, HACoLA graduated 18 people for a total of $114,559 in escrow payments disbursed.

For its Public Housing program, HACoLA has a total of 117 participants in the program. HACoLA looks forward to seeing these families graduate successfully, reach their goals, and realize their dreams of homeownership. In 2013, HACoLA had its first graduate move from Public Housing, and obtain a job out of state and she is now free of public assistance. In 2014, HACoLA had 30 youth become employed for the summer, which is the largest number to date. These youth worked as recreation assistants, in libraries, and as office workers gaining the necessary skills to leverage jobs afterschool.

Additionally, HACoLA provides a variety of resident services programs that supports the residents' efforts towards self-sufficiency. An award winning 7-acre The Growing Experience Urban Farm (TGE), operating since 1996 at the Carmelitos public housing development, is one of these programs; residents can become self-sufficient by engaging in a variety of job training programs, community events, and have access to fresh locally grown produce.
In 2010, TGE implemented a basic aquaponics system that included a 700 gallon tank constructed of lumber and pond liner and populated with 250 tilapia. Aquaponics is the science of growing edible crops along with raising edible species of fish, such as tilapia or catfish, in a semi-closed system. Water in the system is circulated, with edible crops taking up nitrates from liquid waste produced by the fish; the plants in turn oxygenate the water, which is cycled back to the fish tank.
The aquaponics system also provides a valuable opportunity for disadvantaged Carmelitos youth and the surrounding community to participate in a skills training development program through a HACoLA partnership with the Los Angeles County Probation Department's Juvenile Justice Crime Prevention Act (JJCPA) program. The JJCPA program reduces crime by providing prevention and case management services to public housing at-risk probation youth and their families.

- Provide or attract services to increase independence for elderly or families with disabilities: Currently, the Assisted Living Waiver Program operates at three housing sites and provides services to 46 elderly residents. The in-kind services are provided by Libertana Home Health and total $1,154,677. The plan is to expand to four additional senior sites.

PHA Goal 6: Ensure Equal Opportunity in housing for all Americans

OBJECTIVES:

- **Undertake affirmative measures to ensure access to assisted housing regardless of race, color, sexual orientation, sex, gender identity, religion, familial status, disability, national origin, and marital status:** In accordance with Executive Order 13166, HACoLA provides meaningful access to its programs and activities by persons with Limited English Proficiency (LEP). HACoLA continues to take reasonable steps to provide language assistance to those LEP clients who request such assistance. Chapter 19 of the current Admissions and Continued Occupancy Policy and Chapter 1 of the current Section 8 Tenant-Based Program Administrative Plan contain policies to ensure that LEP individuals have meaningful access to HACoLA's Programs. However, to ensure comprehensive language services to LEP individuals, HACoLA added four additional policies:
    1. HACoLA asks at the time of application and annual reexamination for designation of primary language and language services need.
    2. HACoLA provides oral translation of documents not translated.
    3. HACoLA requires LEP individuals to sign a waiver of language services if they wish to use their own interpreter.
    4. HACoLA includes requests for informal interpreters used in the annual four-factor analysis.

- **Undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless of race, color, sexual orientation, sex, gender identity, religion, familial status, disability, national origin, and marital status**: HACoLA undertakes numerous measures to ensure fair housing for all residents. These include, but are not limited to, posting of fair housing rights at all administrative and site management offices, ensuring accessibility to all HACoLA facilities, providing fair housing training for all HACoLA employees, and providing residents with Limited English Proficiency access to all programs.
- **Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:** HACoLA's process for making a request for a reasonable accommodation (RA) is accessible to all applicants and program participants. Once the request is made, the program participant can complete ADA Request forms orally or in writing. Completed forms are submitted the the appropriate ADA liaison who approves or denies the request after receiving all necessary documentation. A grievance process is provided for denied requests.
- **Undertake affirmative measures to ensure accessible housing to victims of domestic violence, dating violence, or stalking:**

Section 8

To comply with the Violence Against Women and Department of Justice Reauthorization Act of 2005, HACoLA has implemented policies protecting victims of domestic violence from discrimination. Owners may bifurcate the lease of those in a violent relationship, allowing the victim of violence to remain in the unit. HACoLA will not deny admission to an applicant or terminate the assistance of a participant based solely on incidences of domestic violence, dating violence, sexual assault or stalking. Participants may be issued a voucher and move in violation of their lease or move under portability without having lived in the jurisdiction for twelve months to escape a life-threatening situation.

The Section 8 Program gives admissions preference to victims of domestic violence, dating violence, sexual assault and stalking through law enforcement referrals. We do not require the police reports to verify the domestic violence; however, we do require the applicant sign a certified statement regarding the domestic violence.

The Section 8 Shelter Plus Care (S+C) program maintains several grants; one of them being Antelope Valley Domestic Violence Council (ADVDC). This particular grant targets domestic violence (DV) victims, who must be low income and disabled. We do not demand the police reports to verify the domestic violence; however, we do require that DC/applicant sign a certified statement regarding the domestic violence, and a trained profession sign the Certificate of Disability prior to submitting the pre-application to HACoLA for review/approval.

The Section 8 Program has also made the following changes in order to comply with the Violence Against Women Reauthorization Act of 2013.

- The protections under VAWA have been extended to victims of sexual assault.

- HACoLA is expanding protection for families that prohibit the termination of assistance if an immediate family member is the victim of domestic violence by replacing the term "immediate family member" with "affiliated individual". An affiliated individual is defined as "a spouse, parent, brother, sister, or child of that individual, or an individual to whom that individual stands in place of a parent, or any individual, tenant, or lawful occupant living in the household of that individual."

- HACoLA is expanding policy regarding the types of forms that victims may provide. Acceptable forms may include a record of an administrative agency or documentation from a mental health professional. Additionally, the victim is only required to provide the name of the perpetrator on HUD form 50066 if the victim knows the name of the perpetrator and it is safe to provide it.

- HACoLA is expanding the VAWA notification requirements to include applicants that are denied assistance, when a person is admitted, and when a tenant is notified of eviction/termination.

Public Housing Program

To comply with the Violence Against Women and Department of Justice Reauthorization Act of 2005, HACoLA implemented policies protecting victims of domestic violence from discrimination. The Public Housing Program will continue to provide an admissions preference to victims of domestic violence, dating violence, or stalking and has provided applicants with a notice advising them of their rights under VAWA. Additionally, HACoLA amended its screening criteria for admission to the public housing program to state that an applicant who is victim of domestic violence, dating violence, and stalking will generally not be denied admission if the applicant otherwise qualifies for admission.

With respect to its policies governing continued occupancy, HACoLA enacted revisions to state that criminal activity directly relating to domestic violence, dating violence, or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of tenancy if the tenant or an immediate member of the tenant's family is the victim or threatened victim of that abuse. Moreover, HACoLA will not consider an incident or incidents of actual or threatened domestic violence, dating violence, or stalking as a serious or repeated violation of the Lease Agreement by the victim or threatened victim of that violence and will not consider such incidents as "good cause" for termination of tenancy. Additionally, HACoLA currently provides case management and clinical services to any resident seeking assistance due to domestic violence. Moreover, law enforcement partners provide referral services to all domestic violence victims.

Clarifying language was also added to HACoLA's existing policy regarding Emergency Transfers and Grievance. In addition, HACoLA added a glossary of all relevant terms to VAWA in the ACOP. The HACoLA notified tenants of their rights under VAWA in a November 2007 notice. Applicants are advised separately at the commencement of the eligibility and suitability review. Additionally, HACoLA currently provides case management and clinical services to any resident seeking assistance due to domestic violence. Moreover, law enforcement partners provide referral services to all domestic violence victims.

To comply with the Violence Against Women and Department of Justice Reauthorization Act of 2013, HACoLA has made the following revisions its policies:

- Included victims of sexual assault under VAWA protection.
- Expanded the VAWA protection of terminating assistance because of criminal activity by replacing the term "immediate family member" with "affiliated individual". VAWA 2013 defines an "affiliated individual" as a spouse, parent, brother, sister or child of that individual or an individual to whom that individual stands in loco parentis, or any individual, tenant, or lawful occupant living in the household of that individual.
- Expanded the VAWA notification requirements to include applicants that are denied assistance, when a person is admitted, and when a tenant is notified of eviction/termination.
- Will provide a revised "notice of rights" document as soon as HUD makes it available.
- Will implement a HUD drafted model emergency transfer plan as soon as HUD makes it available.

HACoLA employs VAWA requirements as it implements the Public Housing program threat of violence (TOV) policy for victims of domestic violence, dating violence or stalking. A TOV request is processed by the Crime and Safety Unit and Resident Services Program staff assists with obtaining restraining orders and other services.
Additionally, HACoLA considers victims of domestic violence, dating violence, sexual assault or stalking as part of the definition of homeless families, which are provided with a 1st admissions preference in Public Housing.

PHA Goal 7: Ensure Integrity in all Housing Programs

OBJECTIVE:

- **Utilize technology to increase our capacity to maintain program integrity**
  HACoLA and the Los Angeles County Sheriff's Department (LASD) partnered to create the Data Compliance System (DCS), which automates the transfer of publicly available arrest data from Los Angeles County law enforcement agencies to HACoLA for its use in reviewing criminal activity of program participants. The DCS system ultimately filters arrested individuals and arrests that occurred at household's listed in HACoLA's tenant and property management system. The DCS also aids in detecting the criminal activity committed by unauthorized guests that report to subsidized household as their primary residence.

Other PHA Goals and Objectives

- **Pursue a Moving to Work Designation.** Depending on legislation and opportunity, HACoLA continues to pursue a Moving to Work (MTW) designation. MTW is a demonstration program for Public Housing Authorities (PHAs) that provides them the opportunity to design and test innovative, locally-designed strategies that use federal dollars more efficiently, help residents find employment and become self-sufficient, and increase housing choices for low-income families. MTW gives PHAs exemptions from many existing public housing rules and more flexibility with how they use their Federal funds.

- **Continue the automation of HACoLA's processes that enhance the HACoLA's ability to meet its business/operating goals, both external and internal**

HACoLA has conducted the following automation activities:

-HACoLA continues to enhance its online portal service for owners and Section 8 participants. The portals provide instant access to important HACoLA documents and matters, such as (but not limited to) inspections reports, annual reexamination submission, rent increase request submission and interim request submission.

-HACoLA contracted with RBAS People, LLC to design and implement a Green Route System which uses geographic data to automatically map actual driving distances between inspections, and also create itineraries, generate resident notices, and assign daily inspections for each available inspector. The new system has enabled HACoLA to automate the entire inspection process without overburdening the current inspectors.

-HACoLA has implemented a successful online rent payment system for public housing. The Online Payment module was successfully implemented in July 2012. Since the implementation, HACoLA has received an average of 450 online payments from HACoLA's public housing residents.

-Purchase mobile tablet devices for maintenance workers to automize inspections, complete/track work orders, emails, maintain fleet logs for odometer readings etc: the Work Order Application was successfully implemented in August 2014. Since the implementation of the Work Order Application, the turnaround time to complete service requests generated by our public housing residents has decreased from 11-15 days to 5-7 days. Additionally, staff has the ability to record their mileage on a daily basis, complete their time cards, and respond to emails from their mobile device. The Inspection Mobile will be implemented in the upcoming year.

-Improved tracking tools for American with Disabilities (ADA) and Limited English Proficiency (LEP) requests: HACoLA has successfully designed and implemented reports to specifically track the residents' language preferences.

-Complete the transition to paperless records-management system: HACoLA is scheduled to test the new records management system in December 2015. Project should be completed on January 2016.

-Implement repayment agreement tracking tables in YARDI: The Repayment Agreement module was successfully implemented in September 2015. Additionally, staff was trained one month prior to the implementation; training included the need to scan the agreements for better tracking/reporting needs. Additionally, since the implementation, processing time decreased from 2-3 months to 2-3 weeks.

-Develop procedures and measures to manage quality of HACoLA's public housing applicant waiting lists, tenant file review for accuracy, inspections other REAC related operations, etc. Identify and document internal and external standards and requirements: The design is in progress and the project c date is June of 2016.

-Increase connectivity between HACoLA's Community Policing Program (CPP) and the Countywide Closed Circuit Television; HACoLA purchased the Community Policing Teams so the deputies/officers could access remotely the CCTV systems at various housing sites throughout the County. It h to be a useful investigative tool.

-Connect LASD and LBPD stations to the CCTV systems at specified sites; Currnetly HACoLA's funding is limited to connect the two stations but w pursuing this goal.

-Identify all manual forms and increase capability to automate forms via the Voyager System to minimize manual intervention; reduce administrative manual process: As of December 2015, HACoLA's public housing program has modified/automated over 30 forms in Yardi, including implementin Public Housing application in the month of November 2015.

-Complete cable installation at the HACoLA's housing developments to provide television reception for the HACoLA's public housing resider December 2015, HACoLA has completed cable installation at the Herbert housing development. The Carmelitos housing development is in the predev stage for cable installation to be completed in the next two years. IT staff is working with Charter who is the provider for the Long Beach area, to f agreement for installation. Currently CDC's IT Department is working on the Carmelitos site. However, there are discussions in progress between Manager and City Council to assist with the funding for this particular project.

Promote and implement "Going Green" measures for HACoLA's Properties: HACoLA continues to implement energy efficient measures and utilize sustainable products through the rehabilitation/renovation of irrigation systems, parking lots, roofs, heaters, landscaping, lighting, plumbing etc. It is the goal of HACoLA to continue to promote and implement the "Going Green" initiative in all of our properties. HACoLA has implemented the following "Going Green" measures:

October 2014: Aquaponics and Vertical Towers Growing System; 1,000 square foot greenhouse contains 2- 650 gallon tanks containing edible fish species, with water recirculating to 175 vertical growing towers planted with leafy greens in a semi-closed loop system. Liquid fish waste provides fertilizer in the form of nitrogen for plants growing in the vertical towers, while plants convert the waste and oxygenate the water for fish; reduces inputs of fertilizers. Project serves as a replicable model and provides training for underserved young adults in the community on new technology in emerging urban agriculture industry.
Currently installing, anticipated completion date February 2016: Community Food Forest; native and drought-tolerant landscaping of a ½ acre parcel, featuring plants, which have an edible, herbal, and/or medicinal value for human consumption. Plants are selected for drought-resistance and trees and shrubs take in carbon from the atmosphere. Site will be open to the public and serve as a demonstration to the community on innovative water-efficient landscaping.

2009 to the present: Community-Supported Agriculture (CSA) program and Farm Stand; fresh produce grown using sustainable methods including compost, no synthetic fertilizers or pesticides. Produce sold weekly to local community residents, reducing "food miles" eliminating the need for fossil fuels to transport offsite. Produce provides healthy food options for local residents in an area otherwise lacking grocery stores.

2014 to the present: Composting Program: collection of plant trimmings from onsite growth and composted into fertile soil amendment, reducing waste brought to landfill. Coordinated effort with the City of Long Beach's Office of Sustainability in a pilot program to accept deliveries from local restaurants of clean food waste for composting.
2012-2014: HACoLA identified and completed the following five "Going Green" Energy Conservation measures at Nueva Maravilla:

<u>Xeriscaping and Irrigation Project</u>

In June 2012, HACoLA installed a new irrigation system that included a "weather-based controlled" computer system. The system utilizes automatic controllers based on computer technology to schedule water according to plant needs, historic weather patterns, temperature and the moisture of the soil. Existing vegetation, such as grass and plants, were replaced with a more drought tolerant species and enhanced curb appeal while significantly reducing water usage. By implementing the aforementioned measures, the irrigation water usage was reduced by 50%. The 50% reduction saves HACoLA $19,700 annually.

Parking Lots

All asphalt parking lots and adjacent sidewalks were demolished and replaced with pervious and recycled content concrete. The costs of recycled content concrete and asphalt are equivalent, but the concrete has the added benefits of being environmentally friendly and producing higher reflectivity. Utilizing lower levels of lighting, a higher reflectivity parking lot can achieve a comparable luminance as an asphalt parking lot.

Lighting

All common area and unit lighting was replaced with a new more energy efficient model provided at no cost to HACoLA by Edison. All incandescent bulbs were replaced with compact fluorescent light bulbs that produced an equivalent luminance. By implementing these lighting measures throughout the site, the annual electricity consumption was reduced which saves HACoLA $42,000 annually.

Photovoltaics/Roof Replacement

In December 2012, a total 300 kW(DC) of photovoltaic panels were installed at Nueva Maravilla to provide the entire site with approximately 25% of the annual energy consumption for all family and senior apartments, common areas, and administration buildings. The system requires an area of approximately 32,000 square feet and was mounted on the flat roof areas of the senior buildings. A system of this size produces approximately 477,653 kWh per year and saves HACoLA $100,000 annually. The photovoltaic panels have a 25-year warranty and the expected life of the panels far exceeds the warranty period. The roofs for this system were also replaced to cool roofs to reduce heating and cooling, thus reducing energy.

Domestic Hot Water/Plumbing Fixtures

The existing water heaters that serve family apartments were replaced with similarly sized high efficiency condensing tank-type water heaters, with a thermal efficiency of 90%. This measure reduces the annual fuel consumption and saves HACoLA $18,400 annually. Additionally, all plumbing fixtures were replaced with low flow models and saves HACoLA $47,000 annually.

In ensuring that the "Going Green" project is successful, HACoLA monitors all energy measures in place annually, and conducts a utility bill analysis, to ensure that all cost saving goals are being met.

1/21/14 began implementation with anticipated completion date March 2017: On July 21, 2014, HACoLA was awarded $2,550,000 in Proposition 84 SWGP funds. The funds will be utilized for a Stormwater BMP Pilot Project at the Nueva Maravilla public housing development. NorthEast Trees will manage the project from the design to the completion of the project.

The innovative project involves the design and installation of a new drainage and stormwater system, rain gardens, swales, and other water saving measures at the Nueva Maravilla public housing development. This project will effectively reduce pollution in the waterways; turn stormwater runoff into an asset; reduce demand for potable irrigation water; and substitute turf with drought-tolerant and native plants and trees and reduce greenhouse gas.

2013-present: Utility consumption data tracking in Environmental Protection Agency (EPA) Energy Star Portfolio Manager for water, gas, and electricity. This pilot program is being tested by HUD in partnership with the EPA and utility companies.

Strengthen communication with Section 8 and Public Housing participants: This is an ongoing project. There are community meetings at all public and affordable housing management sites. HACoLA holds orientations for all new residents. HACoLA implemented a one-call system to communicate with program participants and owners. The One Call Now System allows the HACoLA to send communications via text, e-mail, and/or recorded messages. It also allows HACoLA to collect statistical information from its clients.

B.4. **Most Recent Fiscal Year Audit**.

(a) Were there any findings in the most recent FY Audit?

Y N
☐ ☒

(b) If yes, please describe:

Other Document and/or Certification Requirements.

C.1 **Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan**

Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.

C.2 **Civil Rights Certification.**

Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.

C.3	**Resident Advisory Board (RAB) Comments.** (a) Did the RAB(s) provide comments to the PHA Plan? Y N ☒ ☐ If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
C.4	**Certification by State or Local Officials.** Form HUD 50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
D	**Statement of Capital Improvements**. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).
D.1	**Capital Improvements.** Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD. See HUD Form 50075.2 approved by HUD on 05/14/2014.

ATTACHMENT
Resident Advisory Board (RAB) Comments

PUBLIC HOUSING

Comment/Question: Are they going to be fixing the cable at Carmelitos? The Carmelitos housing development is in the predevelopment stage for cable installation to be completed in the next two years. IT staff is working with Charter who is the provider for the Long Beach area, to finalize an agreement for installation.

Comment/Question: The elevators at Carmelitos break down all the time. I've gotten stuck twice. We will forward this information to the Carmelitos maintenance department.

Comment/Question: In the boiler room at Foothill Villa, there is a flooding and circuit problem. We were not aware of the situation, and will address the issue.

Comment/Question: We have a rat problem. (Foothill Villa & Marina Manor) We will inform the management staff at both sites. HACoLA has a Pest Control vendor that can handle this problem.

Comment/Question: The tile in the common area at Foothill Villa is slippery. That's dangerous considering we are seniors. We have already had situations of three people falling. The flooring is new and the vendor waxed the floor. We will resolve this issue and consult the construction team.

Comment/Question: Our bathtubs at Foothill Villa are old. Will they be replaced? We will include the replacement of the Foothill Villa bathtubs in the Capital Fund five year plan.

Comment/Question: The oven door in the community room does not close. (Foothill Villa) We will look into this issue to address and rectify immediately.

Comment/Question: Do we (Marina Manor) get new flooring? Yes, by June 2016.

Comment Question: We need new bathroom cabinets and countertops. (Marina Manor) We will include new bathroom cabinets and countertops at Marina Manor in the Capital Fund five year plan.

Comment/Question: The new unit doors at Marina Manor are too small. There is light that comes from underneath. We will notify the construction team so they can inspect the work and rectify the issue.

Comment/Question: Because my room is so hot, the glue from the tile melts and gets my floor all sticky. (Marina Manor) {unit 108/710} This unit will be inspected and tested.

Comment/Question: Is there any way we can have the people who clean the floor mop the floor rather than wax it? We are all senior citizens and it is dangerous to have slippery floors. (Marina Manor & Foothill Villa) We will inform the vendor and rectify the issue.

Comment/Question: There is a big hole in the B Building driveway. Is there any chance that can get fixed? (Marina Manor) This will be inspected and addressed by the construction superintendent.

Comment/Question: Is there a reason why the B Building did not get new faucets? (Marina Manor) Due to funding limitations, this project is being completed in phases and will be complete by 2016.

Comment/Question: There are electrical problems in my unit. (Marina Manor) {unit 121} We will inform the maintenance department and the issue will be addressed..

Comment/Question: Our laundry door was stolen. (Marina Manor) We will inform the laundry service vendor.

Comment/Question: Are they going to fix the cabling problems at Marina Manor? We will inform the Marina Manor property manager of the issue and address it.

Comment/Question: What happens when the same problem keeps occurring in specific units? Issues will be addressed on a case by case basis, but should be brought to the Property Manager's attention.

Comment/Question: When preparing for vendors, what exactly do they expect of us? How should we prepare? You are provided with a timely notice with detailed instructions. If you are unable to move furniture or need assistance, please contact management.

Comment/Question: I heard that the Community Development Commission allocated 100 million dollars for housing. Does it affect our public housing? No it does not.

Comment/Question: Who determines who is homeless and who is not? Homeless individuals are referred to HACoLA by a homeless service provider.

Comment/Question: What's the age limit for the new Youth policy? An emancipated youth must be 16 and over.

Comment/Question: Is this policy for runaway youth? No it is not.

Comment/Question: If our social security does not go up, does our rent go up or down or does it stay the same? At every recertification, your income is reviewed and the current Utility Allowance is deducted to determine your new rent. Income can come from a variety of sources, not just your social security. Therefore, your rent increasing or decreasing is contingent upon many factors and it is on a case by case basis.

Comment/Question: Did the Utility Allowance go up or down? HUD requires PHAs to update Utility Allowances annually. HACoLA's annual utility allowance study utilizes the entire Los Angeles County as its subject area, which provides the most accurate accounting of utility consumption costs for tenants residing in the Housing Authority's jurisdiction. Utility allowance components considered in the calculation are: Heating, Cooking, Water Heating, Other Basic Electric, Air Conditioning and Appliances. Depending on the year and the study, sites can experience utility allowance increases and decreases based on bedroom size.

Comment/Question: The recertification paperwork is not clear. Staff must review all documents with you in a concise and clear manner. If you have further questions on your paperwork, please contact your Property Manager for further clarification.

Comment/Question: People are concerned about the people who say that they are homeless but really are not. Homeless individuals are referred to HACoLA by a homeless service provider. The homeless service provider verifies all this information and provides homeless individuals with the assistance they need.
Comment/Question: We are worried about our security when living with homeless. HACoLA has community policing officers at our various sites to keep our residents safe. Additionally, these individuals are still mandated to adhere to all ACOP and Lease provisions in order to continue being assisted.

List of Resident Advisory Board Members

PUBLIC HOUSING

RAB:16 members
Ruth Henderson
Anyta Perri
Ercilia Gomez
James Fergyson
Tetyana Melandovia
Wanda McDowell
Tina Wheeler
Tina Houseton
L. June Davis
Lilleth Fuller
Ruthie Myers
Mary Martin
Gwendolyn Lofton
Teresa L. Beasley
Marguerite Turner
Anna Swett from Prince Regent

RAB Meetings:

Meeting #1: Friday, November 6, 2015 9:00 a.m.-12:00 p.m. at 700 W. Main Street, Alhambra, CA 91801

- RAB member attendance: 10
- Provided an overview of the Annual Plan.
- Discussed resident concerns relevant to their housing sites.
- Discussed proposed Capital Fund Program (CFP) projects for Fiscal Year 2016-2017. RAB members offered suggestions on capital fund projects for Fiscal Year 2016-2017.

Meeting #2: Friday, November 20, 2015 9:00 a.m.–11:00 a.m. at 700 W. Main Street, Alhambra, CA 91801

- RAB member attendance: 15
- Discussed the Annual Plan major policy changes proposed for Fiscal Year 2016-2017.
- Discussion of the PHA Plan Elements for Fiscal Year 2016-2017.

Outreach Activities:
- On October 13, 2015, a Resident Council Forum was held at Nueva Maravilla and the Annual Plan was summarized to the Resident Councils. The Housing Authority encouraged all Resident Council members to participate in the upcoming monthly RAB meetings. Staff provided an overview and review of the Annual Plan timeline, requirements and components.

SECTION 8

RAB: 17 Members

1. Maria Ascencio
2. Michael Wojtkielewicz
3. Dolores De Luna
4. Lorraine G. Moreno
5. Gloria Cevallos
6. Anna Swett
7. Desiree Gambos
8. Geraldine Feltcher
9. Catherine Cici
10. Sandra Noah
11. Tia Estevan
12. Carolyn Cook
13. Linda Kingston
14. Nolan Kingston
15. Neicole Williams
16. Vaughn Wright
17. Angela Mc Millan

Outreach Activities:

- A request for RAB volunteers is advertised continuously on HACoLA's website.
- The October 2015 issue of Tenant Talk Newsletter advertised the RAB to all Section 8 program participants.
- In December of 2015, a letter was sent to all RAB members, as well as new Section 8 participants inquiring about the RAB, inviting them to attend one of two meetings.

RAB Meetings:

Meeting #1: Monday, December 14, 2015, 10 a.m. to 12:30 p.m. at the Section 8 Administrative Office located at 2323 E. Palmdale Blvd. Suite B, Palmdale, CA 936550

- RAB Member Attendance: 8
- Provided an overview of the 5-Year and Annual Plan and the Administrative Plan policy changes for the fiscal year 2015

Meeting #2: Tuesday, December 15, 2015, 9 a.m. to 3:30 p.m. at the Section 8 Administrative Office located at 700 W. Main St. Alhambra, CA 91801

- RAB Member Attendance: 9
- Provided an overview of the 5-Year and Annual Plan and the Administrative Plan policy changes for the fiscal year 2015

RAB Comments

Topic: Addition of Victim of Sex Trafficking to the current Waiting List Preference Policy

1. **Question:** The majority of sex trafficking victims are minors. Would the Housing Authority be able to house a minor?

   HA Answer: Good Question. To enter into a tenancy agreement, and ultimately a Housing Assistance Payments contract, an individual must be at least 18 years of age or, if a minor, the minor must be emancipated. To qualify for this waiting list preference, the victim must be referred to the Housing Authority from law enforcement. At that time, law enforcement and the Housing Authority will work together to ensure the individual (should it be a minor) has the appropriate services (i.e. a legal guardian) necessary to secure housing through the Housing Authority.

2. **Question:** What lead the Housing Authority to include this group of victims in its policy? Has there been a spike in sex trafficking victims in Los Angeles County?

HA Answer: The Housing Authority was encouraged by Los Angeles County initiatives to assist, rather than incarcerate, this vulnerable population of victims that, at many times, are forced into this type of illegal activity. The Housing Authority is unaware of any spike in sex trafficking victim statistics.

3. **Question:** Will housing victims of sex trafficking bring any danger to other Section 8 residents?
   Answer: No. A victim of sex trafficking is referred to the Housing Authority for a voucher to secure a unit in the open rental market. The Housing Authority does not disclose the identity of Section 8 participants to the public or to each other. Generally, the victim being referred to the Housing Authority will be receiving some type of services, from law enforcement and possibly from other Los Angeles County social services agencies.

4. **Within this preference, will the Housing Authority give a preference to house families first, instead of individuals that are victims?**
   HA Answer: No. The Housing Authority will not distinguish between families or individuals. The Housing Authority will receive the referral as submitted by law enforcement and will process the referral on a first come first served basis.

5. **Comment:** Positive. The Housing Authority should consider adding other victims to this preference, such as Elder Abuse Victims; Child Abuse Victims; and Foster youth aging out of the Los Angeles County system.
   HA Answer: The Housing Authority informed the RAB that it would consider the comment and informed the RAB about other Housing Authority programs and efforts to house foster youth aging out of the Los Angeles County System.

6. **Comment:** Overall, I (the RAB) believe this is a good policy. Wish the Housing Authority would have adopted it a long time ago. Individuals/Minors are forced into this type of illegal activity and may not have the ability to help themselves. I (the RAB) are glad to see that the Los Angeles County resources are being extended to these victims.

Topic: Homeless Waiting List Preference Methodology

1. **Comment:** If the Housing Authority keeps adding preferences, such as the victims of sex trafficking and now the homeless, it makes families on its waiting list wait longer to be housed. I understand that these preferences are necessary because the homeless and the victims of sex trafficking are in a more difficult situation, but families on their own are having a hard time paying their rent and securing employment.
   Answer: The Housing Authority recognizes this hardship. For this reason, the Housing Authority makes every effort to utilize all of its available vouchers and is hereby committing to assign an annual percentage (not to exceed twenty-five percent) of applications as approved by the governing board.

2. **Comment:** Now that the Coordinated Access System (CAS) will be filtering the homeless and referring them to the homeless, I hope they will have the "right" people (like case management) services necessary to keep them housed.
   Answer: Yes. The CAS system is designed to assess a person's individual needs (such as case management) that are essential to transition from homeless to housing.

3. **Comment:** Overall, I (the RAB) believe this is a good policy. I (the RAB) recognize that the homeless population in Los Angeles County is growing. And I (the RAB) recognize that it is not ok to have people living on the streets where they are dyeing or become victims to drugs and other hate.

Topic: Mandatory Use of a Coordinated Access System

1. **Question:** Is this a new system?
   Answer: Yes, the use of a Coordinated Access System to house the homeless population is new and a mandate from the U.S. Department of Housing and Urban Development for some programs, such as the Continuum of Care Program. The CAS was a collaborative County wide effort, led by the United Way, to create a system to appropriately serve homeless individuals.

2. **Comment:** Overall, I (the RAB) understand that this is new and hope this policy leads to positive change. I (the RAB) recognize that the homeless population in Los Angeles County is growing and recognize the need for change to address the challenges associated with housing this difficult population.

Annual Statement / Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226

Part I: Summary

PHA Name:	Grant Type and Number: **CA16P002501-16**	FFY Grant:
Housing Authority of the County of Los Angeles		**2016**
	Date of CFFP:	FFY of Grant Approval:

Type of Grant

Original Annual Statement ☐ **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**

☐ **Performance & Evaluation Report for Program Year Ending:** ☐ **Final Performance & Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost 1	
		Original	Revised 2	Obligated	Expended
1	Total Non-CGP Funds				
2	1406 OPERATIONS (may not exceed 20% of line 21) (3)	189,057.00	189,057.00		
3	1408 MANAGEMENT IMPROVEMENTS	275,000.00	275,000.00		
4	1410 ADMINISTRATION (may not exceed 10% of line 21)	479,339.00	479,339.00		
5	1411 AUDIT				
6	1415 LIQUIDATED DAMAGES				
7	1430 FEES AND COSTS	405,000.00	405,000.00		
8	1440 SITE ACQUISITION				
9	1450 SITE IMPROVEMENTS				
10	1460 DWELLING STRUCTURES	3,325,000.00	3,325,000.00		
11	1465.1 DWELLING EQUIPMENT- Non Expendable	120,000.00	120,000.00		
12	1470 NONDWELLING STRUCTURES				
13	1475 NONDWELLING EQUIPMENT				
14	1485 DEMOLITION				
15	1492 MOVING TO WORK DEMONSTRATION				
16	1495.1 RELOCATION COSTS				
17	1499 DEVELOPMENT ACTIVITIES 4				
18a	1501 COLLATERIZATION OR DEBT SERVICE				
18ba	Payment				
19	1502 CONTINGENCY (n.t.e. 8% of line 20)				
20	**Amount of Annual Grant (lines 2-19)**	**4,793,396.00**	**4,793,396.00**		
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to 504 compliance				
23	Amount of line 20 Related to Security - soft cost				
24	Amount of line 20 Related to Security - hard cost				
25	Amount of line 20 Related to Energy Cons.				

1 To be completed for the Performance and Evaluation Report
2 To be completed for the Performance and Evaluation Report of a Revised Annual Statement
3 PHAs with under 250 units in management may use 100% of CFP grants for Operations
4 RHF funds shall be included here

form HUD-50075.1 (4/2008)

Part I Summary

PHA Name:	Grant Type and Number: **CA16P002501-16**	FFY Grant:
Housing Authority of the County of Los Angeles	Capital Fund Program Grant No. **Disaster/Emergency**	**2015**
	Date of CFFP:	FFY of Grant Approval:

Type of Grant

Original Annual Statement ☐ **Reserve for Disasters/Emergencies** **Revised Annual Statement (revision no:)**

☐ **Performance & Evaluation Report for Program Year Ending:** ☐ **Final Performance & Evaluation Report**

Line	Summary by Development Account	Total Estimated Cost		Total Actual Cost [1]	
		Original	Revised [2]	Obligated	Expended

Signature of Executive Director	**Date**	**Signature of Public Housing Director**	**Date**
Sean Rogan		**Marcie Chavez**	

Part II: Supporting Pages

PHA Name: **Housing Authority of the County of Los Angeles**	**Grant Type and Number:** Capital Fund Program Grant No: CA16P002501CFFP (Yes/No): Replacement Housing Factor Grant No.:	**FFY of Grant: 2016**

Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised			
CA16P002001	Install Bathroom Vents (200 Units)	1460	100%	$500,000	$500,000			
Carmelitos Family	Kitchen Cabinets (275 units)	1460	100%	$1,000,000	$1,000,000			
CA16P002002	Door Replacements (100 Units)	1460	100%	$500,000	$500,000			
Harbor Hills								
CA16P002097	Sidewalks/Concrete Work Site	1450	100%	$25,000	$25,000			
Monica Manor								
CA16P002033	Flooring and Painting	1460	100%	$120,000	$120,000			
Whittier Manor								
CA16P002032	Kitchen Rehab (25 units)	1460	100%	$600,000	$600,000			
Southbay Gardens								
CA16P002020	Kitchen Rehab (28 units)	1460	100%	$650,000	$650,000			
Normandie								
CA16P002127	Roof Replacement	1460	100%	$25,000	$25,000			
1310 W 110th St								
CA16P002071	Roof Replacement	1460	100%	$25,000	$25,000			
Addington								
	PAGE 3	**TOTALS**		**$3,445,000**	**$3,445,000**			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
2 To be completed for the Performance And Evaluation Report

Part II: Supporting Pages

PHA Name:	Grant Type and Number:	FFY of Grant:
Housing Authority of the County of Los Angeles	Capital Fund Program Grant No: CA16P002501CFFP (Yes/No):	**2016**
	Replacement Housing Factor Grant No.:	

Development Number/Name HA-wide Activities	General Description of Major Work Categories	Development Account Number	Quantity	Total Estimated Cost		Total Actual Cost		Status of Proposed Work (2)
				Original	Revised (1)			
PHA-WIDE	Administration	1410	100%	**$479,339**	**$479,339**			
ADMINISTRATION								
PHA-WIDE	Resident Services Admin (XX0122)	1408	100%	$60,000	$60,000			
MANAGEMENT	Resident Survey/Grant Writer	1408	100%	$50,000	$50,000			
IMPROVEMENT	Translation Services	1408	100%	$5,000	$5,000			
	Hardware and Equipment IT Services	1408	100%	$30,000	$30,000			
	BAP/Computer Services	1408	100%	$70,000	$70,000			
	Training/Staff Development	1408	100%	$60,000	$60,000			
				$275,000	**$275,000**			
PHA-WIDE	Operations	1406	100%	**$189,057**	**$189,057**			
OPERATIONS								
PHA-WIDE	Construction Management	1430	100%	**$405,000**	**$405,000**			
FEES AND COST								
	PAGE 4	**TOTAL**		$1,348,396	$1,348,396			
	PAGE 3	**TOTAL**		$3,445,000	$3,445,000			
	GRAND TOTAL	**TOTAL**		**$4,793,396**	**$4,793,396**			

1 To be completed for the Performance and Evaluation Report or a Revised Annual Statement
2 To be completed for the Performance And Evaluation Report

Part III: Implementation Schedule for Capital Fund Financing Program

PHA Name: **Housing Authority of the County of Los Angeles**

Development Number/Name HA-wide Activities	All Funds Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reason for Reavised Target Dates [1]
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

[1] Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended

Capital Fund Program Five-Year Action Plan
Part I: Summary

PHA Name
Los Angeles County Housing Authority

Development Number / Name/HA-Wide		YEAR 1 FY 2013	Work Statement for Year 2	Work Statement for Year 3	Work Statement for Year 4	Work Statement for Year 5
			PHA FY: 2014	PHA FY: 2015	PHA FY: 2016	PHA FY: 2017
CA16-P002-501		Annual Statement				
Management Improvements			1,000,000	800,000	700,000	700,000
Administrative Costs			480,000	480,000	480,000	480,000
Fees & Costs			500,000	500,000	500,000	500,000
Carmelitos	001		4,918,271	5,375,046	7,846,660	10,619,250
Harbor Hills	002		1,372,153	1,866,772	1,948,885	3,120,590
Nueva Maravilla	004		3,503,799	4,406,801	11,309,620	4,528,929
Marina Manor I	013		420,731	3,064,800	1,234,107	1,711,428
Westknoll	014a		470,000	852,789	667,572	2,056,349
Palm	014b		245,000	499,773	530,750	25,000
Francisquito	015		731,210	528,006	953,827	993,153
Ocean Park	018		166,776	431,170	148,522	77,785
1104 W. 106th St.	020a		15,500	75,874	277,918	159,539
Normandie	020b		244,544	137,478	592,258	217,076
Century & Wilton	020c		508,092	379,929	59,250	661,224
Williamson	020d		99,735	86,539	118,577	135,235
1101-09 W. 91st Street	021a		138,619	47,900	60,905	380,442
1234 E. 119th Street	021b		11,120	25,100	31,691	128,386
1221-33 E. 61st Street	021c		57,750	-	74,894	68,227
1100 W. 106th Street	021d		63,600	135,986	211,075	189,507
1320 W. 107th Street	021e		80,000	267,157	64,992	380,412
McBride	021f		84,809	83,296	37,811	86,378
Simmons	021g		60,357	80,000	97,181	65,463
El Segundo I	023		396,000	437,239	527,672	340,209
Carmelitos (Seniors)	026		947,116	908,858	561,566	3,275,204
Marina Manor II	027		227,401	579,242	855,846	915,698
Foothill Villa	029		422,115	366,080	244,840	305,000
Orchard Arms	030		511,067	841,219	840,633	2,859,727
Southbay Gardens	032		459,840	1,130,444	610,391	923,980
Whittier Manor	033		194,097	436,182	413,722	1,340,915
4th & Mednick	034		2,772	2,066	33,890	84,922
Arizona & Olympic	048		21,626	303,476	186,355	150,000
El Segundo II (2141)	052		385,790	106,335	108,824	150,000
88th & Beach	056		45,600	15,000	37,600	108,562
Herbert	058		298,413	277,757	645,975	719,680
W 94 &95 Street	060a/b		41,000	160,324	200,498	142,910
El Segundo II (2140)	061		47,000	106,335	73,667	217,285
Quartz Hill I	062		44,405	90,000	430,645	469,014
Woodcrest I	066		77,000	184,882	169,201	326,211
1615-17 E. 87th Street	067		100,000	22,784	129,399	58,391
Quartz Hill II	069		170,797	197,895	58,788	494,622
Addington & Waldorf	071		7,555	82,790	81,568	29,960
1027-33 W. 90th Street	078		101,815	90,737	35,764	184,002
1334 W. 106th St/3410 Bu	079a/b/c		77,731	141,441	176,084	231,718
92nd & Bandera	080b		65,000	66,742	191,533	30,843
1535 East 83rd Street	080a		19,000	3,500	65,745	40,387
Woodcrest II	090		87,500	87,382	159,456	171,035
1115/1116 W 90th St	091a		240,000	34,676	275,475	182,804
Carmelita	091b		15,185	18,603	33,688	27,198
Triggs	091c		39,269	189,271	72,339	121,639
Monica Manor	097		262,744	288,764	254,686	304,465
Jarvis	107a		2,200	2,500	22,309	20,000
1527 E. 84th Street	107b		104,400	25,224	27,600	56,890
1336-40 W. 105th St/1057	124a/b		240,231	343,725	153,269	271,847
Athens	127a/b/c		33,000	309,429	157,066	274,988
1221 &1309 W Imperial	132a/b		55,370	117,568	144,680	185,995
11248 S. Budlong Ave.	138		76,824	126,789	69,415	421,161
Sundance Vista	156		718,559	190,020	85,000	31,337
Firmona	157		1,545	-	25,000	15,882
Linsley			2,060	-	-	.
TOTAL PROJECT ESTIMATE			20,918,964	26,740,202	34,021,394	33,522,137
TOTAL CFP FUNDING			4,300,000	4,300,000	4,300,000	4,300,000
					-	-
TOTAL FUNDING			4,300,000	4,300,000	4,300,000	4,300,000
Carry over to future years			16,618,964	22,440,202	29,721,394	29,222,137

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	**CA16P002001**	Sidewalk Repairs for ADA (ongoing)		2,000,000	**CA16P002001**	Replace chain link fence w/wrought iron		1,000,000
	Carmelitos	Replace kitchen cabinets and counter tops (140 units) Phase 1	132	1,700,000	**Carmelitos**	Replace screens and repaint screen bar doors		144,000
		Replace floor tile (178 units) Phase 1	176	850,000		Expand maintenance storage shed/replace Maint. Yard fencing		240,000
		Install bathroom vent fans	558	500,000		Replace rotten door jams to storage sheds		545,000
		Replace concrete patios/install awnings (Phase II)	100	500,000		Replace all egress doors @ Comm. Center		30,000
		Add GFCI Recepticles to kitchen sink area		50,000		Replace floor tiles throughout Comm. Center		120,000
		Replace water heaters	558	279,000		Replace kitchen cabinets and counter tops (140 units) Phase 2	132	1,700,000
		Total		**5,879,000**		Replace concrete patios	100	500,000
						Colored Sealer for Tennis court, acrylic		6,024
						Paint existing stucco one coat, spray, medium prep work		195,301
						Strobe audible fire alarm and replace existing smoke detectors		9,956
						Paint interior walls, drywall		23,225
	CA16P002002	Walkway Repairs per ADA survey inc Community Center parking		1,000,000		Replace Stucco and lath		11,540
	Harbor Hills	Replace dryer vent pipe and cover	301	125,000		Replace floor tile (178 units) Phase 2	176	850,000
		Replace floor tile (150 units) Phase 1				**Total**		**5,375,046.00**
		ADA Unit Upgrades		200,000				
		Strobe, audible fire alarm and replace existing smoke detectors		29,868				
		Total		**1,354,868**	**CA16P002002**	Replace floor tile (151 units) Phase II	301	1,500,000
					Harbor Hills	Repair/replace electrical boxes & cages/enclosures (52 bldgs)		41,000
	CA16P002004					Apply elastomeric coating to concrete balconies and decks		25,600
	Nueva Maravilla	Replace sliding glass doors in family units		400,000		Cut and patch asphalt		34,328
		Replace wrought iron fencing throught the site		1,000,000		Repair concrete		84,719
		Roof and gutter replacement (family)		2,000,000		Construct storage sheds for senior units	80	160,000
		Upgrade senior laundry rooms, incl tile/sinks		20,000		Install rain gutters and down spouts		100,000
		Replace Hydraulic Machinery and Controls		348,000		**Total**		**1,945,647**
		Termite inspection and treatment		504,385				
		Seal Coat and stripe asphalt, no repairs		76,936	**CA16P002004**	Replace exterior doors with metal doors		175,000
		Total		**4,349,321**	**Nueva Maravilla**	Replace water-shut off to buildings		50,000
						Replace all windows in units		1,000,000
	CA16P002014a	Install emergency generator		50,000		Rosas bridge to connect buildings (additional funding)		1,000,000
	Westknoll	Replace bathroom showers,tubs,sinks,fans		270,000		Replace unit doors/patio doors		600,000
		Roof replacement		150,000		Remove and replace wood trim		200,000
						Replace Diesel Generator 30KW		106,445
		Total		**470,000**		Replace Irrigation system		1,000,000
						A/C though the wall unit 1-ton		175,356
						Vacant Unit Rehab/Prep		100,000
	CA16P002014b	Repalce handrails		30,000		**Total**		**4,406,801**
	Palm	Install vertical blinds		20,000				
		Install security screens/sliding glass doors on 1st floor	127	38,000	**CA16P002014a**	Tree removal/Landscaping/Erosion control		50,000
		Replace air conditioners/heating syatem		127,000	**Westknoll**	Replace closet doors & tracks		500,000
		Design drain system on north side of building		10,000		Replace exterior thresholds & doors/Replace patio doors & tracks		200,000
		Total	112	**225,000**		Vacant Unit Rehab/Prep		100,000
						Replace carpet-residential grade		2,556
						Replace sheet vinyl		233
		Replace doors for dwelling units		200,000		**Total**		**852,789**
	CA16P002013	Upgrade patio area/ install bbq equipment		100,000				
	Marina Manor I	Survey sewer lines		20,000	**CA16P002014b**	Replace balcony doors & screens		50,800
		Replace thermostat in all units		10,000	**Palm**	Replace thresholds & exterior doors		101,600
		Install fire pump panel control		5,000		Repave parking lot & restripe		6,000
		Replace exhaust systems in 15 units		25,000		Replace generator		10,000
		Replace windows and window coverings		25,000		Landscape site		10,000
		Deck awnings		21,400		Vacant Unit Rehab/Prep		100,000
		Overlay asphalt/Parking lot resurfacing		10,991		Built up roofing. Total roof replacement		221,373
		Section 504 repairs and/or modifications		3,340		Replace carpet		315,413
		Total		**420,731**		Paint interior walls, drywall		28,688
						Total		**499,773**
		Replace water shut off valves and angle stops in buildings		25,000	**CA16P002013**	Remodel kitchens	112	2,800,000
	CA16P002015	Repair stucco/paint building exterior		200,000	**Marina Manor I**	Replace closet doors & tracks		47,000
	Francisquito Villa	Add GFCI Recipticles to kitchen sink area	89	6,210		Install gas lines and replace electric ranges with gas		50,000
		Remodel kitchens/bathrooms		500,000		Replace cabinets, sinks, counters in laundry room		2,000
See Annual Statement		**Total**		**731,210**		Install shut off valves on 1st floor		45,800
						Replace generator		20,000
						Vacant Unit Rehab/Prep		100,000
		Replace mail boxes		1,000		**Total**		**3,064,800**
	CA16P002018	Resurface driveway, parking lot, and restripe		8,000				
	Ocean Park	Replace railing & gates, and rubberize staircase		15,000				
		Replace exterior doors & thresholds		22,000	**CA16P002015**	Replace exit doors, locks, thresholds		148,000
		Upgrade landscaping		25,000	**Francisquito Villa**	Replace heaters A/C in units		50,000
		Replace closet doors		20,000		Cut and Patch asphalt		23,765
		Replace sewer lines		50,000		Section 504 Evaluation repairs and/or modifications		200,000
		Smoke detectors		13,475		Vacant Unit Rehab/Prep		100,000
		Termite inspection and treatment		10,000		Replace 2"x10" wood fascia, incl. prep and paint		6,241
		Section 504 repairs and/or maintenance		2,301				
		Total		**166,776**		**Total**		**528,006**
					CA16P002018	Install access to roof		3,000
		Seismic strap		1,500	**Ocean Park**	Construction maintenance facility w/bathroom		6,500
	CA16P002020a	Replace sub floor		10,000		Upgrade exterior lighting		12,000
	1104 W. 106th St.	Lighting retrofit all areas		4,000		Replace closet doors & tracks		12,250
		Total		**15,500**		Replace windows/covering & screens		50,000
						Upgrade main service electrical panel		1,000
						Install new intercom system		5,000
						Vacant Unit Rehab/Prep		100,000
	CA16P002020b	Remodel kitchens, replace cabinets, counter tops, & flooring		140,000		Paint concrete masonry walls		189,750
	Normandie	Repair stucoo/repaint		50,000		Paint existing stucco one coat, spray, medium prep work		23,352
		Replace ranges and range hoods		21,000		Replace carpet		28,318
		Replace wall heaters		25,200		**Total**		**431,170**
		Add GFCI Recepticles to kitchen sink area		1,954				
		Tamper proof 10 year battery		6,300	**CA16P002020a**	Replace doors, hardware & locks		4,500
		Total		**244,454**	**1104 W. 106th St.**	Replace stairwell & 2nd floor handrails		8,277
						Replace built-up roof		20,000
						Replace toilets, angle stops, flex line		2,000
						Replace wall heaters, gas cocks, and flex lines		18,922
	CA16P002020c	Upgrade/install landscaping		300,000		Replace laundry room floor & door, repaint		16,175
	Century/Wilton	Retexture interior hallway walls/replace hallway lights		70,000		Exterior balcony railings		6,000
		Section 504 Evaluation repairs and/or modifications		7,580		**Total**		**75,874**

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
		Remodel kitchens/bathrooms		120,000				
		Tamper proof 10 year battery		8,400	CA16P002020b	Section 504 repairs and/or maintanence		11,914
		Cut and patch asphalt		2,112	Normandie	Replace sliding glass doors		21,000
		Total		**508,092**		Install sewer clean-outs to grade		6,000
						Replace VCT tile		35,000
						Remodel Bathroom		14,000
						Replace bathroom combination light & fan (EPC)		4,900
						Replace interior closet doors & interior doors		12,000
	CA16P002020d	Exterior door replacement	4	20,000		Cut and Patch asphalt		2,112
	Williamson	Kitchen/bathroom remodel inc water heaters	4	30,000		Downspouts. Connect to drains		9,500
		Repair stucco/repaint building		20,000		Install revegetation mat, webbed		6,052
See		Replace metal frame window		22,553		Repairs to down unit		15,000
Annual		Replace aluminum sliding door		7,182		**Total**		**137,478**
Statement		**Total**		**99,735**				
					CA16P002020c	New 8 ft. tall tree		2,000
					Century/Wilton	Reroof carports		8,000
						Increase height of wrought iron fence		25,000
	CA16P002021a	Replace carpet with floor tile		64,500		Paint brick wall, interior drywall and wood siding		40,926
	1101 W 91st Street	Remodel kitchens & bathrooms		42,000		Pressure wash masonry		3,503
		Security screens with interior release		13,184		Replace vinyl tile		300,500
		Seismic strap		300		**Total**		**379,929**
		Tamper proof 10 year battery		3,600				
		Section 504 repair and/or maintanence		10,300				
		Built up roofing minor membrane repairs-(2% of roof area)		2,507	CA16P002020d	Resurface driveway/carports		30,000
		Reinforce bathroom walls around the toilet and tub/shower		300	Williamson	Wrought Iron gate/Fencing Replacement		50,000
		Remove wall hung lav, install vanity cabinet, countertop and sink		851		Paint existing stucco one coat, spray, medium prep work		6,539
		Replace wood stud walls, 5/8" drywall, no insul.		1,077		**Total**		**86,539**
		Total		**138,619**				
					CA16P002021a	Replace roof		4,500
	CA16P002021b	Replace wall and water heaters		5,000	1101 W 91st Street	Replace interior/exterior doors & hardware		6,900
	E. 119th Street	Replace water lines		5,000		Replace wall heaters		3,000
		Add GFCI Recepticles to kitchen sink area		140		Upgrade site lighting		3,500
		Smoke detectors		980		Replace windows and bars		20,000
		Total		**11,120**		Replace floor heater & drywall, paint boiler room		5,000
						Replace irrigation system		**5,000**
	CA16P002021c	Repair exterior of building & repair		6,000		**Total**		**47,900**
	E 61st Street	Upgrade exterior lighting		2,500				
		Upgrade mailboxes		3,000	CA16P002021b	Replace VCT floor		5,000
		Paint existing stucco one coat, spray, medium prep work		10,275	E. 119th Street	Repair and repaint exterior of building		8,000
		Remodel kitchens		30,000		Add wall mtd exterior lighting		2,100
		Landscape backyards and regrade		2,500		Replace irrigation system		10,000
		Tamper proof 10 year battery		1,350		**Total**		**25,100**
		Replace concrete pavers		2,125				
		Total		**57,750**	CA16P002021c	**Total**		**-**
					E 61st Street			
	CA16P002021d	Upgrade stair and landing rail		10,000	CA16P002021d	Replace handrail		4,000
	1100 W 106th St.	Re-pipe all units with copper pipe		25,000	1100 W 106th St.	Replace Dex-O-Tex landings		3,500
		Upgrade wrought iron bars		9,000		Repair/repaint exterior of building		4,500
		Repipe gas, water, sewer lines		4,000		Replace sub-floor & VCT		12,000
See		Seismic strap		600		Exterior balcony railings		6,250
Annual		Restore interior walls-down unit		15,000		Gas wall heater 24 MBH		18,922
Statement		**Total**		**63,600**		Residential kitchen countertop, plastic laminate, postformed backsplash		2,312
						Replace 3'0"x7'0" solid core, painted, door		16,175
						Replace metal steps		8,299
						Replace vinyl tile		60,028
						Total		**135,986**
					CA16P002021e	Replace patio sliding glass doors		50,000
					W. 107th Street	Built up roofing. Total roof replacement		89,526
	CA16P002021e	Replace interior bedroom, bathroom, and closet doors		30,000		Replace 3' x4' aluminum window operable		88,605
	W. 107th Street	Replace roof		50,000		Replace aluminum downspouts		7,638
		Total		**80,000**		Replace aluminum gutters		16,388
						Replace metal frame carports		15,000
						Total		**267,157**
	CA16P002021f	Remodel kitchens (countertops, cabinets, sinks)		10,000				
	McBride	Abate asbestos, replace floor tile		50,000	CA16P002021f	Exterior door replacement		50,000
		Add GFCI Recepticles to kitchen sink area		279	McBride	Replace unit flooring		10,000
		Security screens with interior release		1,030		Replace 9'x7' steel, single leaf, garage door		2,630
		3.5'x4' operable metal frame window		23,500		Residential kitchen cabinets and countertops with new sink		20,666
		Total		**84,809**		**Total**		**83,296**
	CA16P002021g	Replace stair and landing/resurface balconies		20,000	CA16P002021g	Remodel bathrooms	4	40,000
	Simmons	Remodel kitchens inc ranges and range hoods	4	40,000	Simmons	Replace carpet with floor tile		40,000
		Remove and replace 4' concrete sidewalk		357				
		Total		**60,357**		**Total**		**80,000**
					CA16P002023	Replace exterior/interior doors		50,000
					El Segundo I	Repipe gas lines for individual metering		140,000
						Repair driveways		68,000
						Replace vinyl tile		150,969
						Overlay asphalt		2,959
						Replace wall pack 150 watt high pressure sodium		25,311
	CA16P002023	Repair/repaint exterior of building		50,000		**Total**		**437,239**
	El Segundo I	Replace exterior concrete stairs		15,000				
		Upgrade parking/carports		55,000	CA16P002026	Replace vertical blinds w/drapes		100,750
		Remodel kitchens/bathrooms		255,000	Carmelitos Srs	Resurface common area walkways/stairways		135,000
		Upgrade laundry room		21,000		Replace egress doors at Community Center		30,000
		Total		**396,000**		Termite Abatement (operations)		200,000
						Remodel restrooms at Community Center		20,000
						Paint ceilings		19,632

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
	CA16P002026	Replace trash room and meter doors inc chute doors		30,000		Paint stucco one coat, spray, medium prep work		70,554
	Carmelitos Srs	Repair parking lots/sidewalks (additional funding)		500,000		Replace raised rubber flooring		300,407
		Replace ranges		93,000		Paint interior walls		32,515
		Replace patio doors (140)		98,000		**Total**		**908,858**
		Replace light fixtures in units, and on porch		95,000				
		Add GFCI Recepticles to kitchen sink area		10,816				
		Seismic strap		150	CA16P002027	Replace kitchen/bathroom sinks, cabinets, counters		370,000
		Interior room signage		150	Marina Manor II	Replace trash room & exterior doors		47,000
		Replace kitchen cabinets and countertops accessible units		120,000		Replace dwelling unit doors	71	71,000
		Total		**947,116**		Jet all lines		40,000
						Improve hallway lighting		28,000
						Replace window coverings		15,000
	CA16P002027	Upgrade central heating, exhaust, and ventilation system		75,000		Paint interior walls, drywall		7,330
	Marina Manor II	Upgrade emergency lighting		25,000		Repair and seal coat asphalt		912
		Hallway carpet/Breezeway floor covering replacement (ARRA)		55,000		**Total**		**579,242**
		Install security cameras		3,000				
		Replace cabinets, sinks, counters in laundry room		2,000				
		Remove and replace 4' wide concrete sidewalk		6,958	CA16P002029	Replace windows w/dual pane		200,000
		Section 504 repairs and/or modifications		3,083	Foothill Villa	Upgrade intercom system		2,000
		Replace automatic gates CPTED		49,000		A/C through the wall unit 2-ton DONE 2014		99,087
		Replace gas fired makeup air unit, 75 MBH		8,360		Demolish and dispose of wood trellis		7,500
		Total		**227,401**		Paint interior walls, dry wall		19,509
						Replace carpet- single color residential corridors		37,984
	CA16P002029	Remodel kitchens/bathrooms to inc refrigerators DONE		150,000		**Total**		**366,080**
	Foothill Villa	Install common area carpet DONE 2014		20,000				
See		Install new roof and gutters		160,000				
Annual		Electrical receptacle and plugs		4,327				
Statement		Patio Trellis		80,000	CA16P002030	Install camera system (4 buildings)		45,000
		Section 504 repairs and/or modifications		7,788	Orchard Arms	Replace windows w/dual pane windows		140,000
		Total		**422,115**		Upgrade intercom system		8,000
						Replace gate openers		5,000
	CA16P002030	Replace landscaping		30,000		Install additional parking spaces		150,000
	Orchard Arms	Replace unit and common area flooring		50,000		AC through the wall unit 1 ton		151,293
		Replace kitchen cabinets and counter tops		138,000		Gas fired furnace 100 MBH no AC		15,092
		Replace bathroom vanities		50,000		Paint ceilings		23,558
		Section 504 repairs and/or modifications		23,943		Replace 2 inch copper pipe		109,383
		Install new paving rock and asphalt		201,650		Replace domestic hot water boiler, gas fired, 210-765 MBH		74,349
		Interior room signage		200		Replace domestic hot water storage tank, 120 gal		20,682
		Remove and replace plywood siding		4,340		Replace Gypsum board ceiling		65,431
		Remove and replace solid core wood door-unrated		2,456		Single zone rooftop unit 5 ton		9,512
		Replace Educational wood casework counter height units w/countertop		4,136		Single zone rooftop unit 15 ton, gas heat		23,919
		Residential kitchen cabinets base only		6,342		**Total**		**841,219**
		Total		**511,067**				
					CA16P002032	Upgrade site lighting		10,000
					Southbay Gardens	Install landscaping		10,000
	CA16P002032	Replace building ball valves/loop detectors		10,000		Replace aluminum windows		100,000
	Southbay Gardens	Install barbeque pits and benches at patio area		12,000		Replace exterior doors		10,000
		Remodel kitchens (cabinets, counters, flooring)		405,000		Paint interior walls, drywall		26,755
		Remove and replace concrete sidewalk		17,840		Replace carpet-residential grade		127,792
		Total		**459,840**		Replace irrigation system		8,304
						Replace vanity cabinet, counter, and sink		80,453
	CA16P002033	Replace all windows in units and common area		80,000		Replace vinyl tile		158,158
	Whittier Manor	Repair Stucco/Paint building exterior		100,000		Residential kitchen cabinets wall and base		493,686
		Section 504 repairs and/or modifications		4,165		Residential kitchen countertop w/new sink		105,296
		Compliant signage indicating gereral information is not provided		120		**Total**		**1,130,444**
		Remove and replace concrete sidewalk		9,812				
		Total		**194,097**				
	CA16P002034	Section 504 repairs and/or modifications		1,750	CA16P002033	Remodel laundry room		10,000
	4th & Mednick	Install ADA, curb cut, concrete, 6" rise		1,022	Whittier Manor	Replace patio doors, & ADA Fire doors		50,000
		Total		**2,772**		Upgrade landscaping/irrigation		100,000
						Package units, gas heat, 4 ton cooling		69,314
	CA16P002048	Install security cameras		5,000		Replace wood fascia, incl. prep and paint		11,633
	Arizona/Olympic	Replace Irrigation System		5,000		Residential kitchen cabinets wall and base and countertop w,new sink		191,245
		Section 504 repairs and/or modifications		6,393		Seal coat and stripe asphalt, no repairs		3,990
		Replace aluminum downspouts and gutters		5,233		**Total**		**436,182**
		Total		**21,626**				
					CA16P002034	Replace wood fascia, incl. prep and paint		2,066
					4th & Mednick	**Total**		**2,066**

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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
	CA16P002052	Replace landscaping at site		45,000				
	El Segundo II	Replace forced air furnaces		20,000	CA16P002048	Exterior Door Replacement		36,000
		Upgrade site lighting		5,000	Arizona/Olympic	Replacement of floor tile inside individual units & closet doors		54,000
		Remodel kitchens/bathrooms		210,000		Remodel Kitchen & bathrooms		72,000
		Replace interior/exterior doors		65,000		Replace operable metal frame windows		125,750
		Asphalt shingles. Install new shingles over existing shingles		7,593		Range		9,317
		Install new paving rock and asphalt		9797		Range hood vented		4,134
		Replace aluminum gutters and downspouts		16203		Repair and seal coat asphalt		2,275
		Replace irrigation system		7197		Total		303,476
		Total		385,790				
					CA16P002052	Replace windows		165,000
	CA16P002056	Remodel kitchens, including counter tops and floor		20,000	El Segundo II	Repair driveways		30,000
	88th & Beach	Upgrade iron window bars		20,000		Replace concrete exterior stairs		25,000
		Replace heaters		5,600		Replace floor tile		60,000
						Gas fired furnace		8,657
		Total		45,600		Replace vinyl tile		34,292
						Total		322,949
	CA16P002058				CA16P002056	Repave parking area/stripe & install bumpers		5,000
	Herbert	Replace carpet in units		150,000	88th & Beach	Replace irrigation system		10,000
		Replace trash chute doors and locks		10,000		Total		15,000
		Upgrade landscaping and irrigation		50,000				
		Section 504 repairs and/or modifications		5,000	CA16P002058	Upgrade common area & exterior lighting		5,000
See		Compliant signage indicating general information is not provided		1,000	Herbert	Replace site fencing w/wrought iron		20,000
Annual		Prune/trim tree branch, average diameter, aerial lift, per branch		4,500		Roof and gutter replacement		100,000
Statement		Replace laundry room counter and sink with accessible unit		2,000		Generator replacement		50,000
		Total		222,500		Apply elastomeric coating to concrete balconies and decks		48,000
						Repair spalling and cracking		2,000
	CA16P002060a-b	Upgrade wrought iron window bars		4,500		Replace carpet-residential grade		49,432
	W 94th & 95th St	Replace vehicle gate and operator		6,500		Seal coat and stripe asphalt, no repairs		3,325
		Replace exterior doors		5,000		Total		277,757
		Remodel kitchens to inc cabinets,counter, rangehoods, & lighting)		10,000				
		Reapir walls/stucco & repaint exterior		15,000	CA16P002060a-b	Replace sub flooring and stair treads		30,000
		Total		41,000	W 94th & 95th St	Replace kitchen cabinets		18,000
						Replace garage doors		5,000
						Replace floor tiles in units/common area		10,000
	CA16P002061	Replace stair treads		5,000		Upgrade exterior lighting (EPC)		3,500
	El Segundo II	Replace mailboxes		2,000		Section 504 Evaluation repairs and/or modifications		10,000
		Remodel bathrooms		10,000		Replace vinyl tile		83,824
		Replace aluminum windows		30,000		Total		160,324
		Total		47,000				
					CA16P002061	Tree removal		4,800
	CA15P002062	Upgrade landscaping		15,000	El Segundo II	Repair driveways & walkways		20,000
	Quartz Hill I	Section 504 repairs and/or modifications		4,405		Repair roof, install gutters		37,498
		Install/replace CCTV system, incl. cameras, cabling, DVR, computer controls		25,000		Section 504 Evaluation repairs and/or modifications		20,000
		Total		44,405		Replace gas water heater, residential 30 gal		16,840
						Replace irrigation system		7,197
						Total		106,335
	CA16P002066	Replace wall heaters		30,000				
	Woodcrest I	Replace interior/exterior doors		15,000	CA15P002062	Replace exterior doors		60,000
		Replace interior stair handrails		7,000	Quartz Hill I	Section 504 Evaluation repairs and/or modifications		20,000
		Replace ranges and range hoods		10,000		Replace water heater shut off valve		10,000
		Termite inspection		7,500		Total		90,000
		Underground gas line inspections		7,500				
		Total		77,000	CA16P002066	Replace flooring	10	20,000
					Woodcrest I	(CPTED) Replace lighting.		10,000
	CA16P002067	Regrade landscaping to include site drainage		20,000		Install new mail boxes		2,000
	1615-17 E. 87th St.	Remodel kitchens/bathrooms inc flooring		80,000		Repair common area walkways (REAC)		50,000
		Total		100,000		Section 504 Evaluation repairs and/or modifications		15,000
						Overlay asphalt		2,536
						Paint existing stucco one coat,spray, medium prep work		10,119
	CA16P002069	Slurry coat driveway and upgrade landscaping		70,000		Replace doors and windows		47,397
	Quartz Hill II	Replace kitchen counters and water heaters		70,000		Repair eroded lawn areas with sod		2,830
		Section 504 Repairs/and or modifications		2,697		Replace irrigation system		25,000
		Install/replace CCTV system, incl. cameras, cabling,DVR. Computer controls		25,000		Total		184,882
				3,100				
		Total		170,797	CA16P002067	Replace interior/exterior doors		8,500
					1615-17 E. 87th St.	Relocate trash chute (CPTED)		4,000
						Upgrade exterior lighting (CPTED)		2,500
						Paint existing stucco one coat,spray, medium prep work		7,784
						Total		22,784
					CA16P002069	Replace heaters in units		60,000
	CA16P002071	Replace forced draft heaters		5,000	Quartz Hill II	Replace water heater shut off valve		10,000
	Addington/Wald	Security screens with interior release		515		Replace evaporative condensers		68,130
		Add wall mtd exterior lighting		2,040		Replace fan coil unit 3 ton		59,765
		Total		7,555		Total		197,895
	CA16P002078	Repave/restripe parking lots		3,000	CA16P002071	Remodel kitchens		15,000
	W. 90th Street	Regrade/landscape site		2,500	Addington/Wald	Replace interior doors		12,000
		Replace composition shingle roof		20,300		Replace roofs and carports		15,000
		Replace aluminum windows		23,400		Replace doors and windows		26,812
		Replace vinyl tile		33,800		Replace irrigation system		10,000
		Replace interior doors		15,000		Replace wood fascia, incl. prep and paint		190
		Tamper proof 10 year battery		1,800		Seal coat and stripe asphalt, no repairs		3,788
		Cut and Patch concrete paving		2,015		Total		82,790
		Total		101,815				

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Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
	CA16P002079a-b-c	Remodel Kitchens		7,500	**CA16P002078**	Repair concrete walkways		10,000
	1334 W. 106th St.	Add floor drains to laundry areas		2,000	**W. 90th Street**	Upgrade interior/exterior lighting		6,000
	9410 Budlong	Upgrade wrought iron window bars		6,000		Repair stucco/paint exterior		50,000
	11126 Budlong	Replace deteriorated wood members		2,000		Asphalt shingles. Install new shingles over existing shingles		9,618
		Replace wrought iron fencing and intercom		19,000		Paint existing stucco one coat, spray, medium prep work		10,119
		Replace interior/exterior doors		14,500		Replace Irrigation system		5,000
		Replace ranges and range hoods		3,000		**Total**		**90,737**
		Tamper proof 10 year battery		3600				
		Cut and patch asphalt		9504	**CA16P002079a-b-c**	Remodel bathrooms		10,000
		Replace soil and recompact under concrete patio slab		2000	**1334 W. 106th St.**	Replace roof		5,000
		Replace vinyl tile		8627	**9410 Budlong**	Replace VCT on 1st floor		5,000
		Total		**77,731**	**11126 Budlong**	Install concrete in back yards		5,000
						Replace interior/exterior doors & closet doors		15,000
	CA16P002080a	Replace forced air furnaces and wall heaters		15,000		Upgrade vehicle gate operator		3,500
	1535 E. 83rd St.	Replace garage doors		4,000		Install concrete in back yards		5,000
		Total		**19,000**		Remodel kitchen/bathrooms		25,000
						Paint existing stucco one coat, spray, medium prep work		10,989
						Replace Windows		53630
	CA16P002080b	Replace VCT tile flooring, including sub floor		48,000		Replace irrigation system		3322
	92nd & Bandera	Repair exterior and repaint building		17,000		**Total**		**141,441**
		Total		**65,000**	**CA16P002080a**	Upgrade exterior lighting (CPTED)		1,000
					1535 E. 83rd St.	Landscape site		2,500
	CA16P002090	Install low-flush toilets		2,500				
	Woodcrest II	Replace outside unit gas lines (EPC)		10,000		**Total**		**3,500**
		Replace handrails		5,000	**CA16P002080b**	Replace interior/exterior doors		25,880
		Replace vinyl tile and base		55,000	**92nd & Bandera**	Replace forced draft heaters		2,000
		Termite Inspection		7,500		Asphalt shingles. Removal and replacement of shingles		13,055
		Underground gas line inspections		7,500		Paint existing stucco one coat, spray, medium prep work		9,652
		Total		**87,500**		Replace irrigation system		10,000
						Seal coat and stripe asphalt, no repairs		2,014
	CA16P002091a	Remodel kitchens/bathrooms inc smoke detectors	18	150,000		Range		4,141
	1115/1116 W 90th	Replace roof		90,000		**Total**		**66,742**
		Total		**240,000**	**CA16P002090**	Replace windows		20,000
					Woodcrest II	Upgrade exterior lighting (CPTED)		3,000
	CA16P002091b	Remodel bathrooms		6,000		Install new mailboxes	10	1,500
	Carmelita	Replace entry doors		600		Overlay asphalt		2,536
		Replace water heaters		1,400		Paint existing stucco one coat, spray, medium prep work		10,119
		Regrade site		4,500		Prepare and refinish 3'-0" x 7'-0" steel ptd. Door		2,057
		Install rain gutters		1,000		Repair eroded lawn areas with sod		2,830
		Add GFCI Recepticles to kitchen sink area		140		Replace 3'x4' aluminum window operable		45,340
		Security screens with interior release		1,545		Replace Irrigation System		25, 000
		Total		**15,185**		**Total**		**87,382**
	CA16P002097	Install block wall		15,000	**CA16P002091a**	Repair/repaint exterior of building		11,676
	Triggs	Replace fence		12,600	**1115/1116 W 90th**	Repair front pedestrian gates		3,000
		Replace windows and entry doors		7,800		Install sewer main clean-outs		2,500
		Add GFCI Recepticles to kitchen sink area		279		Replace stucco and lath		17,500
		Remove and replace concrete landing		500		**Total**		**34,676**
		Security screens with interior release		3,090				
		Total		**39,269**	**CA16P002091b**	Replace operable metal frame window		9,976
					Carmelita	Replace vinyl tile		8,627
						Total		**18,603**

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U.S. Department of Housing and Urban Development
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OMB No. 2577-0226
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Work for Year 1 501-13	Work Statement for Year 2 FFY 2014				Work Statement for Year 3 FFY 2015			
	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
	CA16P002097	Replace garage door/vehicle gate		25,000	CA16P002091c	Replace fascia boards/paint building		100,000
	Monica Manor	Repair wrought iron fencing/perimeter fencing		20,000	Triggs	Replace electrical wiring		1,000
		Remodel kitchens to inc water heaters and cabinets		150,000		Kitchen and bathroom remodel	4	50,000
		Upgrade fire alarm system		50,000		Replace operable metal frame window		20,298
		Central heating for units		15,000		Replace vinyl tile		17,973
		Fire alarm panel addressable, with voice		500		Total		189,271
		Section 504 Evaluation repairs and/or modifications		2,244				
		Total		262,744	CA16P002097	Replace tot-lot equipment		5,000
					Monica Manor	Replace sub flooring & floor tile		114,000
	CA16P002107a	Replace garage door		1,200		Remodel bathrooms		80,000
	Jarvis	Replace ranges and range hoods		1,000		Replace closet doors & tracks		9,500
						Replace exhaust fans, and flex lines		4,000
		Total		2,200		Termite abatement		5,000
						Paint existing stucco one coat, spray, medium prep work		24,286
						Replace gas fired residential 40 gallon water heater		28,000
						Replace playground equipment, vinyl coated steel and plastic		15,000
						Synthetic playground surface		3,978
	CA16P002107b	Paint building exterior	1	100,000		Total		288,764
	1527 E. 84th St.	Replace exterior doors		3,500				
		Tamper proof 10-year battery		900				
		Total		104,400	CA16P002107a	Repair/repaint exterior of buildings		2,500
					Jarvis	Total		2,500
	CA16P002124a-b	Install cleanouts at each unit		10,000				
	1336-40 W. 105th St	Replace playground equipment, vinyl coated steel and plastic		20,000				
	1057 W. 106th St.	Reinforce interior stairwell handrails		4,500	CA16P002107b	Replace garage doors		5,000
		Remodel kitchens/bathrooms		122,000	1527 E. 84th St.	Replace forced air furnaces and wall heaters		10,000
		Seal coat and stripe asphalt, no repairs		3,788		Install wrought iron entry gates & paint fence		3,000
		Replace interior/exterior doors		42,353		Paint existing stucco one coat, spray medium prep work		7,224
		Install kitchen sink cleanouts		2,000		Total		25,224
		Install landscaping on site		2,000				
		Fire sprinkler 5 year certification		1500	CA16P002124a-b	Upgrade exterior lighting (CPTED)		2,000
		Seisic strap		900	1336-40 W. 105th St.	Replace roof & carpet @ units		18,000
		Tamper proof 10 year battery		3825	1057 W. 106th St.	Remodel tot-lot and install playground equipment		7,000
		Mineral surface. Roof overlay		17606		Repair/repaint exterior of buildings		21,640
		Remove and replace plywood sheathing		6,076		Repair front entry gate & intercom		3,500
		Synthetic playground surface		3,683		Replace mailboxes		1,500
		Total		240,231		Replace vehicle gate & operator		9,000
						Vacant Unit Rehab/Prep		100,000
	CA16P002127a-b-c	Replace loop detectos		5,000		Asphalt shingles. Install new shingles over existing shingles		18729
	ATHENS	Replace exterior doors		6,000		Range		6729
		Replace ranges and range hoods		10,000		Replace irrigation system		10000
		Replace wrought iron door gate		3,000		Replace tile tub/shower surround		9149
		Roof leak repair		1,000		Replace vanity cabinet, counter and sink		21,454
		Insect infestation inspection		3,500		Replace vinyl tile		115,024
		Replace water heaters		4,500		Total		343,725
		Total		33,000				
					CA16P002127a-b-c	Replace interior doors		4,000
					ATHENS	Asphalt shingles. Install new shingles over existing shingles		35,687
						Vacant Unit Rehab/Prep		200,000
	CA16P002132a-b	Replace heaters		15,000		Replace interior/exterior doors		13,500
	W Imperial	Replace ranges and range hoods		9,000		Paint existing stucco one coat, spray, medium prep work		34,735
		Replace vehicle gate operator		3,000		Re-grading landscape and establishment of ground cover		2,007
		Range		518		Replace irrigation system		7,500
		Replace vinyl tile		14,378		Upgrade vehicle gate operator		4,000
		Residential kitchen cabinets wall and base		9,874		Repair/repaint exterior of building		8,000
		Add wall mtd. Exterior lighting		3,600		Total		309,429
		Total		55,370				
					CA16P002132a-b	Install concrete patios		7,000
					W Imperial	Upgrade fencing		1,500
	CA16P002138	Replace ranges and range hoods		6,000		Replace windows		93,168
	11248 S. Budlong	Upgrade interior lighting		4,000		Cut and patch asphalt		2,112
		Replace water heater and doors		3,500		Replace irrigation system		10,000
		Remove and replace interior hollow core wood door		1,663		Seal coat and strip asphalt, no repairs		3,788
		Repair kitchen cabinets		27,000		Total		117,568
		Paint pedestrian gates		2,500				
		Replace vanity cabinet, counter and sink		894	CA16P002138	Replace doors/hardware/locks		7,500
		Replace vinyl tile		7,189	11248 S. Budlong	Replace stair treads/flooring/sub flooring		30,000
		Residential kitchen cabinets wall and base/countertop w/new sink		5,167		Upgrade exterior lighting		3,500
		Smoke detectors		7,350		Repaint wrought iron fence		6,000
		Section 504 evaluation repairs and/or modifications		1,063		Replace VCT tile		35,000
		Termite inspection		7,500		Replace vehicle gate operator		4,500
		Gas fired furnace 45 MBH no AC		2,998		Asphalt shingles. Install new shingles over existing shingles		8099
		Total		76,824		Paint existing stucco one coat, spray, medium prep work		10119
						Replace gas fired residential 40 gallon water heater		8400
	CA16P002156	Balconies/Stair resurface		250,000		Replace irrigation system		8858
	Sundance	Remodel kitchen/bathrooms		400,000		Scrape and paint metal fence		4813
		Tree removal for irrigation(operations)		5,000		Total		126,789
		Section 504 Evaluation repairs and/or modifications		3,570				
		Install/ replace bathtub transfer seat, folding stainless steel and phenolic		900	CA16P002156	Redesign & replace vehicle gate/intercom		20,000
		Regrading landscape and establishment of ground cover		59,089	Sundance	Regrade front area of each unit		15,000
		Total		718,559		Replace irrigation system		120,000
						Replace wrought iron fence		35,020
	CA16P002157	Security screens with interior release		1,545		Total		190,020
	Firmona	Total		1,545				
	Linsley	Security screens with interior release		2060	CA16P002157	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
		Total		2060	Firmona	Section 504 Evaluation repairs and/or modifications		10,000
						Vacant Unit Rehab/Prep		10,000
						Total		25000
		Year Two Total		20,918,964		Year Three Total		26,740,202.00

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4 FFY 2016				Work Statement for Year 5 FFY 2017			
Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
CA16P002001	Replace bathroom doors and door jams		2,124,500	**CA16P002001**	Replace pole lights in parking lots (EPC)		86,000
Carmelitos	Replace floor tile (178 units) Phase 3		850,000	**Carmelitos**	Replace floor tile (178 units) Phase 4		850,000
	Remodel Kitchens (140 units) Phase 3		1,700,000		Remodel Kitchens (140 units) Phase 4		1,700,000
	Remodel bathrooms at Community Center & Admin bldg (8 bathrooms)		25,000		Replace Windows and doors (178 units) Phase I		1,200,000
	Repair exterior concrete stairs		1,000,000		Replace carpet-standard commercial		27,750
	Resurface asphalt basketball court		14,909		Replace gas fired residential 40-gallon water heater (3)		784,000
	A/C, DX package 5- ton		32,251		Section 504 Evaluation repairs and/or modifications		100,000
	Built-up roofing. Total roof replacement		2,000,000		**Total**		**4,747,750**
	Vacant Unit Rehab/Prep		100,000				
	Total		**7,846,660**	**CA16P002002**			
CA16P002002	Replace concrete walkways/install handrails (ADA)-Phase 2		500,000	**Harbor Hills**	Asphalt shingles. Removal and replacement of shingles		4,670
Harbor Hills	Replace vinyl tile and sheet		160,000		Fire alarm panel addressable, with voice		13,399
	Replace vanity cabinet, counter and sink		269,071		Heat pump air to air		39,097
	Install exhaust fans in bathrooms		200,000		Mineral Surface. Roof overlay		704,248
	Maintain main lines (abate plumbing) inc water shut off valves	52 buildings	100,000		Replace interior unit/common area lighting (EPC)		500,000
	Vacant Unit Rehab/Prep		100,000		Install stair cover base (301 units)		42,000
	Replace gas fired residential 40-gallon water heater		421,400		Section 504 Evaluation repairs and/or modifications		100,000
	Replace aluminum downspouts and gutters		198,414		Replace vinyl tile		1,545,635
	Total		**1,948,885**		Replace tile tub/shower surround		171,541
					Total		**3,120,590**
CA16P002004	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		151,756	**CA16P002004**	Replace wrought iron backyard fencing (cont)		500,000
Nueva Maravilla	Abate asbestos & replace floor tiles		1,000,000	**Nueva Maravilla**	Replace floors in community room		40,000
	Roof replacement/gutter replacement		900,000		Replace exterior gate/fencing at Rosas		100,000
	Asphalt shingles. Removal and replacement of shingles		2,000,000		Replace fire alarm/sprinkler system at Rosas (modification)		50,000
	Residential kitchen cabinets wall and base, countertop		2,707,847		Replace steel and aluminum doors		1,613,971
	Replace bathtub & faucets and fiberglass tub shower surround		1,757,886		Gas fired furnace 75 MBH no AC		636,391
	Replace Carpet- residential grade and standard commercial		167,417		Gas wall heater 17.5 MBH		238,567
	Replace doors and windows		2,624,714		Section 504 Evaluation repairs and/or modifications		100,000
	Total		**11,309,620**		**Total**		**3,278,929**
CA16P002014a	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		25,000	**CA16P002014a**	Balconies Railing Replacement		800,000
Westknoll	Replace window coverings		342,572	**Westknoll**	Retaining wall repairs/Landscaping for erosion		50,000
	Replace air conditioners and heaters		300,000		Section 504 Evaluation repairs and/or modifications		20,000
	Total		**667,572**		Built up roofing. Place new membrane over existing		165,819
					Replace doors/patio doors/sliding doors		464,183
CA16P002014b	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		25,000		Refrigerator		89,137
Palm	Replace roof		400,000		Residential kitchen countertop and cabinets		354,003
	Replace building entry/exit door per HUD 504 survey		20,000		Replace domestic hot water boiler, 80-160 MBH		113,207
	Replace bathroom showers, tubs, sinks & fans		254,000		**Total**		**2,056,349**
	Replace closet doors & tracks		31,750	**CA16P002014b**	Install new sprinkler system		5,000
	Total		**730,750**	**Palm**	Section 504 Evaluation repairs and/or modifications		20,000
					Replace doors and windows		520,198
CA16P002013	Replace trash chutes & doors		112,000		Residential kitchen countertop, cabinets		292,476
Marina Manor I	Install fluorescent lights in all units (EPC)		200,000		**Total**		**25,000**
	Paint existing stucco one coat, spray, medium prep work		155,104				
	Residential kitchen countertops and cabinets		658,383	**CA16P002013**	Remodel bathrooms	112	1,000,000
	Replace vanity cabinet, counter and sink		100,120	**Marina Manor I**	Jet all lines		40,000
	Replace unit ventilators		8,500		Lighting retrofit all areas inc hallway lighting/emergency lighting		50,000
	Total		**1,234,107**		Section 504 Evaluation repairs and/or modifications		20,000
					Built up roofing.Place new membranes over existing		**52,002**
CA16P002015	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		35,000		Range		57,972
Francisquito Villa	Resurface parking lots and replace sidewalks (additional funds)		200,000		Replace ceramic tile		2,668
	5'x4'operable metal frame window		149,640		Replace acoustical ceiling tile system, complete incl.demo		15,534
	Patio glass screen door replacement		89,000		Replace vinyl tile		473,252
	Demolisg carports		100,000		**Total**		**1,711,428**
	Modernize hydraulic elevator controller and signals, to 3 stories		372,000				
	Replace domestic hot water storage tank, 190 gal.		8,187	**CA16P002015**	Install walkway & emergency exit gate		10,000
	Total		**953,827**	**Francisquito Villa**	Roof Replacement		200,000
					Replace domestic Hot Water Boiler, gas firsed , 770-6970 MBH		19,896
CA16P002018	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		15,000		Upgrade landscaping & irrigation		25,000
Ocean Park	Replace Windows		98,836		Replace utility and storage room doors & trash chute doors		25,000
	Replace gas fired residential heaters		34,686		Replace vanity cabinet, counter and sink		79,559
	Total		**148,522**		Residential kitchen cabinets wall and base		366,150
					Residential kitchen countertop, plastic laminate, postformed backsplash		41,145
CA16P002020a	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		25,000		Replace single zone package rooftop unit, gas fired, 6 tons		11,414
1104 W. 106th St.	Re-pipe all units with copper pipes		75,000		Gas Wall heater 24 MBH		168,404
	Vacant Unit Rehab/Prep		50,000		Range		46,585
	Paint existing stucco one coat, spray medium prep work		9,341		**Total**		**993,153**
	Replace aluminum windows		35,265				
	Residential kitchen cabinets and countertops		59,899	**CA16P002018**	Replace refrigerators in senior units		3,500
	Replace vanity cabinet, countertops and sink		8,939	**Ocean Park**	Replace fire extinguishers & boxes		9,000
	Replace metal steps		12,449		Modify building reception/antenna system		2,000
	In place hot reused asphalt paving		2,025		Lighting retrofit all areas		10,000
	Total		**277,918**		Section 504 Evaluation repairs and/or modifications		10,000
					Asphalt shingles. Install new shingles over existing shingles		23,285
CA16P002020b	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		20,000		Gas wall heater 24 MBH		20,000
Normandie	Upgrade building drains in the back		15,000		**Total**		**77,785**
	Replace rain gutters		10,000				
	Repair 2nd floor patio balconies		35,000	**CA16P002020a**	Replace smoke detectors		5,000
	Vacant Unit Rehab/Prep		50,000	**1104 W. 106th St.**	Asphalt shingles. Install new shingles over existing shingles		8,858
	Replace windows and door		386,035		Replace aluminum windows		99,250
	Gas Wall Heater		52,981		Upgrade exposed plumbing		6,500
	Replace vanity cabinet, counter and sink		23,242		(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		15,000
	Total		**592,258**		Section 504 Evaluation repairs and/or modifications		10,000
					Range		5,176
CA16P002020c	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		25,000		Replace aluminum downspouts		764
Century/Wilton	Paint stucco one coat,spray, medium prep work		34,250		Replace water heater, commercial 100 gal		8,991
	Total		**59,250**		**Total**		**159,539**
				CA16P002020b	Replace smoke detectors		14,000
CA16P002020d	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000	**Normandie**	Replace vinyl tile		100,646
Williamson	Landscape and irrigation replacement		50,000		Replace water heater, commercial 100 gal		62,937
	Vacant Unit Rehab/Prep		20,000		(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		20,000
	Replace vanity cabinet, countertop and sink		7,151		Section 504 Evaluation repairs and/or modifications		5,000
	Replace gas fired residential 40 gallon water heater		5,600		Range		14,493
	Replace vinyl tile		28,756		**Total**		**217,076**
	Range		2,070				
	Total		**118,577**	**CA16P002020c**	Asphalt shingles. Install new shingles over existing shingles		55,681
				Century/Wilton	Replace refrigerators (seniors)		20,000

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4
FFY 2016

Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
CA16P002021a	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		15,000
1101 W 91st Street	Vacant Unit Rehab/Prep		20,000
	Paint existing stucco one coat, spray, medium prep work		25,905
	Total		**60,905**
CA16P002021b	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
E. 119th Street	Vacant Unit Rehab/Prep		20,000
	paint existing stucco		2,491
	Replace gas fired residential 40 gallon water heater		4,200
	Total		**31,691**
CA16P002021c	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		10,000
E 61st Street	Vacant Unit Rehab/Prep		20,000
	Residential kitchen countertops and cabinets wall and base		36,494
	Replace gas fired residential 40 gallon water heater		8,400
	Total		**74,894**
CA16P002021d	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
1100 W 106th St.	Upgrade stair & landing rail		15,000
	Vacant Unit Rehab/Prep		50,000
	Paint existing stucco one coat, spray, medium prep work		11,676
	Replace 3'x4' aluminum window operable		35,265
	Replace gas water heater, residential 30 gal		12,954
	Replace vanity cabinet		8,939
	Residential kitchen countertop 10.5' w/new sink		10,530
	Residential kitchen cabinets wall and base		61,711
	Total		**211,075**
CA16P002021e	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		10,000
W. 107th Street	Vacant Unit Rehab/Prep		20,000
	Paint existing stucco one coat, spray, medium prep work		11,676
	Replace gas water heater, residential 30 gal		23,316
	Total		**64,992**
CA16P002021f	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
McBride	Vacant Unit Rehab/Prep		15,000
	Replace gas fired residential 40 gallon water heater		5,600
	Replace sheet vinyl		11,635
	Replace vanity cabinet, counter and sink		3,576
	Total		**37,811**
CA16P00221g	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
Simmons	Roof and gutter replacement		50,000
	Repair stucco/Paint exterior		20,000
	Vacant unit Rehab/Prep		20,000
	Replace gas water heater, residential 30 gal		5,181
	Total		**97,181**
CA16P002023	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		24,000
El Segundo I	Upgrade/install landscaping		20,000
	Replace concrete stair treads		20,000
	Vacant Unit Rehab/Prep		50,000
	Gas fired furnace 45 MBH no AC		44,964
	Install/replace steel stairs, concrete filled pan, shop fabricated, picket rail		43,500
	Paint existing stucco one coat, spray, medium prep work		10,499
	Replace aluminum window operable		75,567
	Replace solid core, painted dooe		48,526
	Replace aluminum down spouts and gutters		10,921
	Residential kitchen cabinet and countertops with new sink		179,695
	Total		**527,672**
CA16P002026	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		20,000
Carmelitos Srs	ADA accessible outside entry gate		50,000
	ADA double-leaf entry door in Rec Room		80,000
	ADA "Van Accessible" parking area designated space		10,000
	ADA exterior stairs by providing handrails & extensions		20,000
	Apply elastometric coating to concrete balcanies and decks		73,728
	Modernize hydraulic elevator controller and signals, to 3 stories		292,000
	Range 6-burner 60" wide w/ griddle	`	8,039
	Replace Reach in refrigerator 68 CF		7,799
	Total		**561,566**
CA16P002027	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		10,000
Marina Manor II	Replace generator		120,000
	Section 504 Evaluation repairs and/or modifications		20,000
	Upgrade irrigation and landscaping (EPC)		20,000
	Replace balcony screen doors		15,000
	Vacant Unit Rehab/Prep		40,000
	Paint existing stucco one coat,spray, medium prep work		30,087
	Refrigerator		46,535
	Replace ceramic tile		2,760
	Replace carpet-standard commercial		17,205
	Replace vinyl sheet		3,723
	Replace sliding metal closet doors		71,000
	Replace vanity cabinet,counter and sink		63,469
	Residential kitchen cabinets wall and base/ countertop with new sink		396,067
	Total		**855,846**

Work Statement for Year 5
FFY 2017

Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
	Remodel kitchens/bathrooms		50,000
	Vacant Unit Rehab/Prep		50,000
	Mineral surface. Roof overlay		20,704
	Install 1.6 gallon low-flush toilets		35,000
	Replace boilers and heat pumps		187,402
	Residential kitchen cabinets and countertops		206,680
	Replace Vanity cabinet, counter, and sink		35,757
	Total		**661,224**
CA16P002020d	Replace smoke detectors		2,000
Williamson	Repair common area flooring (concrete walkway)		100,000
	Section 504 Evaluation repairs and/or modifications		5,000
	Residential kitchen countertop and cabinets		20,666
	Gas wall heater 24 MBH		7,569
	Total		**135,235**
CA16P002021a	Replace smoke detectors		8,000
1101 W 91st Street	Replace wall and water heaters		10,500
	Section 504 Evaluation repairs and/or modifications		20,000
	Replace windows and doors		146,746
	Replace vanity cabinet, counter and sink		15,197
	Replace vinyl tile		64,701
	Residential kitchen cabinets and countertops		97,316
	Replace water heater, commercial 100 gal		17,982
	Total		**380,442**
CA16P002021b	Replace smoke detectors		1,000
E. 119th Street	Landscape site		20,000
	Replace wrought iron fence		10,000
	Section 504 Evaluation repairs and/or modifications		20,000
	Gas wall heater 24 MBH		51,000
	Replace vanity cabinet , counter and sink		2,000
	Residential kitchen countertops and cabinets		12,165
	Replace vinyl tile		12,221
	Total		**128,386**
CA16P002021c	Replace smoke detectors		3,000
E 61st Street	Section 504 Evaluation repairs and/or modifications		20,000
	Replace electrical boxes		1,000
	Section 504 Evaluation repairs and/or modifications		20,000
	Replace solid core and metal grated doors		60,279
	Replace vanity cabinet, counter and sink		4,470
	Replace vinyl tile		35,945
	Range		3,106
	Install/replace air cooled DX condensing unit		17,408
	Gas fired furnace 60 MBH with AC		11,768
	Total		**68,227**
CA16P002021d	Replace smoke detectors		5,000
1100 W 106th St.	Regrade & landscape site		2,500
	Replace built-up roof		18,000
	Replace aluminum windows		23,300
	Replace interior doors		12,000
	Remodel kitchens & bathrooms		75,300
	Replace water heaters		5,500
	Section 504 Evaluation repairs and/or modifications		20,000
	Replace aluminum gutters and spouts		3,749
	Asphalt shingles. Install new shingles over existing shingles		18,982
	Range		5,176
	Total		**189,507**
CA16P002021e	Replace smoke detectors		9,000
W. 107th Street	Upgrade wrought iron doors and window bars		5,000
	Upgrade playground equipment		5,000
	Section 504 Evaluation repairs and/or modifications		20,000
	Gas Wall heater 24 MBH		68,119
	Range		9,317
	Replace aluminum sliding door		35,921
	Replace vanity cabinet, counter and sink		32,181
	Replace Vinyl tile		176,921
	Residential kitchen countertop w/ new sink		18,953
	Total		**380,412**
CA16P002021f	Replace smoke detectors		2,000
McBride	Replace storage room doors & patch and paint common areas		5,000
	Remodel bathrooms		20,000
	Replace ranges/hoods		6,000
	Section 504 Evaluation repairs and/or modifications		40,000
	Replace carpet-residential grade		5,809
	Gas wall heater 24 MBH		7,569
	Total		**86,378**
CA16P002021g	Replace smoke detectors		2,000
Simmons	Upgrade Landscape/Irrigation		3,000
	Replace entry doors & garage doors		2,000
	Section 504 Evaluation repairs and/or modifications		20,000
	Range		2,070
	Replace vanity cabinet,counter and sink		3,576
	Replace vinyl tile		7,189
	Residential kitchen cabinets wall and base		23,039

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4 FFY 2016				Work Statement for Year 5 FFY 2017			
Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
CA16P002029	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		15,000		Residential kitchen countertops,plastic laminate, postformed back splash		2,589
Foothill Villa	Replace landscaping		25,000		**Total**		**65,463**
	Repair/repaint exterior of building		100,000				
	Asphalt shingles. Removal and replacement of shingles		29,840	**CA16P002023**	Replace smoke detectors		15,000
	Refrigerator /Ranges		75,000	**El Segundo I**	Replace finish hardware		6,800
	Total		**244,840**		Replace windows with aluminum windows		200,000
					Replace patio doors		6,000
					Section 504 Evaluation repairs and/or modifications		20,000
CA16P002030	Paint interior walls, drywall		86,862		Range s/Refrigerators		40,000
Orchard Arms	Repair and seal coat asphalt		22,179		Replace aluminum sliding door		10,774
	Replace bath counter top and sink		12,345		Replace gas water heater, residential 30 gal		38,861
	Replace carpet-residential grade		259,940		Residential kitchen countertop, plastic laminate, postformed backsplash		2,774
	Replace carpet-standard commercial		210,897		**Total**		**340,209**
	Replace sheet vinyl		248,410				
	Total		**840,633**	**CA16P002026**	Replace plastic guard forADA units along exposed doorways (ADA)		200,000
				Carmelitos Srs	Install security cameras (CPTED)		20,000
CA16P002032	Remodel bathrooms		200,000		Section 504 Evaluation repairs and/or modifications		50,000
Southbay Gardens	Replace carpet in 8 units		20,000		Vacant Unit Rehab/Prep		20,000
	Section 504 Evaluation repairs and/or modifications		20,000		Replace Windows and doors		100,000
	Vacant Unit Rehab/Prep		100,000		Built up roofing. Total roof replacement		671,261
	AC through the wall unit 1 ton		82,674		Asphalt shingles. Install new shingles over existing shingles		76,504
	Paint existing stucco one coat, spray, medium prep work		35,153		Replace vinyl sheets and tile		288,531
	Replace vinyl tile		76,635		Residential kitchen cabinets, countertops, wall and base		209,432
	Asphalt shingles. Install new shingles over existing shingles		75,929		Fire alarm panel 12 zone		636,113
	Total		**610,391**		Heat pump through the wall 1.5 ton		12,960
					Package units, gas heat, 4 ton cooling		756,598
CA16P002033	CPTED Replace lighting, fencing, pedestrian gates, and motorized gates.		15,000		Range		51,986
Whittier Manor	Replace carpet in units and common area VCT		100,000		Refrigerator		80,229
	Section 504 Evaluation repairs and/or modifications		20,000		**Total**		101,590
	Vacant Unit Rehab/Prep		50,000				**3,275,204**
	Built up roofing. Total roof replacement		113,942	**CA16P002027**	Replace mailboxes		5,000
	AC through the wall unit 1 ton		38,030	**Marina Manor II**	Replace vanities, toilets, medicine cabinets, fans		88,000
	Replace vinyl tile		16,750		Replace exterior doors, locks, thresholds		148,000
	Refrigerator /Ranges		60,000		Replace wrought iron fencing & gate		40,000
	Total		**413,722**		Replace ceiling tiles		3,000
					Built up roofing. Place new membrane over existing		95,665
CA16P002034	Section 504 Evaluation repairs and/or modifications		10,000		Replace entrance and hallway carpet with VCT tile		50,000
4th & Mednick	CPTED Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000		Lighting retrofit all areas		10,000
	Vacant Unit Rehab/Prep		15,000		Survey sewer lines		15,000
	Gas fired furnace 45 MBH no AC		2,998		Fire alarm panel 12 zone		4,320
	Paint existing stucco one coat, spray, medium prep work		3,892		Gas fired wall heater and furnace		116,385
	Total		**33,890**		Range		36,750
					Replace acoustical ceiling tile system, fire rated, incl. demo		3,581
CA16P002048	CPTED Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000		Replace vinyl tile		299,997
Arizona/Olympic	Section 504 Evaluation repairs and/or modifications		10,000		**Total**		**915,698**
	Vacant Unit Rehab/Prep		10,000				
	Residential kitchen cabinets wall and base/ countertops w/ new sink		82,853	**CA16P002029**	Weatherproof building (RR)		
	Replace vinyl tile		29,691	**Foothill Villa**	Replace unit A/Cs and common areas		50,000
	Replace doors		48,811		Cast iron tubs and ceramic tile		75,000
	Total		**186,355**			62	180,000
					Total		**305,000**
CA16P002052	CPTED Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000				
El Segundo II	Section 504 Evaluation repairs and/or modifications		10,000	**CA16P002030**	Add carports		70,000
	Vacant Unit Rehab/Prep		50,000	**Orchard Arms**	Paint building exterior		100,000
	Replace aluminum window operable		23,174		Cast iron tub and ceramic tile	4	500,000
	Paint existing stucco one coat, spray, medium prep work		19,460		Replace unit A/C's and heaters		280,000
	Seal coat and stripe asphalt, no repairs		1,190		Replace roof		581,919
	Total		**108,824**		(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.	4	20,000
					Install fencing in parking areas		120,000
CA16P002056	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000		Fire alarm panel 12 zone		4,320
88th & Beach	Section 504 Evaluation repairs and/or modifications		10,000		Modernize hydraulic elevator controller and signals, up to 3 stories		72,000
	Vacant Unit Rehab/Prep		20,000		Refrigerator		119,942
	Replace gas fired residential 40 gallon water heater		5,600		Replace aluminum window and doors		530,105
	Total		**37,600**		Replace fiberglass tub/shower surround		201,248
					Replace vanity cabinet, counter and sink		147,498
CA16P002058	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		10,000		Replace vinyl tile		17,973
Herbert	Vacant Unit Rehab/Prep		40,000		Residential kitchen cabinets wall and base		94,722
	Section 504 Evaluation repairs and/or modifications		10,000		**Total**		**2,859,727**
	Metal frame window		155,616				
	AC through the wall unit		38,030	**CA16P002032**	Replace aluminum windows		200,000

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4
FFY 2016

Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
	Carpeted steps		18,759
	Install/repair air cooled DX condensing unit		6,828
	Paint existing stucco one coat, spray, medium prep work		41,800
	Refrigerator /Ranges		60,000
	Replace vinyl tile		85,406
	Residential kitchen cabinets wall and base/ countertop w/new sink		179,536
	Total		**645,975**
CA16P002060a-b	Upgrade landscaping		2,000
W 94th & 95th St	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
	Section 504 Evaluation repairs and/or modifications		10,000
	Vacant Unit Rehab/Prep		40,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		12,000
	Remodel bathrooms		10,000
	Replace vanity cabinet,counter and sink		14,303
	Replace doors and windows		62728
	Paint existing stucco one coat, spray, medium prep work		24512
	Remove and replace concrete sidewalk		2319
	Residential kitchen countertop		10273
	Replace gas water heater		10,363
	Total		**200,498**
CA16P002061	Replace wall heaters		10,000
El Segundo II	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
	Replace vinyl tile and base		9,000
	Replace forced-air furnaces		15,000
	Rubber steps		5,499
	Seal coat and stripe asphalt, no repairs		1,530
	Replace aluminum windows		25,189
	Paint existing stucco one coat, spray, medium prep work		5,449
	Total		**73,667**
CA15P002062	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
Quartz Hill I	Replace water heaters		25,907
	Replace swamp coolers with air conditioners (ARRA)		300,000
	Vacant Unit Rehab		40,000
	Seal coat and stripe asphalt, no repairs		3,788
	Asphalt shingles. Removal and replacement of shingles		55,950
	Total		**430,645**
CA16P002066	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
Woodcrest I	Repair wrought iron security doors and gates		5,000
	Replace water heaters and furnaces		25,000
	Vacant Unit Rehab/Prep		20,000
	Replace storage & interior doors inc closet doors		55,000
	Replace doors		30,793
	Replace vanity cabinet, counter and sink		17,878
	Residential kitchen countertop w/new sink		10,530
	Total		**169,201**
CA16P002067	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
1615-17 E. 87th St.	Section 504 Evaluation repairs and/or modifications		20,000
	Vacant Unit Rehab/Prep		10,000
	Asphalt shingles. Removal and replacement of shingles		11,190
	Built up roofing. Total roof replacement		8,139
	Replace aluminum downspouts and gutters		4,150
	Replace bath countertop and sink		5,487
	Replace gas water heater, residential 30 gal		5,181
	Replace vinyl tile		32,710
	Residential kitchen cabinets wall and base/countertop w/new sink		30,542
	Total		**129,399**
CA16P002069	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000
Quartz Hill II	Section 504 Evaluation repairs and/or modifications		10,000
	Vacant Unit Rehab/Prep		40,000
	Seal coat and stripe asphalt, no repairs		3,788
	Total		**58,788**
CA16P002071	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
Addington/Wald	Section 504 Evaluation repairs and/or modifications		10,000
	Vacant Unit Rehab/Prep		10,000
	Residential kitchen cabinets wall and base/countertop w/ new sink		17,970
	Replace vinyl tile		23,005
	Replace vanity cabinet, counter and sink		5,364
	Replace gas fired residential 40 gallon water heater		4,200
	Paint existing stucco one coat, spray medium prep work		9,029
	Total		**81,568**
CA16P002078	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000
1027-33 W. 90th St.	Section 504 Evaluation repairs and/or modifications		10,000
	Vacant Unit Rehab/Prep		10,000
	Replace gas fired residential 40 gallon water heater		8,400
	Replace vanity cabinet, counter and sink		5,364
	Total		**35,764**

Work Statement for Year 5
FFY 2017

Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost
Southbay Gardens	Built up roofing. Place new membrane over existing		300,000
	Fire alarm panel 12 zone		4,320
	Gas fired furnace 60 MBH no AC		173,145
	Package units, gas heat, 10 ton cooling		62,744
	Range		51,761
	Refrigerator		65,542
	Replace aluminum downspouts and gutters		66,468
	Total		**923,980**
CA16P002033	Replace roof		200,000
Whittier Manor	Replace unit and common area A/C's		100,000
	Replace generator		50,000
	Replace trash chute doors and lock		10,000
	Gas wall heater 17.5 MBH		77,932
	Fire alarm panel addressable, with voice		13,399
	Replace doors and windows		302,159
	Replace vanity cabinet, counter and sink		43,802
	Replace tank water closet		27,053
	Replace vinyl tile		66,570
	Total		**890,915**
CA16P002034	Rehab to inc all doors, windows, flooring, kitchen and bathrooms remodel		70,000
4th & Mednick	Asphalt shingles. Removal and replacement of shingles		12,122
	Replace gas fired residential 40 gallon water heater		2,800
	Total		**84,922**
CA16P002048	Rehab to inc exterior painting, fencing, doors, kitchens and bathroom remodel		15,000
Arizona/Olympic	Replace tank water closet		19,876
	Replace gas fired residential 40-gallon water heater		25,200
	Gas fired furnace 45 MBH no AC		26,978
	Total		**150,000**
CA16P002052	Rehab to inc roof, wall heaters,stair treads, kitchen and bathroom remodel		150,000
El Segundo II	**Total**		**150,000**
CA16P002056	Rehab to inc roof, doors, flooring, kitchen and bathroom remodel		100,000
88th & Beach	Paint existing stucco one coat, spray, medium prep work		8,562
	Total		**108,562**
CA16P002058	Repair Stucco/Paint Building Exterior		200,000
Herbert	Repave front walkway & parking lot		15,000
	Replace kitchen cabinets & countertops		96,000
	Replace A/Cs in common areas		4,000
	Replace doors		158,167
	Replace vanity cabinet, counter and sink		41,121
	Replace tank water closet		25,397
	Replace tanks and boilers		30,680
	Replace heaters and furnace		76,982
	Fire alarm panel addressable with voice		13,399
	Asphalt shingles. Removal and replacement of shingles		58,934
	Total		**719,680**
CA16P002060a-b	Replace smoke detectors		4,000
W 94th &95th St	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		10,000
	Residential kitchen cabinets wall and base		49,369
	Replace wall heaters		5,600
	Replace interior/exterior doors		12,940
	Replace aluminum downspouts and gutters		24,026
	Range		4,141
	Gas fired furnace		13852
	Asphalt shingles. Install new shingles over existing shingles		18982
	Total		**142,910**
CA16P002061	Replace smoke detectors		2,500
El Segundo II	Vancant Unit Rehab/Prep		20,000
	Landscape and regrade site		4,500
	Refrigerator		8,520
	Range		6,729
	Gas fired furnace		22,509
	Replace vanity cabinets wall and base		23,242
	Replace vinyl tile		107,835
	Residential kitchen cabinet, counter and sink		21,450
	Total		**217,285**
CA15P002062	Replace smoke detectors		10,000
Quartz Hill I	Replace interior doors		75,000
	Install new kitchen counter tops		80,000
	Paint existing stucco one coat, spray, medium prep work		40,477
	Range		10,352
	Replace vanity cabinet, counter and sink		17,878
	Replace vinyl tile		143,780
	Residential kitchen cabinets wall and base/countertop, plastinc laminate		91,527
	Total		**469,014**
CA16P002066	Replace smoke detectors		5,000
Woodcrest I	Section 504 Evaluation repairs and/or modifications		10,000
	Upgrade landscape & install "tot lot"		75,000
	Vacant Unit Rehab/Prep		20,000

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4 FFY 2016				Work Statement for Year 5 FFY 2017			
Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
					Replace individual gas lines (EPC)		50,000
CA16P002079a-b-c	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		7,000		Replace aluminum gutters and downspouts		16,840
1334 W. 106th St.	Section 504 Evaluation repairs and/or modifications		60,000		Range		5,176
9410 Budlong	Vacant Unit Rehab/Prep		40,000		Asphalt shingles. Install new shingles over existing		18,982
11126 Budlong	Asphalt shingles. Install new shingles over existing shingles		10,630		Residential kitchen cabinets wall and base		49,369
	Replace vanity cabinet, counter and sink		12,515		Replace vinyl tile		75,844
	Residential kitchen cabinets wall and base		29,621		**Total**		**326,211**
	Residential kitchen countertops w/new sink		6,318				
	Repair stucco/repaint		10,000	**CA16P002067**	Replace smoke detectors		2,000
	Total		**176,084**	**1615-17 E. 87th St.**	Replace heaters and water heaters		15,000
CA16P002080a					Gas fired furnace		6,926
1535 E. 83rd St.					Range		2,070
	Replace forced draft heaters		5,000		Refrigerator		2,622
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000		Replace doors and windows		29,773
	Section 504 Evaluation repairs and/or modifications		10,000		**Total**		**58,391**
	Vacant Unit Rehab/Prep		10,000				
	Paint existing stucco one coat, spray, medium prep work		5,449	**CA16P002069**	Replace smoke detectors		10,000
	Replace aluminum window operable		12,091	**Quartz Hill II**	Replace furnace ducts		60,000
	Replace bath counter top and sink		2,743		Extend maintenance supply room		40,000
	Replace gas water heater		2,591		Replace gas water heater, residential 30 gal		25,907
	Residential kitchen cabinets wall and base		13,165		Asphalt shingles. Removal and replacement of shingles		57,815
	Residential kitchen countertop w/new sink		2,706		Paint existing stucco one coat, spray, medium prep work		37,363
CA16P002080b	**Total**		**65,745**		Range		10,352
92nd & Bandera					Replace vanity cabinet, counter and sink		17,878
	Upgrade wrought iron window bars		2,000		Replace vinyl tile		143,780
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000		Residential kitchen cabinets wall and base/countertop, plastic laminate		91,527
	Replace smoke detectors		4,000		**Total**		**494,622**
	Section 504 Evaluation repairs and/or modifications		10,000				
	Vacant Unit Rehab/Prep		10,000	**CA16P002071**	Replace smoke detectors		1,500
	Residential kitchen cabinets wall and base/countertop w/new sink		47,919	**Addington/Wald**	Replace interior/exterior doors		12,000
	Replace vinyl tile		54,493		Repair exterior and repaint building		9,000
	Replace vanity cabinet, counter and sink		14,303		Asphalt shingles. Removal and replacement of shingles		7,460
	Replace gas water heater, residential 30 gal		10,363		**Total**		**29,960**
	Replace aluminum window operable		28,212				
	Refrigerator		5,243	**CA16P002078**	Replace smoke detectors	6	3,000
CA16P002090	**Total**		**191,533**	**W. 90th Street**	Replace heaters	6	18,000
Woodcrest II					Upgrade wrought iron window bars		3,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000		Replace bathroom sinks and lavatories	6	4,000
	Replace water heaters & forced air furnaces	10	20,000		Replace windows and door		89,985
	Section 504 Evaluation repairs and/or modifications		20,000		Replace vinyl tile		53,918
	Remove tree		5,000		Range		3,106
	Vacant Unit Rehab/Prep		20,000		Gas fired furnace		8,993
	Gas-fired furnace 60 MBH no AC		17,314		**Total**		**184,002**
	Remove and replace interior hollow core wood door		20,793				
	Replace gas water heater, residential 30 gal		12,954	**CA16P002079a-b-c**	Replace smoke detectors		5,500
	Replace Sliding metal sliding closet doors 5x6		10,000	**1334 W. 106th St.**	Upgrade vehicle gate and operator		6,500
	Replace vanity cabinet, counter and sink		17,865	**9410 Budlong**	Replace all interior doors		14,400
	Residential kitchen countertop 10.5' w/new sink		10,530	**11126 Budlong**	Replace exterior doors		2,000
	Total		**159,456**		Gas fired furnace		16,726
CA16P002091a					Replace aluminum windows		56,200
1115/1116 W 90th					Paint existing stucco one coat, spray, medium prep work		17,436
	Range		9,317		Range		5,693
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000		Retile floors		2,000
	Section 504 Evaluation repairs and/or modifications		10,000		Replace gas fired residential 40 gallon heater		15400
	Vacant Unit Rehab/Prep		20,000		Replace vinyl tile		89863

Capital Fund Program--Five-Year Action Plan
Part II: Supporting Pages - Physical Needs Work Statement(s)

Work Statement for Year 4 FFY 2016				Work Statement for Year 5 FFY 2017			
Development Name/No.	General Description of Major Work Categories	Quantity	Estimated Cost	**Development Name/No.**	General Description of Major Work Categories	Quantity	Estimated Cost
	Refrigerator		11,798		**Total**		**231,718**
	Replace vanity cabinet, counter and sink		16,091				
	Replace vinyl tile		93,457	**CA16P002080a**	Replace smoke detectors		1,000
	Residential kitchen countertop w/ new sink		18,953	**1535 E. 83rd St.**	Replace exterior doors		1,400
	Residential kitchen countertop, plastic laminate, post formed backsplash		1,664		Repair/Replace exterior of building		6,000
	Residential kitchen cabinets wall and base		88,863		Replace viinyl tile		16,175
	Seal coat and stripe asphalt, no repairs		3,332		Replace aluminum gutters and downspouts		4,659
CA16P002091b	**Total**		**275,475**		Gas fired furnace		3,923
Carmelita					Built up roofing. Place new membrane over existing		3,434
	Residential kitchen cabinets wall and base/ countertop w/ new sink		8,688		Asphalt shingles. Install new shingles over existing shingles		3,796
	Repair/repaint exterior of building		8,000		**Total**		**40,387**
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000				
	Section 504 Evaluation repairs and/or modifications		5,000	**CA16P002080b**	Remodel Kitchens and Bathrooms		50,000
	Vacant Unit Rehab/ Prep		10,000	**92nd & Bandera**	Upgrade landscaping		10,000
	Total		**33,688**		Gas fired furnace		14,382
					Replace aluminum gutters and downspouts		6,461
CA16P002091c					**Total**		**30,843**
Triggs							
	Paint existing stucco one coat, spray, medium prep work		5,916	**CA16P002090**	Replace smoke detectors	10	5,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000	**Woodcrest II**	Replace wrought iron back doors		3,500
	Section 504 Evaluation repairs and/or modifications		5,000		Replace interior/exterior doors		15,000
	Exterior door replacement		20,000		Replace ranges & hoods	10	10,000
	Vacant Unit Rehab/Prep		10,000		Asphalt shingles. Install new shingles over existing shingles		18,982
	Replace gas water heater, residential 30 gal		5,181		Replace aluminum downspouts		3,183
	Replace vanity cabinet, counter and sink		3,576		Relace aluminum gutters		13,657
	Residential kitchen cabinets wall and base/ countertop w/ new sink		20,666		Replace vinyl tile		75,844
CA16P002097	**Total**		**72,339**		Residential kitchen cabinets wall and base		49,369
Monica Manor					**Total**		**171,035**
	Repair and seal concrete		10,000	**CA16P002091a**	Replace windows		50,000
	Replace electrical enclosure doors		8,000	**1115/1116 W 90th**	Remove palm tree		5,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		5,000		Gas wall heater 24MBH		34,059
	Section 504 Evaluation repairs and/or modifications		20,000		Replace windows and doors		53,104
	Common area floor covering		20,000		Replace aluminum gutters and downspouts		22,659
	Upgrade irrigation		20,000		Replace water heater, commercial 100 gal		17,982
	Vacant Unit Rehab/Prep		20,000		**Total**		**182,804**
	Gas fired furnace		32,897				
	Replace vanity cabinet, counter and sink		16,091	**CA16P002091b**	Replace smoke detectors		1,000
	Residential kitchen cabinets wall and base/ countertop w/ new sink		102,698	**Carmelita**	Replace kitchen cabinets & countertops and floor tile		15,000
CA16P002107a	**Total**		**254,686**		Replace ranges/range hoods		1,500
Jarvis					Gas wall heater 24 MBH		3,784
	Paint existing stucco one coat, spray medium prep work		4,359		Paint existing stucco one coat, spray, medium prep work		3,114
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		1,000		Replace gas fired residential 40 gallon water heater		2,800
	Section 504 Evaluation repairs and/or modifications		5,000		**Total**		**27,198**
	Vacant Unit Rehab/Prep		10,000				
	Refrigerator		655				
	Replace gas water heater, residential 30 gal		1,295	**CA16P002091c**	Replace smoke detectors	4	2,000
CA16P002107b	**Total**		**22,309**	**Triggs**	Abate for asbestos and replace floor tile		50,000
1527 E. 84th St.					Replace irrigation system		10,000
	Replace gas fired residenttial, 40 gallon water heater		5,600		Roof and gutter replacement		50,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000		Range		2,070
	Section 504 Evaluation repairs and/or modifications		10,000		Gas wall heater 24 MBH		7,569
	Vacant Unit Rehab/Prep		10,000		**Total**		**121,639**
	Total		**27,600**	**CA16P002097**	Replace all windows and coverings		94,090
				Monica Manor	Lighting retrofit all areas (EPC)		15,000
					Replace vinyl tile		117,037
CA16P002124a-b	Residential kitchen cabinets wall and base		64,179		Range		9,835
1336-40 W. 105th St.	Replace exterior doors		5,000		Fire alarm panel addressable, with voice		13,399
1057 W. 106th St.	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		4,000		Built up roofing. Total roof replacement		30,113
	Section 504 Evaluation repairs and/or modifications		10,000		Asphalt shingles. Removal and replacement of shingles		24,991
	Vacant Unit Rehab/ Prep		10,000		**Total**		**304,465**
	Replace forced air heaters		2,000	**CA16P002107a**	Replace vinyl tile		10,000
	Replace wrought iron door gate and fencing		5,000	**Jarvis**	Asphalt shingles. Install new shingles over existing shingles		10,000
	Remodel bathrooms		20,000		**Total**		**20,000**
	Residential kitchen countertop w/ new sink		13688				
	Residential kitchen countertop, plastic laminate		1202	**CA16P002107b**	Asphalt shingles. Install new shingles over existing shingles		4,303
	Replace gas fired residential 40 gallon water heater		18200	**1527 E. 84th St.**	Duct coil, 1 row, hot water		6,990
	Total		**153,269**		Range		2,070
CA16P002127a-b-c	Range		5,176		Replace windows		27,877
ATHENS	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		6,000		Replace vinyl tile and sheet		15,650
	Section 504 Evaluation repairs and/or modifications		40,000		**Total**		**56,890**
	Replace gas fired residential 40 gallon water heater		14,000	**CA16P002124a-b**	Replace smoke detectors		6,500
	Replace vinyl tile		71,890	**1336-40 W. 105th St.**	Replace sub floors/VCT/stair treads		162,500
	Install stair gate door (CPTED)		10,000	**1057 W. 106th St.**	Gas fired furnace 45 MBH no AC		19,484
	Vacant Unit Rehab/Prep		10,000		Replace aluminum window operable		63,363
	Total		**157,066**		Section 504 Evaluation repairs and/or modifications		20,000
CA16P002132a-b	Paint existing stucco one coat, spray, medium prep work		19,616		**Total**		**271,847**
W Imperial	Replace mailboxes		4,000				
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		4,000	**CA16P002127a-b-c**	Rehab to inc roof, unit flooring, windows and kitchen bathroom remodel		260,000
	Section 504 Evaluation repairs and/or modifications		30,000	**ATHENS**	Gas fired furnace 45 MBH no AC		14,988
	Vacant Unit Rehab/Prep		20,000		**Total**		**274,988**
	Range		4,141				
	Replace gas fired residential 40 gallon water heater		12,600				
	Replace vinyl tile		50,323	**CA16P002132a-b**	Rehab to inc roof, windows, kitchen and bathroom remodel		180,000
	Total		**144,680**	**W Imperial**	Gas fired furnace 45 MBH no AC		5,995
					Total		**185,995**
CA16P002138	Replace vanity cabinet, counter and sink		4,470				
11248 S. Budlong	Replace mailboxes		2,000		Install clean out and shut off for all units		15,000
	(CPTED) Replace lighting, fencing, pedestrian gates, and motorized gates.		2,000	**CA16P002138**	Asphalt shingles. Removal and replacement of shingles		108,916
	Section 504 Evaluation repairs and/or modifications		15,000	**11248 S. Budlong**	Replace vinyl tile		47,245
	Vacant Unit Rehab/Prep		10,000		Remodel Kitchens and Bathrooms		250,000
	Replace vinyl tile		35,945		**Total**		**421,161**
	Total		**69,415**				
	Year Four Total		**34,021,394**		**Year Five Total**		**33,522,137**

ATTACHMENT
Housing Authority of the County of Los Angeles
2016 SCHEDULE OF FLAT RENTS FOR PUBLIC HOUSING

Site	Studio	1 Bedroom	2 Bedrooms	3 Bedrooms	4 Bedrooms	5 Bedrooms
Harbor Hills (123) 26607 So. Western Ave Lomita, CA 90717	Not applicable	$1,022	$1,378	$1,607	Not applicable	Not applicable
Nueva Maravilla (131) 4919 Cesar E. Chavez Ave Los Angeles, CA 90022 Rosas **(13A)**	Not applicable	$1,065	$1,347	$1,656	$2,200	Not applicable
Carmelitos (12ª/112) 761 Via Carmelitos Long Beach, CA 90805	Not applicable	$941	$1,246	$1,651	$1,782	Not applicable
Carmelitos 817 Via Carmelitos Long Beach, CA 90805	Not applicable	$955	$1,260	Not applicable	Not applicable	Not applicable
North County I (201) **Orchard Arms** 23410-23540 Wiley Canyon Rd. Valencia, CA 91355	Not applicable	$984	Not applicable	Not applicable	Not applicable	Not applicable
Foothill Villa (202) 2423 Foothill Boulevard La Crescenta, CA 91214	Not applicable	$1,482	Not applicable	Not applicable	Not applicable	Not applicable
North County II (203/23a) **Quartz Hills I & II** 5028 West Avenue L-1242051 51st Street West Quartz Hill, CA 93536	Not applicable	Not applicable	$1,192	$1,607	Not applicable	Not applicable
West County I (301/302) **West Knoll Apts.** 838 West Knoll Drive West Hollywood, CA 90069 **Palm Apts.** 959 Palm Avenue West Hollywood, CA 90069	$1,705	$1,850	Not applicable	Not applicable	Not applicable	Not applicable
West County II (1-4) (33a) **1. Marina Manor I** 3401 Via Dolce Marina Del Rey, CA 90292	Not applicable	$2,211	$3,207	Not applicable	Not applicable	Not applicable
2. Marina Manor II (33a) 3405 Via Dolce Marina Del Rey, CA 90292	Not applicable	$2,213	$3,210	Not applicable	Not applicable	Not applicable
3. Monica Manor (307) 1901-1909 11th Street Santa Monica, CA 90405	Not applicable	Not applicable	$3,047	Not applicable	Not applicable	Not applicable
4. Ocean Park (306) 175 Ocean Park Boulevard Santa Monica, CA 90405	Not applicable	$2,358	$3,512	$4,914	Not applicable	Not applicable

Site	Studio	1 Bedroom	2 Bedrooms	3 Bedrooms	4 Bedrooms	5 Bedrooms
Francisquito Villa (402) 14622 Francisquito Avenue, La Puente, CA 91746	Not applicable	$929	Not applicable	Not applicable	Not applicable	Not applicable
Whittier Manor (411) 11527 Slauson Avenue Whittier, CA 90606	Not applicable	$946	$1,335	Not applicable	Not applicable	Not applicable
Sundance Vista (414) 10850 Laurel Avenue Whittier, CA 90606	Not applicable	Not applicable	Not applicable	$1,607	$1,810	Not applicable
ARIZONA & OLYMPIC (410) 1003-1135 So. Arizona Avenue Los Angeles, CA 90022 **HERBERT AVENUE (412)** 133 Herbert Avenue Los Angeles, CA 90063	Not applicable	$1,029	Not applicable	$1,656	$2,188	Not applicable
SIMMONS AVENUE(407) 927 So. Simmons Avenue Los Angeles, CA 90022 **CARMELITA AVENUE (403)**354-356 So. Carmelita Ave., Los Angeles, CA 90063 **TRIGGS STREET (406)** 4432-4434 ½ Triggs Street Los Angeles, CA 90023 **MCBRIDE AVENUE (404)** 1229 So. McBride Avenue Los Angeles, CA 90023 **4TH MEDNIK (409)** 341 So. Mednik Avenue Los Angeles, CA 90022 **WILLLIAMSON AVENUE (405)** 706-708 ½ So. Williamson Avenue Los Angeles, CA 90022	Not applicable	$1,021	$1,347	Not applicable	Not applicable	Not applicable
EL SEGUNDO I(53ª) 501 1928/37/49 E. El Segundo Blvd. Compton, CA 90222	Not applicable	$1,146	$1,457	$1,665	Not applicable	Not applicable
EL SEGUNDO II(55ª) 515 2140-2144 1/2 E. El Segundo Blvd. Compton, CA 90222 **EL SEGUNDO II (55ª) 55A** 2141-2145 E. El Segundo Blvd. Compton, CA 90222	Not applicable	Not applicable	Not applicable	$1,636	$1,821	Not applicable
Southbay Gardens (502) 230 E. 130th Street	Not applicable	$930	$1,251	Not applicable	Not applicable	Not applicable

Site	Studio	1 Bedroom	2 Bedrooms	3 Bedrooms	4 Bedrooms	5 Bedrooms
Los Angeles, CA 90061						
South County **EAST 61ST STREET (508)** 1231-33 E. 61st Street Los Angeles, CA 90001 **CENTURY & WILTON (525)** 10025 Wilton Place Los Angeles, CA 90047 **EAST 84TH STREET (530)** 1527 E. 84th Street Los Angeles, CA 90001 **LINSEY STREET(532)** 4621 & 4625 Linsley Street Compton, CA 90221	Not applicable	$929	$1,336	Not applicable	Not applicable	Not applicable
WOODCREST I (503) **1239 West 109th Street** **Los Angeles, CA 90044** **WEST 106TH STREET (59a)521** **1334-1338 W. 106th Street** **Los Angeles, CA 90044** **WOODCREST II (53A)** **1245 West 109th Street** **Los Angeles, CA 90044** **BUDLONG (528) 521** **9410 Budlong Avenue** **Los Angeles, CA 90044** **WEST 90TH STREET(505)** **1115-16 West 90th Street** **Los Angeles, CA 90044** **BUDLONG (528) 521** **11126 Budlong Avenue** **Los Angeles, CA 90044** **WEST 91ST STREET(506)** **1101-1109 West 91st Street** **Los Angeles, CA 90044** **WEST 94TH STREET (522)** **1035-1037 ½ W. 94th Street** **Los Angeles, CA 90044** **EAST 119TH STREET (507)** **1232-34 E. 119th Street** **Los Angeles, CA 90059** **WEST 95TH STREET (522)** **1324 West 95th Street**	Not applicable	$923	$1,330	$1,634	$1,641	$2,049

Site	Studio	1 Bedroom	2 Bedrooms	3 Bedrooms	4 Bedrooms	5 Bedrooms
Los Angeles, CA 90044						
WEST 105TH STREET (524) **1336-1340 W. 105th Street** **Los Angeles, CA 90044**						
WEST 106TH STREET (509) **1100 W. 106th Street** **Los Angeles, CA 90044**						
WEST 106TH STREET (524 **1057 W. 106th Street)** **Los Angeles, CA 90044**						
WEST 106TH STREET (59a) **1104 W. 106th Street** **Los Angeles, CA 90044**						
WEST 107TH STREET (510) **1320 W. 107th Street** **Los Angeles, CA 90044**						
IMPERIAL HEIGHTS (526) **1221 W. Imperial Highway** **Los Angeles, CA 90044**						
NORMANDIE AVENUE (511) **11431-11463 So. Normandie Avenue** **Los Angeles, CA 90047**						
IMPERIAL HEIGHTS (526) **1309 W. Imperial Highway** **Los Angeles, CA 90044**						
WEST 90TH STREET (514) **1027-1033 W. 90th Street** **Los Angeles, CA 90044**						
ATHENS III (527) **1120 W. 107th Street** **Los Angeles, CA 90044**						
92ND & BANDERA (516) **9104-9118 So. Bandera Street** **Los Angeles, CA 90002**						
ATHENS III (527) **1310 W. 110th Street** **Los Angeles, CA 90044**						
88th & BEACH (519)						

Site	Studio	1 Bedroom	2 Bedrooms	3 Bedrooms	4 Bedrooms	5 Bedrooms
8739 Beach Street **Los Angeles, CA 90002** **ATHENS III (527)** **11104 So. Normandie Avenue** **Los Angeles, CA 90044** **BUDLONG CREST (528)** **11248 So. Budlong** **Los Angeles, CA 90044** **EAST 83RD STREET (517) 1535 E. 83RD Street** **Los Angeles, CA 90002** **EAST 87TH STREET (518) 1615-1617 E. 87th Street Los Angeles, CA 90002** **JARVIS AVENUE (529)** **12920 Jarvis Avenue** **Los Angeles, CA 90061** **ADDINGTON & WALDORF (520)** **4212-4220 E. Addington Street Compton, CA 90221**						

HOUSING AUTHORITY
OF THE COUNTY OF LOS ANGELES

ADMINISTRATIVE PLAN

~~PUBLIC COMMENT VERSION~~

2016

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CHAPTER 1:
POLICIES AND OBJECTIVES

1.1 INTRODUCTION

The Los Angeles County Community Development Commission (CDC) was created in 1982 by the County's Board of Supervisors. The CDC aims to build better lives and better neighborhoods, by providing services to improve the quality of life in low- and moderate-income neighborhoods. The CDC manages programs in public and assisted housing, community development, economic development, and housing development and preservation.

The Housing Authority of the County of Los Angeles (Housing Authority) was created in 1938 to manage and develop affordable housing. Since 1938, the Housing Authority has administered federally funded public housing, rental assistance programs, and services and special programs for residents of public and assisted housing.

In an effort to streamline Los Angeles County's housing and community development programs and services, the County Board of Supervisors combined the Housing Authority with the CDC in 1982. The Housing Authority is comprised of two divisions of the CDC. The Housing Management Division manages public housing and related programs and services. The Assisted Housing Division administers rental assistance programs.

1.2 PURPOSE OF THE PLAN
[24 CFR §982.54(a) – §982.54(c)]

The purpose of the Administrative Plan is to clearly outline the policies and procedures that govern the Housing Authority's administration of rental assistance programs. The plan includes program requirements established by the U.S. Department of Housing and Urban Development (HUD), as well as the discretionary policies established by the Housing Authority.

The policies and procedures in this Administrative Plan comply with applicable local, State, and HUD and other Federal regulations, relevant memos, notices and guidelines, including fair housing and equal opportunity requirements. If applicable regulatory changes conflict with this plan, regulations will have precedence.

The Housing Authority adheres to the Administrative Plan in administering all rental assistance programs. The original plan and any changes must be approved by the Board of Commissioners of the agency (the Los Angeles County Board of Supervisors), and a copy of the plan must be provided to HUD.

As much as possible, revisions and additions are published to coincide with published changes in the Housing Authority's Agency Plan. Interim changes, including Board mandates and administrative updates reflecting changes in law or regulatory requirements, will be made effective by memo from the Executive Director or designee.

1.3 LOCAL OBJECTIVES
[24 CFR §982.1(a)]

The Housing Authority's rental assistance programs are designed to achieve three major objectives:

1. To provide improved living conditions and decent, safe, and sanitary housing for very low-income families while maintaining their rent payments at an affordable level;
2. To provide an incentive to private property owners to rent to lower income families by offering timely assistance payments; and
3. To promote freedom of housing choice and spatial deconcentration of lower income and minority families.

Additionally, the Housing Authority has adopted the following mission statement:

- To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

1.4 JURISDICTION
[24 CFR §982.51 and 24 CFR §982.4(b)]

HUD has authorized the Housing Authority to administer rental assistance programs within the corporate boundaries of Los Angeles County. The Housing Authority's jurisdiction includes:

1. The unincorporated areas of the County, and
2. Participating cities within the County. Participating small cities are defined as cities in the Los Angeles County area that have authorized the Housing Authority to administer rental assistance programs within their city limits.

1.5 RENTAL ASSISTANCE PROGRAMS

Section 8 of the Housing and Community Development Act of 1974 established the "Section 8 Program," the first permanent Federal program for rental assistance. The program authorized a basic certificate program, as well as targeted subprograms. As rental assistance programs developed, Congress authorized additional Section 8 programs, including a voucher program in 1987.

In 1998, the Quality Housing and Work Responsibility Act (QHWRA) required housing authorities to convert their certificates into vouchers and establish the Housing Choice Voucher Program as the primary rental assistance program. As a result of this conversion, the Housing Choice Voucher Program now encompasses all Housing Authority rental assistance except for existing certificates under the previously offered Moderate Rehabilitation Program.

- **Moderate Rehabilitation Program**: A certificate-based rental assistance program incorporating financial options for owners doing moderate levels of rehab and upkeep to affordable housing rental units. Administration involves

closing or extending expiring contracts. Chapter 20 (Moderate Rehabilitation Program) covers the details of this program.

- **Section 8 Pre-Pay/Preservation Program**: A voucher-based rental assistance program that enables existing participants, living in units in which owners have prepaid a HUD-insured mortgage loan, to remain in affordable housing. Chapter 19 (Pre-Pay/Preservation Program) covers the details of this program.
- **Project-Based Voucher Program**: The Housing Authority will utilize Project-Based vouchers to prevent the displacement of families and preserve affordable rents in the case of an unforeseen event.
- **Housing Choice Voucher Program**: The major rental assistance program administered by the Housing Authority.
  - **Note**: Unless otherwise noted, the procedures in this Administrative Plan are for the general Housing Choice Voucher Program.

As required by HUD regulations, the Housing Authority administers the Family Self-Sufficiency Program as a special program option for participants in the Housing Choice Voucher Program. See Chapter 18 (Special Programs) for details.

1.5.1 Targeted and Special Programs

Periodically, the Housing Authority applies for special funding from HUD to assist targeted populations, within the Housing Choice Voucher Program. The Housing Authority provides assistance through the following targeted programs:

- **Housing Choice Voucher Family Unification Program**: This program provides assistance to families who are in imminent danger of losing or who cannot regain custody of their minor children due to lack of adequate housing.
- **Housing Choice Voucher Welfare to Work Program**: This program provides assistance to families who are eligible for CalWORKs benefits, are in good standing with the employment/job training program offered by the Los Angeles County Department of Public and Social Services (DPSS) and are in need of housing in order to obtain or retain employment. See Chapter 18 (Special Programs) for details.
- **Non Elderly Disabled (NED) Vouchers**: This program assists non elderly, disabled families who need rental assistance. As authorized by HUD regulations, the Housing Authority administers these vouchers independently and does not rely on joint ventures with community partners. Eligible families are identified from the regular housing choice voucher waiting list and are admitted on a first come, first served basis.

Families admitted into a targeted program must meet all regular admission requirements with the exception of the residency requirement. Since the Housing Authority is required to work closely with other County departments that provide services through all of Los Angeles County, families residing outside of the Housing Authority's jurisdiction are allowed to participate in targeted

programs. However, families may be required to move within the Housing Authority's jurisdiction for at least one year.

The Housing Authority also receives non-Housing Choice Voucher Program funding to administer the following special programs. See Chapter 18 (Special Programs) for details:

- **Shelter Plus Care/Continuum of Care Program**
- **Housing Opportunities for Persons with AIDS (HOPWA) Program**

1.6 FAIR HOUSING AND EQUAL OPPORTUNITY POLICY [24 CFR §982.53]

It is the policy of the Housing Authority to comply fully with all Federal, State and local nondiscrimination laws and with the rules and regulations governing fair housing and equal opportunity in housing and employment.

The Housing Authority shall not deny any family or individual the opportunity to apply for or receive assistance under its rental assistance programs on the basis of race, color, sex, religion, creed, national or ethnic origin, age, family status, handicap or disability.

The Housing Authority will provide Federal, State, and local information to voucher holders during the family briefing session regarding discrimination, and the recourse available to them if they are victims of discrimination. Applicants and other voucher holders will be informed that they may file a fair housing complaint using the toll-free hotline at 1-800-669-9777 and that persons with hearing or speech impairments may access this number via TTY by calling the Federal Information Relay Service at 1-800-887-8339. All fair housing information and discrimination complaint forms will be included in the voucher holder's briefing packet.

Except as otherwise provided in 24 CFR §8.21(c)(1), §8.24(a), §8.25 and §8.31, no individual with disabilities shall be denied the benefits of, be excluded from participation in, or otherwise be subjected to discrimination because the Housing Authority's facilities are inaccessible to or unusable by persons with disabilities.

1.7 NON-DISCRIMINATION POLICY

It is the policy of HACoLA to comply with the Fair Housing Act, Title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, 42 U.S.C. §§ 3601 *et seq*., by ensuring that housing is available to all persons without regard to race, color, religion, national origin, disability, familial status (having children under age 18), or sex. This policy means that, among other things, HACoLA and its agents or employees must not discriminate in any aspect of housing, including but not limited to denying persons access to housing, because of race, color, religion, national origin, disability, familial status, or sex. Such agents and employees may not:

a. Make unavailable or deny a dwelling to any person because of race, color, religion, national origin, disability, familial status, or sex;

b. Discriminate against any person in the terms, conditions, or privileges of a dwelling, or in the provision of services or facilities in connection therewith, because of race, color, religion, national origin, disability, familial status, or sex;

c. Make, print, or publish, or cause to be made, printed, or published any notice, statement, or advertisement, with respect to a dwelling that indicates any preference, limitation, or discrimination based on race, color, religion, national origin, disability, familial status, or sex, or an intention to make any such preference, limitation, or discrimination, or

d. Coerce, intimidate, threaten, or interfere with any person in the exercise or enjoyment of, or on account of his or her having exercised or enjoyed, or on account of his or her having aided or encouraged any other person in the exercise or enjoyment of, any right granted or protected by the Fair Housing Act.

Any agent or employee who fails to comply with this non-discrimination policy will be subject to appropriate disciplinary action. Any action taken by an agent or employee that results in the unequal treatment of citizens on the basis of race, color, religion, national origin, disability, familial status, or sex, may constitute a violation of state and federal fair housing laws. An individual who believes that he or she is the victim of discrimination may contact the U.S. Department of Housing and Urban Development at 1-207-945-0467, or the U.S. Department of Justice at 1-800-896-7743.

~~1.7~~1.8 OPERATING RESERVES

The Board of Commissioners shall establish the permitted uses of earned administrative fees at the time of the Annual Consolidated Operating Budget approval. The approval shall consist of the use of administrative fees for the Housing Choice Voucher Program (Section 8) administration.

The Board of Commissioners must approve the expenditure of Section 8 operating reserves in excess of $100,000. The Executive Director may authorize allowable use of Section 8 operating reserve funds not in excess of $100,000. The Assistant Executive Director may authorize allowable use of Section 8 operating reserve funds not in excess of $30,000.

~~1.8~~1.9 SERVICE POLICY
[24 CFR §8.24]

This policy is applicable to all situations described in this Administrative Plan when a family initiates contact with the Housing Authority, when the Housing Authority initiates contact with a family including when a family applies, and when the Housing Authority schedules or reschedules any kind of appointments.

It is the policy of the Housing Authority to be service-directed in the administration of its rental assistance programs, and to exercise and demonstrate a high level of professionalism while providing housing services to all families.

The Housing Authority's policies and practices are designed to provide assurances that all persons with disabilities will be provided reasonable accommodation so that they may fully access and utilize the housing program and related services.

~~1.8.1~~1.9.1 Providing Greater Accessibility to Persons with Disabilities

The Housing Authority provides reasonable accommodations to persons with disabilities. The following is a non-exhaustive list of reasonable accommodations that may be available to a disabled person:

1. Providing office facilities which meet the requirements of Federal, State and local law with regard to accommodations for persons with disabilities;
2. Providing notice to applicants and participants that they may request reasonable accommodation of the Housing Authority if a family member is a person with a disability;
3. Allowing the assistance of mechanical or electronic devices by applicants and participants as may be needed to facilitate communication at appointments;
4. Providing assistance in completing forms and other documents which are required by program regulations;
5. Encouraging families to seek assistance from outside agencies in the completion of forms and documents required by the program;
6. Providing reasonable extensions of time for the completion of program requirements to the extent not prohibited by HUD regulations;
7. Providing extensions to the amount of time a family has to search for a unit with their voucher (see section 8.7.3);
8. Conducting in-home visits (or, where appropriate, telephone interviews) for persons who are unable to travel to Housing Authority offices due to medical conditions;
9. Maintaining Telecommunication Devices for the Deaf (TDD) phone services and publicizing their availability;
10. Providing an American Sign Language interpreter at the request of clients with a hearing impairment;

11. Providing documents in Braille, upon request;
12. Providing program documents in large font sizes upon request and/or an appropriate meeting or conference room to accommodate a service animal for persons with visual impairments;
13. Requesting HUD approval of an exception to the Fair Market Rent (FMR) or the Voucher Payment Standard, at the family's request, if a family contains a member with a disability and has a verifiable need to rent an accessible or otherwise appropriate specific unit in a specific area, but only if the unit meets the rent reasonableness requirements of the program;
14. Allow advocates to provide information as needed, but only with the permission of the person with the disability.

The following is a list of actions Housing Authority will take to affirmatively further fair housing for disabled persons. The Housing Authority is not limited only to those actions listed below to affirmatively further fair housing and may take other actions when deemed necessary and reasonable:

1. Actively and consistently examining the Housing Authority's programs, and proposed programs to identify any impediments to fair housing choice within the programs;
2. Resolving impediments to fair housing choice in a reasonable and timely fashion given resources available;
3. Soliciting information on the accessibility of owners' units to persons with disabilities and providing information on amenities the unit may provide persons with disabilities;
4. Providing a free internet-based housing search that lists available, accessible units;
5. Soliciting the assistance of outside agencies to provide services to persons with disabilities and to assist persons with disabilities in meeting the requirements of the Section 8 and other assisted housing programs;
6. Actively working with the County and participating cities to implement any initiatives to affirmatively further fair housing where involvement by the Housing Authority is necessary;
7. Providing to its Section 8 landlords information concerning their legal obligations to permit "reasonable modifications" to a rental unit at the participant's expense if the family has a member with a disability and if the modification is necessary for the person with a disability to fully enjoy the unit;
8. Requiring all outside agencies who have agreements or contracts with the Housing Authority to abide by Federal, State and local laws and ordinances which require accommodation for persons with disabilities and not to reject any applicant or participant on the basis of a disability;
9. Providing training to all employees on how to accommodate applicants and participants with disabilities.

The Housing Authority will maintain documentation of all efforts to affirmatively further fair housing.

~~1.8.2~~1.9.2 Requests for Reasonable Accommodation [24 CFR §8.28]

The Housing Authority is required to make reasonable adjustments to rules, policies, practices and procedures of its programs, in order to enable a disabled applicant or participant to have an equal opportunity to use and enjoy their unit, including common areas, and to comply with program obligations.

The Housing Authority approves reasonable accommodation requests on a case-by-case basis, upon determination that:

- The requested accommodation is reasonable (i.e., it does not result in a fundamental alteration in the nature of the program or an undue financial and administrative burden), and
- There is an identifiable relationship between the requested accommodation and the individual's disability.

Requests for reasonable accommodation do not have to be made in writing, however it is preferred if the request is in writing to ensure the request is understood by all parties. Most requests for accommodation are verified with a reliable, knowledgeable professional so that the Housing Authority can properly accommodate the need presented by the disability (see Chapter 7 for Verification of Reasonable Accommodations). Families requesting a reasonable accommodation will be notified in writing of the decision.

~~1.8.3~~1.9.3 Persons with an Obvious and/or Visible Disability

Most reasonable accommodation requests are considered in accordance with the policies found in section 7.11.10. However, in accordance with the Joint Statement of the Department of Housing and Urban Development and the Department of Justice regarding Reasonable Accommodations under the Fair Housing Act, dated May 17, 2004 an Assistant Manager, Manager, Director or the ADA/504 Coordinator in the Assisted Housing Division may approve a family member's self-certification of a need for a reasonable accommodation but only if:

1) The individual has an obvious and/or visible disability (such as an individual who regularly uses a wheelchair or an individual with a hearing or visual impairment);

2) The accommodation requested is clearly related to the individual's disability (for example, a hearing-impaired person requests a sign language interpreter).

If the supervisor cannot determine whether there is a clear relationship (nexus) between the obvious disability and the need for an accommodation, the relationship (nexus) and need for the accommodation must be verified by a health care or service provider.

Supervisory staff must document the file with facts and reasoning to support acceptance of the family member's self-certification. The supervisor's approval of

the self-certification takes the place of a third party verification of need for the accommodation.

~~1.8.4~~1.9.4 General Guidelines for Exception Rents in Excess of the Regular Housing Authority Payment Standard

Under no circumstances may a family initially rent a unit if the family share will exceed the affordability limits stipulated by HUD. A family may rent a unit with a lower payment standard amount while its request for an exception rent or payment standard is pending so long as the family share does not exceed the affordability limitation. If approval for an exception payment standard is provided after the start date of the HAP Contract, the payment standard is revised effective the first of the month following the date of the final written approval.

~~1.8.5~~1.9.5 Exceptions Payment Standard (110% of the FMR or Less):

These exceptions may be granted only by a Manager or the Director of the Assisted Housing Division.

The rent for the unit must be reasonable. The family must have at least one member who qualifies as a person with a disability for the purpose of reasonable accommodation. The unit must in some specific way accommodate the disability, such as the unit's physical amenities (grab-bars, ramps, special features for the blind), structure (elevator building, ground floor unit), location (near a medical facility, place of treatment, school providing special education, close location to bus lines or other facilities) or because of other circumstances or needs attested to by the health care or service provider.

The need for the accommodation must be verified in accordance with section 7.11.10 of this Plan.

~~1.8.6~~1.9.6 Exceptions in Excess of 110% of the FMR

All requests for exceptions to the payment standard which exceed 110 % of the Fair Market Rent must be reviewed and approved by the Director. The HUD Los Angeles Field Office must authorize all such exceptions in writing.

Approval of exception payment standards may occur only if the family share will exceed 40% of the family's Adjusted Monthly Income (AMI), and the resulting exception payment standard will be premised on the family continuing to pay 40% of AMI as the family share. The exception payment standards remain in effect until and unless a higher exception payment standard is warranted, requested and subsequently approved.

Requests above 120% of the FMR will require a HUD Headquarters waiver of 24 CFR 982.505 (d).

~~1.8.7~~1.9.7 Payment Standard Exceptions During the Contract Term

During the term of a HAP Contract, the Housing Authority may provide an exception to the payment standard to allow the unit to remain affordable to the family so long as the unit provides an accommodation for the disability. The

exception cannot be retroactive and cannot take effect until after the date of the Housing Authority's (or HUD's) written approval.

~~1.8.8~~1.9.8 Denials & Terminations - Discretion to Consider Circumstances

In determining whether to deny admission or terminate assistance because of action or failure to act by members of the family, the Housing Authority may consider mitigating circumstances relating to the disability of a family member and the effects of denial or termination of assistance on other family members who were not involved in the action or failure to act.

If the family includes a person with a disability, the Housing Authority's decision concerning termination or denial is subject to consideration of reasonable accommodation in accordance with 24 CFR Part 8.

~~1.8.9~~1.9.9 Re-verifying the Need for Reasonable Accommodations

Once the need for a reasonable accommodation has been verified, staff does not re-verify the need for reasonable accommodation except when there is another change in circumstances.

Examples:

- A live-in aide leaves the household,
- A disabled person leaves the household,
- A family member listed as disabled can no longer verify s/he is disabled,
- At inspection no medical equipment is observed in an additional room granted as an accommodation to store or use the equipment,
- The health care or service provider approving a need for a live-in aide or other reasonable accommodation has indicated that the need or the disability will be of short duration,
- The family member loses his/her disabled status, for example when a person on State disability returns to work.

~~1.8.10~~1.9.10 Resolving Complaints Regarding Reasonable Accommodation

Complaints or issues regarding the provision of reasonable accommodation for a person with a disability which are not resolved by the case manager are referred to the Assisted Housing Division ADA/Section 504 Coordinator who provides a preliminary review, conducts investigations, and resolves complaints and issues determinations.

~~1.9~~1.10 LIMITED ENGLISH PROFICIENCY

In accordance with federal, state and local law, specifically Executive Order 13166, HUD LEP Guidance and Sections 7290 et seq. of the California

Government Codes ("Dymally-Alatore Act") the Housing Authority will provide meaningful access to its programs and activities by persons with Limited English Proficiency (LEP) and undertake reasonable efforts to provide or arrange free language assistance for LEP applicants or participants of the Housing Choice Voucher program and all other rental assistance programs administered by the Assisted Housing Division.

~~1.10~~1.11 MEANINGFUL ACCESS; FOUR-FACTOR ANALYSIS

Meaningful access is free language assistance in accordance with federal guidelines. The Housing Authority is required to provide LEP services based on the balancing of the following four-factor analysis:

1. The number or proportion of LEP persons served or likely to be encountered by the Housing Authority.
2. The frequency with which with LEP persons using a particular language come into contact with the Housing Authority.
3. The nature and importance of the Housing Authority program, activity or service to the person's life.
4. The Housing Authority's resources and the cost of providing meaningful access.

The Housing Authority will annually assess and update the four-factor analysis in accordance with Section 1.15 Monitoring.

~~1.11~~1.12 DEFINTIONS

1. "Applicant" includes applicants for any program administered by the Assisted Housing Division.
2. "Competent" refers to a person who is proficient and has knowledge of program terminology in both the English language and the non-English language being used.
3. "Interpretation" is competently taking oral or spoken information provided in one language and accurately communicating that information orally in another language.
4. "Interpreter" is a person (not a minor) able to speak fluently and read with full understanding both in the English language and the language of the LEP applicant or participant.
5. "Language services" or "Language Assistance" is the provision of free, competent language interpretation (oral) or translation services (written).
6. "LEP Individual" is a person who identifies as a LEP person, does not speak English as a primary language, and who has a limited ability to read, write, speak or understand English.
7. "Oral Translation" means the oral translation of a document from English into a second language. Oral translation involves the translation of every word, not summarization. However, in oral translation, because of cultural and technical issues, further explanation may also be required and is encouraged.
8. "Participant" includes persons receiving assistance under any rental assistance program administered by the Assisted Housing Division.

9. "Threshold Language" is a language spoken by 5% or 1,000, whichever is less, of the population of persons eligible to be served or likely to be affected or encountered to determine the need for bilingual staff and translation of documents.
10. "Translation" means converting written material from one language to another in written form.
11. "Vital documents" are those that are critical for ensuring meaningful access by LEP persons to the rental assistance programs administered by the Housing Authority.

~~1.12~~1.13 LANGUAGE ASSISTANCE

A Limited English Proficient (LEP) applicant or participant is entitled to language assistance with respect to the programs and activities of the Housing Authority.

Housing Authority staff will provide language assistance to LEP applicants and participants who have difficulty communicating in English, who identify themselves as LEP or who request language assistance.

Applicants will be asked at the time of application and participants will be asked at the time of annual reexamination to designate their primary language for both oral and written services and whether LEP services are needed. This information will be recorded in the electronic case file.

~~1.13~~1.14 INTERPRETIVE (ORAL) SERVICES

LEP applicants and participants have the right to free interpreter services when the individual states a need or staff observes difficulty in communicating in English, whether or not the language they speak is considered a threshold language. Once a person is identified as LEP, interpreter services will be made available in all communication with or from the Housing Authority.

~~1.13.1~~1.14.1 Formal Interpreters

To provide meaningful access for LEP applicants and participants, the Housing Authority will provide qualified interpreters, including agency bilingual staff and outside vendors to all identified LEP individuals or upon request.

The Housing Authority may require an interpreter to certify that he/she understood the matter communicated and rendered a competent interpretation.

- Only formal interpreters will be used at Voucher issuance briefings; and
- Informal hearings.

Informal interpreters will not be used in lieu of formal interpreters provided by the Housing Authority.

For informal hearings, a Housing Authority staff interpreter may not be a subordinate to the person making the decision.

The Housing Authority maintains a list of qualified, bilingual employees who have applied for, and tested for proficiency in interpreting and/or translating languages from English into a language other than English. Those employees receive additional compensation for demonstrating non-English language proficiency and can provide assistance to Housing Authority staff and LEP clients as part of their regular job duties.

~~1.13.2~~1.14.2 Informal Interpreters

Informal interpreters may include the family members, friends, legal guardians, service representatives or advocates of the LEP individual. The use of informal interpreters is strongly discouraged. Minor children may not act as informal interpreters.

If the LEP individual wishes to rely solely on an informal interpreter, Housing Authority staff will determine whether it is appropriate, depending upon the circumstances and subject matter of the communication. However, in many circumstances, informal interpreters may not be an appropriate option to provide accurate interpretations. There may be issues of confidentiality, competency or conflict of interest. In those cases, the Housing Authority may require the use of a formal interpreter despite the wish of the LEP individual to rely solely on his or her informal interpreter.

The Housing Authority will always offer a free interpreter. A LEP person may use an informal interpreter of his/her own choosing and at his/her expense, either in place of or as a supplement to the free language assistance offered by the Housing Authority. If possible, the Housing Authority will accommodate a LEP individual's request to use an informal interpreter in place of a formal interpreter.

If a LEP individual prefers an informal interpreter, after the Housing Authority has offered free interpreter services, the informal interpreter may interpret. In these cases, the LEP individual and interpreter will be asked to sign a waiver, in the LEP individual's preferred language or through oral translation, refusing interpreter services.

If a LEP individual wants to use his/her own informal interpreter, the Housing Authority reserves the right to also have a formal interpreter present.

~~1.13.3~~1.14.3 Outside Resources

Outside resources may include competent community volunteers or competent Housing Choice Voucher participants.

Outside resources may be used for interpreting services at public or informal meetings or events if a timely request has been made.

The Housing Authority will establish and maintain relationships with organizations that assist specific cultural and ethnic groups living in Los Angeles County. To help their clients obtain or keep housing assistance through the Housing Authority, these organizations may provide qualified interpreters for LEP persons.

~~1.14~~1.15 TRANSLATION OF DOCUMENTS

The Housing Authority will consider the following factors in determining whether a document requires translation:

a. The document meets the threshold of a "vital document". Per the HUD guidance, "vital documents" are those that are critical for ensuring meaningful access by beneficiaries or potential beneficiaries generally and LEP persons specifically.

b. The costs and benefits of translating documents for potential LEP groups, the barriers to meaningful translation or interpretation of technical housing information, the likelihood of frequent changes in documents, the existence of multiple dialects within a single language group, the literacy rate in an LEP group and other relevant factors. The Housing Authority will undertake this examination when an eligible LEP group constitutes 5 percent of an eligible group of beneficiaries or potential beneficiaries (for example, 5 percent of households receiving Section 8 assistance) or 1,000 persons, whichever is less.

Documents deemed "vital" by the Housing Authority will be translated in to threshold languages.

In consideration of the above, the Housing Authority will annually assess its documents to identify any additional vital documents that need to be translated. The Housing Authority will then translate a portion of those documents identified every year as financially feasible. If the vital document has not been translated, HACoLA will provide the applicant or participant with oral translation.

As opportunities arise, the Housing Authority may work with other local public housing authorities (PHAs) to share the costs of translating common documents.

As HUD continues to translate standard housing documents in multiple languages, the Housing Authority will replace its translated versions with the official HUD versions.

~~1.14.1~~1.15.1 Audiovisual Materials

The Housing Authority will make reasonable efforts to produce multiple translations of audiovisual materials it may use to inform or educate applicants, participants and other client groups. For example, the Housing Authority will translate material to be presented at voucher issuance briefings into the threshold languages.

~~1.15~~1.16 MONITORING

The Housing Authority will review and revise this LEP policy annually. The review will include:

a. Reports from the Housing Authority's software system on the number of LEP clients. Such reports may be supplemented by staff observations.

b. A determination as to whether 5 percent or 1,000 participants from Housing Authority-administered programs or persons from the waiting list speak a specific language, which triggers consideration of document translation needs as described above.

c. Review of demographic data that indicates prevalent languages in Los Angeles County.

d. Analysis of staff requests for formal interpreters: the number of requests, the languages requested the costs, etc.

~~1.16~~1.17 LEP PLAN DISTRIBUTION AND TRAINING

The Housing Authority will ensure the LEP policy is distributed to the public and complied with by all staff by:

1. Distributing to all Housing Authority staff.

2. Posting on the Housing Authority's website at www.hacola.org.

3. Posting at the Housing Authority's Administrative Offices in appropriate threshold languages.

4. Including notices summarizing the rights of LEP individuals under this policy in application and reexamination packets.

5. Conducting in-depth training for staff that interacts directly with applicants and participants. All other staff will receive at least a condensed training on LEP policies and procedures.

~~1.17~~1.18 FAMILY OUTREACH

Each time the Housing Authority enters into an Annual Contributions Contract (ACC) with HUD for new Section 8 existing units, it will be publicized in accordance with the specification in the criteria of the Equal Opportunity Housing Plan. The Housing Authority's waiting list will remain open on a continuous basis for the foreseeable future.

The Housing Authority will communicate the status of housing availability to other service providers in the community; advise them of housing eligibility factors and guidelines in order that they can make proper referrals for housing assistance.

Information regarding the program directed at prospective applicants/tenants will be disseminated in accordance with Equal Opportunity Housing Plan and HUD guidelines for fair housing.

~~1.18~~1.19 OWNER OUTREACH
[24 CFR §982.54(d)(5)]

The Housing Authority encourages owners of decent, safe and sanitary housing units to lease to families participating in its rental assistance programs. The

Housing Authority maintains and regularly updates a list of interested landlords and available units for its rental assistance programs. When listings from owners are received, they are compiled by Housing Authority staff and made available through the phone hotline, by mail, or by Internet at www.hacola.org.

Ongoing marketing efforts to recruit suburban owners for participation include, but are not limited to:

1. Brochures for owners;
2. Realty Board presentations;
3. Apartment Owner Association presentations;
4. Community Center presentations; and
5. Presentation to organizations serving the disabled and other similar organizations.

The Housing Authority periodically evaluates the distribution of assisted families to identify areas within the jurisdiction where owner outreach should be targeted. Special outreach efforts will be used in order to encourage participation of those groups who would not normally apply or participate.

~~1.19~~1.20 PRIVACY RIGHTS
[24 CFR §5.212]

Applicants and participants, including all adults in each household, are required to sign the HUD-9886 Form (Authorization for the Release of Information). This document incorporates the Federal Privacy Act Statement and describes the conditions under which HUD will release family information.

A statement of the Housing Authority's policy on release of information to prospective landlords will be included in the briefing packet that is provided to the family.

The Housing Authority's practices and procedures are designed to safeguard the privacy of applicants and program participants. All applicant and participant files are stored in a secure location that is only to be accessed by authorized staff.

Housing Authority staff will not discuss family information contained in files unless there is a business or legal reason to do so. Inappropriate discussion of family information or improper disclosure of family information will result in disciplinary action.

~~1.20~~1.21 MONITORING PROGRAM PERFORMANCE
[24 CFR §985]

In order to ensure quality control, supervisory staff will review the following functions:

1. At Least 10 percent of all work completed by their staff, and
2. 100 percent of work completed by new staff for a minimum of 30 calendar days.

The Housing Authority's Quality Assurance Unit conducts audits of:

1. 5 percent of annual re-examinations/interim re-examinations, and
2. Minimum Housing Quality Standards (HQS) quality control inspections as dictated by Section 8 Management Assessment Program (SEMAP) Indicator #5.

The Housing Authority's Program Enforcement/Investigations Unit uses credit checks, and other similar tools to ensure program integrity, on a case-by-case basis.

~~1.21~~1.22 TERMINOLOGY
[24 CFR §982.4(b) and FR-5056-N-01]

- **"Affiliated Individual"** is defined to mean with respect to a person,
  - A spouse, parent, brother, sister, or child of that individual,
  - Or an individual to whom that individual stands in Loco Parentis,
  - Or any individual, tenant, or lawful occupant living in the household of that individual.
- **"Covered Person"** is defined as a tenant, any member of the tenant's household, a guest or another person under the tenant's control.
- "**Dating Violence**" is defined as violence committed by a person:
  - Who is or has been in a social relationship of a romantic or intimate nature with the victim; and
  - Where the existence of such a relationship shall be determined based on consideration of the following factors:
    - The length of the relationship;
    - Type of relationship; and
    - Frequency of interaction between persons involved in the relationship.
- "**Domestic Violence**" is defined as felony or misdemeanor crimes of violence committed by:
  - A current or former spouse of the victim;
  - A person with whom the victim shares a child in common;
  - A person who is cohabitating with or has cohabitated with the victim as a spouse;
  - A person similarly situated to a spouse of the victim under local and state domestic or family violence laws;
  - Any other person against an adult or youth victim who is protected from that person's acts under local and state domestic or family violence laws.

- "**Family**" is used interchangeably with "applicant" or "participant" and can refer to a single person family. "Tenant" refers to participants in terms of their relation to landlords See section 2.3 for full definition.
- "**Financial Aid**" means any assistance that an individual receives:
  - Under the Higher Education Act of 1965;
  - From private sources;
  - From an institute of higher education.

  Such financial aid may include federal, state, and local grants and scholarships (athletic and academic), fellowships and student educational financial assistance from parents, guardians, or other persons residing outside of the student family household.

  Types of financial aid under the Higher Education Act of 1965 would include: the Pell Grant, the Federal Supplemental Education Opportunity Grant (FSEOG), Academic Achievement Incentive Scholarships, State assistance under the Leveraging Educational Assistance Partnerships Program, the Robert C. Byrd Honors Scholarship Program, and federal Work-Study (FWS) programs.
- **"Gender identity"** - actual or perceived gender characteristics. This term does not refer to biological sex, but to an individual's perception of their own gender identity. This may also refer to others' perception of an individual's gender identity based on socially acceptable gender norms (i.e. appearance or mannerisms).
- **"Guest"** is defined as any person temporarily staying in the unit with the consent of a tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant.
- **"Illegal Drugs"** are defined as any controlled substance, in any amount, as defined by the United States Code, Title 21, section 802, including but not limited to narcotics, amphetamines, hallucinogens, cocaine, marijuana, medical marijuana, designer drugs, or other intoxicants. This definition also specifically includes over the counter medications used in the manufacture of illegal drugs or for the purposes of becoming intoxicated, and pharmaceutical medications which are used either without being prescribed by a licensed physician or in excess of the amount prescribed by a physician for the purposes of becoming intoxicated.
- "**Immediate Family Member**" is defined to mean, with respect to a person,
  - A spouse, parent, brother or sister, or child of that person, or an individual to whom that person stands in loco parentis; or
  - Any other person living in the household of that person and related to that person by blood or marriage.
- "**Independent Student Status**" is when the income of the student's parents is not relevant or the student can demonstrate the absence of, or his or her independence from, parents. These criteria include but are not limited to the following:

- The individual must be of legal contract age under state law;
- The individual must have established a household separate from parents or legal guardians for at least one year prior to application for assistance, or
- The individual meets the U.S. Department of Education's definition of an independent student:
  - Be at least 24 years old by December 31 of the award year for which aid is sought;
  - Be an orphan or a ward of the court through the age of 18.
  - Be a veteran of the U.S. Armed Forces;
  - Have a legal dependents other than a spouse (for example, dependent children or parent);
  - Be a graduate or professional student; or,
  - Be married.

- **"Juvenile Records"** means:
  - All documents filed in a juvenile court case.
  - All reports to the court prepared by probation officers, social workers, health care providers, court-appointed special advocate ("CASA") volunteers, and other professionals that work with the child.
  - All documents made available to probation officers, social workers and CASA volunteers, in preparation of reports to the court, including, but not limited to, police reports, evaluations from counselors, evaluations from therapists, medical records, hospital records, and school records.
  - All documents, maintained in the office files of probation officers, social workers of child welfare service programs, and CASA volunteers that involve a child for whom a petition to declare a child a ward or dependent of the court has been filed.
  - Transcripts, records, or reports relating to matters prepared or released by the court, probation department or child welfare service program.
  - All documents, video or audio tapes, photographs and other evidence admitted into evidence at juvenile court hearings.
  - All documents relating to juvenile contacts or investigations that are maintained by law enforcement agency, probation department, or Department of Family Services, which are part of the juvenile case file even if juvenile court proceedings have not been initiated.
- "**Landlord**" and "owner" are used interchangeably.
- **"Other person under the tenant's control"** is defined as a person, although not staying as a guest (as defined above) in the unit, is, or was at the time of

activity in question, on the premises because of an invitation from the tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant. Absent evidence to the contrary, a person temporarily or infrequently on the premises solely for legitimate commercial purposes is not under the tenant's control.

- **"Sexual orientation"** - heterosexuality, homosexuality or bisexuality.
- "**Stalking**" is defined:
  - To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; or
  - To place under surveillance with the intent to kill, injure, harass, or intimidate another person; and
  - In the course of, or as a result of, such following, pursuit, surveillance, or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause serious emotional harm to that person, the spouse or intimate partner of that person, or a member of the immediate family of that person.
- "**Student**" is defined to mean all students enrolled either full-time or part-time at an institution of higher education.
- "**Tuition**" is defined as the amount of money charged per term, per course, or per credit. Tuition may include fees, which represents the amount covering a full academic most frequently charged to students. Required fees include all fixed sum charges that are required of such a large proportion of all students that the student who does not pay the charges is an exception.~~shall have the meaning given by the institution of higher education in which the student is enrolled.~~

CHAPTER 2:
ADMISSION ELIGIBILITY FACTORS AND APPLICANT REQUIREMENTS

2.1 INTRODUCTION
[24 CFR §982.54(d)]

This chapter defines the criteria used by the Housing Authority to determine program eligibility, and the requirements that families and family members must meet in order to receive assistance under the program. This chapter also clarifies the circumstances that may lead to a denial of admission, and the process for notifying families if they are denied admission.

Family members being added to households that are currently receiving assistance are considered new applicants and are subject to the Housing Authority's admission and eligibility requirements.

The intent of these policies is to maintain consistency and objectivity in evaluating the eligibility of families who apply for the programs. The criteria listed in this chapter are the only factors used to review eligibility, to minimize the possibility of bias or discrimination. Selection shall be made without regard to race, color, creed, religion, sex, national origin, familial status, source of income, or disability/handicap.

2.2 ELIGIBILITY FACTORS AND REQUIREMENTS
[24 CFR §982.201 and 24 CFR §982.552]

In accordance with HUD regulations, the Housing Authority has established the following eligibility criteria, which are detailed throughout this chapter. To be eligible for admission, an applicant family must:

1. Meet the definition of a "family;"
2. Be within the appropriate income limits;
3. Be a citizen, or a non-citizen with eligible immigration status [24 CFR §5.508]; and
4. Furnish and verify valid Social Security numbers for all family members [24 CFR §5.216].

The Housing Authority will also deny admission as follows:

1. If applicant fails to meet specified criteria regarding drug abuse and other criminal activity;
2. If applicant fails to submit required consent forms, or any other Housing Authority-required information to verify family eligibility, composition, or income (including birth certificates and valid state identification);
3. If applicant is in violation of other criteria listed in Section 2.8 of this chapter;
4. If the applicant is a member, officer or employee of the Housing Authority who formulates policy or influences decisions with respect to federally

funded rental assistance programs or a public official or a member of the local governing body or member of Congress; or

5. If applicant is a student enrolled in an institution of higher learning and meets all the criteria listed in Section 2.5 of this chapter.

The Housing Authority's procedures regarding notification and informal reviews for applicants who are denied assistance can be found at the end of this chapter.

2.3 FAMILY COMPOSITION [24 CFR §982.201(c) and 24 CFR §5.403]

The applicant must qualify as a family. The Housing Authority defines a family as a single person or a group of persons as follows, regardless of actual or perceived sexual orientation, gender identity, or marital status.

1. **An elderly family**: A family whose head, co-head, spouse, or sole member is a person who is at least 62 years of age. It may include two or more persons who are at least 62 years of age living together, or one or more persons who are at least 62 years of age living with one or more live-in aides.
2. **A disabled family**: A family whose head, co-head, spouse, or sole member is a person with disabilities. It may include two or more persons with disabilities living together, or one or more persons with disabilities living with one or more live-in aides.
3. **The remaining member of a tenant family**: The remaining member of a tenant family will be reassigned another bedroom size voucher, provided there is funding available.

   The remaining member of a tenant family does not include a live-in aide of the former family whose service was necessary to care for the well-being of an elderly, disabled or handicapped head of household, co-head, or spouse and whose income was not included for eligibility purposes.
4. **A group of persons**: Two or more persons sharing residency, who are not categorized as an elderly or disabled family, whose income and resources are available to meet family needs.
5. **A single person**: A person who lives alone, or intends to live alone, who is not categorized as elderly, disabled, or the remaining member of a tenant family.

A child who is temporarily away from home due to placement in foster care is considered a member of the family.

2.3.1 Head of Household [24 CFR §5.504]

The head of household is considered to be the adult member of the household who is designated by the family or the Housing Authority as head, is wholly or partly responsible for paying the rent, to sign program-related documents, and has the legal capacity to enter into a lease under State/local law. However, since rental assistance is provided to the entire family, it is expected that every family

member will uphold the Housing Authority's rules and regulations. Emancipated minors who qualify under State law will be recognized as head of household.

2.3.2 Spouse of Head

Spouse means the husband or wife of the head of household. The marriage partner who, in order to dissolve the relationship would have to be divorced. It includes the partner in a common law marriage. The term "spouse" does not apply to boyfriends, girlfriends, significant others, or co-heads.

2.3.3 Co-Head

A co-head is an individual in the household who is equally responsible for the lease with the head of household. A family may have a spouse or co-head, but not both. A co-head never qualifies as a dependent.

2.3.4 Live-In Aides
[24 CFR §982.316 and 24 CFR §5.403]

A family may include a live-in aide if the live-in aide meets the following stipulations. The live-in aide:

1. Is determined by the Housing Authority to be essential to the care and well-being of an elderly person or a person with a disability;
2. Is not obligated for the support of the person(s);
3. Would not be living in the unit except to provide care for the person(s); and
4. Must submit a signed Criminal Background Consent Form.

Note: Occasional, intermittent, multiple or rotating care givers do not meet the definition of a live-in aide. Live-in aides must reside with a family permanently for the family unit size to be adjusted in accordance with the subsidy standards. An additional bedroom should not be approved for these caregivers, except when the family's composition or circumstances warrant the provision of an extra bedroom to permit disability-related overnight care and allow the family equal use and enjoyment of the unit.

A live-in aide is different from a family member in the following:

1. An aide's income will not be used to determine eligibility of family;
2. An aide is not subject to citizenship/eligible immigrant requirements;
3. An aide is not considered a remaining member of the tenant family, which means that they are not entitled to retain the voucher if the eligible family member(s) voluntarily leave the program, are terminated from the program, or have a voucher that expires.

Relatives are not automatically excluded from being live-in aides, but they must meet all the stipulations in the live-in aide definition described above to qualify for the income exclusion as a live-in aide.

A relative who does not qualify for income exclusion as a live-in aide may qualify for other exclusions, including if a family receives income from a state agency to

offset the cost of services and equipment needed to keep a developmentally disabled family member at home. For a complete list of income exclusions, refer to Section 6.4 (Income Inclusions and Exclusions).

A live-in aide may only reside in the unit with the approval of the Housing Authority. The Housing Authority will require written verification from a reliable, knowledgeable professional, such as a doctor, social worker, or caseworker. The verification provider must certify that a live-in aide is needed for the care of the family member who is elderly, and/or disabled. The verification must include the hours of care that will be provided.

The live-in aide will be subject to a criminal background check and must meet the same standard as an applicant. Please see Section 2.8 (Screening for Drug Abuse and Other Criminal Activity) for more information.

With authorization from the assisted family, the landlord and the Housing Authority, a live-in aide may have a family member live in the assisted unit as long as it does not create overcrowding in the unit. The Housing Authority will not increase the family's subsidy to accommodate the family of a live-in aide.

2.3.5 Changes to the Household Prior to Program Admission

The Housing Authority may only transfer Head of household status to a person listed on the waiting list or application as spouse or co-head under the following circumstances:

In the event of the death of the head of household, a person already listed as the Spouse or Co-Head on the waiting list or application may request a change of the Head of Household status by submitting a signed, written request along with a copy of the death certificate of the original head of household.

In all other cases (including but not limited to divorce, separation, abandonment, medical incapacity) the head of Household status will be changed only when the original Head of Household submits to the Housing Authority a written release of the application to the Spouse or Co-Head, or if the Spouse or Co-Head requesting a transfer of Head of Household status submits to the Housing Authority legal documentation of his/her right to the application.

2.3.6 Multiple Families in the Same Household

When families consisting of two families living together, (such as a mother and father, and a daughter with her own husband or children), apply together as a family, they will be treated as one-family unit.

2.3.7 Joint Custody of Children

Children who are subject to a joint custody agreement but live with one parent at least 51 percent of the time will be considered members of that household. If both parents on the waiting list are trying to claim the child, the parent whose address is listed in the school records will be allowed to claim the school-age child as a dependent.

Where court orders exist and provide guidance on custody issues, the Housing Authority will follow the directives outline in the court documents.

2.4 INCOME LIMITATIONS
[24 CFR §982.201(b) and 24 CFR §5.603(b]

In order to be eligible for assistance, an applicant must be:

1. An extremely low-income family (a family whose gross annual income does not exceed 30 percent of the HUD-established median income for the Los Angeles-Long Beach Primary Metropolitan Statistical Area); **or**
2. A very low-income family (a family whose gross annual income does not exceed 50 percent of the median income for the Los Angeles-Long Beach Primary Metropolitan Statistical Area).
3. A low-income family (a family whose gross annual income does not exceed 80 percent of the median income for the Los Angeles-Long Beach Primary Metropolitan Statistical Area) who meets at least one of the following criteria:
   i. Is "continuously assisted" (meaning the applicant has been receiving assistance under a program covered by the 1937 Housing Act, i.e. public housing); or
   ii. Is displaced as a result of the prepayment of the mortgage or voluntary termination of an insurance contract on eligible low-income housing; or
   iii. Qualifies for assistance as a non-purchasing family residing in a HOPE 1 or HOPE 2 project; or
   iv. Qualifies for assistance as a non-purchasing family residing in a project subject to a resident homeownership program under 24 CFR §248.101.

As required by HUD regulations, 75 percent of all new admissions will be required to meet the definition of an extremely low-income family. To achieve the required balance, it may be necessary to skip over an otherwise eligible family. If this occurs, families that have been skipped over will retain the time and date of application and will be admitted as soon as an appropriate opening becomes available.

Families whose annual incomes exceed the income limit will be denied admission and offered an informal review.

2.4.1 Income Limits for Other Programs

Periodically, HUD has provided funding to the Housing Authority for projects involving preservation opt-outs and/or the expiration of a project based Section 8 contract. HUD provides the income limits applicable to those projects through specific regulation. The Housing Authority will follow HUD directives in determining admissions for such programs.

2.5 ELIGIBILITY OF STUDENTS
[24 CFR §5.612]

The student rule applies to all individuals enrolled as a full or part-time student at an institution of higher education for the purpose of obtaining a degree, certificate, or other program leading to a recognized educational credential, except for a student who is living with his/her parents who are applying for or receiving section 8 assistance.

No assistance shall be provided to any individual that meets the following criteria:

- Is enrolled as a student at an institution of higher education, as defined under section 102 of the Higher Education Act of 1965 (20 U.S.C. 1002);
- Is under 24 years of age;
- Is not a veteran of the United States military;
- Is unmarried;
- Does not have a dependent child;
- Is not a person with disabilities, as such term is defined in section 3(b)(3)(F) of the United States Housing Act of 1937 and was not receiving assistance under such section 8 as of November 30, 2005; and
- Is not otherwise individually eligible (determined independent from his or her parents. See section 1.21 Terminology), or has parents, who individually or jointly, are not eligible on the basis of income to receive assistance.

  Unless the student is determined independent from his or her parents, the eligibility of a student seeking assistance will be based on both the student and the parents being determined income eligible for assistance or whether the student's parents, individually or jointly, are income eligible for assistance. Both the student's income and the parents' income must be separately assessed for income eligibility.

2.6 CITIZENSHIP/ELIGIBLE IMMIGRATION STATUS
[24 CFR §982.201(a) and §982.203(b)(4) and §5.508]

Eligibility for assistance is contingent upon a family's submission of evidence of citizenship or eligible immigration status. In order to receive assistance, a family member must be a U.S. citizen or eligible immigrant. Each family member, regardless of age, must submit a signed declaration of U.S. citizenship or eligible immigration status. The Housing Authority may request verification of the declaration according to verification guidelines detailed in Chapter 7.

The citizenship/eligible immigration status of each member of the family is considered individually before the family's status is defined.

This requirement does not apply to foster children or live-in aides.

2.6.1 Mixed Families [24 CFR §5.504]

An applicant family is eligible for assistance as long as at least one member is a citizen or eligible immigrant. A family that includes eligible and ineligible individuals is called a "mixed family." Mixed family applicants will be given notice that their assistance will be prorated and that they may request a hearing if they contest this determination.

2.6.2 No Eligible Members [24 CFR §982.552(b)(4)]

The Housing Authority is required to deny admission if no member of the family is a U.S. citizen or eligible immigrant. Families will be provided the opportunity to appeal the decision in an informal review.

2.7 SOCIAL SECURITY NUMBER REQUIREMENTS [24 CFR §5.216(a)]

Applicant families are required to provide verification of Social Security numbers for all family members prior to admission.. This requirement also applies to persons joining the family after the admission to the program. Individuals who were 62 years of age as of January 31, 2010 and who were determined eligible for the program on or before that date are exempt from this requirement. Individuals not contending eligible immigration status are also exempt from this requirement.

If the family is unable to comply with this requirement, they may retain their position on the waiting list. Families who refuse to furnish verification of Social Security numbers will be denied admission to the program.

2.8 SCREENING FOR DRUG ABUSE AND OTHER CRIMINAL ACTIVITY [24 CFR §982.552 – §982.553]

This section describes the guidelines the Housing Authority has established for screening applicants for drug abuse and other criminal activity. The section includes HUD-required screening standards, as well as discretionary standards allowed by HUD. The Housing Authority will deny program admission if there is reasonable cause to believe that an applicant family has engaged in activity prohibited by these guidelines.

These guidelines apply to applicant families, and any new members being added to the household of a family currently participating in a rental assistance program administered by the Housing Authority. The Housing Authority also screens families transferring into its jurisdiction from other housing authorities, as authorized at 24 CFR §982.355(c)(9) and §982.355(c)(10).

2.8.1 Drug Abuse and Criminal History Screening Standards [24 CFR §982.552(i) and §982.553(a)]

The Housing Authority will prohibit program admission to households if any household member is found to have engaged in activities listed in this screening

standards section. Applicants convicted of an act listed in this section are ineligible to receive assistance. However, the Housing Authority will consider the household eligible for rental assistance if the household member who committed the criminal act will not be a part of the assisted household; as long as all other admission requirements are met. The family may be required to submit written certification that the ineligible family member(s) will not reside in the household.

1. **Applicant(s) previously evicted from federally assisted housing for drug-related criminal activity.**

   The Housing Authority is required to deny admission to the applicant or any household member evicted from public housing, Indian housing, Section 23, or any federally assisted housing program because of a drug-related criminal activity for a 3-year period beginning on the date of such eviction. However, the Housing Authority may waive the 3-year probation period if the person who committed the drug-related crime has successfully completed an approved supervised drug rehabilitation program after the date of the eviction or if the circumstances leading to the eviction no longer exist (i.e. the individual responsible for the original eviction is imprisoned or is deceased).

2. **Applicant(s) convicted for the manufacture of methamphetamine on the premises of federally assisted housing.**

   The Housing Authority is required to deny admission if the applicant or any household member has ever been convicted of drug-related criminal activity for manufacture or production of methamphetamine on the premises of federally assisted housing.

3. **Applicant(s) currently engaging in the illegal use of a drug.**

   The Housing Authority is required to deny admission to an applicant or any household member who the Housing Authority determines is currently engaging in illegal use of a drug.

   The Housing Authority is required to deny admission if the Housing Authority has reasonable cause to believe that there is a pattern of illegal use of a drug by the applicant or any household member and that this pattern may threaten the health, safety, or right to peaceful enjoyment of the premises by others, regardless of whether the household member has been arrested or convicted.

   The Housing Authority may approve admission if the person provides sufficient evidence that they are no longer engaging in illegal drug use and have successfully completed a supervised drug rehabilitation program.

4. **Applicant(s) subject to a lifetime sex offender registration requirement.**

   The Housing Authority is required to deny admission if the applicant or any household member is subject to lifetime registration as a sex offender under a state registration program, regardless of longevity of conviction or completion of any rehabilitative program.

5. **Applicant(s) with a pattern of alcohol abuse.**

The Housing Authority is required to deny admission if the Housing Authority has reasonable cause to believe that there is a pattern of abuse of alcohol by the applicant or any household member and this pattern may threaten the health, safety, or peaceful enjoyment of the premises.

The Housing Authority may approve admission if the person provides sufficient evidence that they are no longer engaging in the abuse of alcohol and has successfully completed a supervised alcohol rehabilitation program.

6. Applicant(s) currently engaging in, or who have engaged in criminal activities.

a) Drug-related criminal activity;
The Housing Authority shall deny admission, if within two years prior to the date upon which the applicant is selected from the Active Waiting List and eligibility is determined, the applicant or any household member has been convicted or incarcerated for drug-related criminal activity as defined in section 2.8.7.

The Housing Authority may waive the 2-year period for drug-related criminal activity if the person provides sufficient evidence that they are no longer engaging in the illegal use of a controlled substance and have successfully completed a supervised drug rehabilitation program.

b) Violent criminal activity;
The Housing Authority shall deny admission, if within three years prior to the date upon which the applicant is selected from the Active Waiting List and eligibility is determined, the applicant or any household member has been convicted or incarcerated for a conviction for violent criminal activity as defined in section 2.8.7.

c) Other Criminal Activity;
The Housing Authority shall deny admission, if within three years prior to the date upon which the applicant is selected from the Active Waiting List and eligibility is determined, the applicant or any household member has been convicted or incarcerated for:

- Other criminal activity which may threaten the health or safety of other residents or persons residing in the immediate vicinity; and
- Other criminal activity which may threaten the health or safety of the owner or Housing Authority staff, contractor or subcontractors or vendors.

7. Applicant(s) engaging in fraud or bribery associated with any federal housing program.

The Housing Authority shall deny admission if the applicant or any household member has committed fraud, bribery, or any other corrupt or criminal act in connection with any Federal housing program. The Housing Authority may make an exception in determining admission if

the family member(s) who participated or were culpable for the action do not reside in the assisted unit.

2.8.2 Criminal Background Checks [24 CFR §982.552 – §982.553, §5.903 – §5.905]

The Housing Authority requests a criminal background check for all applicant household members (including live-in aides) 18 years of age and older. The criminal background check is used as a factor in screening applicants for criminal activities that would prohibit admission to the Housing Authority's Section 8 rental assistance programs.

All adult members of an applicant household must submit a signed Criminal Background Consent Form [24 CFR §5.903(b)], authorizing the release of criminal conviction records from law enforcement agencies. Failure to sign the consent form will result in the denial of assistance.

A criminal conviction alone may or may not result in the denial of assistance. Factors such as disclosure, completion of a drug or alcohol rehabilitative treatment program, type and longevity of the conviction may also be taken into consideration.

The Housing Authority is additionally authorized by HUD to obtain access to sex offender registration information, in order to prevent program admission to any household member (including live-in aides and minors) subject to a lifetime sex offender registration under a State sex offender registration program.

The Housing Authority gathers publicity available arrest data related to its participants and will take appropriate action related to program violations.

2.8.3 Requests for Criminal Records by Owners of Covered Housing for the Purposes of Screening [24 CFR §5.903(d)]

Owners of covered housing may request that the Housing Authority obtain criminal records, on their behalf, for the purpose of screening applicants. The Housing Authority will charge a fee in order to cover costs associated with the review of criminal records. These costs could include fees charged to the Housing Authority by the law enforcement agency and the Housing Authority's own related staff and administrative cost.

Owners must submit the following items in order for the Housing Authority to process criminal records. Owner requests must include:

1. A copy of a signed consent form from each adult household members, age 18 years and older. Included in the consent form must be a legible name, the date of birth, a California Identification Number, and a Social Security number. This information will be used for the sole purpose of distinguishing persons with similar names or birth dates.
2. An owner's criteria or standards for prohibiting admission of drug criminals in accordance with HUD regulations (§ 5.854 of 24 CFR Parts 5 et al.), and for prohibiting admission of other criminals (§ 5.855 of 24 CFR Parts 5 et al.).

Once the Housing Authority obtains criminal records, a determination will be made as to whether a criminal act, as shown by a criminal record, can be used as a basis for applicant screening. The Housing Authority will base its determination in accordance with HUD regulations and the owner criteria. If the owner's criteria conflicts with HUD regulations, the regulations will have precedence.

It is important to note that the Housing Authority will not disclose the applicant's criminal conviction record or the content of that record to the owner.

2.8.4 Request for Criminal Records by Section 8 Project-Based Owners for the Purposes of Lease Enforcement or Eviction

Section 8 project-based owners may request that the public housing agency in the location of the project obtain criminal conviction records of a household member on behalf of the owner for the purpose of lease enforcement or eviction. The owner's request must include the following:

1. A copy of the consent form, signed by the household member, and
2. The owner's standards for lease enforcement and evicting due to criminal activity by members of a household.

2.8.5 Confidentiality of Criminal Records [24 CFR §5.903(g)]

Criminal records received by the Housing Authority are maintained confidentially, not misused, nor improperly disseminated and kept locked during non-business hours. All criminal records will be destroyed no later than 30 calendar days after a final determination is made.

2.8.6 Disclosure of Criminal Records to Family

The applicant or family member requesting to be added to the household will be provided with a copy of the criminal record upon request and an opportunity to dispute the record. Applicants will be provided an opportunity to dispute the record at an informal review. Participants may contest such records at an informal hearing [24 CFR §982.553(d)].

2.8.7 Explanations and Terms [24 CFR §5.100]

The following terms are used to determine eligibility when an applicant or a family member is added to an already assisted household and is undergoing a criminal background check.

- **"Covered housing"** includes public housing, project-based assistance under Section 8 (including new construction and substantial rehabilitation projects), and tenant-based assistance under Section 8.
- **"Drug"** means a controlled substance as defined in Section 102 of the Controlled Substance Act (21 U.S.C. 802).

- **"Drug-related criminal activity"** means the illegal manufacture, dispensation, distribution, sale, use or possession of illegal drugs, with the intent to manufacture, dispense, distribute, sell or use the drug.
- **"Pattern"** is defined as the use of a controlled substance or alcohol if there is more than one incident during the previous 12 months. "Incident" includes but is not limited to arrests, convictions, no contest pleas, fines, and city ordinance violations.
- **"Premises"** is the building or complex or development in which the public or assisted housing dwelling unit is located, including common areas and grounds.
- **"Sufficient evidence"** may include all or a number of personal certification along with supporting documentation from the following sources 1) probation officer; 2) landlord; 3) neighbors; 4) social service workers; 5) review of verified criminal records.
- **"Violent criminal activity"** any activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably likely to cause, serious bodily injury or property damage. (24 CFR 5.100)

2.9 OTHER CRITERIA FOR ADMISSION [24 CFR §982.552(c)]

The Housing Authority is authorized to apply the following criteria, in addition to the HUD eligibility criteria, as grounds for denial of admission to the program.

1. The family, or any household member, must not have violated any family obligations during a previous participation in a federally assisted housing program. The Housing Authority will review situations, on a case-by-case basis, for violations that are more than 5 years old.
2. The family, or any household member, must not have engaged in serious lease violations while a resident of federally assisted housing or within the past 5 years had been evicted from a federally assisted housing program.
3. The family, or any household member, must not be a past participant of any Section 8 or public housing program who has failed to satisfy liability for rent, damages or other amounts to the Housing Authority or another public housing agency, including amounts paid under a HAP contract to an owner for rent, damages, or other amounts owed by the family under the lease.
   - On a case-by-case basis, the Housing Authority may provide the applicant the opportunity to repay any such debt in full as a condition of admissions. The Housing Authority will not enter into a repayment agreement for this purpose.
4. No family household member may have engaged in or threaten abusive or violent behavior toward Housing Authority personnel.
   - **"Abusive or violent behavior"** includes verbal as well as physical abuse or violence. Use of expletives that are generally considered

insulting, racial epithets, or other language, written or oral, that is customarily used to insult or intimidate, may be cause for denial of admission.

- **"Threatening"** refers to oral or written threats or physical gestures that communicate intent to abuse or commit violence.
- Actual physical abuse or violence will always be cause for denial.

5. The family, or any household member, must not supply false, inaccurate or incomplete information on any application for federal housing programs, including public housing and Section 8. The family may be denied for a period not to exceed 2 years from the date of such a determination by the Housing Authority that information which was provided was false, inaccurate or incomplete, provided that no further cause for denial exists [24 CFR §982.552(c)(2)(i)].

2.10 SUITABILITY OF FAMILY [24 CFR §982.307(a)(2)]

The Housing Authority may take into consideration any admission criteria listed in this chapter in order to screen applicants for program eligibility; however, it is the owner's responsibility to screen applicants for family behavior and suitability for tenancy.

The Housing Authority will assist and advise applicants on how to file a complaint if they have been discriminated against by an owner.

2.11 DENYING ADMISSION TO INELIGIBLE FAMILIES [24 CFR §982.201(f)(1) AND §982.552(A)(2)]

Denial of assistance for an applicant family may include denying placement on the waiting list; denying or withdrawing a voucher; refusing to enter into a HAP contract or approve a lease; and refusing to process or provide assistance under portability procedures.

Families from the waiting list who are determined to be ineligible will be notified in writing of the reason for denial and given an opportunity to request an informal review if they do not agree with the decision. This policy also applies to incoming families from other housing authorities that have not yet received assistance in the Housing Authority's jurisdiction. Please refer to Chapter 16 for more information on the informal review process.

CHAPTER 3:
ADMINISTRATION OF THE WAITING LIST

3.1 INTRODUCTION
[24 CFR §982.54(d)(1)]

This chapter describes the policies and procedures that govern the initial application, placement and denial of placement on the Housing Authority's waiting list. It is the Housing Authority's objective to ensure that the families are placed on the waiting list in the proper order so that an offer of assistance is not delayed to any family, or made to any family prematurely.

By maintaining an accurate waiting list, the Housing Authority will be able to perform the activities, which ensure that an adequate pool of qualified applicants will be available so that program funds are used in a timely manner.

3.2 HOW TO REGISTER

Interested persons may apply online at www.hacola.org., or by calling the Housing Authority at (626) 262-4510 or (800) 731-4663.

3.2.1 Preliminary Registration Waiting List
[24 CFR §982.204(b)]

All families wishing to receive rental assistance through a Housing Authority rental assistance program are initially placed on the Preliminary Registration Waiting List. This is essentially an interest list. Families are placed on the Preliminary Registration Waiting List according to the Housing Authority's local preferences and then by date and time of registration. Preliminary information regarding the family's address, income, family composition, and disability status is collected. However, this information is not verified until the family is placed on the Active Waiting List. Applicants receive a confirmation letter that their name has been placed on the Preliminary Registration Waiting List.

3.2.2 Active Waiting List

When the Housing Authority determines that there is sufficient funding to issue additional vouchers, a pool of potential new applicants is drawn from the Preliminary Registration Waiting List. Families move onto the Active Waiting List according to the Housing Authority's admission policies. Once a family has been placed on the Active Waiting List, they will be asked to complete an application and provide all the necessary income and eligibility forms. At this point, all information will be confirmed through a third-party. Families must meet all admissions requirements to be issued a voucher.

3.2.3 Change in Circumstances
[24 CFR §982.204(b)]

Applicants are required to notify the Housing Authority in writing, within 30 calendar days, when their circumstances change, including any change of address, income or family composition.

3.3 APPLYING FOR SPECIAL PROGRAMS AND NON-HOUSING CHOICE VOUCHER PROGRAMS

To see a list of special programs, other non-Housing Choice Voucher Programs and the eligibility process, please refer to Chapter 18 (Special Programs).

3.4 SPECIAL ADMISSIONS

Applicants admitted under special admissions, rather than from the waiting list, are identified by codes in the automated system and are not maintained on separate lists.

Applicants who are admitted under targeted funding which are not identified as a Special Admission are identified by codes in the automated system and are not maintained on separate waiting lists.

3.4.1 Exceptions for Special Admissions [24 CFR §982.203]

If HUD awards the Housing Authority program funding that is targeted for specifically named families, the Housing Authority will admit these families under a special admission procedure. Special admissions families will be admitted outside of the regular waiting list process. They do not have to qualify for any preferences, nor are they required to be on the program waiting list. They are not counted in the limit on non-Federal preference admissions. The Housing Authority maintains separate records of these admissions. The following are examples of types of program funding that may be designated by HUD for families living in a specified unit:

1. A family displaced because of demolition or disposition of a public or Indian housing project;
2. A family residing in a multifamily rental housing project when HUD sells forecloses or demolishes the project;
3. For housing covered by the Low Income Housing Preservation and Resident Homeownership Act of 1990;
4. A family residing in a project covered by a project-based Section 8 HAP contract at or near the end of the contract term; and
5. A non-purchasing family residing in a HOPE 1 or HOPE 2 project.

3.4.2 Criminal Background Checks

Applicants for the Shelter Plus Care/Continuum of Care program will not be required to undergo criminal background checks. For more specific information on the applicant screening standards used by the Housing Authority when reviewing criminal records, please refer to Section 2.8 (Screening for Drug Abuse and Other Criminal Activity).

3.4.3 Opening the Waiting List [24 CFR §982.206(a)]

When the Housing Authority opens its waiting list, it will give public notice by advertising in one or more of the following newspapers, minority publications, and media entities.

- Los Angeles Times
- La Opinion
- The Daily News
- International Daily News
- L.A. Sentinel
- Long Beach Press Telegram
- Eastern Group Publications
- The Wave
- The Daily Breeze

The Housing Authority's public notice will contain:

- The dates, times, and locations where families may apply;
- The programs for which applications will be taken;
- A brief description of the program(s);
- A statement that public housing residents must submit a separate application if they want to apply to a rental assistance program;
- Any limitations on who may apply; and
- The Fair Housing Logo.

The notice will provide potential applicants with information that includes the Housing Authority's telephone number, website address, location address, information on eligibility requirements, and the availability of local preferences, if applicable. The notice will be made in an accessible format to persons with disabilities if requested.

Additional time for submission of an application after the stated deadline will be given as a reasonable accommodation at the request of a person with a disability.

3.4.4 Criteria Defining Who May Apply [24 CFR §982.206(b)(1)]

Upon opening the waiting list, the Housing Authority will disclose the criteria defining what families may apply for assistance under a public notice.

3.4.5 Closing the Waiting List [24 CFR §982.206(c)]

When the Housing Authority closes the waiting list, the same advertising methods described above will be used.

Notification of impending closure will be provided to the public for a minimum of 30 calendar days.

3.5 TIME OF SELECTION [24 CFR §982.204(d)]

When funding is available, families will be selected from the waiting list based on the Housing Authority's admission policies.

If the Housing Authority ever has insufficient funds to subsidize the unit size of the family at the top of the waiting list, the Housing Authority will not admit any other applicant until funding is available for the first applicant.

However, families may be skipped over to meet HUD-mandated income targeting requirements [24 CFR §982.201(b)]. See Section 2.4 (Income Limitations) for details.

3.6 CROSS-LISTING OF PUBLIC HOUSING AND SECTION 8 WAITING LISTS [24 CFR §982.205(a)]

The Housing Authority does not merge the waiting lists for public housing and Section 8. However, if the Section 8 waiting list is open when the applicant is placed on the public housing list, the Housing Authority must offer to place the family on the Section 8 waiting list. If the public housing waiting list is open at the time an applicant applies for Section 8 rental assistance, the Housing Authority must offer to place the family on the public housing waiting list.

3.7 REMOVING APPLICANTS FROM THE WAITING LIST [24 CFR §982.204(c) and §982.201(f)(1)]

The Housing Authority is authorized to remove names of applicants who do not respond to requests for information or updates. The Housing Authority will remove an applicant's name from the waiting list when:

- The applicant fails to respond to a request for information or a request to declare their continued interest;
- The applicant does not notify the Housing Authority of changes in circumstances in accordance with section 3.2.3 of this plan. This includes undeliverable mail received by the Postal Service which is returned to the Housing Authority;
- The applicant falsifies documents or makes false statements for any reason;
- The applicant requests in writing that their name be removed; or

- The applicant does not meet either the eligibility or screening criteria for the program.

3.8 PURGING THE WAITING LIST
[24 CFR §982.204(c) and §982.201(f)(1)]

When necessary, the housing authority will update and purge its waiting list to ensure the pool of applicants reasonably represents interested families. Purging methods will be made available in accessible formats upon the request of a person with a disability.

3.8.1 REINSTATEMENT TO THE WAITING LIST WHEN PURGING

Applicants who are removed from the waiting list during a purge are not entitled to reinstatement on the waiting list, unless:

- The Housing Authority verifies a family/health/work emergency, or
- The applicant failed to respond to a request for information or updates because of a family member's disability, or
- The applicant can provide verification or attest they were homeless at the time of the mailing.

Periodically, applicants will call to check their status on the waiting list and learn that they have been purged from the waiting list. In extenuating circumstances, such as those listed above, the applicant may be reinstated. However, the applicant must be able to provide documentation of the circumstances. Such requests will be reviewed and decided on a case-by-case basis by the Applications and Eligibility Unit Supervisor

3.9 APPLICATION POOL

The waiting list will be maintained in accordance with the following guidelines:

1. The application will be a permanent file;
2. Applications equal in preference will be maintained by date and time; and
3. All applicants must meet eligibility requirements outlined in Chapter 2 (Admission Eligibility Factors and Applicant Requirements).

CHAPTER 4:
ADMISSION PROCESS

4.1 INTRODUCTION

The policies outlined in this chapter are intended to ensure that all families who express an interest in housing assistance are given an equal opportunity to apply. The primary purpose of the intake function is to gather information about the family so that an accurate, fair, and timely decision relative to the family's eligibility may be made. As such, applicants are placed on the waiting list in accordance with this plan.

4.2 APPLICATION PROCEDURES
[24 CFR §982.204(c)]

Once the applicant is transferred from the Preliminary Registration Waiting List to the Active Waiting List, an application will be mailed to the applicant. The application is due back within 15 calendar days from the date it was mailed. If the application is returned undeliverable, the applicant will be cancelled from the waiting list (see section 3.7 for examples of exceptions to this rule).

Once an application is returned, the information provided by the applicant will be used to determine if the applicant is eligible for the program and any admissions preferences claimed.

If an applicant is ineligible based on the information provided on the application, or because they fail to return the documents by the due date, the applicant will be provided written notice of the reason for their disqualification and of their right to appeal the decision by requesting an informal review.

The application may capture the following information:

- Name of adult members and age of all members;
- Sex and relationship of all members;
- Street address and phone number;
- Mailing address;
- Amount(s) and source(s) of income received by household members;
- Information regarding disabilities relating to program requirements;
- Information related to qualification for preference(s);
- Social Security numbers;
- Race/ethnicity;
- Citizenship/eligible immigration status;
- Convictions for drug-related or violent criminal activity;
- Request for specific reasonable accommodation(s) needed to fully utilize program and services;
- Previous address;

- Current and previous landlords' names and addresses;
- Emergency contact person and address; and
- Program integrity questions regarding previous participation in HUD programs.

Applicants are required to inform the Housing Authority in writing within 30 calendar days of effective date of any changes in family composition, income, and address, as well as any changes in their preference status. Applicants must also comply with requests from the Housing Authority to update information. However, exceptions to this requirement may be found in section 3.7.

4.2.1 Interview Sessions/Mailings

The Housing Authority may use both mailing and interview sessions to obtain income, asset and family composition information from applicants.

4.2.2 Request for Information via Mail

During times of high activity, the Housing Authority will mail income and asset forms or an application to applicants. Applicants will be given 15 calendar days to complete and return all required forms. If forms are not returned in a timely manner, the applicant will receive a final notice. The final notice will provide an additional 15-day grace period. If the required forms are not returned, as specified, the application will be cancelled. The Housing Authority will provide additional time as a reasonable accommodation and in special circumstances such as an illness and/or death in the family.

4.2.3 Application Interview Process

During times for regular activity (average volume), the Housing Authority utilizes a full application interview to discuss the family's circumstances in greater detail, to clarify information that has been provided by the applicant, and to ensure that the information is complete.

Applicants are given two opportunities to attend an interview session. If the applicant does not respond to the second invitation, the application is cancelled. Housing Authority will allow for a third interview appointment as a reasonable accommodation and in special circumstances such as illness. An applicant may also request that the Housing Authority assign someone to conduct the interview at the applicant's home, as a reasonable accommodation.

All applicants must complete the following requirements [24 CFR §982.551(b)(1)(iii)].

1. At minimum, the head of household must attend the interview. The Housing Authority requests that all adult members of the applicant family attend when possible. This assures that all members receive information regarding their obligations and allows the Housing Authority to obtain signatures on critical documents quicker.

2. All adult members of the applicant family must sign the HUD-9886 Form (Authorization for the Release of Information), and all supplemental forms required by Housing Authority.
3. Citizen declaration forms must be completed for all applicant family members, regardless of age.
4. All adult members of the applicant family must complete and sign a Criminal Background Consent/Acknowledgment Form.
5. Identification information for all members of the applicant family such as birth certificates, valid driver's licenses or State (Department of Motor Vehicles) ID cards, whichever is applicable based on the age of the family member, must be submitted for all members of the household regardless of age.

Information provided by the applicant will be verified, including citizenship status, full-time student status and other factors related to preferences, eligibility and rent calculation. Verifications must be received no more than 60 calendar days before the time of issuance.

If they are requested, exceptions for any of the above listed items will be reviewed on a case-by-case basis. Exceptions will be granted based upon hardship. Reasonable accommodations will be made for persons with disabilities. In these cases, a designee will be allowed to provide some information, but only with permission of the person with a disability.

Under both processes, all local preferences claimed on the application while the family is on the waiting list will be verified. Preference is based on current status, so the qualifications for preference must exist at the time the preference is verified, regardless of the length of time an applicant has been on the waiting list.

4.2.4 Secondary Reviews/Credit Reports [24 CFR §982.551(b)(1)]

The Housing Authority may retrieve credit reports for applicants and participants on a case-by-case basis. The information contained in the credit report will be used to confirm the information provided by the family. Specifically, the credit report will be used to confirm:

- **Employment**: A credit report will list any employers that the applicant has listed in any recent credit applications. If the credit report reveals employment, for any adult household member, within the last 12 months that was not disclosed, the family will be asked to provide additional documents to clear up the discrepancy. Failure to disclose current employment may result in cancellation of the family's application.
- **Aliases**: A credit report can provide information on other names that have been used for the purposes of obtaining credit. Common reasons for use of other names include a recent marriage or a divorce. If an alias has not been disclosed to the Housing Authority, the family will be asked to provide additional evidence of the legal identity of adult family members.
- **Current and previous addresses**: A credit report can provide a history of where the family has lived. This is particularly important because the

Housing Authority provides a residency preference. If the family has provided one address to the Housing Authority and the credit report indicates a different address, the family will be asked to provide additional proof of residency. This may include a history of utility bills, bank statements, school enrollment records for children, credit card statements or other relevant documents. Failure to provide adequate proof will result in the denial of a residency preference.

- **Credit card and loan payments**: A credit report will usually include a list of the family's financial obligations. Examples of the items that may show up include car loans, mortgage loans, student loans and credit card payments. The Housing Authority will review this information to confirm the income and asset information provided by the family. If the family's current financial obligations (total amount of current monthly payments) exceed the amount of income reported by the family, the Housing Authority will ask the family to disclose how they are currently meeting their financial obligations. Accounts that have been charged off or significantly delinquent are not included in this calculation. Failure to provide adequate proof of income will result in termination of the application.
- **Multiple Social Security numbers**: A credit report may list multiple Social Security numbers if an adult family member has used different Social Security numbers to obtain credit. If the credit report information does not match the information provided by an adult member of the family, the family member will be required to obtain written confirmation of the Social Security number that was issued to him/her from the Social Security Administration.

A family will not be issued a voucher until all discrepancies between the information provided by the applicant family, and the information contained in the credit report have been cleared by the applicant family.

When discrepancies are found, the family will be contacted by telephone or by mail. In most cases, the family will be allowed a maximum of 15 calendar days to provide the additional documentation. On a case-by-case basis, as a reasonable accommodation, the family may be granted additional time. If additional time is granted, the family will receive a letter confirming the new deadline. No additional extension will be granted thereafter.

When the credit report reveals multiple discrepancies that are not easily communicated over the telephone, the Housing Authority will set up a face-to-face interview with the applicant. The Housing Authority will schedule up to two interview appointments. An additional interview may be scheduled as a reasonable accommodation. Failure to appear at the interview session will result in cancellation of the application.

Additionally, failure to provide the necessary information will result in cancellation of the application.

4.3 LOCAL PREFERENCES
[24 CFR §982.207]

The Housing Authority will apply a system of local preferences in determining admissions for the program. All preferences will be subject to the availability of

funds and all applicants will be required to meet all eligibility requirements. In accordance with California Health and Safety Code §34322.2, the Housing Authority will give priority to families of veterans and members of the armed forces in each of the categories below. Local preferences are weighted highest to lowest, in the following order:

1. **Targeted, and Special Programs :** Families who qualify for Targeted or Special Programs administered by the Housing Authority will be admitted before all other eligible applicants or applicants on the waiting list. Referral may be made by County agencies with a contract or Memorandum of Understanding in place, or by contracted CBO's up to and not to exceed the number of vouchers specified in the contract.

2. Families previously assisted by the Housing Authority whose assistance was terminated due to insufficient funding.

3. Families who live or work in the jurisdiction in the following categories that are subject to the approval by the Executive Director:

   - **Victims of Declared Disasters**: An admissions preference may be given to bona fide victims of declared disasters, whether due to natural calamity (e.g. earthquake), civil disturbance, or other causes recognized by the federal government. Victims must provide documentation to receive an admissions preference. Admissions preference may only be given within the allotted timeframe established by the federal government. If HUD provides specific funding, the Housing Authority will not exceed the allocated amount.

   - **Displacement Due to Government Actions:** Families or individuals who are certified as displaced due to the action of a federal government agency or local government agencies may be given an admissions preference.

   - **Referrals from law enforcement agencies:** The Housing Authority may distribute application forms and may issue a voucher to families or single persons that are referred by law enforcement agencies. The types of referrals that will be considered include, but are not limited to:

     1. Victims of domestic violence,
     2. Involuntarily displaced to avoid reprisals, ~~or~~
     3. Displaced due to being a victim of a hate crime, or
     ~~3.~~4. Victims of sex trafficking.

     Law enforcement referrals must be made in writing, on law enforcement agency letterhead, and signed by the requesting officer and his or her immediate supervisor. Eligibility, including background checks will be confirmed for all members.

4. **Homeless Families Referred by an Eligible Organization**: Families that qualify for the homeless preference must be referred by an approved Coordinated Access System. The referring entity must provide a certification of the family's homeless status. Annually, the Housing Authority will assign a percentage of applications, as approved by its

board of commissioners, not to exceed thirty-five percent of expected annual voucher attrition. However, the Housing Authority will first honor existing Project-Based voucher commitments and any regulatory voucher commitments such as victims of declared disasters, displacement due to government action, or mandatory program transitions.

Additionally, families ~~already~~ registered on the waiting list that~~who~~ declare themselves as homeless, but are not referred by an approved Coordinated Access System, ~~, but are not referred by CBO~~ must provide a certification of their homeless status from a government organization or other organization that is qualified to determine their homelessness.

~~4.~~5. **Jurisdictional Preference**: Families who live and/or work in the Housing Authority's jurisdiction will be admitted before families outside of the Housing Authority's jurisdiction.

Date and Time of Registration: Families will be selected from the waiting list based on the preferences for which they qualify, and then by date and time.

4.3.1 Verification of Preferences [24 CFR §982.207(e)]

Homeless Families Referred by an Eligible Organization: For families selected under this preference, a copy of the referral from the eligible referring entity will be considered acceptable verification of the preference.

For families who were already registered on the waiting list that declared themselves as homeless, a copy of the certification from the eligible agency as defined in section 4.3 will be considered acceptable verification of the preference.

Residency Preference: For families who are residing in the Housing Authority's jurisdiction at the time of selection from the waiting list, or have at least one adult member who works or has been hired to work in the Housing Authority's jurisdiction.

- In order to verify that an applicant is a resident, the Housing Authority will require documentation of residency as shown by the following documents: current rent receipts, leases, utility bills, employer or agency records, school records, driver's licenses, state identification or credit reports.
- In cases where an adult member of the household works or has been hired to work in the Housing Authority's jurisdiction, a statement from the employer will be required.
- At the Housing Authority's discretion, verification of residency may also include other documents, certifications, or declarations as needed to verify that a family lives or works in the jurisdiction.

Veteran's Preference: Acceptable documentation regarding veteran's status will include a DD-214 (discharge documents), proof of receipt of veteran's benefits, or documentation from the Veteran's Administration.

4.3.2 Final Verification of Preferences [24 CFR §982.207(e)]

Preference information on applications will be updated as applicants are selected from the waiting list. At that time, the Housing Authority will obtain necessary verifications of preference at the interview and by third-party verification.

4.3.3 Preference Denial

If the Housing Authority denies a preference, the Housing Authority will notify the applicant in writing of the reasons why the preference was denied and offer the applicant an opportunity for an informal review. The applicant must request for an informal review in writing within 15 calendar days from the date of the notification. The request should also provide all information and documents supporting the applicant's request. If the preference denial is upheld as a result of the informal review, the applicant will be placed on the waiting list without benefit of the preference. Applicants may exercise other rights if they believe they have been discriminated against.

If the applicant falsifies documents or makes false statements in order to qualify for any preference, or for any other reason, they will be removed from the waiting list.

4.4 DENIAL OF ASSISTANCE [24 CFR §982.204(c)(1) and §982.204(f)(1) §982.552]

If an application is denied due to failure to attend the initial and final interviews, or for failure to provide eligibility related information, the applicant family will be notified in writing and offered an opportunity to request an informal review. If the applicant misses two scheduled meetings, the Housing Authority will cancel the application and remove the applicant's name from the waiting list.

The Housing Authority may at any time deny program assistance to an applicant family because of actions or failure to act by members of the family such as any member of the family to sign and submit consent forms for obtaining information.

The Housing Authority will not deny admission of an applicant who is or has been a victim of domestic violence, dating violence, sexual assault, or stalking, if the applicant otherwise qualifies for admission.

4.5 FINAL DETERMINATION AND NOTIFICATION OF ELIGIBILITY [24 CFR §982.301]

If the applicant family is determined to be eligible after all applicable paperwork has been reviewed, they will be invited to attend a briefing session at which time they will receive information regarding their rights and responsibilities and they will be issued a voucher. See Chapter 8 (Voucher Issuance and Briefings) for more detail information.

CHAPTER 5:
SUBSIDY STANDARDS

5.1 INTRODUCTION
[24 CFR §982.402(a)]

Program regulations require that the Housing Authority establish subsidy standards that determine the number of bedrooms needed for families of different sizes and compositions. Such standards must provide for a minimum commitment of subsidy while avoiding overcrowding. The standards in determining the voucher size must be within the minimum unit size requirements of HUD's Housing Quality Standards (HQS).

This chapter lays out the factors used in determining the voucher size issued to a family initially and when there is a move to a new unit, as well as the Housing Authority's procedures for handling changes in family size, selection of unit size that are different from the voucher size and requests for waivers.

5.2 DETERMINATION OF VOUCHER SIZE
[24 CFR §982.402]

Subsidy standards and determination of voucher bedroom size are based upon the number of family members who will reside in the assisted dwelling unit. All standards in this section relate to the number of bedrooms on the voucher, not the family's actual living arrangements.

The unit size on the voucher remains the same as long as the family composition remains the same.

As required by HUD, the Housing Authority's subsidy standards for determining voucher size shall provide for the smallest number of bedrooms needed to house a family without overcrowding. They will be applied consistently for all families of like size and composition, in a manner consistent with fair housing guidelines and HQS.

In accordance with HUD regulations, the unit size designated on the voucher should be assigned using the following Housing Authority subsidy standards, which are based on two persons per bedroom:

Number of Household Members	Number of Bedrooms
1-2	**1- bedroom**
3-4	**2- bedroom**
5-6	**3- bedroom**
7-8	**4- bedroom**
9-10	**5- bedroom**
11-12	**6- bedroom**

1. At issuance, the bedroom size assigned should not require more than two persons to occupy the same bedroom. The family may choose and live within a suitable unit in any grouping that is acceptable to the family, including using the living room for sleeping purposes.
2. Every household member is to be counted as a person in determining the family unit size [24 CFR §982.402(a)(4)-(6)]. Under this definition, household members include the unborn child of a pregnant woman; any live-in aides (approved by the Housing Authority to reside in the unit to care for a family member who is disabled or is at least 50 years of age); a full-time student who is away from the home attending school but who spends school recess in the unit, and a child who is temporarily away from the home because of placement in foster care. A family that consists of a pregnant woman (with no other persons) must be treated as a two-person family.

   Note: An approved live-in aide is counted in determining the voucher size. Occasional, intermittent, multiple, or rotating care givers typically do not meet the definition of a live-in aide. A live-in aide must reside with a family permanently for the family unit size to be adjusted in accordance with the subsidy standards [24 CFR 982.402(7)]. For exceptions to this policy, please see Section 5.3 below.
3. An additional bedroom may be assigned if approved under a waiver by the Housing Authority (see Section 5.3 below).
4. If the family decides to move, the Housing Authority will issue a voucher based on the family's current composition.

5.2.1 Maximum Unit Occupancy

~~In cases where an additional person(s) joins the family and the family will continue to occupy the same rental unit, i.e. no move is involved; the Housing Authority will not consider the family to be over crowded if there are no more than two persons per bedroom or living/sleeping room, provided that the unit meets other HQS.~~

~~Changes to household composition must be made according to Housing Authority policy detailed in Section 12.5 (Changes in Family Composition).~~

The maximum occupancy as determined by the Housing Authority is as follows:

Number of Bedrooms	Maximum Occupancy
0- bedroom	**2**
1- bedroom	**4**
2- bedroom	**6**
3- bedroom	**8**
4- bedroom	**10**
5- bedroom	**12**
6- bedroom	**14**

In cases where an additional person(s) joins the family and the family will continue to occupy the same rental unit, i.e. no move is involved; the Housing Authority will not consider the family to be over crowded if there are no more than two persons per bedroom or living/sleeping room, provided that the unit meets other HQS.

Changes to household composition must be made according to Housing Authority policy detailed in Section 12.5 (Changes in Family Composition).

The Housing Authority will not increase the family's voucher size due to additions where the family will continue to occupy the same unit, unless the family was residing in a unit larger than the voucher size. The appropriate voucher size will be applied at the annual reexamination.

If the Housing Authority determines that the family is overcrowded, a larger voucher will be issued to the family and the family must try to move into a larger size dwelling unit. If an acceptable unit is available for rental by the family, the Housing Authority must terminate the HAP contract in accordance with its terms.

5.3 OCCUPANCY STANDARDS WAIVER
[24 CFR §982.402(b)(8)]

The standards discussed above should apply to the vast majority of assisted families. However, in some cases, the Housing Authority may grant exceptions to the subsidy standards. Examples of possible exceptions that may be justified include but are not limited to:

1. The health of a family member.
2. A reasonable accommodation to a disability.

For households that receive approval for a live-in aide, an extra bedroom will be added to the voucher size the family qualifies for without the live-in aide.

Occasional, intermittent, multiple or rotating care givers typically do not meet the definition of a live-in aide and usually do not justify any exceptions to the subsidy standards. However, a family's composition or circumstances may warrant the provision of an extra bedroom to permit disability-related overnight care and allow the family equal use and enjoyment of the unit. The Housing Authority will consider these requests on a case-by-case basis.

Requests based on health-related reasons must be verified, in writing, by a doctor or other medical professional. The request must specify the reason for the request and how providing a larger bedroom size would improve or accommodate the medical condition.

A Unit Supervisor who has not been involved in the initial determination will review the request, any prior determination and make a decision based on the specifics of the individual case (on a case-by-case basis). After the decision is made, a letter notifying the applicant or participant of the decision regarding the waiver will be sent by the reviewing supervisor.

Requests made as a reasonable accommodation will follow the reasonable accommodation policy as outlined in sections 1.8.2 and 7.11.10.

To request a larger voucher size than indicated by the subsidy standards for any other reason, the family must submit a written request within 15 calendar days of the Housing Authority's determination of bedroom size. The request must explain the need or justification for a larger bedroom size.

5.4 EXCEPTIONS FOR FOSTER CHILDREN [24 CFR §982.402(b)(8)]

Exceptions will be made to accommodate foster children. The Los Angeles County Department of Family and Children Services (DCFS) has very specific housing guidelines that must be met by foster families. In order to assure that foster children are able to remain with designated Section 8 foster families, the Housing Authority will utilize the guidelines published by the Los Angeles County DCFS, or specified in a court order, in situations involving foster children.

5.5 FLEXIBILITY OF UNIT SIZE ACTUALLY SELECTED [24 CFR §982.402(d)]

The family may select a dwelling unit with a different size than that listed on the voucher:

- Larger than the voucher size: The Housing Authority shall not prohibit a family from renting an otherwise acceptable unit because it is too large for the family, provided that the rent for the unit is comparable and the family's total rent contribution (rent to the owner plus any applicable utility costs) does not exceed 40 percent of the family's adjusted monthly income (applies only if the gross rent for the unit exceeds the payment standard).
- Smaller than the voucher size: The Housing Authority will allow families to request a waiver to rent an otherwise acceptable unit with fewer bedrooms than the voucher size, if the unit does not exceed maximum unit occupancy requirements.

5.5.1 Calculating Assistance for a Different Unit Size

To determine the family's maximum rent subsidy, the Housing Authority uses the payment standard for the voucher size or the selected unit size, whichever is lower [24 CFR §982.402(c)].

The utility allowance used to calculate the gross rent is based on the lower of the voucher size or the selected unit size. The Housing Authority may grant a higher utility allowance as a reasonable accommodation for a disabled family member, following the policies and procedures referenced in sections 1.8.1, 1.8.2 and 7.11.10.

CHAPTER 6:
DETERMINING THE TOTAL TENANT PAYMENT AND HOUSING AUTHORITY ABSENCE POLICY

6.1 INTRODUCTION

This chapter explains how the Total Tenant Payment (TTP) is calculated at admission and during annual re-examinations. It covers Housing Authority and HUD standards used to calculate income inclusions and deductions.

This chapter also provides the Housing Authority's definition of absence of household members and explains how the presence or absence of household members can affect the TTP.

The policies outlined in this chapter address those areas, which allow the Housing Authority discretion to define terms and to develop standards in order to assure consistent application of the various factors that relate to the determination of TTP.

6.2 INCOME DEFINITIONS

- **Total Tenant Payment (TTP)**: represents the minimum amount a family must contribute toward rent and utilities regardless of the unit selected. The TTP is the greater of:
  - 30 percent of monthly adjusted income;
  - 10 percent of monthly gross income; or
  - The Housing Authority's minimum rent of $50.
- **Income**: The Housing Authority will include income from all sources, unless otherwise specifically exempted [24 CFR §5.609(c)] through program regulations, for the purposes of calculating the TTP. In accordance with this definition, income from all sources of each member of the household is counted.
- **Annual Income [24 CFR §5.609(a)]**: The gross amount of income anticipated to be received by the family during the 12 months after certification or re-examination. Gross income is the amount of income prior to any HUD allowable expenses or deductions, and does not include income that has been excluded by HUD. Annual income is used to determine whether or not applicants are within the applicable income limits.
- **Adjusted Income [24 CFR §5.611]**: The annual income minus any HUD allowable deductions.

6.3 INCOME DEDUCTIONS [24 CFR §5.611(a)]

The following deductions will be applied in the TTP calculation:

- **Dependent Allowance**: $480 each for family members (other than the head, co-head, or spouse), who are minors, and for family members who are 18 and older who are full-time students or who are disabled. This allowance does not apply to foster children.
- **Elderly Family or Disabled Family Allowance**: $400 for families whose head, co-head, or spouse is 62 or over or disabled.
- **Childcare Expenses**: Deducted for children under 13, including foster children, when childcare is necessary to allow an adult member to work, search for work, or attend school (see below for details).
- **Allowable Medical Expenses**: Deducted for unreimbursed medical expenses for members of any elderly family or disabled family.
- **Disability Assistance Expenses**: Deducted for persons with disabilities if needed to enable the individual or an adult family member to work.

6.3.1 Childcare Expenses [24 CFR §5.603(b) and 24 CFR §5.611(a)]

Childcare expenses for children under 13 years of age may be deducted from annual income if they enable an adult to work, search for work, or attend school full time.

In the case of a child attending school, only care during non-school hours can be counted as childcare expenses.

Families will be given a childcare allowance based on the following guidelines:

1. **Childcare to Work**: The maximum childcare expense allowed must be less than the amount earned by the person enabled to work. The "person enabled to work" will be the adult member of the household who earns the least amount of income from working.
2. **Childcare to Search for Work**: Childcare expenses cannot exceed the current amount of income received.
3. **Childcare for School**: The number of hours claimed for childcare may not exceed the number of hours the family member is attending school (including one hour travel time to and from school).
4. **Amount of Expense**: The Housing Authority will determine local average costs as a guideline. If the hourly rate materially exceeds the guideline, the Housing Authority may calculate the allowance using the guideline.

6.3.2 Medical Expenses [24 CFR §5.611(a)(3)(i)]

When it is unclear in the HUD rules as to whether or not to allow an item as a medical expense, IRS Publication 502 will be used as a guide.

The Housing Authority will allow as medical expense the actual out-of-pocket amounts which are owed and anticipated to be paid by the family during the re-examination period. Expenses from the previous year may be analyzed to determine the amount to anticipate when other verification is not available.

Nonprescription medicines will be counted toward medical expenses for families who qualify if the family furnishes legible receipts.

Acupressure, acupuncture and related herbal medicines, and chiropractic services will be considered allowable medical expenses.

6.4 INCOME INCLUSIONS AND EXCLUSIONS

6.4.1 Income Inclusions [24 CFR §5.609(b)]

The Housing Authority considers the following to be included in the family's annual income, as required by HUD:

(1) The full amount, before any payroll deductions, of wages and salaries, overtime pay, commissions, fees, tips and bonuses, and other compensation for personal services;

(2) The net income from operation of a business or profession. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation of assets used in a business or profession may be deducted, based on straight line depreciation, as provided in Internal Revenue Service regulations. Any withdrawal of cash or assets from the operation of a business or profession will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested in the operation by the family;

(3) Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for depreciation is permitted only as authorized in paragraph (2) of this section. Any withdrawal of cash or assets from an investment will be included in income, except to the extent the withdrawal is reimbursement of cash or assets invested by the family. Where the family has net family assets in excess of $5,000, annual income shall include the greater of the actual income derived from net family assets or .81% of the value of such assets based on the current passbook savings rate, as annually determined by The Housing Authority;

(4) The full amount of periodic payments received from Social Security, annuities, insurance policies, retirement funds, pensions, lotteries, disability or death benefits, and other similar types of periodic receipts, including a lump-sum payment for the delayed start of a periodic payment (but see paragraph (13) under Income Exclusions);

(5) Payments in lieu of earnings, such as unemployment, worker's compensation, and severance pay (but see paragraph (3) under Income Exclusions);

(6) Welfare Assistance.

a. Welfare assistance received by the household.

b. The amount of reduced welfare income that is disregarded specifically because the family engaged in fraud or failed to comply with an economic self-sufficiency or work activities requirement.

c. If the welfare assistance payment includes an amount specifically designated for shelter and utilities that is subject to adjustments by the welfare assistance agency in accordance with the actual cost of shelter and utilities, the amount of welfare income to be included as income shall consist of:

(i) The amount of the allowance or grant exclusive of the amount specifically designated for shelter or utilities; plus

(ii) The maximum amount that the welfare assistance agency could in fact allow the family for shelter and utilities. If the family's welfare assistance is ratably reduced from the standard of need by applying a percentage, the amount calculated under this paragraph shall be the amount resulting from one application of the percentage;

(7) Periodic and determinable allowances, such as alimony and child support payments, and regular contributions or gifts received from persons not residing in the dwelling;

Regular Contributions and Gifts [24 CFR §5.609(b)(7)]

Any contribution or gift received every 3 months or more frequently will be considered a "regular" contribution or gift from the same source. This includes payments made on behalf of the family such as payments for a car, credit card bills, rent and/or utility bills and other cash or non-cash contributions provided on a regular basis. It does not include casual contributions or sporadic gifts.

If the family's expenses exceed its known income, the Housing Authority will question the family about contributions and gifts. If the family indicated that it is able to meet the extra expenses due to gifts or contributions from persons outside the household, the amount provided will be included in the family's TTP.

Alimony and Child Support [24 CFR §5.609(b)(7)]

If the amount of child support or alimony received is less than the amount awarded by the court, the Housing Authority must use the amount awarded by the court unless the family can verify that they are not receiving the full amount. Acceptable verification in such cases may include:

1. Verification from the agency responsible for enforcement or collection, and
2. Documentation of child support or alimony collection action filed through a child support enforcement/collection agency, or has filed an enforcement or collection action through an attorney.

> It is the family's responsibility to supply a certified copy of the divorce decree.

(8) All regular pay, special pay, and allowances of a member of the Armed Forces (whether or not living in the dwelling) who is head of the family, co-head, spouse, or other person whose dependents are residing in the unit (but see paragraph (7) under Income Exclusions).

(9) Any financial assistance, in excess of amounts received for tuition and any other required fees and charges,, that an individual receives under the Higher Education Act of 1965 (20 U.S.C. 1001 *et seq*.), from private sources, or from an institution of higher education (as defined under the Higher Education Act of 1965 (20 U.S.C. 1002)), shall be considered income to that individual, except that financial assistance described in this paragraph is not considered annual income for students who are living with their parents who are applying for or receiving assistance or persons over the age of 23 with dependent children. For the purpose of determining income, loan proceeds are not considered “financial assistance”.

(10) Any part of an athletic scholarship that can be used to cover housing costs must be included in the family’s income.

(11) The gross amount of Social Security (SS) and Supplemental Security Income (SSI) benefits.

6.4.2 Income Exclusions [24 CFR §5.609(c)]

The Housing Authority considers the following to be excluded from the family’s annual income, as required by HUD:

(1) Income from employment of children (including foster children) under the age of 18 years;

(2) Payments received for the care of foster children or foster adults (usually individuals with disabilities, unrelated to the tenant family, who are unable to live alone);

> Benefits received through the Kin GAP program, a California program designed specifically for foster children who have been place in the home of a relative are considered foster care and should be excluded.

(3) Lump-sum additions to family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses (but see paragraph (5) under Income Inclusions);

(4) Amounts received by the family that are specifically for, or in reimbursement of, the cost of medical expenses for any family member;

(5) Income of a live-in aide (as defined by regulation);

(6) Subject to paragraph (9) in Income Inclusions, the full amount of student financial assistance paid directly to the student or to the educational institution;

(7) The special pay to a family member serving in the Armed Forces who is exposed to hostile fire;

(8) (a) Amounts received under training programs funded by HUD;

(b) Amounts received by a person with disabilities that are disregarded for a limited time for purposes of Supplemental Security Income eligibility and benefits because they are set aside for use under a Plan to Attain Self-Sufficiency (PASS);

(c) Amounts received by a participant in other publicly assisted programs which are specifically for or in reimbursement of out-of-pocket expenses incurred (special equipment, clothing, transportation, child care, etc.) and which are made solely to allow participation in a specific program;

(d) A resident service stipend. This is a modest amount (not to exceed $200 per month) received by a resident for performing a service for the owner, on a part-time basis, that enhances the quality of life in the development. This may include, but is not limited to fire patrol, hall monitoring, lawn maintenance, and resident initiatives coordination and serving as a member of the PHA's governing board. No resident may receive more than one such stipend during the same period of time; or

(e) Incremental earnings and benefits resulting to any family member from participation in qualifying state or local employment training programs (including training programs not affiliated with a local government) and training of a family member as resident management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for the period during which the family member participates in the employment training program.

(9) Temporary, nonrecurring, or sporadic income (including gifts). For example, amounts earned by temporary census employees whose terms of employment do not exceed 180 days (Notice PIH 2000-1).

(10) Reparations payments paid by a foreign government pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era;

(11) Earnings in excess of $480 for each full-time student 18 years or older (excluding the head of household, co-head, and spouse);

(12) Adoption assistance payments in excess of $480 per adopted child;

(13) Deferred periodic payments of Supplemental Security Income, Social Security benefits and Veterans Affairs disability benefits that are received in a lump-sum payment or in prospective monthly payments;

(14) Amounts received by the family in the form of refunds or rebates under state or local law for property taxes paid on the dwelling unit;

(15) Amounts paid by a state agency to a family with a developmentally disabled family member living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home; and

(16) Amounts specifically excluded by any other federal statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under the 1937 Act. A notice will be published in the Federal Register and distributed to PHAs identifying the benefits that qualify for this exclusion. Updates will be distributed when necessary. The following is a list of income sources that qualify for that exclusion:

(i) The value of the allotment provided to an eligible household under the Food Stamp Act of 1977 (7U.S.C.2017(b));

(ii) Payments to volunteers under the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5044(f) (1), 5058);

(iii) Certain payments received under the Alaska Native Claims Settlement Act (43 U.S.C. 1626(c));

(iv) Income derived from certain sub marginal land of the United States that is held in trust for certain Indian tribes (25 U.S.C. 459e);

(v) Payments or allowances made under the Department of Health and Human Services' Low-Income Home Energy Assistance Program (42 U.S.C.8624 (f));

(vi) Income derived from the disposition of funds to the Grand River Band of Ottawa Indians (Pub. L. 94–540, section 6);

(vii) The first $2000 of per capita shares received from judgment funds awarded by the National Indian Gaming Commission or the U.S. Claims Court, the interests of individual Indians in trust or restricted lands, and the first $2000 per year of income received by individual Indians from funds derived from interests held in such trust or restricted lands (25 U.S.C. 1407–1408). This exclusion does not include proceeds of gaming operations regulated by the Commission;

(viii) Amounts of scholarships funded under title IV of the Higher Education Act of 1965 (20 U.S.C. 1070), including awards under federal work-study programs or under the Bureau of Indian Affairs student assistance programs (20 U.S.C. 1087uu). For section 8 programs only (42 U.S.C. 1437f), any financial assistance in excess of amounts received by an individual for tuition and any other required fees and charges under the Higher Education Act of 1965 (20 U.S.C. 1001 et seq.), from private sources, or an institution of higher education (as defined under the Higher Education Act of 1965 (20 U.S.C. 1002)), shall not be considered income to that individual if the individual is over the age of 23 with dependent children (Pub.L. 109–115, section 327) (as amended);

(ix) Payments received from programs funded under title V of the Older Americans Act of 1965 (42 U.S.C.3056g);

(x) Payments received on or after January 1, 1989, from the Agent Orange Settlement Fund (Pub. L. 101–201) or any other fund established pursuant to the settlement in In Re Agent Orange Liability Litigation, M.D.L. No. 381(E.D.N.Y.);

(xi) Payments received under the Maine Indian Claims Settlement Act of 1980 (Pub. L. 96–420, 25 U.S.C. 1728) ;

(xii) The value of any child care provided or arranged (or any amount received as payment for such care or reimbursement for costs incurred for such care) under the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858q);

(xiii) Earned income tax credit (EITC) refund payments received on or after January 1, 1991, for programs administered under the United States Housing Act of 1937, title V of the Housing Act of 1949, section 101 of the Housing and Urban Development Act of 1965, and sections 221(d)(3), 235, and 36 of the National Housing Act (26 U.S.C. 32(l));

(xiv) Payments by the Indian Claims Commission to the Confederated Tribes and Bands of Yakima Indian Nation or the Apache Tribe of Mescalero Reservation (Pub. L. 95–433);

(xv) Allowances, earnings and payments to AmeriCorps participants under the National and Community Service Act of 1990 (42 U.S.C.12637(d));

(xvi) Any allowance paid under the provisions of 38 U.S.C. 1833(c) to children of Vietnam veterans born with spina bifida (38U.S.C. 1802–05), children of women Vietnam veterans born with certain birth defects (38 U.S.C. 1811–16), and children of certain Korean service veterans born with spina bifida (38 U.S.C. 1821).

(xvii) Any amount of crime victim compensation (under the Victims of Crime Act) received through crime victim assistance (or payment or reimbursement of the cost of such assistance) as determined under the Victims of Crime Act because of the commission of a crime against the applicant under the Victims of Crime Act (42 U.S.C. 10602(c));

(xviii) Allowances, earnings, and payments to individuals participating in programs under the Workforce Investment Act of 1998 (29 U.S.C.2931 (a) (2));

(xix) Any amount received under the Richard B. Russell School Lunch Act (42 U.S.C. 1760(e)) and the Child Nutrition Act of 1966 (42 U.S.C. 1780(b)), including reduced-price lunches and food under the Special Supplemental Food Program for Women, Infants, and Children (WIC);

(xx) Payments, funds, or distributions authorized, established, or directed by the Seneca Nation Settlement Act of 1990 (25 U.S.C. 1774f (b));

(xxi) Payments from any deferred U.S. Department of Veterans Affairs disability benefits that are received in a lump sum amount or in prospective monthly amounts (42 U.S.C. § 1437a(b)(4));

(xxii) Compensation received by or on behalf of a veteran for service-connected disability, death, dependency, or indemnity compensation as provided by an amendment by the Indian Veterans Housing Opportunity Act of 2010 (Pub.L. 111–269; 25 U.S.C. 4103(9)) to the definition of income applicable to programs authorized under the Native American Housing Assistance and Self-Determination Act (NAHASDA) (25U.S.C. 4101 et seq.) and administered by the Office of Native American Programs;

(xxiii) A lump sum or a periodic payment received by an individual Indian pursuant to the Class Action Settlement Agreement in the case entitled Elouise Cobell et al. v. Ken Salazar et al., 816 F.Supp.2d 10 (Oct. 5, 2011 D.D.C.), for a period of one year from the time of receipt of that payment as provided in the Claims Resolution Act of 2010 (Pub. L. 111–291);

(xxiv) Any amounts in an ''individual development account'' as provided by the Assets for Independence Act, as amended in 2002 (Pub. L. 107–110, 42 U.S.C. 604(h) (4));

(xxv) Per capita payments made from the proceeds of Indian Tribal Trust Cases as described in PIH Notice 2013–30 ''Exclusion from Income of Payments under Recent Tribal Trust Settlements''(25 U.S.C. 117b(a)); and

(xxvi) Major disaster and emergency assistance received by individuals and families under the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Pub. L. 93–288, as amended) and comparable disaster assistance provided by States, local governments, and disaster assistance organizations (42 U.S.C. 5155(d)).

(17) Earned Income Disallowance for persons with disabilities [24CFR5.617]

(a) Initial 12-Month Exclusion [24CFR5.617(C)(1)]

(b) Second 12-Month Exclusion and Phase-In [24CFR5.617(C)2)

(c) Maximum 4-Year Disallowance [24 CFR 5.617(c)(3)]

(18) The low-income subsidy (extra help) received to assist low-income persons in paying for their Medicare Prescription Drug Plan cost.

(19) The payment amount of Social Security (SS) and Supplemental Security Income (SSI) benefits that are reduced due to prior overpayments.

(20)Financial assistance received through the Veterans Retraining Assistance Program (VRAP) [24 CFR 5.609 (c)(6).

6.4.3 Earned Income Disallowance [24 CFR §5.617]

When determining the annual income of a participant family that includes persons with disabilities, the determination must exclude an increase in annual income due to any of the following events:

1. Employment by a family member who is a person with disabilities and who was previously unemployed for one or more years prior to employment.

- A previously unemployed person is defined as a person who in the 12 months prior to employment has earned no more than would be received for 10 hours of work per week for 50 weeks at the established minimum wage.

2. An increase in income by a family member who is a person with disabilities and whose earnings increase during participation in an economic self-sufficiency program or other job-training program.

- An economic self-sufficiency program is any program designed to encourage, assist, train, or facilitate the economic independence of HUD-assisted families or to provide work for such families.

3. New employment or increased earnings by a family member who is a person with disabilities and who has received TANF benefits or services within the past 6 months.

- If TANF is received in the form of monthly monetary maintenance, there is no minimum amount that must be received to be considered a participant in TANF.
- If TANF is received in the form of one-time payments, wage subsidies and transportation assistance that add up to at least $500 over a 6-month period, they would meet this requirement.

6.4.4 Earned Income Disallowance Exclusion Time Periods [24 CFR §5.617(c)]

1. **Initial 12-Month Exclusion**: During the initial 12-month exclusion period, the full amount of the increase in income due to employment or increase earnings is excluded. This exclusion extends for 12 cumulative months and the months do not have to be consecutive.
2. **Second 12-Cumulative Months**: During the second 12-month exclusion and phase-in period, the exclusion is reduced to half, or 50 percent, of the increase in income due to employment or increased earnings. This second 12-month exclusion period begins after the full 12 months of the full exclusion has been used and the months do not have to be consecutive.
3. **4-Year Lifetime Limit**: A participant has a total lifetime limit of 48 consecutive months that begins once the initial exclusion is given after the qualifying event. No exclusion should be given after the lifetime limit has been reached.

6.5 FAMILY ASSETS [24 CFR §5.603(b)]

6.5.1 Included Assets

(1) Amounts in savings and checking accounts.

(2) Stocks, bonds, savings certificates, money market funds and other investment accounts.

(3) Equity in real property or other capital investments. Equity is the estimated current market value of the asset less the unpaid balance on all loans secured by the assets and reasonable costs (such as broker fees) that would be incurred in selling the assets.

In the absence of an estimate of liquidation costs or verification of actual liquidation costs from a real estate agent or broker, the Housing Authority will use a standard 8% of market value to determine such costs.

(4) The cash value of trusts that may be withdrawn by the family.

(5) IRA, Keogh and similar retirement savings accounts, even though withdrawal would result in a penalty.

(6) Some contributions to company retirement/pension funds.

> Contributions to company retirement/pension funds are handled as follows:
>
> 1. While an individual is employed, include as assets only amounts the family can withdraw without retiring or terminating employment.
> 2. After retirement or termination of employment, include any amount the individual elects to receive as a lump sum.

(7) Assets, which although owned by more than one person, allow unrestricted access by the applicant.

(8) Lump sum receipts such as inheritances, capital gains, lottery winnings, insurance settlements, and other claims.

> Lump-sum additions to family assets, such as inheritances, insurance payments (including lump-sum payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses, are not included as income but may be included in assets.
>
> Lump-sum payments caused by delays in processing periodic payments (unemployment or welfare assistance) are counted as income. Lump sum payments from Social Security or SSI are excluded from income, but any amount remaining will be considered an asset. Deferred periodic payments which have accumulated due to a dispute will be treated the same as periodic payments which are deferred due to delays in processing.

The family's attorney fees may be deducted from lump-sum payments when computing annual income if the attorney's efforts have recovered a lump-sum compensation, and the recovery paid to the family does not include an additional amount in full satisfaction of the attorney fees.

(9) Personal property held as an investment such as gems, jewelry, coin collections, antique cars, etc.

(10) Cash value of life insurance policies.

(11) Assets disposed of for less than fair market value during the two years preceding certification or re-certification.

The Housing Authority must count assets disposed of for less than fair market value during the 2 years preceding certification or re-examination. The Housing Authority will count the difference between the market value and the actual payment received in calculating total assets.

Assets disposed of as a result of foreclosure or bankruptcy, separation or divorce are not considered to be assets disposed of for less than fair market value.

The Housing Authority's minimum threshold for counting assets disposed of for less than Fair Market Value is $5,000. If the total value of assets disposed of within a 1-year period is less than $5,000, they will not be considered an asset.

6.5.2 Excluded Assets

(1) Necessary personal property, except as noted in #9 above at Section 6.5.1.

(2) Interest in Indian trust lands.

(3) Assets that are part of an active business or farming operation.

If a household member's main occupation is the business from his/her rental property, the rental property is considered a business asset and therefore excluded. If a household member's rental property is considered a personal asset and held as an investment, it is considered an included asset.

(4) Assets not controlled by or accessible to the family and which provide no income for the family.

(5) Vehicles especially equipped for the disabled.

(6) Equity in owner-occupied cooperatives and manufactured homes in which the family lives.

6.6 CALCULATING INCOME AND FAMILY CONTRIBUTION

6.6.1 "Minimum Rent" and Minimum Family Contribution [24 CFR §5.630(a)(2)]

Minimum family contribution in the Housing Authority's rental assistance programs is $50 for all new contracts, including moves.

The Housing Authority will waive the minimum rent requirement in cases where the family documents that they do not currently have any source of income such as in the case of some homeless families. In such cases, the family will be re-evaluated in 6 months. All families are required to report changes in income within 30 calendar days.

6.6.2 Minimum Income

There is no minimum income requirement. Families who report zero income may be required to complete an interim re-examination periodically, up to once a quarter, at the Housing Authority's discretion.

6.6.3 Averaging Income [24 CFR §982.516(b)(2) and 24 CFR §5.609(d)]

When annual income cannot be anticipated for a full 12 months, the Housing Authority may annualize current income and conduct an interim re-examination if income changes.

If there are bonuses or overtime which the employer cannot anticipate for the next 12 months, bonuses and overtime received the previous year may be used.

Income from the previous year may be analyzed to determine the amount to anticipate when third-party or check-stub verification is not available.

If by averaging, an estimate can be made for those families whose income fluctuates from month to month, this estimate will be used so that the housing payment will not change from month to month.

The method used depends on the regularity, source and type of income.

6.6.4 Utility Allowance and Utility Reimbursement Payments [24 CFR §982.517]

The utility allowance is intended to help defray the cost of utilities not included in the rent and is subtracted from TTP to establish the family's rent to the owner. The allowances are based on rates and average consumption studies, not on a family's actual consumption. The Housing Authority will review the Utility Allowance Schedule on an annual basis and revise it if needed (10 percent increase or decrease).

The approved utility allowance schedule is given to families along with the voucher. The utility allowance is based on the lower of the family's voucher size or the actual unit size selected.

Where families provide their own range and refrigerator, the Housing Authority will establish an allowance adequate for the family to purchase or rent a range or refrigerator, even if the family already owns either appliance. Allowances for ranges and refrigerators will be based on the lesser of the cost of leasing or purchasing the appropriate appliance over a 12-month period.

If the utility allowance exceeds the family's TTP, the Housing Authority will provide a utility reimbursement payment for the family each month. The check will be made out directly to the family's head of household on record.

6.6.5 Reduction in Welfare Assistance [24 CFR §5.615]

The Housing Authority will impute (count) welfare income not received by the family, if the welfare assistance was reduced specifically because of:

- Fraud;
- Failure to participate in an economic self-sufficiency programs; or
- Noncompliance with a work activities requirement.

Imputed welfare income is the amount that welfare benefits are reduced.

Imputed welfare income is not included in the family's annual income, if the family was not assisted at the time of the welfare sanction.

The Housing Authority will include in the family's annual income the amount of the imputed welfare income plus the total amount of other annual income and the family's rent will not be reduced.

However, the Housing Authority will reduce the rent if the welfare assistance reduction is a result of any of the following:

- The expiration of a lifetime time limit on receiving benefits;
- The family has complied with welfare program requirements but cannot obtain employment; or
- The family member has not complied with other welfare agency requirements.

A family's request for rent reduction shall be denied upon the Housing Authority obtaining written verification from the welfare agency stating that the family's benefits have been reduced for fraud or noncompliance.

Offsets

The amount of the imputed income is offset by the amount of additional income the family begins to receive after the sanction is imposed. The new income would be subtracted from the imputed welfare income. When the additional income equals or exceeds the imputed welfare income, the imputed income is reduced to zero.

6.6.6 Prior Overpayment of Social Security (SS) and Supplemental Security Income (SSI)

When there is a payment reduction due to prior overpayments, staff will use the net amount of the SS/SSI benefit to calculate annual income only for that period of time for which the reduction occurs.

6.7 PRORATION OF ASSISTANCE FOR "MIXED" FAMILIES

6.7.1 Applicability [24 CFR §5.520(a)]

Proration of assistance must be offered to any "mixed" applicant or participant family. A "mixed" family is one that includes at least one U.S. citizen or eligible immigrant and any number of ineligible members.

"Mixed" families that were participants on June 19, 1995, and that do not qualify for continued assistance must be offered prorated assistance. Mixed family applicants are entitled to prorated assistance. Families that become mixed after June 19, 1995 by addition of an ineligible member are entitled to prorated assistance.

6.7.2 Prorated Assistance Calculation [24 CFR §5.520(c)]

Prorated assistance is calculated by determining the amount of assistance payable if all family members were eligible and multiplying by the percent of the family members who actually are eligible.

6.8 ABSENCE POLICY

The Housing Authority must compute all applicable income of every family member who is on the lease, including those who are temporarily absent. In addition, the Housing Authority must count the income of the spouse or the head of household if that person is temporarily absent, even if that person is not on the lease.

Income of persons permanently absent will not be counted. If the head of household or spouse is temporarily absent and in the military, all military pay and allowances (except hazardous duty pay when exposed to hostile fire and any other exceptions to military pay HUD may define) is counted as income.

It is the responsibility of the household to report absences and changes in family composition. The Housing Authority will evaluate absences from the unit using this policy [24 CFR §982.551(i)].

6.8.1 Absence of Entire Family [24 CFR §982.312]

These policy guidelines address situations when the family is absent from the unit, but has not moved out of the unit. In cases where the family has moved out

of the unit, the Housing Authority will terminate assistance in accordance with appropriate termination procedures contained in this plan.

Families are required both to notify the Housing Authority before they move out of a unit and to give the Housing Authority information about any family absence from the unit.

Families must notify the Housing Authority if they are going to be absent from the unit for more than 30 consecutive calendar days.

If the family fails to notify the Housing Authority of an absence of longer than 30 consecutive calendar days, or if the entire family is absent from the unit for more than 60 consecutive calendar days, the unit will be considered to be vacated and the assistance will be terminated. The Housing Authority at all times shall reserve the right to exercise its judgment regarding extensions on family absence from the unit on a case-by-case basis. However, HUD regulations require the Housing Authority to terminate assistance if the entire family is absent from the unit for a period of more than 180 consecutive calendar days.

"Absence of entire family" means that no family member is residing in the unit, and the unit has not been vacated. In order to determine if the family is absent from the unit, the Housing Authority may:

- Write letters to the family at the unit
- Telephone the family at the unit
- Interview the owner
- Interview neighbors
- Verify if utilities are in service
- Conduct an interim HQS Inspection

If the absence which resulted in termination of assistance was due to a person's disability, and the Housing Authority can verify that the person was unable to notify Housing Authority in accordance with the family's responsibilities, and if funding is available, the Housing Authority may reinstate the family as a reasonable accommodation if requested by the family.

6.8.2 Absence of Any Member [24 CFR §982.312(a)]

Any member of the household will be considered permanently absent if s/he is away from the unit for 180 consecutive calendar days except as otherwise provided in this chapter.

6.8.3 Absence Due to Medical Reasons [24 CFR §982.312(e)(1)]

If any family member leaves the household to enter a facility such as a hospital, nursing home, or rehabilitation center, the Housing Authority will seek advice from a reliable qualified source as to the likelihood and timing of their return. If the verification indicates that the family member will return in less than 180 calendar days, the family member will not be considered permanently absent.

If the verification indicates that the family member will be permanently confined to a nursing home, the family member will be considered to be permanently absent, out of the home and removed from the family composition.

If the person who is determined to be permanently absent is the sole member of the household, assistance will be terminated in accordance with the Housing Authority's "Absence of Entire Family" policy.

6.8.4 Absence Due to Incarceration [24 CFR §982.312(e)(1)]

If the sole member of the household is incarcerated for more than 30 calendar days, s/he will be considered permanently absent and the Housing Authority will initiate proposed termination procedures to terminate assistance.

Any member of the household, other than the sole member, will be considered permanently absent if s/he is incarcerated for 60 calendar days. Once a family member is removed from the family composition, the family must seek Housing Authority approval prior to allowing the family member to re-join the assisted household. Failure to adhere to this policy can result in termination of assistance.

The Housing Authority will determine if the reason for any family member's incarceration is for drug-related or violent criminal activity and, if appropriate, will pursue termination of assistance for the family if deemed appropriate.

6.8.5 Foster Care and Absences of Children [24 CFR §982.551(h)(4)]

If the family includes a child or children temporarily absent from the home due to placement in foster care, the Housing Authority will request information from the appropriate agency to determine when the child/children will be returned to the home.

If the time period is to be greater than 180 calendar days from the date of removal of the child/children, the voucher size may be temporarily reduced. If children are removed from the home permanently, the voucher size will be permanently reduced in accordance with the Housing Authority's subsidy standards.

6.8.6 Absence of Adult [24 CFR §982.312(e)]

If neither parent remains in the household and the appropriate agency has determined that another adult is to be brought into the assisted unit to care for the children for an indefinite period, the Housing Authority will immediately add the new caretaker to the household composition while eligibility is reviewed, including criminal background checks.

If the caretaker does not pass any portion of the Housing Authority's eligibility screening, including the criminal background check, the caretaker will be removed from the voucher. If no other caretaker is identified and the ineligible individual remains the caretaker for the children, the assistance will be terminated.

When the Housing Authority approves a person to reside in the unit as caretaker for the children, this person's income will be counted in the TTP for the family pending a final disposition. The Housing Authority will work with the appropriate service agencies and the owner to provide a smooth transition in these cases.

If a member of the household is subject to a court order that restricts him/her from the home for more than 180 calendar days, the person will be considered permanently absent.

If an adult family member leaves the household for any reason, the family must report the change in family composition to the Housing Authority within 30 calendar days.

The family will be required to notify the Housing Authority in writing within 30 calendar days when a family member leaves the household for any reason or moves out. The notice must contain a certification by the family as to whether the member is temporarily or permanently absent. The family member will be determined permanently absent if verification is provided.

If an adult child goes into the military and leaves the household, they will be considered permanently absent.

Time extensions may be granted as a reasonable accommodation upon request by a person with a disability.

6.8.7 Students
[24 CFR §982.312(e)]

Full time students who attend school away from the home and live with the family during school recess will be considered temporarily absent from the household. These family members will continue to be counted for the purpose of determining the family's appropriate voucher size.

6.8.8 Visitors
[24 CFR §982.312(e)]

Any person not included on the HUD-50058 who has been in the unit more than 30 calendar days, or a total of 60 calendar days in a 12-month period, will be considered to be living in the unit as an unauthorized household member.

Absence of evidence of any other address will be considered verification that the visitor is a family member.

Statements from neighbors and/or the owner will be considered in making the determination.

Use of the unit address as the visitor's current residence for any purpose that is not explicitly temporary shall be construed as permanent residence.

The burden of proof that the individual is a visitor rests on the family. In the absence of such proof, the individual will be considered an unauthorized member of the family and the Housing Authority will terminate assistance since prior approval was not requested for the addition.

In a joint custody arrangement, if the minor is in the household less than 180 calendar days per year, the minor will be considered to be an eligible visitor and not a family member.

6.8.9 Reporting Absences to the Housing Authority [24 CFR §982.551(h)(3) and §982.551(i)]

If a family member leaves the household, the family must report this change to the Housing Authority, in writing, within 30 calendar days of the change and certify as to whether the member is temporarily absent or permanently absent. When available to do so, an adult family member who is leaving the household should remove him/herself in writing from the lease and voucher family composition.

The Housing Authority will conduct an interim re-examination for changes, which may affect the TTP in accordance with the interim policy. See Section 12.5 (Changes in Family Composition) for more information.

6.8.10 Verification of Absence

Please refer to Section 7.11.4 (Verification of Permanent Absence of Adult Member).

CHAPTER 7:
VERIFICATION PROCEDURES

7.1 INTRODUCTION
[24 CFR §5.240(c), 24 CFR §5.210, 24 CFR §982.551(b)]

HUD regulations require the Housing Authority to verify factors of eligibility. Applicants and program participants must furnish proof of their statements whenever required by the Housing Authority, and the information they provide must be true and complete. The Housing Authority's verification requirements are designed to maintain program integrity. This chapter explains the Housing Authority's procedures and standards for verification of preferences, income, assets, allowable deductions, family status, and changes in household composition. The Housing Authority will ensure that proper authorization from the family is always obtained before making verification inquiries.

7.2 METHODS OF VERIFICATION AND TIME ALLOWED

The Housing Authority will use six levels of verification methods acceptable to HUD in the following order:

Level Six: Up-Front Income Verification (UIV) using EIV (highest priority): This level is mandatory and will be used when available

Level Five: Up-Front Income Verification (UIV) using a non-HUD system (highest priority): In cases where EIV is not available, this level will be used when possible.

Level Four: Third-party written verification (high priority): This verification level is defined as tenant-provided documents obtained from a third-party source. The documents must be authentic, original and computer-generated. Level Four is used:

- To support the information reported through EIV/UIV,
- When there is a discrepancy between EIV/UIV and tenant-reported income,
- When EIV or other forms of UIV are not available, the Housing Authority will accept authentic, original, computer-generated documents as verification of income, assets or other family circumstances.

Level Three: Third-party written verification form (medium-low priority). The Housing Authority will send verification forms to third party sources when:

- There is a discrepancy between EIV/UIV and tenant-reported income and the tenant disputes the information in EIV, or
- Verification levels six through four are unavailable.

Level Two: Third-party oral verification (low priority). This level will be used when sending verification forms to third-party sources under Level Three is not possible or the forms are not returned in a timely manner.

Level One: Self-Declaration (low priority). Certification/self-declaration verification will be the last level used if verification is not possible or able to be obtained using the higher levels of verification, the Housing Authority may allow up to 10 calendar days for the return of third-party verification forms before using the next verification level.

7.3 TIMELINESS OF VERIFICATIONS

Verifications may not be received more than 60 calendar days before voucher issuance for applicants [24 CFR §982.201(e)]. However, a voucher may be issued to a participant family without updating verifications if the annual reexamination is current (within the last 12 months). Any reported changes that require an interim reexamination will be verified and processed before a voucher is issued.

All tenant-provided documents are current if dated within 60 days of the date of receipt. Please see section 7.9 for asset verification documents exceptions.

Exception for averaging income: When using consecutive verification documents to average income, such as consecutive pay stubs, only one of the documents must be dated within 60 days of the date of receipt if the documents are also the most recent received by the family.

7.3.1 Up-Front Income Verification (UIV)

The Housing Authority will utilize up-front income verification tools. The use of the Enterprise Income Verification (EIV) system is mandatory and will be used whenever possible. Other UIV systems, such as the LEADER system for the Temporary Assistance of Needy Families (TANF) and Work Number, will be used whenever possible when EIV is unavailable.

If there is a difference in source of income or a substantial difference ($2400 annually or $200 monthly) in reported income between EIV verification and family-provided documents and the tenant disputes the discrepancy or cannot provide adequate documentation to validate the discrepancy, the Housing Authority shall follow the guidelines below:

- The Housing Authority will send written third-party verification forms to the discrepant income source.
- The Housing Authority may review historical income data for patterns of employment, paid benefits, and/or receipt of other income, when the Housing Authority cannot readily anticipate income, such as in cases of seasonal employment, unstable working hours, and suspected fraud.
- The Housing Authority will analyze all data (UIV data, third-party verification documents provided by the family and verification forms returned by the discrepant income source) and attempt to resolve the income discrepancy.
- The Housing Authority will use the most current information available to calculate the anticipated annual income.

In cases where UIV income data is different than tenant-reported income and the tenant does not dispute the discrepancy and can provide adequate

documentation to validate the discrepancy, the Housing Authority will use the written third-party documents provided by the family to calculate the anticipated annual income.

7.3.2 Third-Party Written Verification

Third-party written verification is defined as original, authentic, computer-generated documents from a third-party source, but obtained from the family. All documents will be photocopied and retained in the family file. The Housing Authority will accept the following documents, among others, from the family, provided that the document is such that tampering would be easily noted:

- Printed wage stubs
- Computer print-outs from the employer
- Letters printed on official letterhead

Third-party written verification documents will be used to support the information reported through the UIV source or as primary verification when UIV is not available.

The Housing Authority will accept faxed documents, however a hard copy may be requested for verification

If at least two check stubs cannot be obtained to verify employment income, the Housing Authority will request third party verification directly from the source to support EIV data or as primary verification.

7.3.3 Third-Party Written Verification Forms

Third-party verification forms will be sent directly to the source when a participant disputes a discrepancy on the UIV or cannot provide adequate documentation to validate the discrepancy, or when the Housing Authority rejects third-party written verification documents provided by the family.

Third-party written verification forms will be sent and returned via first class mail. The family will be required to sign an authorization for the information source to release the specified information.

Verifications received electronically directly from the source are acceptable. Written letters obtained from the source are acceptable under this level.

7.3.4 Third-Party Oral Verification

Oral third-party verification will be used when written third-party verification forms are not possible or are delayed.. When third-party oral verification is used, staff will be required to document the file, noting with whom they spoke, the date of the conversation, and the facts provided.

7.3.5 Self-Certification/Self-Declaration

When verification cannot be made by UIV, third-party verification documents, forms or third-party oral verification, families will be required to submit a self-

certification or a notarized statement. Self-certification means a signed statement/affidavit/certification under penalty of perjury.

This level may be used when:

1. UIV is unavailable; and
2. Third-party written documents cannot be provided by the family, and
3. Staff has made at least two documented efforts (mail, fax, telephone call, or email) to obtain third-party verification from the source and no response is received; or
4. An independent source does not have the capability of sending written third-party verification directly to the Housing Authority or does not facilitate oral third-party verification.

7.4 RELEASE OF INFORMATION [24 CFR §5.230]

The family will be required to sign specific authorization forms when information is needed that is not covered by the HUD-9886 Form (Authorization for the Release of Information).

Each member requested to consent to the release of information will be provided with a copy of the appropriate forms for their review and signature.

Family refusal to cooperate with the HUD prescribed verification system will result in denial of admission or termination of assistance because it is a family obligation to supply any information requested by the Housing Authority or HUD.

7.5 COMPUTER MATCHING [24 CFR §5.210(a)]

Where allowed by HUD and/or other State or local agencies, computer matching will be done.

7.5.1 Data Sharing [State of California Health and Safety Code, §34217]

The Housing Authority will share applicant and participant information that is necessary to determine eligibility for County welfare department programs or services for which the client has applied or is receiving.

7.6 ITEMS TO BE VERIFIED [24 CFR §982.551(b)]

- All income not specifically excluded by the regulations.
- Zero-income status of household.
- Full-time student status including high school students who are age 18 or over.

- Current assets including assets disposed of for less than fair market value in preceding two years.
- Childcare expense where it allows an adult family member to be employed, seek employment or to further his/her education.
- Total medical expenses of all family members in households whose head, co-head, or spouse is elderly or disabled.
- Disability assistance expenses to include only those costs associated with attendant care or auxiliary apparatus, which allow an adult family member to be employed.
- Identity.
- U.S. citizenship/eligible immigrant status.
- Social Security Numbers for all family members.
- Preference status, based upon local preferences.
- Displacement status of single applicants who are involuntarily displaced through no fault of their own.
- Familial/marital status when needed for head or spouse definition.
- Disability for determination of preferences, allowances or deductions.
- Enrollment in a Medicare prescription drug plan.
- The amount of Prescription drug benefits received.
- Actual or threatened incidents of domestic violence, dating violence, sexual assault or stalking.

7.6.1 Victims of Violence

The Housing Authority may request that an applicant/participant certify their statement of being a victim of domestic violence, dating violence, sexual assault, or stalking and that the incident or incidents in question are bona fide incidents of actual or threatened abuse. Certification must be received within fourteen (14) business days after the applicant/participant receives the request for certification. The Housing Authority will accept the following forms of verification:

- ❑ Certification of Domestic Violence, Dating Violence or Stalking (HUD-50066 Form). Additionally, the victim is only required to provide the name of the perpetrator on the HUD 50066 form if the victim knows the name of the perpetrator and it is safe to provide it.
- ❑ Documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, a medical or mental health professional, from whom the victim has sought assistance in addressing domestic violence, dating violence, sexual assault, or stalking, or the effect of the abuse in which the professional attests under penalty of perjury to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and that the victim of domestic violence, dating

violence, sexual assault, or stalking has signed or attested to the documentation, or

- Federal, State, tribal, territorial, or local police record, or
- Administrative agency records, or
- Court record.

7.7 VERIFICATION OF INCOME [24 CFR §982.516(a)(2)(i)]

This section defines the methods the Housing Authority will use to verify various types of income.

7.7.1 Employment Income [24 CFR §5.609(a) and (b)(1))]

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) system, or if EIV is unavailable, other Up-Front Income Verification (UIV) tools, such as Work Number.
2. At minimum 2 check stubs or an earnings statement, which indicate the employee's gross pay, frequency of pay or year-to-date earnings or W-2 forms to supplement EIV data or as primary verification in the event EIV or other UIV tools are unavailable.
3. Employment verification form completed by the employer.
4. Income tax returns signed by the family may be used for verifying self-employment income, or income from tips and other gratuities.

Verification forms request the employer to specify the:

- Dates of employment
- Amount and frequency of pay
- Date of the last pay increase
- Likelihood of change of employment status and effective date of any known salary increase during the next 12 months
- Year-to-date earnings
- Estimated income from overtime, tips, bonus pay expected during next 12 months

In cases where there are questions about the validity of information provided by the family, the Housing Authority will send third-party verification forms to the employer and may require the most recent federal income tax statements.

7.7.2 Social Security, Pensions, Disability, Supplementary Security Income [24 CFR §5.609(b)(4)]

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) system.

2. Award or benefit notification letters prepared and signed by the providing agency.
3. Computer report electronically obtained or in hard copy.

The Housing Authority may request a complete Social Security Earnings Statement (SSA Form 7004) to resolve discrepancies with Social Security income

7.7.3 Unemployment Compensation [24 CFR §5.609(b)(5)]

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) System.
2. Computer printouts from unemployment office stating payment dates and amounts.
3. Payment stubs.

Unemployment and State Disability Insurance may no longer be verified through the Employment Development Department (EDD) [EDD Letter, 5/23/2006].

7.7.4 Welfare Payments or General Assistance [24 CFR §5.609(b)(6)]

Acceptable methods of verification include, but are not limited to the following:

1. LEADER for the Temporary Assistance of Needy Families (TANF)
2. Computer-generated Notice of Action.
3. Housing Authority verification form completed by payment provider.
4. Written statement from payment provider indicating the amount of grant/payment, start date of payments, and anticipated changes in payment in the next 12 months.

7.7.5 Alimony or Child Support Payments [24 CFR §5.609(b)(7)]

Acceptable methods of verification include, but are not limited to the following:

1. Computerized official printout of payments made if through a state agency.
2. Copy of latest check and/or payment stubs from Court Trustee. The Housing Authority must record the date, amount, and number of the check.
3. Copy of a separation or settlement agreement or a divorce decree stating amount and type of support and payment schedules.
4. Housing Authority verification form completed by payment provider.
5. Family's self-certification of amount received and of the likelihood of support payments being received in the future, or that support payments are not being received.

6. If payments are irregular, the family must provide at least one of the following:
    - A copy of the separation or settlement agreement or a divorce decree stating the amount and type of support and payment schedules.
    - A statement from the agency responsible for enforcing payments to show that the family has filed for enforcement.
    - A welfare notice of action showing amounts received by the welfare agency for child support.
    - A written statement from the District Attorney's office or other appropriate agency certifying that a collection or enforcement action has been filed.

The County of Los Angeles Child Support Services Department will no longer respond to written or oral third-party verification attempts by the Housing Authority. [See memo, 2/8/2007]

7.7.6 Net Income from a Business [24 CFR §5.609(b)(2)]

In order to verify the net income from a business, the Housing Authority will view IRS and financial documents from prior years and use this information to anticipate the income and expenses for the next 12 months.

Acceptable methods of verification include, but are not limited to the following:

1. IRS Form 1040, including:
    - Schedule C (Small Business)
    - Schedule E (Rental Property Income)
    - Schedule F (Farm Income)
2. If accelerated depreciation was used on the tax return or financial statement, an accountant's calculation of depreciation expense, computed using straight-line depreciation rules.
3. Audited or unaudited financial statement(s) of the business.
4. Third-party verification forms for each customer/contract indicating the amounts of income received in a specified time period.

Expenses for rent and utilities will not be allowed for operations or businesses based in the subsidized unit, as these expenses are a required family contribution in the Housing Choice Voucher Program and are calculated based upon the family's income.

7.7.7 Child Care Business

If a family is operating a licensed day care business, income and expenses will be verified as with any other business.

If the family is operating a cash and carry operation (which may or may not be licensed), the Housing Authority will require that the family complete a form for each customer which indicates: name of person(s) whose child/children is/are being cared for, phone number, number of hours child is being cared for, method of payment (check/cash), amount paid, and signature of person.

If childcare services were terminated, third-party verification will be sent to the parent whose child was receiving childcare.

7.7.8 Recurring Gifts [24 CFR §5.609(b)(7)]

The family must furnish a self-certification containing the following information:

- The person who provides the gifts
- The value of the gifts
- The regularity (dates) of the gifts
- The purpose of the gifts

7.7.9 Zero-Income Status

Families claiming to have no income may undergo a credit review. The information contained in the credit report will be used to confirm the information provided by the family. The Housing Authority will utilize records provided by the Department of Public Social Services (DPSS), and may check records of other departments in the jurisdiction that have information about income sources of customers, to confirm information provided by a family claiming to have zero income.

7.7.10 Full-Time Student Status [24 CFR §5.609(c)(11)]

Only the first $480 of the earned income of full-time students 18 years or older (including those who are temporarily absent), other than head of household, co-head, or spouse, will be counted towards family income.

Verification of full-time student status includes:

1. School records indicating enrollment for sufficient number of credits to be considered a full-time student by the educational institution;
2. A copy of final grades; or
3. Written verification from the registrar's office or other school official.
4. For 18 year-old high school students, verification of enrollment for the current academic year may include, but is not limited to a progress report, an attendance report or an enrollment letter from the school.

Due to administrative cost burden, the Housing Authority may no longer attempt to verify student enrollment in any educational institution that participates in the National Student Clearinghouse using written third party verification forms or oral third-party verification methods.

7.8 INCOME FROM ASSETS

7.8.1 Savings Account Interest Income and Dividends [24 CFR §5.609(b)(3)]

Acceptable documents for verification include, but are not limited to the following:

1. Account statements, passbooks, certificates of deposit, or Housing Authority verification forms completed by the financial institution.
2. Broker's statements showing value of stocks or bonds and the earnings credited the family. Earnings can be obtained from current newspaper quotations or oral broker's verification.
3. IRS Form 1099 from the financial institution, provided that the Housing Authority must adjust the information to project earnings expected for the next 12 months.

7.8.2 Interest Income from Mortgages or Similar Arrangements [24 CFR §5.609(b)(7)]

Acceptable documents for verification include, but are not limited to the following:

1. A letter from an accountant, attorney, real estate broker, the buyer, or a financial institution stating interest due for next 12 months. (A copy of the check paid by the buyer to the family is not sufficient unless a breakdown of interest and principal is shown.)
2. Amortization schedule showing interest for the 12 months following the effective date of the certification or re-examination.

7.8.3 Net Rental Income from Property Owned by Family [24 CFR §5.609(b)(3)]

Acceptable documents for verification include, but are not limited to the following:

1. IRS Form 1040 with Schedule E (Rental Income).
2. Copies of latest rent receipts, leases, or other documentation of rent amounts.
3. Documentation of allowable operating expenses of the property: tax statements, insurance invoices, bills for reasonable maintenance and utilities, and bank statements or amortization schedules showing monthly interest expense.

7.9 VERIFICATION OF ASSETS [24 CFR §982.516(a)(2)(ii)]

Families must provide the Housing Authority with acceptable, written third-party documents to verify the value of or income from an asset. A written third-party verification document for an asset is considered current if at the time of receipt the document is:

- A monthly statement not more than 60 days old, or

- The most recent quarterly statement, or
- A savings passbook that has been updated by the financial institution within the last 60 days, or
- The most recent annual statement, or
- The most recent document or statement issued to the family, including but not limited to a closing escrow statement or closing bank statement.

7.9.1 Family Assets

The Housing Authority will determine the current cash value, (the net amount the family would receive if the asset were converted to cash). Acceptable documents for verification include, but are not limited to the following:

1. Verification forms, letters, or documents from a financial institution or broker.
2. Passbooks, checking account statements, certificates of deposit, bonds, or financial statements completed by a financial institution or broker.
3. Quotes from a stockbroker or realty agent as to net amount family would receive if they liquidated securities or real estate.
4. Real estate tax statements if the approximate current market value can be deduced from assessment.
5. Software database or internet-based real estate valuation sites to determine the market value of real estate assets.
6. Financial statements for business assets.
7. Copies of closing documents showing the selling price and the distribution of the sales proceeds.
8. Appraisals of personal property held as an investment.
9. Verification forms from a financial institution or broker.

7.9.2 Assets Disposed of for Less than Fair Market Value (FMV) [24 CFR §5.603(b)(3)]

This includes assets disposed of during 2 years preceding effective date of certification or re-examination:

1. For all certifications and re-examinations, the Housing Authority will obtain the family's certification as to whether any member has disposed of assets for less than fair market value during the 2 years preceding the effective date of the certification or re-examination.
2. If the family certifies that they have disposed of assets for less than fair market value, verification [or certification] is required that shows:
    - All assets disposed of for less than FMV;
    - The date they were disposed of;
    - The amount the family received; and

- The market value of the assets at the time of disposition. Third-party verification will be obtained wherever possible.

7.10 VERIFICATION OF ALLOWABLE DEDUCTIONS FROM INCOME [24 CFR §5.11]

7.10.1 Childcare Expenses [24 CFR §5.611(a)(4)]

Acceptable documents for verification include, but are not limited to the following:

1. Verification documents the family obtained from the childcare provider that specify the child care provider's name, address, telephone number, the names of the children cared for, the number of hours the child care occurs, the rate of pay, and the typical yearly amount paid, including school and vacation periods.
2. Written verification form returned by the person or agency who receives the payments. The written verification form requests the amount charged to the family for their services and whether any of the amounts owed have been or will be paid by sources outside the family.
3. Family's certification as to whether any of those payments have been or will be paid or reimbursed by outside sources.

7.10.2 Medical Expenses [24 CFR §5.611(a)(3)]

Families who claim medical expenses or expenses to assist a person(s) with a disability will be required to submit a certification as to whether or not any expense payments have been, or will be, reimbursed by an outside source.

Acceptable documents for verification include, but are not limited to the following:

1. Written verification by a doctor, hospital or clinic personnel, dentist, pharmacist, of
   - The anticipated medical costs to be incurred by the family and regular payments due on medical bills, and
   - Extent to which those expenses will be reimbursed by insurance or a government agency.
2. Written confirmation by the insurance company or employer of health insurance premiums to be paid by the family.
3. Written confirmation from the Social Security Administration's written of Medicare premiums to be paid by the family over the next 12 months. A computer printout will be accepted.
4. For attendant care:
   - A reliable, knowledgeable professional's certification that the assistance of an attendant is necessary as a medical expense and a projection of the number of hours the care is needed for calculation purposes.

- Attendant's written confirmation of hours of care provided and amount and frequency of payments received from the family or agency (or copies of canceled checks the family used to make those payments) or stubs from the agency providing the services.

5. Receipts, canceled checks, or pay stubs that verify medical costs and insurance expenses likely to be incurred in the next 12 months.
6. Copies of payment agreements or most recent invoice that verify payments made on outstanding medical bills that will continue over all or part of the next 12 months.
7. Receipts or other record of medical expenses incurred during the past 12 months that can be used to anticipate future medical expenses. The Housing Authority may use this approach for general medical expenses such as non-prescription drugs and regular visits to doctors or dentists, but not for one-time, nonrecurring expenses from the previous year.
8. The Housing Authority will use mileage at the IRS rate, or cab, bus fare, or other public transportation cost for verification of the cost of transportation directly related to medical treatment.

Medical expenses may not be verified through third party verification from Walgreen's Pharmacy [see memo dated 04/16/09].

7.10.3 Assistance to Persons with Disabilities [24 CFR §5.611(a)(3)(ii)]

1. The Housing Authority may require:
   - Written certification from a reliable, knowledgeable professional that the person with disabilities requires the services of an attendant and/or the use of auxiliary apparatus to permit him/her to be employed or to function sufficiently independently to enable another family member to be employed.
   - Family's certification as to whether they receive reimbursement for any of the expenses of disability assistance and the amount of any reimbursement received.
2. Attendant Care:
   - If the family pays for any portion of the attendant care expense, the attendant's written certification of amount received from the family, frequency of receipt, and hours of care provided.
   - Certification of family and attendant and/or copies of canceled checks family used to make payments.
3. Auxiliary Apparatus:
   - Receipts for purchases or proof of monthly payments and maintenance expenses for auxiliary apparatus.
   - In the case where the person with disabilities is employed, a statement from the employer that the auxiliary apparatus is necessary for employment.

7.11 VERIFYING NON-FINANCIAL FACTORS [24 CFR §982.551(b)(1)]

7.11.1 Verification of Legal Identity

In order to prevent program abuse, the Housing Authority will require applicants to furnish verification of legal identity for all family members.

The documents listed below will be considered acceptable verification of legal identity for adults. If a document submitted by a family is invalid or otherwise questionable, more than one of these documents may be required.

- Certificate of Birth, naturalization papers
- Church issued baptismal certificate
- Current, valid Driver's license
- U.S. military discharge (DD 214)
- U.S. passport
- Board approved Consulate General identification cards, which are currently Mexico's and Argentina's "Matricula Consular" identification cards
- Company/agency Identification Card
- Department of Motor Vehicles Identification Card
- Hospital records

Documents considered acceptable for the verification of legal identity for minors may be one or more of the following:

- Certificate of Birth
- Adoption papers
- Custody agreement
- Health and Human Services ID

7.11.2 Verification of Marital Status

- Verification of divorce status will be a certified copy of the divorce decree, signed by a Court Officer.
- Verification of a separation may be a copy of court-ordered maintenance or other records.
- Verification of marriage status is a marriage certificate.

7.11.3 Familial Relationships

The following verifications may be required if applicable:

- Verification of relationship:
  - Official identification showing names

- Birth Certificates
- Baptismal certificates

➢ Verification of guardianship:
- Court-ordered assignment

➢ Verification from social services agency

➢ School records
- Affidavit of parent

➢ Evidence of a stable family relationship:
- Joint bank accounts or other shared financial transactions
- Leases or other evidence of prior cohabitation
- Credit reports showing relationship

7.11.4 Verification of Permanent Absence of Adult Member

If an adult member who was formerly a member of the household is reported permanently absent by the family, the Housing Authority may require one or more of the following as verification:

1. Husband or wife institutes divorce action.
2. Husband or wife institutes legal separation.
3. Order of protection/restraining order obtained by one family member against another.
4. Proof of another home address, such as utility bills, canceled checks for rent, driver's license, or lease or rental agreement, if available.
5. Statements from other agencies such as social services or a written statement from the owner or manager that the adult family member is no longer living at that location.
6. If the adult family member is incarcerated, a document from the Court or prison should be obtained stating how long they will be incarcerated.
7. A statement by the adult member of the household removing him/herself from the lease and voucher household and providing a forwarding address and effective date of the move.

7.11.5 Verification of Change in Family Composition [24 CFR §982.516(c)]

The Housing Authority may verify changes in family composition (either reported or unreported) through letters, telephone calls, utility records, inspections, owners, neighbors, credit data, school or DMV records, and other sources.

7.11.6 Verification of Disability

Verification of disability is receipt of SSI (if under 62 years of age) or SSA disability payments under Section 223 of the Social Security Act or 102(7) of the

Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001(7) or written verification by the appropriate diagnostician such as physician, psychiatrist, psychologist, therapist, rehabilitation specialist, or licensed social worker, using the HUD language as the verification format.

7.11.7 Verification of Citizenship/Eligible Immigrant Status [24 CFR Part 5, Subpart E]

To be eligible for assistance, individuals must be U.S. citizens, or non-citizens with eligible immigrant status based on the eligible categories specified by regulations. Individuals who are neither may elect not to contend their status. Each family member must declare their status once. Assistance cannot be delayed, denied, or terminated while verification of status is pending except that assistance to applicants may be delayed while the Housing Authority hearing is pending.

1. Citizens or Nationals of the United States: Required to sign a declaration under penalty of perjury [24 CFR §5.508(b)(1)].
2. Eligible Immigrants Age 62 and Over: Required to sign a declaration of eligible immigration status and provide proof of age [24 CFR §5.508(b)(2)].
3. All Other Eligible Immigrants: Required to sign a declaration of status and verification consent form, and to provide an acceptable document of eligible immigration as follows:
   - Resident Alien Card (I-551)
   - Alien Registration Receipt Card (I-151) (With receipt for application of I-551)
   - Foreign Passport with I-551 stamp
   - Arrival-Departure Record (I-94) with no annotation accompanied by:
     - A final court decision granting asylum (if no appeal is taken);
     - A letter from an INS or USCIS asylum officer granting asylum (if application is filed on or after 10/1990) or from and INS director granting asylum (application filed before 10/1/90);
     - A court decision granting withholding of deportation; or
     - A letter from an asylum officer granting withholding of deportation (if application filed on or after 10/1/90).
   - Arrival-Departure Record (I-94) stamped with one of the following:
     - “Admitted as a Refugee Pursuant to Section 207”
     - “Section 208” or “Asylum”
     - “Section 243(h)” or “Deportation stayed by Attorney General”

- "Paroled Pursuant to Section 221(d)(5) of the INS (or USCIS)"

- Temporary Resident Card (I-688) annotated "Section 245A" or Section "210"
- Employment Authorization Card (I-688B) annotated "Provision of Law 274a. 12(11)" or "Provision of Law 274a.12"
- Employment Authorization Document (I-766) annotated "Provision of Law 274a. 12(11)" or "Provision of Law 274a.12"
- Any official revision of the acceptable documents listed above
- Receipt issued by the United States Citizenship and Immigration Service (USCIS) for issuance of replacement of any of the above documents that shows individual's entitlement has been verified

The document is copied front and back and returned to the family. A birth certificate is not acceptable verification of eligible immigrant status. All documents in connection with U.S. citizenship/eligible immigrant status must be kept 5 years.

Eligible immigrants must have their status verified by USCIS. The Housing Authority verifies the status through the USCIS SAVE system. If this primary verification fails to verify status, the Housing Authority must request within 10 calendar days that the USCIS conduct a manual search [24 CFR §5.512(c)].

4. Ineligible Family Members: Family members who do not claim to be citizens or eligible immigrants must be listed on a statement of ineligible family members signed by the head of household, co-head, or spouse [24 CFR §5.508(e)].
5. Non-Citizen Students on Student Visas: Ineligible, even though they are in the country lawfully. They must provide their student visa but their status will not be verified and they do not sign a declaration but are listed on the statement of ineligible members [24 CFR §5.522].

Failure to Provide: If an applicant or participant family member fails to sign required declarations and consent forms or provide documents, as required, they must be listed as an ineligible member. If the entire family fails to provide and sign as required, the family may be denied or terminated for failure to provide required information [24 CFR §5.508(i)].

Time of Verification: For applicants, verification of U.S. citizenship/eligible immigrant status occurs at the same time as verification of other factors of eligibility for final eligibility determination. For family members added after other members have been verified, the verification occurs at the first interim or annual re-examination after the new member moves in. Once verification has been completed for any covered program, it need not be repeated except that, in the case of port-in families, if the initial public housing agency does not supply the documents, the Housing Authority must conduct the determination [24 CFR §5.508(g)].

Extensions of Time to Provide Documents: Extensions must be given for persons who declare their eligible immigration status but need time to obtain the

required documents. The length of the extension shall be based on individual circumstances. The Housing Authority will generally allow up to 30 calendar days to provide the document or a receipt issued by the USCIS for issuance of replacement documents [24 CFR §5.508(h)].

Determination of Ineligibility: After the Housing Authority has made a determination of ineligibility, the family will be notified of the determination and the reasons and informed of the option for prorated assistance (if applicable).

7.11.8 Verification of Social Security Numbers [24 CFR §5.216

Social Security numbers must be provided as a condition of eligibility for all family members, except for family members who were determined eligible on or before January 31, 2010 and were at least 62 years old on that date, and family members who are not eligible to obtain a Social Security number. Social Security numbers will be verified through a Social Security card issued by the Social Security Administration. If a family member cannot produce a Social Security card, only the documents listed below may be used for verification. [24 CFR §5.216(g)(1)]:

- A document issued by the Social Security Administration that contains the name and Social Security Number of the individual; or
- A document issued by a Federal, state or local government agency that includes the name, Social Security Number and other identifying information about the individual.

All new family members, except children age 5 and under, who have not been assigned a number, will be required to produce their Social Security card or provide the substitute documentation described above. This information is to be provided at the time the change in family composition is reported to the Housing Authority and the family member will not be added to the household composition until it is provided [24 CFR §5.216(e)(2)(i)].

A child age 5 or under who has not been assigned a Social Security Number may be added to the household before providing a Social Security number. However, the parent or guardian will be required to sign a form attesting that the child was never issued a Social Security Number. The family must disclose the child's Social Security Number within 90 days of being added to the household composition.

If a participant was never assigned a Social Security Number the individual will be required to sign a form attesting to the fact. The family member will be required to provide proof of the Social Security Number before the current annual reexamination is processed [24 CFR §5.216(e)(2)(ii)].

A 90-day extension may be granted by a Housing Authority Supervisor if the family was unable to provide the information due to good cause and there is a reasonable likelihood they will be able to provide the information during the extended time period. If the family fails to provide the information within the approved time period, the family's assistance will be terminated. .

If the family fails to provide required documentation of a member's Social Security Number, the family's assistance will be terminated [24 CFR §5.216(g)].

7.11.9 Medical Need for Larger Unit

A written certification that a larger unit is medically necessary must be obtained from a reliable, knowledgeable medical professional. If the request is a reasonable accommodation for a disabled family member, the following policy will be followed.

7.11.10 Reasonable Accommodation

In order to verify the necessity for a reasonable accommodation, the Housing Authority will usually require the disabled individual or a third party acting on their behalf, to return the Reasonable Accommodation Request form, or other written documentation, completed by a qualified professional with direct experience with the individual's disability. Qualified professionals may include, but are not limited to:

- A medical doctor
- A psychiatrist
- A social worker
- Other unlicensed care providers

If the need for the requested accommodation is visibly apparent, the Housing Authority may grant the request immediately without requiring further verification. If the disabled individual is unable to return a written request due to their disability, the Housing Authority will work with the individual to ascertain the specific accommodation being requested and whether it conforms to the requirements stated in section 1.8.2.

7.11.11 Secondary Review/Credit Checks

The Housing Authority may use credit reports obtained from reliable sources to conduct secondary verifications on a case-by-case basis.

The methodology used to evaluate the information obtained from the credit report in relation to new applicants is outlined in Chapter 4 (Establishing Preferences and Maintaining the Waiting List).

The secondary review includes a comparison between the information contained in the credit report, for each adult household member, and the information provided by the family to the Housing Authority for eligibility purposes. Specifically, the Housing Authority reviews the credit report to verify:

Employment: If the credit report reveals employment during the subsidized period that was not disclosed to the Housing Authority, the family will be required to provide documentation that the employment did not occur or provide information regarding the amount of earnings received during the employment period.

If the family contends that the employment was made up for the purposes of obtaining credit or was erroneously placed on the credit report, the family must

supply a letter from the employers listed confirming such information. On a case-by-case basis, the Housing Authority may accept a certified statement from the family.

If the family failed to disclose employment for a period longer than 6 months, the Housing Authority will propose termination of the family's assistance and seek repayment of any overpayment. On a case-by-case basis the Housing Authority may counsel the family before proposing termination and seeking repayment of any overpayment.

If the family failed to disclose employment for less than 6 months, the family will be required to attend a counseling interview and re-sign all program documents re-enforcing the family's obligations. The family will also be required to repay any overpayment amount. A second violation of this nature will result in a proposed termination.

Assets: The credit report information will be used to verify assets, particularly, large items such as real estate property. If the credit report reveals that the family owns property, the family will be required to provide the appropriate documentation regarding the property.

If all documentation confirms that the family (any family member) owns real estate property that was purposely concealed, the Housing Authority will propose termination of assistance and seek repayment of any overpayment amount.

Aliases: A credit report can provide information on other names that have been used for the purposes of obtaining credit. Common reasons for use of other names include a recent marriage or a divorce. If an alias has not been disclosed to the Housing Authority, the family will be asked to provide additional evidence of the legal identity of adult family members.

Current and Previous Addresses: For a continuously assisted family, it is assumed that the family's primary residence is the assisted address. If the credit report indicates the continuous use of an address, other than that of the assisted unit during the subsidized period, the family will be asked to provide documentation that the assisted address is being used as the family's primary residence. This may include a history of utility bills, bank statements, school enrollment record for children, credit card statements or other relevant documents. Failure to provide adequate proof may result in termination of assistance.

If the family is not using the subsidized unit as their primary residency and/or is subletting the assisted unit, the file will be referred for proposed termination and the Housing Authority will seek full repayment of any overpayment amount.

Credit Card and Loan Payments: A credit report will usually include a list of the family's financial obligations. Examples of the items that may show up include car loans, mortgage loans, student loans and credit card payments. The Housing Authority will review this information to confirm the income and asset information provided by the family. If the family's current financial obligations (total amount of current monthly payments) exceed the amount of income reported by the family, the Housing Authority will ask the family to disclose how they are currently meeting their financial obligations. Accounts that have been charged off or significantly delinquent are not included in this calculation. Failure

to provide adequate proof of income will result in the file being referred for proposed termination. Additionally, the Housing Authority will seek full repayment of any overpayment amount.

Multiple Social Security Numbers: A credit report may list multiple Social Security numbers if an adult family member has used different Social Security numbers to obtain credit. If the credit report information does not match the information provided by an adult member of the family, the family member will be required to obtain written confirmation of the Social Security number that was issued to him/her from the Social Security Administration.

Whenever a violation results in a proposed termination, the family is entitled to request an informal hearing. Procedures governing the informal hearing process are outlined in Chapter 16 (Informal Hearings and Complaints).

CHAPTER 8:
VOUCHER ISSUANCE AND BRIEFINGS

8.1 INTRODUCTION

This chapter covers the Housing Authority's process for issuing vouchers, including the contents of the briefing that is conducted for families receiving a voucher. It also includes policies on the term of the voucher.

8.2 ISSUANCE OF HOUSING CHOICE VOUCHERS

When funding is available, the Housing Authority will issue vouchers to applicants whose eligibility has been determined.

The number of vouchers issued must ensure that the Housing Authority stays as close as possible to 100 percent lease-up. The Housing Authority performs a calculation to determine whether applications can be processed, the number of vouchers that can be issued, and to what extent the Housing Authority can over-issue.

The Housing Authority may over-issue vouchers only to the extent necessary to meet leasing goals. All vouchers that are over-issued will be honored, as long as there is funding to support the over-issued vouchers. If the Housing Authority finds it is over-leased, and a voucher holder has found an approvable unit, the Housing Authority is under no obligation to the family, to the owner, or to any other person, to approve a tenancy. As the Housing Authority nears 100 percent lease up, vouchers will be honored in the order they were issued. All voucher holders whose vouchers are not honored due to over-leasing will be placed back on the waiting list.

8.3 BRIEFING TYPES AND REQUIRED ATTENDANCE

8.3.1 Initial Applicant Briefing [24 CFR §982.301(a)]

When the family is initially issued a voucher, the Housing Authority conducts a briefing session, as required by HUD. The briefing session is mandatory.

Briefing sessions will be conducted in groups or individual meetings.

The Housing Authority will not issue a voucher to a family unless the household representative has attended a briefing and signed the voucher. Applicants who provide prior notice of inability to attend a briefing will automatically be scheduled for the next briefing. Applicants who fail to attend scheduled briefings, without prior notification and approval of the Housing Authority, may be denied admission based on failure to supply information needed for certification. The Housing Authority will conduct individual briefings for families with disabilities at their home, upon request by the family, if required for reasonable accommodation.

Families who attend group briefings and still have the need for individual assistance will be referred to the appropriate staff person.

8.3.2 Re-Issuance Briefing

A briefing will be held for participants who will be re-issued vouchers to move. This briefing may include incoming and outgoing portable families. Families failing to attend a scheduled briefing twice will be denied a new voucher based on failure to provide required information.

8.3.3 Owner Briefing

Briefings are held for owners at least annually. Invitations are mailed to all owners. Prospective owners are also welcome. The purpose of the briefing is to assure successful owner participation in the program.

8.4 INFORMATION PROVIDED AT THE BRIEFING SESSION

The Housing Authority's objectives are to assure that families selected to participate are successful in obtaining an acceptable housing unit and that they have sufficient knowledge to derive maximum benefit from the program and to comply with program requirements.

The purpose of the briefing session is to provide information on the Housing Authority's process for voucher holders who intend to lease a unit. This will enable families to utilize the program to their advantage, and prepare them to discuss it with potential owners and property manager.

When the family is selected to participate, the briefing session includes information as follows.

8.4.1 Topics Covered in the Briefing Session [24 CFR §982.301(a)]

The person conducting the briefing will describe how the program works and include information on the following subjects:

- A description of how the program works, including reasonable accommodation policies and procedures;
- Family and owner responsibilities;
- Where a family may lease a unit inside and outside the Housing Authority's jurisdiction;
- How portability works for families eligible to exercise portability; and
- Advantages of moving to an area that does not have a high concentration of poor families, for families living in high poverty census tracts in the Housing Authority's jurisdiction.

If the family includes a person with disabilities, the Housing Authority will ensure compliance with 24 CFR §8.6 to ensure effective communication.

8.4.2 Briefing Packet [24 CFR §982.301(b)]

The Housing Authority provides families with a briefing packet that contains more detailed information about the program. The packet includes forms and information required by HUD, as well as additional resources. The person conducting the briefing session will explain the documents in the briefing packet.

1. Instructions: This explains the term of the voucher, the Housing Authority's policies on extensions and suspensions, and how families may request tenancy approval.
2. Subsidy Estimation: A worksheet on rent calculations, including a description of the method used to calculate the assistance payment, how the minimum and maximum allowable rent is determined, how the payment standard is determined, and a calculation of the estimated maximum rent to suit the tenant's budget.
3. Utility Allowance Schedule: Utility allowance amounts for rental units, by unit size and utility type, for cities and unincorporated areas within the Housing Authority's jurisdiction.
4. Information on where the family can lease a unit, including portability procedures, a list of area housing authorities, and a form for participants who are requesting to transfer.
5. Form HUD-52641-A: The HUD-required "tenancy addendum" that must be included in the lease.
6. Request for Tenancy Approval (RTA): Families request Housing Authority approval of the assisted tenancy with this form. The RTA includes a statement of Housing Authority policy on providing family information to prospective owners.
7. Subsidy Standards and Requests for Waivers: Explains how the number of bedrooms (unit size) relates to family composition, and when and how exceptions are made in regards to requests for additional bedrooms.
8. A Good Place to Live: HUD's brochure on selecting a unit that complies with HQS.
9. Are You A Victim of Housing Discrimination: HUD's pamphlet on fair housing which contains the complaint form. The Housing Authority also includes available State and local information on equal opportunity laws.
10. Marketing List of Available Properties: The Housing Authority has contracted with Socialserve.com to provide an internet-based property listing and search service for owners and participants. The Housing Authority includes an information sheet on how to access Socialserve.com.
11. Family Obligations: Families sign to acknowledge program obligations, and consequences including termination of assistance for failure to comply.
12. Informal Hearing Information: Includes procedures and explanations of when participant families have the opportunity for an informal hearing, and how to request a hearing.

13. Compliance Check/Home Inspections: Includes procedures and explanations of when Compliance Checks/Home Visits will take place and the family's right to refuse consent to the Housing Authority to enter the unit. The voucher holder will sign the notice to acknowledge the Compliance Check/Home Visit program.

The packet may also include the following materials:

- Three Way Partnership: Explains the relationship between owners, participants and the Housing Authority.
- Protect Your Family From Lead In Your Home: Federal brochure on the hazards of lead-based paint and resources for additional information.
- Searching for a Rental Home: Guidance on finding a unit and submitting a successful rental application.
- Additional Standards for HQS Inspections and inspections process details.
- Owner materials including information on the New Contracts Process and the Benefits of Participation.
- Owner forms including IRS W-9, Letter of Authorization, Authorization Agreement for Direct Deposit, and a sample Lead-Based Paint Disclosure.
- Request for Voucher Extension form
- What You Should Know About EIV: A Federal brochure describing the Enterprise Income Verification (EIV) System, how it is used and from where the information is generated.

8.5 ENCOURAGING PARTICIPATION IN AREAS WITHOUT LOW INCOME OR MINORITY CONCENTRATION

[24 CFR §982.301(a)(3)

At the briefing, families are encouraged to search for housing in non-impacted areas. The Housing Authority provides assistance to families who wish to do so.

The assistance provided to such families includes:

- Direct contact with owners;
- Counseling with the family;
- Providing information about services in various non-impacted areas;
- Meeting with neighborhood groups to promote understanding;
- Formal or informal discussions with owner groups;
- Formal or informal discussions with social service agencies;
- Meeting with rental referral companies or agencies; and
- Meeting with fair housing groups or agencies.

The Housing Authority currently contracts with Socialserve.com, an internet-based housing search service. This service, part of the LA County Housing Resource Center, lists properties submitted by owners within its jurisdiction to

ensure greater mobility and housing choice to very low-income households. Each property listed indicates if it is in an area of low poverty concentration.

The Housing Authority also maintains a listing of job, education, transportation and other information for cities not impacted by poverty or minority concentration. The cities for which the Housing Authority maintains this information are:

- Alhambra
- Azusa
- Bellflower
- Covina
- Downey
- Lakewood
- Lawndale
- Lomita
- Paramount
- Santa Fe Springs
- West Covina
- West Hollywood
- Whittier

This information may be obtained at the Section 8 Administrative Office.

8.6 SECURITY DEPOSIT REQUIREMENTS
[24 CFR §982.313]

Security deposits charged by owners may not exceed those charged to unassisted families (nor the maximum prescribed by State or local law.)

For lease-in-place families, responsibility for first and last month's rent is not considered a security deposit issue. In these cases, the owner should settle the issue with the family prior to the beginning of assistance.

8.7 TERM OF VOUCHER
[24 CFR §982.301(b)(1)]

During the briefing session, each family is issued a voucher, which represents a contractual agreement between the Housing Authority and the family, specifying the rights and responsibilities of each party. It does not constitute admission to the program, which occurs when the lease and contract become effective.

8.7.1 Expirations
[24 CFR §982.303(a)]

The voucher is valid for a period of 60 calendar days from the date of issuance. The family must submit a Request for Tenancy Approval and lease within the 60 calendar-day period, unless an extension has been granted by the Housing Authority.

If the voucher has expired, and has not been extended by the Housing Authority or expires after an extension, the family will be denied assistance. The family will not be entitled to a review or hearing. If the family is currently assisted, they may remain as a participant in their unit if there is an assisted lease/contract in effect.

8.7.2 Policy on Suspensions (Tolling)
[24 CFR 982.303(c)]

When a Request for Tenancy Approval is received, the Housing Authority will not deduct the number of calendar days required to process the request from the term of the voucher.

8.7.3 Extensions
[24 CFR §982.303(b)]

The Housing Authority may grant extensions to vouchers.

A family may request an extension of the voucher time period. All requests for extensions must be received prior to the expiration date of the voucher.

Extensions may be granted in 30, 60, or 120-day increments, up to a maximum term of 180 calendar days, if necessary for the tenant to locate a unit.

Supervisors may authorize extensions up to a maximum term of 270 calendar days for extenuating circumstances. Such matters will be considered on an individual basis and must be supported by verifiable third-party documentation. Supervisors may extend the term of the voucher longer than 270 days as necessary as a reasonable accommodation. In such cases, the policy and procedure in section 7.11.10 will be followed.

8.7.4 Assistance to Voucher Holders
[24 CFR §982.301(b)]

The Housing Authority has contracted with Socialserve.com to provide an internet-based property listing and search service for owners and participants. The Housing Authority includes in the briefing packet an information sheet on how to access Socialserve.com. Information regarding the Socialserve.com will be presented at the briefing session.

8.8 VOUCHER ISSUANCE DETERMINATION FOR SPLIT HOUSEHOLDS
[24 CFR §982.315]

In those instances when a family assisted under the Housing Choice Voucher Program becomes divided into two otherwise eligible families due to divorce, legal separation, or the division of the family, and the new families cannot agree

as to which new family unit should continue to receive the assistance, and there is no determination by a court, the Housing Authority shall consider the following factors to determine which of the families will continue to be assisted:

1. Which of the two new family units has custody of dependent children.
2. Which family member was the head of household when the voucher was initially issued (listed on the initial application).
3. The composition of the new family units, and which unit contains elderly or disabled members.
4. Whether domestic violence was involved in the breakup.
5. Which family members remain in the unit.
6. Recommendations of social service professionals.

Documentation of these factors will be the responsibility of the requesting parties.

If documentation is not provided, the Housing Authority will terminate assistance on the basis of failure to provide information necessary to complete the annual re-examination.

Where the breakup of the family also results in a reduction of the size of the voucher, the family will be required to move to a smaller unit if the current owner is unwilling to accept the rent level of the smaller sized certificate.

8.9 REMAINING MEMBER OF FAMILY – RETENTION OF VOUCHER

To be considered the remaining member of the family, the person must have been previously approved by the Housing Authority to be living in the unit.

A live-in aide, by definition, is not a member of the family and will not be considered a remaining member of the family.

In order for a minor child to continue to receive assistance as a remaining family member:

1. The court has to have awarded emancipated minor status to the minor, or
2. The Housing Authority has to have verified that social services and/or the Juvenile Court has arranged for another adult to be brought into the assisted unit to care for the child/children for an indefinite period.

A reduction in family size may require a reduction in the voucher size.

8.10 FAMILY VOLUNTARILY RELINQUISHES HOUSING CHOICE VOUCHER

The family may voluntarily relinquish their voucher at any time. In such cases, the Housing Authority will provide the owner of the property with a 30 calendar days notice indicating that rental assistance will terminate based on the family's request. The family will become fully liable for the contract rent after 30 calendar days.

Generally, the Housing Authority will not reinstate a family once a request for voluntary termination has been received. However, as a reasonable accommodation, the Housing Authority will review requests for reinstatements received within 6 months and make a determination on a case-by-case basis.

If a family voluntarily relinquishes their voucher in lieu of facing termination, the Housing Authority will continue to seek to recover any monies that may be due to the Housing Authority as a result of misrepresentation or other breach of program regulations and will report the amount of debt owed to EIV.

CHAPTER 9:
THE NEW CONTRACT PROCESS - REQUEST FOR TENANCY APPROVAL AND CONTRACT EXECUTION

9.1 INTRODUCTION
[24 CFR §982.302(b) and 24 CFR §982.353(b)]

After families are issued a voucher, they may search for a unit anywhere within the Housing Authority's jurisdiction, or outside of the Housing Authority's jurisdiction if they qualify for portability. The family must find an eligible unit under the program rules, with an owner who is willing to enter into a Housing Assistance Payments (HAP) contract with the Housing Authority. This chapter defines the types of eligible housing, the Housing Authority's policies which pertain to lease requirements, owner disapproval, and the processing of Requests for Tenancy Approval (RTA).

9.2 REQUEST FOR TENANCY APPROVAL
[24 CFR §982.302(c) and §982.305]

No RTA for a current participant will be processed unless there is a copy of the lease termination notice for the currently assisted unit in the family's file.

Both the owner and the voucher holder must sign the RTA.

The Housing Authority will not permit the family to submit more than one RTA at a time.

The RTA will be approved if [24 CFR §982.302(d)]:

1. The unit is an eligible type of housing;
2. The unit passes an inspection (based on HUD's Housing Quality Standards and the Housing Authority's requirements, detailed in Chapter 10);
3. The rent is reasonable and affordable to the voucher holder;
4. The security deposit amount is approvable;
5. The proposed lease complies with HUD and Housing Authority requirements, and State and local law;
6. The owner is approvable, and there are no conflicts of interest; and
7. All applicable lead-based paint disclosure requirements have been met. See Section 10.4 (Lead-Based Paint) for additional policies.

9.2.1 Disapproval of RTA
[24 CFR §982.302(d) and §982.305]

If the Housing Authority determines that the RTA cannot be approved for any reason, the owner and the family will be notified in writing. The Housing Authority will instruct the owner and family of the steps that are necessary to approve the Request.

The owner will be given 5 calendar days to submit an approvable RTA from the date of disapproval unless the reason for the disapproval is the result of multiple failed inspections (three or more failed HQS inspections).

When, for any reason, an RTA is not approved, the Housing Authority will furnish another RTA form to the family along with the notice of disapproval so that the family can continue to search for eligible housing.

The Housing Authority will suspend the term of the voucher while the RTA is being processed. Therefore, the length of time allotted to a family for the purpose of locating another unit will be based on the number of days left on the term of the voucher at the time the RTA was submitted to the Housing Authority [24 CFR §982.303(b)].

9.3 ELIGIBLE TYPES OF HOUSING [24 CFR §982.352]

The Housing Authority will approve the following types of housing in the voucher program:

- Single-family dwellings, including condos and townhouses.
- Manufactured homes where the family leases the mobile home and the pad [24 CFR §982.620(a)(2)].
- Manufactured homes where the family owns the mobile home and leases the pad [24 CFR §982.620(a)(3)].
- Multifamily dwellings (apartment buildings).
- Units owned but not subsidized by the Housing Authority (HUD-prescribed requirement).

A family can own a rental unit but cannot reside in it while being assisted, except in the cases involving manufactured homes when the family owns the mobile home and leases the pad. A family may lease in and have an interest in a cooperative housing development.

The Housing Authority may not permit a voucher holder to lease a unit that is receiving project-based Section 8 assistance or any duplicative rental subsidies.

9.3.1 Special Housing Types [24 CFR §982.601]

The Housing Authority must permit use of all special housing type listed below, if needed as a reasonable accommodation so the program is readily accessible to and usable by persons with disabilities in accordance with 24 CFR Part 8.

- **Congregate housing**- Housing intended for use by elderly persons or persons with disabilities. It contains a shared central kitchen and dining area and a private living area for the individual household of at least a living room, bedroom and bathroom. Food service for residents must be provided. Elderly persons or persons with disabilities may live in congregate facilities. With PHA approval a live-in aide may live in the congregate unit with a person with disabilities or an elderly person.

- **Group home**-a state-licensed facility intended for occupancy by elderly persons and/or persons with disabilities. The group home consists of residents' bedrooms, which can be shared by no more than two people, and a living room, kitchen, dining area, bathroom, and other appropriate social, recreational, or community space that may be shared with other residents. No more than 12 persons may reside in a group home. Elderly persons or persons with disabilities may live in group homes. If approved by the PHA, a live-in aide may live in the group home with a person with disabilities. Except for live-in aides, all persons living in a group home, whether assisted or not, must be elderly persons or persons with disabilities. Persons living in a group home must not require continual medical or nursing care.
- **Shared housing** - a single housing unit occupied by an assisted family and another resident or residents. The shared unit consists of both common space for use by the occupants of the unit and separate private space for each assisted family. The family and any HACoLA approved live-in aid may reside in a unit with other persons who are either:
  1. Not assisted under the tenant based program,
  2. .Assisted under the tenant based program (If there are multiple assisted families in the shared unit, there must be separate HAP contracts for each assisted family.),
  3. The owner of the shared housing unit. (While the owner may reside in the shared unit, the owner may not be related to the family by blood or marriage and no assistance may be paid on behalf of the owner.)
- **Cooperative housing (excluding families that are not cooperative members)**– Housing owned by a nonprofit corporation or association, where a member of the corporation or association has the right to reside in a particular apartment and to participate in management of the housing. There are no program restrictions on who may occupy a cooperative housing unit.
- **Single Room Occupancy (SRO**)- an SRO unit is a unit that provides living and sleeping space for the exclusive use of the occupant, but requires the occupant to share sanitary and/or food preparation facilities. There is no federal limitation on the number of SRO units in an SRO facility. An SRO unit may not be occupied by more than one person. Program regulations do not place any limit on the number of units in an SRO facility, although the size of a facility may be limited by local laws.
- Homeownership (if homeownership program is available)

9.3.2 Ineligible Housing Types [24 CFR §982.352(a)]

The Housing Authority will not approve:

- A unit occupied by the owner or by any person with an interest in the unit, other than manufactured homes described above.
- Nursing homes or other institutions that provide care.
- School dormitories and institutional housing.

- Structures that have not been properly converted. Owners will be required to provide finalized permits for all conversion work when the integrity and/or soundness of a structure is in question.
- Converted garages or other structures not intended to be living areas.
- Any other types of housing prohibited by HUD.

9.4 RESTRICTIONS ON RENTING TO RELATIVES [24 CFR §982.306(d)]

In accordance with HUD policy, the family will not be allowed to rent a unit from an owner (including a principal or other interested party) who is the spouse, parent, child, grandparent, grandchild, and sister or brother of any member of the family. This restriction applies to all new contracts entered into after June 16, 1998.

Exceptions may be made to this policy as a reasonable accommodation for persons with a disability. The Housing Authority will review all such requests on a case-by-case basis. The family will be required to provide documentation of disability and how the particular unit, owned by the relative, could benefit the disabled person. Owners must provide the current address of their residence (not a Post Office box). If families lease properties owned by relatives, the owner's current address will be compared to the subsidized unit's address.

Owners must provide an Employer Identification Number or Social Security number, and may also be required to provide a copy of their driver's license or other photo identification. In addition, the Housing Authority may request a copy of the owner's current utility bills and bank statement.

Failure to provide adequate documentation, within the specified time period (2 weeks), will be grounds for denial of such request.

In all cases, the owner of the assisted unit may not reside in the unit with the assisted household at any time during the term of the Housing Assistance Payment (HAP) Contract between the Housing Authority and the owner.

9.5 LEASE AGREEMENTS [24 CFR §982.308 - §982.309]

The tenant and the owner must enter a written lease for the unit. If the owner uses a standard lease form for rental to unassisted tenants in the locality or the premises, the lease must be in such standard form, plus the required HUD Tenancy Addendum, which the Housing Authority will provide to the owner.

The Housing Authority will review the lease for compliance with regulations. At minimum, the lease must specify the following information:

- The names of the owner and tenant;
- The address of the unit rented;
- The term of the lease including the initial term and any provisions for renewal;
- The amount of the monthly rent to owner; and

- A specification of which utilities and appliances will be supplied by the owner, and which by the family.

The lease must provide the following are grounds for the owner to terminate tenancy [24 CFR §982.310(c)]:

- Drug- related criminal activity engaged in, on or near the premises by any tenant, household member, or guest, or such activity engaged in on the premises by any other person under the tenant's control. In addition, the lease must provide that the owner may evict a family when the owner determines that a household member is illegally using a drug or when the owner determines that a pattern of illegal use of a drug interferes with the health, safety, or right to peaceful enjoyment of the premises by other residents.
- Any of the following types of criminal activity by a covered person:
  - Any criminal activity that threatens the health, safety, or right to peaceful enjoyment of the premises by other residents (including property management staff residing on the premises);
  - Any criminal activity that threatens the health, safety, or right to peaceful enjoyment of their residences by persons residing in the immediate vicinity of the premises; or
  - Any violent criminal activity on or near the premises by a tenant, household member, or guest, or any such activity on the premises by any other person under the tenant's control.
- If a tenant is:
  - Fleeing to avoid prosecution, or custody or confinement after conviction, for a crime, or attempt to commit a crime, that is a felony under the laws of the place from which the individual flees, or
  - Violating a condition of probation or parole imposed under Federal or State law.

When needed, the Housing Authority may require the owner and family to execute a lease rider to include changes to the rent amount, changes to utility responsibilities and/or effective date on the owner's original lease.

9.5.1 Separate Agreements [24 CFR §982.510(c)]

Separate agreements are not necessarily prohibited. Families and owners will be advised of the prohibition of illegal side payments for additional rent, or for items normally included in the rent of unassisted families, or for items not shown on the approved lease.

Owners and families may execute separate agreements for services (parking space), appliances (other than range and refrigerator) and other items that are not included in the lease if the agreement is in writing and approved by the Housing Authority.

Any appliances, services or other items which are routinely provided to unassisted families as part of the lease (such as air conditioning, dishwasher or garage) or are permanently installed in the unit, cannot be put under separate agreement and must be included in the lease. For there to be a separate agreement, the family must have the option of not utilizing the service, appliance or other item.

The Housing Authority is not liable for unpaid charges for items covered by separate agreements and nonpayment of these agreements cannot be cause for eviction.

If the family and owner have come to a written agreement on the amount of allowable charges for a specific item, so long as those charges are reasonable and not a substitute for higher rent, they will be allowed.

All agreements for special items or services must be attached to the lease approved by the Housing Authority. If agreements are entered into at a later date, they must be approved by the Housing Authority and attached to the lease.

9.6 INITIAL INSPECTIONS

See Chapter 10 (Housing Quality Standards and Inspections).

9.7 RENT LIMITATIONS [24 CFR §982.508]

In accordance with HUD regulations, at the time the family initially receives assistance for a new unit, the family's share of the rent for the unit (includes utilities and the rent to the owner) may not exceed more than 40 percent of the family's adjusted monthly income if the gross rent for the unit exceeds the payment standard.

9.8 RENT REASONABLENESS [24 CFR §982.507(a)(1)]

A rent reasonable test will be used to determine if the rent amount request by the owner can be approved. The Housing Authority's rent reasonableness policy is covered in Chapter 11 (Setting Payment Standards and Determining Rent Reasonableness).

9.9 WHEN A NEW CONTRACT IS REQUIRED FOR AN EXISTING TENANCY

A new tenancy must be approved and a new contract must be executed for an existing tenancy only under the following circumstances:

- If the owner or family request a new lease;
- If there are any changes in lease requirements governing tenant or owner responsibilities for utilities or appliances; or
- If there are changes to provisions governing the terms of the lease.

9.10 INFORMATION TO OWNERS [24 CFR §982.307(b)]

The Housing Authority is required to provide prospective owners with the address of the applicant and the names and addresses of the current and previous owner if known. The Housing Authority will make an exception to this requirement if the family's whereabouts must be protected due to domestic abuse or witness protection. The Housing Authority will not release any other information regarding the family.

The Housing Authority will inform owners that it is the responsibility of the owner to determine the suitability of prospective tenants. Owners will be encouraged to screen applicants for rent payment history, eviction history, damage to units, and other factors related to the family's suitability as a tenant [24 CFR §982.307(a)].

Information regarding the Housing Authority's policy on this subject is included in the briefing packet and as an attachment to the Request for Tenancy Approval. This policy will apply uniformly to all families and owners.

In addition to the information listed above, the Housing Authority provides owner workshops at least twice a year. At the workshops, current and prospective owners are given an overview of the program and information about any significant program changes. There is also ample time for a question and answer session.

9.11 OWNER DISAPPROVAL [24 CFR §982.306(a) - §982.306(c)(4)]

For purposes of this section, "owner" includes a principal or other interested party, and to disapprove an owner means to prevent the participation of an owner in Housing Authority programs.

The Housing Authority is required to disapprove an owner for the following reasons:

- HUD has informed the Housing Authority that the owner has been debarred, suspended, or subject to a limited denial of participation under 24 CFR Part 24.
- HUD has informed the Housing Authority that the federal government has instituted an administrative or judicial action against the owner for violation of the Fair Housing Act or other federal equal opportunity requirements and such action is pending.
- HUD has informed the Housing Authority that a court or administrative agency has determined that the owner violated the Fair Housing Act or other Federal equal opportunity requirements.
- If the owner is the spouse, parent, child, grandparent, grandchild, sister, or brother of any member of the family.

The Housing Authority also maintains the discretion to disapprove an owner for the reasons listed below. The Housing Authority may disapprove an owner for a period of 1 year for the following reasons:

- The owner has violated obligations under a housing assistance payments contract under Section 8 of the 1937 Act (42 U.S.C. 1437f).
- The owner has a history or practice of non-compliance with the HQS for units leased under the tenant-based programs or with applicable housing standards for units leased with project-based Section 8 assistance or leased under any other Federal housing program.
- The owner has a history or practice of renting units that fail to meet State or local housing codes;
- The owner has not obtained a business license for rental property for the assisted unit, where required by local ordinance; or
- The owner has not paid State or local real estate taxes, fines or assessments.

An owner may be disapproved for a period of up to 5 years for the following reasons:

- The owner has committed fraud, bribery or any other corrupt or criminal act in connection with any Federal housing program.
- The owner has a history or practice of failing to terminate tenancy of Section 8-assisted tenants, or tenants assisted under any other federally-assisted housing program, for activity engaged in by the tenant, any member of the household, guest or another person under the control of any member of the household that:
  - Threatens the right to peaceful enjoyment of the premises by other residents;
  - Threatens the health or safety of other residents, of employees of the Housing Authority, or of owner employees or other persons engaged in management of the housing;
  - Threatens the health or safety of, or the right to peaceful enjoyment of their residences, by persons residing in the immediate vicinity of the premises; or commits drug related criminal activity or violent criminal activity.

An owner may be disapproved for a period of up to 10 years for the following reason:

- The owner has engaged in any drug-related criminal activity or any violent criminal activity.

If an owner disagrees with the Housing Authority's disapproval, the owner may appeal the decision in writing within 10 calendar days from receiving the Housing Authority's decision. A supervisor will review the appeal and prepare a written decision within 30 calendar days after receiving the request. The decision of the supervisor is final.

9.12 CHANGE IN TOTAL TENANT PAYMENT (TTP) PRIOR TO HAP EFFECTIVE DATE

When the family reports changes in factors that will affect the Total Tenant Payment (TTP) prior to the effective date of the HAP contract, the information will be verified and the TTP will be recalculated. If the family does not report any change, the Housing Authority need not obtain new verifications before the HAP contract becomes effective.

If the contract is for a participant move and is processed as an annual reexamination, the family's income and other circumstances will be re-verified.

9.13 CONTRACT EXECUTION PROCESS [24 CFR §982.305(c)]

Provided that the unit passes inspection, the Housing Authority will prepare the HAP contract for execution. The family and the owner will execute the lease agreement, and the owner and the Housing Authority will execute the HAP contract. Copies of the documents will be furnished to the parties who signed the respective documents.

The Housing Authority makes every effort to execute the HAP contract before the commencement of the lease term. The HAP contract may not be executed more than 60 calendar days after commencement of the lease term and no payments will be made until the contract is executed.

The following Housing Authority representatives are authorized to execute a contract on behalf of the Housing Authority: Assisted Housing's Division Director, Assistant Director, Managers, Assistant Managers and Supervisors.

Owners must provide the current address of their residence (not a Post Office box). If families lease properties owned by relatives, the owner's current address will be compared to the subsidized unit's address.

Owners must provide an Employer Identification Number or Social Security number, and may also be required to provide a copy of their driver's license or other photo identification.

9.13.1 Determining the Contract Effective Date

The effective date and the amount of the rental payment are communicated in writing to both the owner and family.

The lease and the terms of the tenancy are made effective at the Housing Authority's approval only. The earliest date the Housing Authority may approve the lease effective date is the date the unit passed HQS inspection.

The HAP contract is drafted after the Housing Authority approved lease is received and is effective in accordance with the lease effective date.

9.13.2 Prorating First Month's Rent

When the effective date of a new contract begins on a day other than the first of the month, the Housing Authority will determine a prorated contract rent amount. For consistency with rental industry standards, prorated amounts will be calculated by using 30 days to establish a daily rate (refer to 3/3/05 memo).

9.13.3 Proof of Ownership

The Housing Authority will use property profile information obtained from a private vendor to confirm ownership of the assisted unit. If third party information cannot confirm ownership of the unit, the Housing Authority may also request a recorded deed or closing escrow statement to prove ownership.

Owners may also be required to provide a copy of a business rental license if the assisted unit is in a city where one is required.

Any requested information must be provided prior to execution of the HAP contract. Failure to provide the requested information within a reasonable period of time, generally not more than 30 calendar days, will result in a cancellation of the RTA.

9.13.4 Establishing Eligibility to Execute HAP Contract and Related Documents

In cases involving multiple owners, the Housing Authority will accept the signature of a designee on all contracts and related paperwork if all the legal owners have jointly agreed on the person/persons who may act on their behalf.

In cases involving multiple owners, the Housing Authority requires that all persons who have interest in the property sign or provide a letter of authorization, giving one or more parties the right to sign contracts and other program documents.

In cases involving a partnership or corporation, the Housing Authority may request the partnership agreement or incorporation documents to determine who is designated to act on the group's behalf. In cases involving a trust, the Housing Authority may request a copy of the trust in order to verify the names of the trustees.

The Housing Authority will not execute a HAP Contract until all proper authorization, from all appropriate parties, has been provided. Failure to provide information needed to establish authority to execute the HAP contract within a reasonable time, generally 30 calendar days, may result in a cancellation of the RTA.

Once the Housing Authority has established proper authorization, the letter of authorization will remain in effect until superseded by another authorization or the HAP contract is terminated. All changes or modification to the instructions provided in the current letter of authorization must be provided in writing.

9.13.5 Payment to the Owner [24 CFR §982.311(a)]

Once the HAP Contract is executed, the Housing Authority begins processing payments to the owner. Because the Housing Authority's sole method of payment to owners is direct deposit, new and existing owners must provide the necessary information for enrollment in the Housing Authority's direct deposit program. Payments will be made via direct deposit by the first of each month. Owners must notify the Housing Authority of any missing payments as soon as possible. The Housing Authority will accept report of missing payment both via a telephone call and/or in writing.

9.14 CHANGE IN OWNERSHIP

A change in ownership does not require execution of a new contract.

The Housing Authority will process a change of ownership only upon the written request of the previous or new owner and only if accompanied by a copy of the escrow statement or other document showing the transfer of title and the Employee Identification Number or Social Security number of the new owner.

In order to complete a change of ownership, the new owner must complete an Assumptions of Obligations and Benefits contract. This form obligates the new owner to the HAP contract. The Housing Authority will provide this document once a written request for a change is received.

When the assumption contract has been executed, the Housing Authority will send a copy of it, along with a copy of the original HAP contract and lease, to the new owner.

If a change in ownership occurs due to a foreclosure, the new owner automatically assumes the obligations of the HAP contract. The Housing Authority will make every attempt to ascertain the identity of the new owner and obtain any documents necessary to release payments to the appropriate party.

New owners are subject to the Housing Authority's owner disapproval policy as detailed in Section 9.11 of this chapter.

CHAPTER 10:
HOUSING QUALITY STANDARDS AND INSPECTIONS

10.1 INTRODUCTION

This chapter describes the Housing Authority's procedures for implementing Housing Quality Standards (HQS), conducting different inspections, and setting standards for the timeliness of repairs. It also explains the responsibilities of the owner and family, and the consequences for noncompliance with HQS by the owner and family.

10.2 TYPES OF INSPECTIONS
[24 CFR §982.405]

The Housing Authority conducts the following inspections, which will be explained in greater detail throughout the chapter:

- **New Contracts Inspection**: A unit must pass this HQS inspection before the Housing Authority enters into a HAP Contract with the owner.
- **Inspections at Other Times as Needed**:
  - Interim Inspection: HQS inspection conducted upon request of the owner, family or agency.
  - Emergency Inspection: HQS inspection conducted for life-threatening violations.
- **Annual Inspection**: A unit must pass its annual HQS inspection.
- **Quality Control Inspection**: The Housing Authority is required to conduct supervisor quality control HQS inspections.
- **Move-Out Inspection**: For its Moderate Rehabilitation Program, the Housing Authority may conduct a move-out inspection for contracts effective before October 2, 1995, at an owner's request, if a damage claim is to be submitted (see Section 20.13.4for details on these inspections).

10.3 HOUSING QUALITY STANDARDS (HQS)
[24 CFR §982.401]

HQS is the minimum quality standards set forth by HUD for tenant-based programs. These standards are in place to ensure that assisted housing is decent, safe and sanitary. All program housing must meet the HQS performance requirements both at commencement of assisted occupancy, and throughout the assisted tenancy.

Efforts will be made at all times to encourage owners to provide housing above the HQS minimum standards.

HQS applies to the building and premises, as well as the unit. In order for a unit to pass an HQS inspection, the following standards must be met.

10.3.1 Unit Space and Size [24 CFR §982.401(d)(2)(i)]

At minimum, a living room, kitchen area, and bathroom must be located in the unit.

10.3.2 Living Room / Sleeping Room [24 CFR §982.401(d)(2)(ii)], [24 CFR §982.401(h)(2)(iv)], [24 CFR §982.401(f)]

- The dwelling unit must have at least one bedroom or living/sleeping room for each two persons. Children of opposite sex, other than very young children, may not be required to occupy the same bedroom or living/sleeping room.
- There must be at least one window in the living room and in each sleeping room. If the window is designed to be openable, the window must open and close properly, and be large enough to provide emergency egress.
- The living room and each bedroom must have at least two electrical outlets in proper operating condition. Permanent overhead or wall-mounted light fixtures may count as one of the required electrical outlets.
- Bedrooms must also have a built-in closet or wardrobe, be located within the unit (e.g., no garages), and be private (have a closing door separating it from the rest of the unit). Bedrooms should also be finished in a quality similar to other bedrooms in the home.
- In cases where an owner has modified the rental unit without obtaining the proper city and/or County building permits, the Housing Authority may rely on the legal property description for the purposes of negotiating the rent and determining how many actual sleeping rooms are in the rental unit.

10.3.3 Sanitary Facilities (Bathroom) [24 CFR §982.401(b)], [24 CFR §982.401(h)(2)(iii)], [24 CFR §982.401(f)(2)(ii)]

- The bathroom must be located in a separate private room and contain a working flush toilet.
- Bathroom areas must have one openable window or other adequate exhaust ventilation.
- The unit must have a fixed sink. The bathroom sink may be located separately from other bathroom facilities, but the kitchen sink may not also be used for the bathroom sink.
- The unit must have a shower or tub in proper operating condition, with hot and cold running water. The shower or tub need not be in the same room with other bathroom facilities, but they must be private.
- All walls in a tub or shower area must be covered with ceramic tile or other material that is impervious to water to prevent water damage and deterioration.
- Sinks and commode water lines must have shut off valves, unless faucets are wall-mounted. All sinks in the unit must have functioning stoppers.
- The bathroom must have a permanent ceiling or wall light fixture in proper operating condition.

- All bathrooms in the unit must be in proper operating condition.

10.3.4 Food Preparation (Kitchen) [24 CFR §982.401(c)], [24 CFR §982.401(f)(2)(ii)]

- The dwelling unit must have suitable space and equipment to store, prepare, and serve foods in a sanitary manner (i.e., kitchen).
- The dwelling unit must have an oven, and a stove or range, and a refrigerator of appropriate size for the family. All of the equipment must be in proper operating condition. The stove and oven must be properly hooked up to the gas, with no hazards present. The refrigerator must be able to maintain a temperature sufficient to keep food from spoiling over a reasonable period of time. The equipment may be supplied by either the owner or the family.
- A microwave oven may be substituted for a tenant-supplied oven and stove or range. A microwave oven may be substituted for an owner-supplied oven and stove or range if the tenant agrees and microwave ovens are furnished instead of an oven and stove or range to both subsidized and unsubsidized tenants in the building or premises.
- The kitchen area must have a permanent ceiling or wall light fixture in proper operating condition, and at least one electrical outlet in proper operating condition.
- The dwelling unit must have a permanently attached kitchen sink in proper operating condition, with a sink trap and hot and cold running water. The sink must have a shut off valve, unless faucets are wall-mounted, and must drain into an approvable public or private system. All sinks in the unit must have functioning stoppers.
- There must be facilities and services for the sanitary disposal of food waste and refuse, including temporary storage facilities where necessary (e.g., garbage cans).

10.3.5 Ceilings, Walls, Floors and Building Exterior [24 CFR §982.401(g)]

- The unit must be structurally sound. The structure must not present any threat to the health and safety of the occupants and must protect the occupants from the environment.
- Ceilings, walls, floors and fences must not have any serious defects such as severe bulging or leaning, large holes, loose surface materials, severe buckling, missing parts, or other serious damage.
- Wood floors must be sanded to a smooth surface and sealed. Any loose or warped boards must be re-secured and made level. If the boards cannot be leveled, they must be replaced.
- The exterior wall structure and surface must not have any serious defects such as serious leaning, buckling, sagging, large holes, or defects that may result in air infiltration or vermin infestation.

- In areas where plaster or drywall is sagging, severely cracked, bulging or leaning, or has large holes, it must be repaired or replaced.
- The condition and equipment of interior and exterior stairs, halls, porches, walkways, etc., must not present a danger of tripping and falling. For example, broken or missing steps or loose boards are unacceptable. Stairs with four or more steps must have a secure handrail.
- A porch or balcony at least 30 inches or more from the ground must have secure railings.
- The roof must be structurally sound and weather tight and must not have any serious defects, such as buckling or sagging. Gutters, downspouts and soffits must not show signs of serious decay and must not allow entry of significant air or water into the interior of the structure.
- The chimney must not be seriously leaning or showing evidence of significant disintegration.
- Building foundations must not have any severe structural defects that may create a hazardous condition, including allowing significant entry of ground water.

10.3.6 Windows
[24 CFR §982.401(f)(1)(ii)], [24 CFR §982.401(d)(2(iii)]

- All window sashes must be in good condition, solid, intact, and fit properly in the window frame. Damaged or deteriorated sashes must be replaced.
- Windows must be weather-stripped as needed to ensure a weather tight seal.
- Windows must not have missing or broken-out panes, or panes that are dangerously loose or have large cracks.
- If window security bars or security screens are present on emergency exit windows, they must be equipped with a quick release system. The owner is responsible for ensuring that the family is instructed on the use of the system.
- Dwelling unit windows that are accessible from the outside, such as basement, first floor, and fire escape windows, must be lockable (such as window units with sash pins or sash locks, and combination windows with latches).
- Windows that are nailed shut are acceptable only if these windows are not needed for ventilation or as an alternate exit in case of fire.

10.3.7 Doors and Unit Access
[24 CFR §982.401(d)(2)(iv)], [24 CFR §982.401(k)]

- All exterior doors must be solid core and weather tight to avoid any air or water infiltration, have no holes, and have all trim intact.
- All interior doors must have no holes, have all trim intact, and be openable without the use of a key.
- All exterior doors must have dead bolt locks.

- The unit must be able to be used and maintained without unauthorized use of other private properties. The building must provide an alternate means of exit in case of fire (such as fire stairs or egress through windows).

10.3.8 Thermal Environment [24 CFR §982.401(e)]

There must be a safe system for heating the unit, in proper operating condition. The heating unit must be affixed to the unit and be able to provide adequate heat, either directly or indirectly, to each room. The dwelling unit must not contain unvented room heaters that burn gas, oil, or kerosene. Electric heaters are acceptable. Portable heaters are not acceptable. Heating equipment also must not pose other unsafe conditions, such as improper flue connection or installation of equipment.

10.3.9 Electricity [24 CFR §982.401(f)]

The unit must not contain any electrical hazards, such as exposed electrical connections; broken, non-insulated or frayed wiring; improper types of wiring, connections or insulation, or wires lying in or near standing water or other hazardous locations.

The improper installation of a three-pronged outlet is considered an electrical hazard. All three-pronged outlets must be properly grounded or protected by a ground fault circuit interrupter (GFCI) outlet. An outlet is considered protected by a GFCI outlet if:

- The outlet is a GFCI outlet; or
- A GFCI outlet is located up stream on the circuit from the ungrounded, three-pronged outlet and will shut of current to the ungrounded outlet in case of a surge in the electrical current; or
- The ungrounded, three-pronged outlet is located on a GFCI circuit.

10.3.10 Smoke Detectors/Carbon Monoxide Detectors [24 CFR §982.401(n)]

Smoke Detectors

- Each assisted unit must be equipped with at least one properly working battery-operated or hard-wired smoke detector on each level of the unit.
- Whenever possible, smoke detectors should be installed in a hallway adjacent to a bedroom.
- If an assisted unit is occupied by a household with hearing-impaired persons, a permanently installed smoke detector designed for people with hearing-impairments must be located in each bedroom that is occupied by a hearing-impaired person.

Carbon Monoxide Detectors

In order to reflect California law (The Carbon Monoxide Poisoning Prevention Act of 2010), the Housing Authority requires carbon monoxide detectors be installed as follows: Any unit having a fossil fuel burning heater or appliance, fireplace, or an attached garage must have a carbon monoxide detector installed. (A fossil fuel is coal, kerosene, oil, wood, fuel gases, and other petroleum or hydrocarbon products that emit carbon monoxide as a byproduct of combustion)

Placement of the device should be as follows:

- There must be one carbon monoxide detector centrally located outside of each separate sleeping area in the immediate vicinity of the bedrooms, and each detector shall be located on the wall or ceiling. Any other location is only acceptable if specified in the installation instructions that accompany the device. If there are distinctly separate sleeping areas in the unit, there must be a detector for each sleeping area.
- There must be at least one Carbon Monoxide detector on each level of the unit.
- Carbon Monoxide detectors cannot be installed directly above, or next to a fuel burning appliance.
- If the device is a combination carbon monoxide device and smoke detector, then the combined device must emit an alarm or voice warning in a manner that clearly differentiates between a carbon monoxide alarm warning and a smoke detector warning.

Units that do not meet the requirements outlined above will fail the Housing Quality Standards (HQS) inspection, and will be subject to rejection or abatement in accordance with HUD regulations and Housing Authority policy.

10.3.11 Neighborhood and Site Conditions; Sanitation and Environment [24 CFR §982.401(l)], [24 CFR §982.401(m)]

- The site and neighborhood may not be subject to serious adverse environmental conditions, natural or manmade. These can include dangerous walks or steps; instability; flooding, poor drainage, septic tank back-ups or sewage hazards; mudslides; abnormal air pollution, smoke or dust; excessive noise, vibration or vehicular traffic; excessive accumulations of trash; vermin or rodent infestation; or fire hazards.
- Adequate covered facilities for the disposal of rubbish must be present at the site, such as covered dumpsters and other covered refuse containers approvable by the local health and sanitation department.
- The unit and its equipment must be in sanitary condition, and free from vermin and rodent infestation.

10.3.12 Elevators [24 CFR §982.401 (g)(2)(v)]

All elevators in a building must be operating safely. The Housing Authority requires all elevators to have a current permit issued by the State of California.

If the permit is expired and the owner can provide documentation from the State of California that the application is being processed, the Housing Authority will pass the elevator in accordance with Section 7302 of the Labor Code as long as there are no obvious safety concerns present.

10.3.13 Manufactured Homes/Mobile Homes HQS Requirements [24 CFR 982.621]

In addition to meeting all other HQS requirements, a mobile home must meet the following requirements:

- It must be situated on a site that is stable and free from hazards such as sliding or wind damage.
- Must be appropriately anchored by a tie down device that distributes and transfers the load imposed by the unit to appropriate ground anchors to resist wind overturning and sliding. Alternative types of anchors, beams and foundation bolts are permissible if they meet manufacturer's specifications.
- One operable smoke detector is required.

10.3.14 Additional Housing Quality Standards [24 CFR §982.401(a)(4)]

The Housing Authority is authorized to enhance HQS, provided that by doing so the Housing Authority does not overly restrict the number of units available for leasing. The enhancements adopted by the Housing Authority are meant to ensure that assisted units are safe in relation to other units rented throughout Los Angeles County.

In addition to the HQS identified by HUD, all assisted units must also be in compliance with the following items derived from California and Los Angeles County Code, in order to pass an HQS inspection.

- **Double Cylinder Locks**: Double-keyed deadbolts, or any other lock requiring special knowledge or a tool to open, are prohibited in a residential unit. All doors that provide an exit from the residence must be openable from the inside without the need of a key or any other special knowledge, effort or tool.
- **Swimming Pools**: Swimming pools in multifamily structures must be enclosed by a gate from 48 inches to 60 inches tall. The gate must be self-closing with a self-closing latch and a protected panel must surround the latch.
- **Hot Water Heater**: Water heaters must have a temperature-pressure relief valve and discharge line (directed toward the floor or outside of the living area) as a safeguard against build up of steam if the water heater malfunctions. Gas or oil-fired water heaters must be vented into a properly installed chimney or flue leading outside. Electric water heaters do not require venting. A gas water heater must have a safety divider or shield if it is located in a bedroom or other living area.

If the water heater is located in a large apartment building (at least 25 units) and the unit is inaccessible, staff must check inconclusive on the inspection report. The item may be cleared if the owner or manager can provide documentation to show it has passed a local inspection.

- **Earthquake Straps for Water Heaters**: Must be secured for seismic stability. All water heaters must be braced, anchored or strapped to prevent falling or movement during an earthquake.
- **Garages**: Garages, whether attached or detached, must be accessible. Garages are not to be used as a living space.

10.3.15 Single Room Occupancy (SRO) HQS Requirements [24 CFR §982.605]

The HQS requirements outline in the above sections apply to SRO housing along with some additional requirements for access, fire safety, sanitary facilities, and space and security. The additional requirements are as follows:

- **Access:** Access doors to the SRO unit must have working locks for privacy. The occupant must be able to access the unit without going through any other unit. Each unit must have immediate access to two or more approved means of exit for the building, appropriately marked and leading to a safe and open space at ground level.
- **Fire Safety:** All SRO facilities must have a sprinkler system that protects major spaces. "Major spaces" are defined as hallways and common areas. SROs must also have hard-wired smoke detectors.
- **Sanitary Facilities:** At least one flush toilet that can be used in privacy, a lavatory basin, a bathtub or shower in proper operating condition must be provided for each six persons (or fewer) residing in the SRO facility. If the SRO units are leased only to men, flush urinals may be substituted for up to one half of the required number of toilets.

  Sanitary facilities must be reasonable accessible from a common hall or passageway, and may not be located more than one floor above the SRO unit. They may not be located below grade unless the SRO units are located on that level.
- **Space and Security:** A SRO unit must contain at least 110 square feet of floor space, and at least four square feet of closet space with an unobstructed height of at least five feet, for use by the occupant. If the closet space is less than four square feet, the habitable floor space in the SRO unit must be increased by the amount of the deficiency. Exterior doors and windows accessible from the outside the SRO unit must be lockable.

Because no children live in SRO housing, the housing quality standards applicable to lead-based paint do not apply.

10.3.16 Serious Deficiencies

Assisted units must meet all HQS performance requirements in order to pass an inspection. The Housing Authority has compiled the following list of specific conditions that are considered serious deficiencies that may cause a unit to fail an inspection. This list assists inspectors in making a determination regarding the condition of an assisted unit; however, deficiencies are not limited to this list:

1. No TPR/Drainpipe on water heater
2. Clogged toilets/sinks/wash basins/bathtubs
3. Severely worn or torn floor coverings posing a tripping hazard
4. Evidence of vermin infestation (fleas, roaches, termites, mice, and rats) in and around assisted unit
5. Excessive rubbish or debris in or around the assisted unit
6. Heavy accumulation of brush, weeds or tree branches near or extending over the assisted unit or in the power lines
7. Uneven, broken or lifting exterior walkways or driveways that pose a tripping hazard
8. Missing, loose or broken handrails, guardrails or balusters
9. Lack of windows in living or sleeping rooms
10. Lack of exterior ventilation (window or exhaust fan) in bathroom
11. Flammable or combustible materials stored near water heater or furnace
12. Missing or inoperable security bar release mechanism on bedroom windows
13. Evidence of sewage in or around assisted unit
14. Exterior doors or windows that do not open, close or lock properly
15. Exterior doors or windows that do not close and form a reasonably weather tight seal
16. Inoperable refrigerator or stove/range/oven
17. Hot water heaters not seismically restrained

10.4 LEAD-BASED PAINT [24 CFR §982.401(j)]

The Housing Authority's rental assistance programs are subject to the requirements of the Lead-Based Paint Poisoning Prevention Act and the Residential Lead-Based Paint Hazard Reduction Act of 1992. Applicable regulations are detailed in 24 CFR §35.

The Housing Authority will be responsible for the collection of LBP disclosure information; conducting Visual Assessment inspections; assuring that Clearance Examinations are conducted; collect data regarding Elevated Intervention Blood Lead Level (EIBLL) cases, and informing owners of their responsibilities.

10.4.1 Disclosure [24 CFR §35(Subpart A)]

Owners of units built before 1978 are required to disclose to lessees all available information about the presence of lead-based paint or lead-based paint hazards and provide any available record or reports pertaining to the presence of lead-based paint or lead-based paint hazards, before the lease is enacted.

Lessees must also receive a copy of the lead hazard information pamphlet, “Protect Your Family From Lead in Your Home.”

For all new contracts, the Housing Authority will require owners to certify on the RTA that they have met all applicable lead-based paint disclosure requirements. If applicable, the Housing Authority will require owners to submit a copy of the lead-based paint disclosure statement, and any inspection reports.

The Housing Authority will include a sample lead-based paint disclosure form and a lead hazard information pamphlet in voucher issuance packets for participants. Materials will be made available directly to owners upon request.

For units built before 1978, the Housing Authority will not approve an owner lease without receiving all applicable lead-based paint disclosure information.

10.4.2 Lead-Based Paint Visual Assessment [24 CFR §35.1215]

The Housing Authority is required to conduct lead-based paint visual assessments for all units built prior to 1978 that house or will house a child or children under 6 years of age, at the time of the new contract inspection and at annual inspections.

The Housing Authority inspectors conducting lead-based paint visual assessments will be trained according to HUD requirements.

The purpose of the visual assessment is to identify any deteriorated paint. Deteriorated paint is paint that is peeling, chipping, chalking or cracking, or any paint or coating located on an interior or exterior surface or fixture that is otherwise damaged or separated from the substrate. Inspectors will check the condition of painted surfaces. If any deteriorated paint is found in the course of the inspection, the unit will fail the lead-based paint visual assessment. Owners must perform paint stabilization on all deteriorated paint surfaces regardless of the size of the deteriorated surface. If the amount of deteriorated paint is below the de minimis level, the owner must perform paint stabilization, but is not required to perform lead-safe work practices and clearance. The de minimis thresholds are defined as 20 sq. ft. (2 sq. meters) on exterior surfaces; 2 sq. ft. (0.2 sq. meters) in any one interior room or space; or 10% of the total surface area on an interior or exterior type of component with a small surface area (such as window sills, baseboards, and trim).

If deteriorated paint exceeds the de minimis thresholds as defined by HUD, the unit will fail the lead-based paint visual assessment and require stabilization and a clearance report

10.4.3 Stabilization and Clearance [24 CFR §35.1215]

Owners of units that fail the lead-based paint visual assessment above de minimis levels will be required to stabilize deteriorated paint in order for the unit to pass, using lead-safe work practices.

The Housing Authority will send a letter to owners of failed units that provides guidance on stabilizing paint and other required activities. Owners will have 30 calendar days from the letter date to complete the following:

- **Repair the deteriorated paint**. Work must be performed by certified lead workers using lead-safe work practices. The Housing Authority will provide owners with resources and information on meeting these guidelines.
- **Obtain a Clearance Report**. A contractor certified by the Environmental Protection Agency (EPA) must inspect the unit and prepare a Clearance Report summarizing the work completed and the inspection results.
- **Complete the Housing Authority's Lead-Based Paint Owner Certification form**. The owner must certify that all applicable requirements have been met.
- **Submit Clearance Report and Certification to the Housing Authority**. The Housing Authority will accept paperwork by mail, fax, and hand delivery.

The owner is responsible for informing tenants of all lead hazard reduction work and evaluations, in a manner consistent with HUD regulations.

If the unit has been previously certified free of lead-based paint by a certified inspector, the owner may submit a copy of the inspector's report, along with the certification form, to the Housing Authority.

The Housing Authority will review the Clearance Report and certification form for completeness. The Clearance Report must contain all information required by HUD. If the Clearance Report passes, the unit will receive a pass on the visual assessment; no further inspection visit is required.

On new contracts inspections, the passing Clearance Report and valid certification form must be received by the Housing Authority before the Housing Authority can enter into a HAP Contract with the owner. If this does not take place within 30 calendar days, the Housing Authority will cancel the RTA.

For annual inspections, if the owner fails to submit the passing Clearance Report and valid certification form within 30 calendar days, the Housing Assistance Payments (HAP) will be placed on hold (abated) for the unit and the participant will be issued a voucher. The owner will have an additional 60 calendar days to obtain and submit a valid Clearance Report before the HAP Contract is terminated. See Section 10.11.1 for details on abatement.

Assisted Housing's Director will review reasonable cause requests for extension. Extension requests must be submitted in writing within the first 30 calendar days of the failed lead-based paint visual assessment. An extension shall not extend beyond 90 days after the date of notification to the owner of the results of the

visual assessment. If an extension is approved, the HAP will not be abated during this extension period. Reasonable cause circumstances include prohibitive weather conditions, financial hardship, and rehabilitation in progress.

10.4.4 Children with Environmental Intervention Blood Lead Levels [24 CFR §35.1225]

On a quarterly basis, the Division will send the Los Angeles County Department of Health Services Childhood Lead Poisoning Prevention (CLPP) Program the addresses of assisted families with children under the age of 6. CLPP Program staff will check the addresses for matches with cases of identified Environmental Intervention Blood Lead Levels (EIBLL). If a match is found, CLPP Program staff will conduct a Risk Assessment of the occupied unit and forward a report to the Division. A Risk Assessment is a comprehensive evaluation for LBP hazards that goes beyond the Visual Assessment component including paint testing, and dust and soil sampling. The Risk Assessment Report identifies lead hazards and appropriate lead hazard reduction methods.

A copy of the Risk Assessment Report must immediately be forwarded to the participating owner once received by the Division. The owner must post a Notice of Lead Hazard Evaluation within 15 calendar days and complete lead hazard reduction and clearance activities as advised in the Report within 30 calendar days. The Housing Authority is not allowed to assist any other participant in the unit until the owner complies with the Report.

If informed about an EIBLL case from a source other than the CLPP Program, the Division must submit the information to the CLPP Program within 5 calendar days. The CLPP Program will conduct a Risk Assessment of the occupied unit if required.

10.5 INSPECTIONS SCHEDULE

Inspections are conducted on business days between the hours of 7:00 a.m. and 5:00 p.m. An individual over 18 years of age must be present to allow entry for the inspector.

Please see section 10.7.3 for the inspections schedule for Compliance Checks/Home Visit Inspections.

10.6 NEW CONTRACT INSPECTIONS [24 CFR §982.305(b)(2)(i)(B))]

Under normal circumstances, new contract (initial) inspections are conducted within 15 calendar days following the receipt of a Request for Tenancy Approval. The new contract inspection is conducted in order to:

1. Determine if the unit, including common areas, meets housing quality standards.
2. Document the current condition of the unit. This will serve as the basis to evaluate the future condition of the unit, i.e. excessive wear and tear.

10.6.1 When HQS Deficiencies Must Be Corrected

If the unit fails the initial inspection, the unit will be scheduled for a follow-up inspection within 10 calendar days. The owner will be given 30 calendar days to correct the deficiencies. The owner can request an inspection sooner if repairs have been made prior to the scheduled follow-up inspection date.

If the time period given by the Housing Authority to correct the deficiencies has lapsed, or the maximum of three failed inspections has occurred, the family must select another unit.

The Housing Authority will not enter into a HAP Contract with the owner until the unit passes the inspection. However, the family may already be in the unit when the new contract inspection is conducted. If the family lives in the unit at the time of the new contract inspection, they are responsible for meeting their HQS obligations. See Section 10.8 for details of the family's HQS obligations.

10.7 ANNUAL AND INTERIM INSPECTIONS [24 CFR §982.405]

10.7.1 Annual Inspections

In order to assure that units meet housing quality standards throughout the assisted tenancy, the Housing Authority conducts inspections at least annually.

As stated in the family obligations, the family must allow the Housing Authority to inspect the unit at reasonable times and after reasonable notice. The Housing Authority will notify the family and/or owner of the date and time of the scheduled inspection appointment in writing at least 15 calendar days prior to the inspection.

Appointments may be rescheduled before the scheduled inspection as long as the new inspection date allows the Housing Authority to remain in compliance with HUD HQS requirements. Inspections may be rescheduled by phone, fax or email. If the family misses the inspection appointment and fails to contact the Housing Authority to reschedule the inspection beforehand, the Housing Authority will consider the family to be in violation of the Certified Statement of Family Obligation agreement and will initiate termination procedures in accordance with the Housing Authority's policy for proposed termination. If the family missed the inspection appointment for good cause, including but not limited to, illness, injury, or hospitalization, the Housing Authority may consider, on a case by case basis, evidence to support the reason for the missed appointment before proposing termination. If it is the first time the family missed an inspection appointment without good cause, a one-time counseling session will be conducted with the family in lieu of proposing termination.

10.7.2 Interim Inspections

Interim inspections are conducted at the request of the owner, family, or agency (usually as a result of a violation of HQS or violation of the lease). Interim inspections may be scheduled and conducted at any time of the year.

10.8 FAILED INSPECTIONS: DETERMINATION OF RESPONSIBILITY [24 CFR §982.404(b)]

If deficiencies cause an assisted unit to fail an inspection, Housing Authority inspectors will determine who is responsible at the time of inspection.

In accordance with family obligations, the following deficiencies are considered the responsibility of the family:

- Family-paid utilities not in service;
- Failure to provide or maintain family-supplied appliances; and
- Damages to the unit or premises caused by a household member or guest beyond normal wear and tear.
  - "Normal wear and tear" is defined as items that could be charged against the family's security deposit under state law or court practice.

The owner is responsible for all other HQS violations. In cases such as vermin infestation, where burden of responsibility is not immediately clear, Housing Authority inspector will determine the responsible party.

HQS deficiencies that cause a unit to fail must be corrected by the owner, unless the family is responsible for the deficiencies.

10.9 FAILED INSPECTIONS: WHEN DEFICIENCIES MUST BE CORRECTED [24 CFR §982.404(a)(3)]

10.9.1 Emergency Fail Deficiencies

Items that endanger the family's health or safety are considered emergency fails. These deficiencies must be corrected within 24 hours of inspection. The following deficiencies are considered life-threatening, emergency fails, and will cause a unit to be labeled uninhabitable:

- Gas leaks
- Major plumbing problems
- No running water
- No functioning toilet
- Unstable roof/structure

In cases where the unit is deemed uninhabitable, the family will be issued a voucher within 24 hours so that they can make arrangements to secure another residence if necessary.

If an emergency fail deficiency is not corrected in the time period required by the Housing Authority, and the owner is responsible, the housing assistance payment will be abated immediately and the contract will be terminated.

If repairs are completed and the family wishes to move back into the unit, a new RTA will need to be submitted for that unit and the New Contract Process will need to be completed again.

If the emergency fail deficiency is not corrected in the time period required by the Housing Authority, and the family is responsible, the Housing Authority will terminate the family's assistance for violating family obligations (see Chapter 15: Family Obligations), but will not abate the payment to owner for that month.

10.9.2 Non-Emergency Fail Deficiencies

Annual or Interim inspections that result in non-emergency deficiencies that cause a unit to fail must be corrected within 30 calendar-days. .

Non-emergency deficiencies include, but are not limited to:

- Inoperable gas wall or floor heater
- Damaged (not missing) outlet covers
- Inoperable secondary smoke detectors
- Presence of vermin/roaches (not infestation)
- Minor faucet and/or plumbing leaks

The family and owner will be notified of the failed items and next scheduled inspection in writing. Owner related non-emergency deficiencies will not require a follow-up inspection if cleared by an owner certification and appropriate third-party verification. If the owner opts to submit a certification it must be signed by both owner and participant. Appropriate third-party documentation must also be supplied to support the certification. Types of appropriate verifications include but are not limited to:

- Photo(s) of the repair,
- Utility receipt, and
- Vendor receipt or invoice.

If the certification is not approved by a supervisor, a follow-up inspection must be performed. Non-emergency deficiencies for units under the Project-Based Voucher program may not be cleared remotely. A follow up inspection must be conducted.

If the necessary repairs have been completed prior to the next scheduled inspection and have not been cleared by a certification signed by both owner and participant, the owner or tenant may request an earlier inspection date. Requests for earlier repair dates will be reviewed and accommodated in a case-by-case basis.

For major repairs, the Inspections Unit Supervisor or Manager may approve an extension beyond 30 calendar days. However, the extension granted cannot exceed 60 calendar days.

If owner-caused deficiencies are not corrected in the time period required by the Housing Authority, housing assistance payments will be abated and the contract may be terminated. If family-caused deficiencies are not corrected in the time period required by the Housing Authority, housing assistance may be terminated. See Sections 10.10 and 10.11 below for more information.

10.10 CONSEQUENCES OF VERIFIED FAMILY-CAUSED DEFICIENCIES [24 CFR §982.552(a)]

The family has a responsibility to maintain the assisted unit in good condition and to notify the owner of needed repairs. If non-emergency violations of HQS are determined to be the responsibility of the family, the Housing Authority will require the family to make any repair(s) or corrections within the 30 calendar-day cycle. Housing assistance will be terminated if an assisted unit continues to fail housing inspections for family-caused deficiencies or the family fails to keep scheduled appointment(s). See Chapter 15 (Family Obligations) for more information.

Extensions will be granted on a case-by-case basis and must be approved by the Unit Supervisor. Extensions may be granted as a reasonable accommodation in accordance with sections 1.8.2 and 7.11.10.

If it has been concluded that all deficiencies are family-caused, the owner's rent will not be abated for such items.

10.11 CONSEQUENCES OF VERIFIED OWNER-RELATED DEFICIENCIES [24 CFR §982.404(a), 24 CFR §982.452 and 24 CFR §982.453]

The owner is responsible for maintaining the unit in accordance with HQS. When it has been determined that an assisted unit fails to meet HQS, the owner of that unit is responsible for completing the necessary repair(s) in the time period specified by the Housing Authority. If the owner fails to correct deficiencies within the specified time period, the Housing Authority is obligated to withhold (abate) housing assistance payments.

10.11.1 Abatement [24 CFR §982.453(b) and 24 CFR §982.404(a)(3)]

Abatement is defined as withholding Housing Assistance Payments (HAP) to the owner for the period of time the unit is out of compliance with HQS requirements.

HAP will be abated if:

1. **The assisted unit fails the first and second housing inspections due to owner-related deficiencies.**

   If a unit fails the first inspection due to owner-related deficiencies, the notice sent to the owner stating the deficiencies, repairs that need to be made, and the date of the next inspection will also serve as notice that HAP will be abated if the unit fails a second inspection due to owner-related deficiencies.

   If, after the 30-day correction period, the unit then fails the second inspection due to owner-related deficiencies, the Housing Authority will stop payment on the first day of the month following the expiration of the 30-day correction period.

The owner will be notified of the date of a final inspection. Under normal circumstances, the Housing Authority will inspect an abated unit within 30 calendar days after the abatement notification has been issued.

If the owner makes repairs during the abatement period, HAP payments will resume on the day the Housing Authority's inspector has verified the corrections and the unit passes inspection.

A 30-day calculation standard will be used to reconcile abatement payments. Please refer to memo dated 3/3/05.

No retroactive payments will be made to the owner for the period of time the rent was abated and the unit did not comply with HQS. The notice of abatement states that the family is not responsible for the Housing Authority's portion of rent that is abated. However, the family is responsible to pay its portion of the rent while abatement is in effect.

If an assisted unit fails the third and final housing inspection for owner-caused deficiencies, the Housing Authority will terminate the HAP Contract. The Housing Authority will notify the owner of the termination in writing 30 calendar days before it becomes effective. Abatement will remain in effect until the effective date of the termination.

The Housing Authority is prohibited from implementing rent abatement for family-caused deficiencies. However, abatement will apply if family-caused and owner-related deficiencies exist together.

2. **The Housing Authority has verified that the assisted unit has emergency fail deficiencies, and the owner did not complete the necessary repairs within the required timeframe**.

3. **A unit built before 1978 that houses or will house a child under 6 years of age fails the lead-based paint visual assessment, and the owner fails to submit a complete, passing clearance report and certification within 30 calendar days.** Owners will receive notice by mail if a unit fails the lead-based paint visual assessment. They will have 30 calendar days from the date of the notice to perform clearance and submit passing paperwork. If the owner fails to meet these requirements (see Section 10.4 for more information on lead-based paint), HAP will be abated and the Housing Authority will stop payment on the first day of the month following. The participant will be issued a voucher. The owner will have an additional 60 calendar days to obtain and submit a valid Clearance Report before the HAP Contract is terminated.

Families that reside in units that have been abated will be issued a voucher and will have the option to move even if the assisted unit passes inspection at the third and final inspection (this excludes participants of the Moderate Rehabilitation Program).

10.11.2 Termination of Contract [24 CFR §982.453(b)]

When the HAP Contract has been terminated, the family will be required to move in order to continue receiving rental assistance.

RTA submitted for units that have been terminated due to abatement will be reviewed on a case-by-case basis. In cases where the RTA is accepted, the family will be brought in for counseling on their situation.

10.12 QUALITY CONTROL INSPECTIONS [24 CFR §982.405(b)]

To ensure efficient program operations, it is essential for management to apply sound quality control practices. The purpose of quality control inspections is to objectively ascertain that each inspector is conducting accurate and complete inspections, and to ensure that there is consistency among inspectors in application of HQS.

Quality control inspections will be performed by a Quality Assurance Representative according to SEMAP Indicator #5 which meets the minimum quality control sample size for the number of units under HAP contract during the last completed Housing Authority fiscal year for SEMAP.

CHAPTER 11:
SETTING PAYMENT STANDARDS AND DETERMINING RENT REASONABLENESS

11.1 INTRODUCTION
[24 CFR §982.503]

The Housing Authority is responsible for ensuring that the rents charged by owners are reasonable based upon objective comparables in the rental market. When the Housing Authority has determined that the unit meets the minimum HQS, that the lease is approvable, and that the rent is reasonable, it will make timely payments to the owner and notify the owner of the procedures for rent adjustments in the rental assistance programs. This chapter explains the Housing Authority's procedures for setting and adjusting the payment standards and performing rent reasonableness analysis.

11.2 PAYMENT STANDARDS FOR THE VOUCHER PROGRAM
[24 CFR §982.503(b)(1)]

HUD regulations allow the Housing Authority to set Payment Standards at a level that is between 90 percent and 110 percent of the Fair Market Rent for Los Angeles County. The Housing Authority must set the payment standard at a level that is high enough to ensure that families are able to afford quality housing while also balancing the need to provide assistance to as many families on the waiting list as possible.

The Housing Authority will review the payment standards at least annually to determine whether an adjustment should be made for some or all unit sizes. The following provides a list of the factors that will be used to evaluate the adequacy of the payment standard and/or be used to make a determination to adjust standards, as appropriate.

As a reasonable accommodation, a family may request a higher payment standard. The Housing Authority may, at its discretion and in accordance with sections 1.8.5, approve a higher payment standard to 110% of the prevailing Fair Market Rent (FMR). In accordance with section 1.8.6, if the payment standard requested is between 111% and 120% of the FMR, the Housing Authority will submit a request to the local HUD office to approve the higher payment standard. If the requested payment standard is over 120% of the FMR, the request must be submitted to HUD Headquarters in Washington D.C. for final approval. Under these circumstances the Housing Authority may not approve the reasonable accommodation until it is approved by HUD.

11.2.1 Assisted Families' Rent Burdens

The Housing Authority will review reports showing the percent of income used for rent by voucher families to determine the extent to which the rent burden is more than 50 percent of income.

If more than 40 percent of program families in the overall program, or for a specific unit size, are contributing in excess of 50 percent of their adjusted

monthly income towards rent, the Housing Authority will consider increasing the voucher payment standards. The payment standard will not be raised if:

- The payment is already at the maximum level HUD will allow (110%).
- The Housing Authority would have to reduce the number of new admissions by 20 percent or more for the upcoming year in order to fund the increase.

11.2.2 Success Rate of Voucher Holders

The Housing Authority will periodically review the success rate of voucher holders. If 25 percent or more of new admissions and/or families wishing to move are unable to use the vouchers due to current rental rates in Los Angeles County, the Housing Authority will consider increasing the payment standard for particular unit sizes and/or the entire program, as appropriate.

The payment standard will not be increased if:

- The payment is already at the maximum HUD will allow (110%)
- The Housing Authority would have to reduce the number of new admissions by 20 percent or more for the upcoming year in order to fund the increase

11.2.3 Rent Reasonableness Database

The Housing Authority will review the rent information in the rent reasonableness data bank and compare it to the payment standards established for the Housing Choice Voucher Program. If the rent reasonableness review indicated that the payment standards are higher than the average rental unit in Los Angeles County, the payment standard for the specific unit size, or all payment standards, will be lowered to reflect the current market rents.

11.2.4 Quality of Units Selected

The Housing Authority will review the quality of units selected by participant families before determining any change to the Payment Standard to ensure that Payment Standard increases are only made when needed to reach the mid-range of the market.

11.2.5 File Documentation

A file will be retained in the Housing Authority's Administrative Support Unit for at least 3 years to document the analysis and findings to justify whether or not the Payment Standard was changed.

11.3 RENT REASONABLENESS DETERMINATIONS [24 CFR §982.507]

Rent reasonableness determinations are made when units are placed under HAP contract for the first time, when an owner requests a rent increase, when directed by HUD and at the HAP contract anniversary if there is a 5 percent decrease in the published fair market rent (FMR) in effect 60 days before the HAP contract anniversary. The Housing Authority may also re-determine reasonable rents at

any time. At no time may the rent paid to the owner exceed the reasonable rent as most recently determined or re-determined by the Housing Authority. The Housing Authority will determine and document on a case-by-case basis that the approved rent [24 CFR §982.507(b) and §982.507 (c)]:

1. Does not exceed rents currently charged on new leases by the same owner for an equivalent unassisted unit in the same building or complex, and
2. Is reasonable in relation to current asking rents and existing rents charged by other owners for comparable units in the unassisted market.

The Housing Authority contracts with an outside agency to provide a rent comparable System. The system considers a variety of criteria to provide rent comparable information, including:

- **Unit location**
- Quality
- Size
- Type
- Age of the contract unit
- Amenities
- Housing services
- Maintenance; and
- Utilities provided by the landlord.

The rent comparable system gathers open market rental data on an ongoing basis from websites and newspapers and applies a hedonic price analysis to compare a subject unit with similar comparable units in a geographic area.

11.3.1 Rent Determination for units with Low Income Housing Tax Credits (LIHTC) or HOME-funded subsidies

When the proposed rent for a LIHTC or HOME unit is equal to or less than the rent for similarly assisted units in the same building, not occupied by voucher holders, the proposed rent will be deemed reasonable.

The Housing Authority will not approve rents in LIHTC-funded or HOME-funded units that exceed the higher of the voucher payment standard, as set by the Housing Authority, or the rent for similarly assisted units in the same building, not occupied by voucher holders.

11.4 RENT ADJUSTMENTS [24 CFR §982.519]

11.4.1 REQUESTS FOR RENT INCREASES

~~The Housing Authority will process rent increases at the time of the participant's annual reexamination.~~ As stated in the HUD Tenancy Addendum, the owner must notify the Housing Authority at least 60 days before the proposed effective

date of the intended rent increase. The tenant must be notified in writing, and the written notice must be submitted to the Housing Authority. In accordance with the HUD Tenancy Addendum, the Housing Authority will disapprove requests made during the initial term of a lease. Requests can be made any time after the initial term of the lease. In accordance with rent reasonableness requirements, the Housing Authority will only approve one rent increase during a twelve-month period.

As authorized by the HAP contract, the Housing Authority will not approve a rent increase if the HAP contract is in abatement for owner-related HQS deficiencies. ~~In accordance with the HUD Tenancy Addendum, the Housing Authority will disapprove requests made during the initial term of a lease.~~

The Housing Authority will use the same criteria defined above to determine if a request for a rent increase meets the rent comparability requirement. If the new rent is not rent comparable, the Housing Authority will advise both the owner and the family that the increase cannot be approved. If a partial rent increase can be approved, the Housing Authority will notify the owner, and process the partial increase upon owner approval. Additionally, the rent will be reduced if the comparable rent exceeds the existing rent in accordance with section 11.3 of this Plan.

An owner who disagrees with the determination may exercise any of the following options:

- Adjust his/her request for a rent increase~~.~~
- Serve the family with a proper termination notice.

11.4.2 RENT REDUCTIONS

At any time, the owner may request a reduction of the contract rent by submitting a written notice to the Housing Authority. The notice must state the requested contract rent amount and the effective date of the reduction.

Retroactive reductions will only be considered if the owner is mandated to reduce the contract rent in order to become compliant with the obligations of any other rental assistance programs such as the HOME program or the Low Income Housing Tax Credit (LIHTC) program. In such cases, the owner must provide a copy of the notice requiring a retroactive reduction of the contract rent.

CHAPTER 12:
RE-EXAMINATION

12.1 INTRODUCTION
[24 CFR §982.516(a)]

To assure that tenancy is restricted to participants meeting the eligibility requirements for continued occupancy and are charged appropriate rents; the eligibility status of each participant is re-examined at least annually, based on the anniversary date, per HUD requirements. The initial contract establishes the anniversary date for all new admissions. For continuing participants, the anniversary date established as of November 1, 2010 will remain unchanged.

The effective date of an annual reexamination may be no more than twelve months from the effective date of the previous year's annual reexamination, or the anniversary date of the HAP contract if within the first year of the contract.

12.1.1 Procedure

To maintain program efficiency and integrity, the Housing Authority at its own discretion may conduct re-examination interviews by mail or in-person. The Housing Authority will attempt to conduct all annual re-examinations interviews through the mail. Annual re-examinations not completed through the mail process will be conducted in person.

12.2 RE-EXAMINATION NOTIFICATION TO THE FAMILY

Participating families are advised of the annual re-examination requirement and the importance of reporting income and family composition changes as they occur during the initial re-examination.

12.2.1 Persons with Disabilities
[24 CFR §8.24(a)]

Persons with disabilities who are unable to come in to the Housing Authority's office will be granted a reasonable accommodation of conducting the interview at the person's home or by mail, upon verification that the accommodation requested meets the need presented by the disability.

12.2.2 Requirements to Attend

If it is determined that a participant (family) will need to come to the Housing Authority's office then all adult household members 18 years and older will be required to attend the re-examination interview.

12.2.3 Failure to Respond

If a family fails to complete or return the required re-examination documents within the specified timeframe, the Housing Authority will schedule the family for a mandatory appointment. The appointment letter will provide the date and time of the appointment and a list of items that family will need to bring.

If the family fails to attend the appointment or fails to bring all the required information, the Housing Authority may proceed to propose termination of the family's assistance.

If the family is able to provide documentation of an emergency situation that prevented them from completing the required re-examination documents or attending the mandatory appointment, the Unit Supervisor at his/her own discretion may, on a case-by-case basis reschedule the appointment. The Housing Authority may also grant an exception to this policy as a reasonable accommodation.

12.2.4 Documents Required from the Family

The re-examination documents will include instructions and appropriate forms that need to be submitted to complete the re-examination. The required forms and documentation are the following:

1. Documentation of income for all family members;
2. Documentation of assets;
3. Documentation of medical or child care expenses;
4. Certified statement of family obligations;
5. Consent for Release of Information (signed by all household members over 18 years of age); and

Verification of these documents will be conducted in accordance with Housing Authority procedures and guidelines described in this plan.

12.2.5 Tenant Rent Increases

If the tenant rent increases, a 30-day notice of increase in rent is mailed to the family before the anniversary date.

If less than 30 calendar days are remaining before the anniversary date, the new tenant rent will be effective on the first of the month following the 30-day notice, but the reexamination will be effective no more than 12 months from the effective date of the last annual reexamination. If the Housing Authority was unable to process the re-examination on a timely basis due to the family's failure to provide re-examination documents, then the rent increase will be effective retroactive to the appropriate anniversary date.

If the family causes a delay in the re-examination processing, there will be a retroactive increase in rent to the anniversary date. In this particular case, the owner will receive a retroactive HAP payment and every effort will be made to recover lost rent from the tenant.

12.2.6 Tenant Rent Decreases

If the tenant rent decreases, it will be effective on the anniversary date.

Changes in family income or composition reported during an annual reexamination that result in a decrease of tenant rent will be processed as an interim reexamination in accordance with sections 12.3 and 12.3.1.

12.3 INTERIM RE-EXAMINATION [24 CFR §982.516(b)(3)]

No TTP adjustments will be affected between dates of periodic re-examination or pre-scheduled re-examinations except as noted below:

Tenants are required to submit information affecting eligibility income at all re-examinations. Additionally, tenants are required to report the following changes in family circumstances:

1. Changes in family composition, including loss or addition of one or more family members through death, divorce, birth, or adoption [24 CFR §982.516(c)], and
2. Decreases in income received by the family

A family is required to report these changes to the Housing Authority within 30 calendar days after the change has occurred. The Housing Authority will verify all reported information that will result in an interim reexamination

If, during the course of an interim reexamination conducted for a reported decrease in income, it is discovered that a family member is no longer eligible for an allowance (i.e. a minor has turned 18 and is no longer a full-time student), the case worker will determine how the loss of allowance will affect the TTP. If the loss of allowance results in an increase to the TTP, even though the family's income has decreased, the Housing Authority will not complete the interim reexamination unless requested by the family to do so.

If, during the course of an interim reexamination conducted for a reported change in family composition, it is discovered that a family member is no longer eligible for an allowance, the Housing Authority will continue to process the interim reexamination regardless of the effect on the TTP.

The U.S. citizenship/eligible immigrant status of additional family members must be declared and verified as required at the first interim or regular re-examination after moving into the unit. See Section 7.11.7(Verification of Citizenship/Eligible Immigration Status) for details.

12.3.1 Interim Changes in Income

- **Decreases**: If the information provided results in a decrease in tenant rent, a modification to the HAP Contract is executed to be effective the first of the month following the month in which the required documentation is supplied by the participant.
- **Increases**: If the information provided results in an increase in tenant rent, the Housing Authority will not conduct an interim reexamination, unless specifically requested by the family to do so. This policy does not apply to reported changes in family composition. All income for a new family member will be counted and used to calculate the family's TTP. If this information results in an increase in tenant rent, the family will be given a 30-day notice before the new rent amount is effective.
- **No Action**: The Housing Authority will not process an interim reexamination if the family reports a loss of welfare benefits due to fraud, failure to

participate in economic self-sufficiency programs, or noncompliance with a work activities requirement.

- **Social Security Overpayments:** If the family has experienced a decrease in Social Security or SSI income due to an overpayment, the Housing Authority will calculate income based on the net amount only for the specific period of the decrease. Once the overpayment period is over, the Housing Authority will process an interim reexamination using the gross amount of Social Security or SSI.

12.4 SPECIAL ADJUSTMENTS

If, at the time of re-examination, a family is clearly of low-income, and it is not possible to make an estimate of the family's income for the next 12-month period, a special re-examination will be scheduled to accommodate the family's circumstances. This includes cases where:

1. A tenant is unemployed and there are no anticipated prospects of employment, or
2. The conditions of employment and/or receipt of income are too unstable to validate usual and normal standards for determination. An interim re-examination will be scheduled for families with zero or unstable income every 3 months.

Families whose past employment has been sporadic or who are on welfare, become employed, then are unemployed, or are self-employed, will not be given special re-examination. If such an income pattern has been established and is expected to continue, then a reasonable 12-month estimate of the income may be based upon past income and present rate of income.

Furthermore, special re-examinations must be clearly set for a definite time to assure compliance.

12.5 CHANGES IN FAMILY COMPOSITION [24 CFR §982.516(c) and 24 CFR §982.551(h)(2)]

The composition of the assisted family residing in the unit must be approved by the Housing Authority. An interim re-examination will be conducted for any changes in family composition.

The Housing Authority may verify changes in family composition as detailed in Section 7.11.5.

12.5.1 Allowable Family Additions [24 CFR §982.551(h)(2)]

Allowable family additions are the following:

1. Addition due to birth, adoption or court awarded custody.
   - Must be reported to the Housing Authority, in writing, within 30 calendar days of the occurrence. Families should notify the owner and comply with any lease requirements to obtain owner approval.

2. Other allowable persons:
   - Addition of a minor if a social service agency, such as the Department of Children and Family Services (DCFS) or the Department of Public and Social Services (DPSS), previously approved the addition.
   - Addition of marriage/or marital type relation (i.e., couples that certify that they intend to live in the same principal residence indefinitely and/ or register in California as domestic partners);
   - Addition of a minor who is a child of the head of household, co-head, spouse or marital-type partner, who have been living elsewhere; and
   - Addition of a Housing Authority-approved live-in aide;
   - Addition of an adult child due to recent discharge from the military.
   - Addition of a disabled adult who requires disability-related care.

The family must request approval from the owner and the Housing Authority before the person is added. Anyone who moves into the unit without written owner and Housing Authority approval is considered an unauthorized person.

As part of the approval process, the Housing Authority conducts a criminal background check, and may also conduct a credit review, on all new potential family members, 18 years of age and older. Criminal records will only be used to screen new household members. They will not be used for lease enforcement or eviction of residents already receiving tenant-based rental assistance.

If an approved change requires a larger size unit due to overcrowding, the change in voucher size will be made effective immediately (see Chapter 5). The Housing Authority will determine the assistance, based on funding availability.

12.5.2 Decreases in Family Size

When a family member leaves the household, the absence must be reported to the Housing Authority, in writing, within 30 calendar days of the occurrence, as detailed in Section 6.8.9 (Reporting Absences to the Housing Authority). The change in family composition may impact the voucher size, as explained in Chapter 5 (Subsidy Standards).

If a decrease in family size results in a decrease of the voucher size, the Housing Authority will downsize the family's voucher to the appropriate size at the family's next annual review following the reduction in household size.

The Housing Authority may make an exception as a reasonable accommodation for a person with a disability.

12.6 CONTINUATION OF ASSISTANCE FOR "MIXED" FAMILIES [24 CFR §5.504(b)]

Families that include at least one citizen or eligible immigrant, and any number of ineligible members, are considered "mixed" families.

"Mixed" families that were participants on or before June 19, 1995, shall continue full assistance if they meet the following criteria:

1. The head of household, co-head, or spouse is a U.S. citizen or has eligible immigrant status, **and**
2. All members of the family other than head, co-head, spouse, parents of head, parents of co-head, parents of spouse, children of head, co-head, or spouse are citizens or eligible immigrants. The family may change the head of household designation to another adult member of the family to qualify under this provision.

If they do not qualify for continued assistance, the member(s) that cause the family to be ineligible for continued assistance may move, or the family may choose prorated assistance.

CHAPTER 13:
ALLOWABLE MOVES/PORTABILITY

13.1 INTRODUCTION

This chapter defines the procedures, restrictions and limitations for moving, for new applicants and current participants.

As stated in HUD regulations, eligible families participating in the Housing Choice Voucher Program have the right to receive tenant-based voucher assistance anywhere in the United States, in the jurisdiction of a public housing agency (PHA) administering a Housing Choice Voucher program. This program feature is called "portability." This chapter includes the Housing Authority's procedures for new applicants and current participants that "port out" of the Housing Authority's jurisdiction.

Additionally, this chapter specifies the Housing Authority's policies for receiving "incoming ports" from other public housing agencies.

The option of portability does not apply to families assisted under the Moderate Rehabilitation Program or the Continuum of Care (CoC) Program.

13.2 ALLOWABLE MOVES AND RESTRICTIONS

13.2.1 Restrictions on Moves

The Housing Authority may deny families permission to move if:

- There is insufficient funding for continued assistance;
- The family has violated a family obligation;
- The family is in the initial term of the lease (see 13.2.4 for exceptions);
- The family has already moved within the one-year period;
- The family owes money to this Housing Authority or another PHA. See Section 17.2 (Repayment Agreements for Families) for more information on allowable moves for families with repayment agreements; or
- There is insufficient funding to support a move with continued assistance.

In the event of insufficient funding, the Housing Authority may only deny a move to a higher cost area if the Housing Authority would not be unable to avoid termination of housing choice voucher assistance for current participants during the calendar year in order to remain within budgetary allocation (including any available HAP reserve). If the receiving PHA is willing to absorb the voucher, the Housing Authority may not deny the move to the higher cost area due to insufficient funding.

13.2.2 Allowable Moves for New Applicants [24 CFR §982.353]

A family who lives and/or works in the Housing Authority's jurisdiction at the time they are admitted to the Housing Choice Voucher Program may choose, as their initial housing:

- To remain in their current unit (this is referred to as leasing-in-place);
- A unit anywhere within this Housing Authority's jurisdiction; or
- A unit outside of this Housing Authority's jurisdiction. For more information, see the Outgoing Portability section of this chapter.

If neither the head of household or spouse already had a "domicile" (legal residence) in the Housing Authority's jurisdiction at the time when the family first submitted an application for participation in the program, the family does not have any right to portability until they have leased up with rental assistance and have resided in this Housing Authority's jurisdiction for at least 12 months [24 CFR §982.353(c)].

- Under limited conditions, the Housing Authority may waive this requirement. Examples of situations that may warrant an exception to this rule include life-threatening situations or as a reasonable accommodation. However, in all cases both the Housing Authority and the receiving jurisdiction must agree to allow the move. If the receiving public housing agency does not agree, the Housing Authority will not approve a transfer [24 CFR §982.353(c)(3)].

13.2.3 Allowable Moves for Current Participants [24 CFR §982.314]

A family that initially receives assistance for a unit leased in this Housing Authority's jurisdiction may request to move to another unit and receive continued assistance. Families in good standing may move with continued assistance if:

1. The assisted lease for the old unit has ended because the Housing Authority has terminated the HAP contract for owner breach [24 CFR §982.314(b)(1)(i)];
2. The lease was terminated by mutual agreement of the owner and the family [24 CFR §982.314(b)(1)(ii)]. The Housing Authority must receive a copy of this notice. The Housing Authority will not approve the mutual lease termination during the first year of the lease;
3. The owner has given the family a notice to vacate for reasons other than a lease violation [24 CFR §982.314(b)(2)]. The Housing Authority must receive a copy of this notice; or
4. The family has given proper written notice of lease termination after the initial lease term and in accordance with State law. This generally requires a 30-day notice. The Housing Authority must receive a copy of this notice.

A family is considered to be in good standing if they have not violated the terms of the lease, any program regulations and do not owe any money to this Housing Authority or another public housing agency.

Families that are eligible to move with continued assistance may choose to move to a unit that is:

- **Within this Housing Authority's jurisdiction**. This type of a move is called a "reserve vacate." This means that the family is moving from a unit, which could result in a temporary vacancy in the program until another unit is secured; however, the slot remains reserved for the family until the time they lease another unit.
- **Outside Housing Authority's jurisdiction**. See the Outgoing Portability section of this chapter for more information.

13.2.4 Restrictions on Moves During the Initial Lease [24 CFR §982.314(c) and §982.314(e)]

Generally, families will not be permitted to move during the initial lease, or more than once in any 12-month period.

The Housing Authority will consider exceptions to this policy for the following reasons:

1. To protect the health or safety of the family (HQS emergency items).

2. Statutory conditions under the Violence Against Women Reauthorization Act of 2013 (e.g., the family or an affiliated individual is or has been the victim of domestic violence, dating violence, sexual assault, or stalking as provided in 24 CFR part 5, subpart L, and the move is needed to protect the health and safety of the family or affiliated individual. The Housing Authority may not terminate assistance if the family, with or without prior notification to the Housing Authority, already moved out of the unit in violation of the lease, if such move occurred to protect the health and safety of an affiliated individual who is or has been the victim of domestic violence, dating violence, sexual assault, or stalking and who reasonably believed he or she was imminently threatened by harm from further violence if he or she remained in the dwelling unit.); or

3. To address an emergency situation over which a family has no control (e.g., Natural Disaster or Unsafe Environment).

   Verification must include a copy of the incident report from the local Fire Department, the Health Department, or other appropriate agency that the dwelling unit is now uninhabitable. It must also include the cause of the disaster if known.

In addition, the Housing Authority will allow exceptions to this policy for the reasonable accommodation request of a family member who is a person with disabilities. However, the owner of the property must agree to release the tenant from the lease.

13.3 PROCEDURES FOR MOVES FOR CURRENT PARTICIPANTS [24 CFR §982.314(d)]

Eligible families who wish to move must first provide the Housing Authority a copy of the lease termination notice provided to the owner. Once the Housing Authority has received a copy of the lease termination notice, the family will be issued a new voucher. If the reexamination is current (within 12 months) the Housing Authority will not conduct a reexamination before issuing the voucher unless there are reported changes to income or the family composition that would require an interim reexamination. At the same time the voucher is issued, the family will receive a Request for Tenancy Approval (RTA). The family should begin looking for housing immediately in order to ensure a smooth transition to the new unit.

Requests to move for families wishing to port to another jurisdiction must be submitted in writing.

To initiate the lease termination, the family must send a written notice to the owner and the Housing Authority no less than 30 calendar days before the vacate date. No RTA or request to transfer (port) will be processed without the proper notice to move.

13.4 OUTGOING PORTABILITY PROCEDURES [24 CFR §982.355(b)(c)]

Both new applicants and current participant families must first identify the new area~~jurisdiction~~ where they will be moving. If there is more than one Public Housing Agency (PHA) serving that area, the Housing Authority will provide the family with the contact information for the PHAs that serve that area for the family to select the PHA. The family must inform the Housing Authority which PHA it has selected. In cases where the family prefers not to select a PHA, the Housing Authority will select the PHA on behalf of the family.

Once the Housing Authority has identified the receiving PHA~~received this information~~, the Housing Authority will:

1. Contact~~Notify~~ the receiving ~~public housing agency (~~PHA~~)~~, prior to approving the family's request to port, to determine whether the voucher will be absorbed or billed by the receiving PHA ~~that the family wishes to relocate into its jurisdiction~~ [24 CFR §982.355(c)(3)];
2. Obtain in writing, via email or other confirmed delivery method, the receiving PHA's decision to absorb or bill the voucher.
   - If the receiving PHA decides absorb the voucher, the receiving PHA cannot reverse its decision at a later date without consent of the Housing Authority.
   - If the receiving PHA decides to bill the voucher, the Housing Authority may deny the move if it will result in insufficient funding for continued assistance [24 CFR §982.354(e)(1)].

Determine the family's eligibility to move with continued assistance (port). Families found eligible to port must be issued a voucher (if not yet issued) and

must be advised of how to contact and request assistance from the receiving PHA [24 CFR §982.355(c)(6)]; and

1.3. Advise the family how to contact and request assistance from the receiving PHA [24 CFR §982.355(c)(2)]; and

4. Provide the following documents and information to the receiving PHA [24 CFR §982.355(c)(74)]:
   - A copy of the family's voucher, with issue and expiration dates, formally acknowledging the family's ability to move under portability.
   - The most recent HUD 50058 form and verifications.
   - The Family Portability form (HUD-52665).

Portability Administrative Fee: If administrative fees are prorated, the proration will apply to the amount of the administrative fee for which the receiving PHA may bill. The receiving PHA may bill for the lower of 80 percent of the initial PHA's prorated ongoing administrative fee or 100 percent of the receiving PHA's prorated ongoing administrative fee.

New applicant families will be subject to the income eligibility requirements of the jurisdiction in which they will be receiving assistance [24 CFR §982.353(cd)(9)].

13.4.1 Briefing for Families Wishing to Exercise Portability [24 CFR §982.301]

Since families wishing to move to another jurisdiction must understand that the policies and procedures of the receiving PHA prevail, the Housing Authority will provide counseling for those families who express an interest in portability. This will include a discussion of how portability works, the advantages of areas that do not have a high concentration of low-income families, the difference in payment standards, subsidy standards, and income limits, if applicable. See Chapter 8, Section 8.4 for a detailed list of the information provided at the briefing session.

13.4.113.4.2 Payment to the Receiving PHA [24 CFR §982.355(d) and §982.355(e)]

If the receiving PHA chooses to administer and bill assistance on the Housing Authority's behalf, the Housing Authority will reimburse the receiving PHA for costs associated with administering the voucher, as specified in HUD regulations.

The receiving PHA must submit to the Housing Authority the initial billing no later than 60 days following the expiration date of the family voucher issued or within 10 days of an executed contract.

The Housing Authority will ensure that the receiving PHA receives all subsequent monthly payments no later than the fifth working day of each month.

13.5 INCOMING PORTABILITY PROCEDURES [24 CFR §982.355]

Eligible participants in the Housing Choice Voucher Program in other public housing agencies may be assisted in the Housing Authority's jurisdiction.

For a family to port in to the Housing Authority's jurisdiction, the Housing Authority must receive a request to absorb or bill the voucher of the incoming portable family. The Housing Authority must provide the initial PHA, in writing, via email or other confirmed delivery method, a decision to absorb or bill the voucher. Rendered decisions to absorb a voucher cannot be reversed at a later date without consent of the initial PHA.

Once a decision has been rendered to the initial PHA, the Housing Authority must receive the following, from the initial PHA:

- The Family Portability form (HUD-52665) with Part I completed.
- A copy of the family's voucher with at least 45 days before the expiration date.
- The most recent HUD 50058 form and required income verifications supporting the form.

Should the family arrive with a voucher with less than 45-days before the expiration date, the Housing Authority will refer the family back to the initial PHA. The initial PHA will decide to extend the term of the initial PHA voucher before the Housing Authority can proceed with the portability process.

13.5.1 Policies on Absorption and Administration [24 CFR §982.355(d) and §982.355(e)]

For incoming ports, the Housing Authority may, if funding permits, accept a family with a valid voucher from another jurisdiction and absorb the voucher. The Housing Authority may also exercise the option to administer the initial public housing agency's voucher and bill the initial PHA as authorized in the regulations.

Portability Administrative Fee: If administrative fees are prorated, the proration will apply to the amount of the administrative fee for which the receiving PHA may bill. The receiving PHA may bill for the lower of 80 percent of the initial PHA's prorated ongoing administrative fee or 100 percent of the receiving PHA's prorated ongoing administrative fee.

If the Housing Authority chooses to administer:

- An initial billing will be submitted to the initial PHA within 10 days of an executed contract to ensure timely receipt of payment, but no later than 960 days following the expiration date of the family voucher issued by the initial PHA.
- The Housing Authority's policy on tolling in Section 8.7.2 of this Plan will not apply [24 CFR §982.303].
- The Housing Authority will not extend the term of the voucher unless there is enough time to process the new contract and meet the billing

deadline or unless the initial PHA extends the family's voucher. The Housing Authority will notify the initial PHA if such an extension is granted [24 CFR §982.355(c)(14~~6~~)].

All subsequent monthly billing payments are to be received by the Housing Authority no later than the fifth working day of each month.

If the Housing Authority chooses to absorb, the Housing Authority may apply its policies on voucher tolling and extensions as stated in Sections 8.7.2 and 8.7.3 of this Plan.

13.5.2 Income and Total Tenant Payment Review [24 CFR §982.355(c)]

The Housing Authority will conduct an initial review of all incoming port families. The Housing Authority will:

- Conduct criminal background and registered sex offender registration checks of family members (see Section 13.5.3 below).
- Verify identifying documents, family income and composition.
- As necessary, the Housing Authority will change the bedroom size of a family's voucher to comply with the Housing Authority's subsidy standards. If this occurs, the family will be notified in writing of the change.
- If family income documents are missing or there has been a change in the family's circumstances, the Housing Authority may re-determine the family's TTP.
- For incoming port families who have not yet leased a unit under the Housing Choice Voucher Program (initial applicants), the Housing Authority must verify that the family meets the Housing Authority's income limits.

If a re-determination is necessary, the Housing Authority will not delay issuing the family a voucher or otherwise delay approval of a unit unless the re-determination reveals that the family is not eligible for assistance in the Housing Authority's jurisdiction. In such cases, the family will be referred to the initial PHA for further assistance [24 CFR §982.355(c)(11~~4~~)].

All families porting into the Housing Authority's jurisdiction will be issued a Housing Authority voucher. The term of the voucher issued by the Housing Authority may not expire before 30 calendar days from the expiration date noted on the voucher issued by the initial public housing agency [24 CFR §982.355(c)(13)].. The Housing Authority will determine whether to extend the voucher term, if necessary, based on the Housing Authority's policy in Section 13.5.1 of this Plan.

If a family that has ported into the Housing Authority's jurisdiction is unable to locate a unit within the allotted time authorized on the voucher, the Housing Authority will notify the issuing PHA that the voucher did not result in a HAP contract [24 CFR §982.355(c)(16)]..

Approval of any unit is subject to rent reasonableness and a passed inspection [24 CFR §982.401(a)(3)].

13.5.3 Criminal Background Checks for Incoming Portability 24 CFR §982.355(c)(9) – (10) and PIH Notice 2004-12

The Housing Authority will conduct criminal background and sex offender registration checks for all incoming portability families. To establish eligibility under section 2.8.1 of this Plan, the Housing Authority will review criminal history within the established review period from the date a Request to Transfer is received from the originating PHA.

While criminal background and sex offender registration checks are conducted, the Housing Authority will not delay issuing the family a voucher but will take subsequent necessary action, including up to termination of a family's assistance (see Section 2.8 for details on screening).

The Housing Authority will take the following steps to minimize the number of terminations for families that are porting into its jurisdiction:

At voucher issuance,

- Families will receive a briefing that will contain information on the Housing Authority's portability process and general policies and procedures. See Chapter 8, Section 8.4 for a detailed list of information provided at the briefing session.
- Ffamilies will be informed of the Housing Authority's criminal background policies and that they will undergo a background check. The family will be offered an opportunity to return to their originating PHA.
- If it is determined before a contract is effective that a family member is unsuitable due to a criminal background check the family will be given the options of returning to the originating PHA or excluding the culpable family member.
- If it is determined after a contract is effective that a family member is unsuitable and the Housing Authority is billing the originating PHA, the family will have the option of returning to the originating PHA or exclude the culpable household member.
- If it is determined after the contract is effective that a family member is unsuitable and the Housing Authority has absorbed the contract, the family will only have the option of excluding the culpable household member and will not be allowed to return to the originating PHA.

The contract will be terminated if it has been absorbed and if the family chooses not to exclude the culpable household member or there are no other adult eligible household members.

13.5.4 Terminations

In cases where the Housing Authority is administering a contract on behalf of another PHA, the Housing Authority will notify the initial PHA in writing of any termination of assistance within 30 calendar days of the termination.

13.5.5 Informal Hearings/Reviews [24 CFR §982.555]

If an informal hearing is required and requested by the family, the Housing Authority will conduct the hearing only if the participant has been assisted within the Housing Authority's jurisdiction. Such hearings will be conducted using the regular hearing procedures included in this plan. Families who have not yet received assistance in the Housing Authority's jurisdiction are eligible for informal reviews, as detailed elsewhere in this administrative plan.

The initial PHA will be responsible for collecting amounts owed to that public housing agency by the family for claims paid and for monitoring repayment. If the initial PHA notifies the Housing Authority that the family is in arrears or the family has refused to sign a Repayment Agreement, the Housing Authority will terminate assistance to the family.

CHAPTER 14:
CONTRACT TERMINATIONS

14.1 INTRODUCTION

The chapter identifies the key documents/contracts that set forth the responsibilities of each party involved in the rental assistance relationship and outlines the policies and procedures under which these contracts can be terminated.

14.2 DESCRIPTION OF DOCUMENTS

There are three parties involved in the rental relationship: the assisted family, the owner and the Housing Authority.

The rights and responsibilities of the assisted family are defined in the voucher or certificate and the Certified Statement of Family Obligations. A copy of the voucher or certificate is provided to the family at admission and each time a new voucher is issued. The family signs the Certified Statement of Family Obligations annually.

The relationship between the family and the owner is outlined in the lease agreement. Generally, the term of the lease is for one year. Although the Housing Authority is not a part of the lease, HUD regulations allow public housing agencies to act against the family for serious or repeated violations of the lease.

The terms of the relationship between the owner and the Housing Authority are outlined in the Housing Assistance Payments (HAP) contract. The term of the HAP contract is the same as the term of the lease.

14.3 TERMINATION OF THE LEASE BY THE FAMILY: MOVES [24 CFR §982.309(c)]

For continued tenant assistance, the family cannot terminate the lease until after a one-year period or the initial term of the lease, except for material breach of the lease by the owner, cases of foreclosure, or life threatening situations (as defined in Chapter 13). The lease determines the notice period for termination to the owner. Most leases require, at minimum, a 30-day notification. However, the Housing Authority recommends that families provide a minimum of a 60-day notice in order to allow enough time for a smooth transition of assistance from the old unit to the new unit. To initiate the lease termination, the family must send a written notice to the owner and the Housing Authority no less than 30 calendar days before the vacate date.

14.4 TERMINATION OF THE LEASE BY THE OWNER: DOMESTIC ABUSE

An owner or manager may bifurcate (separate) a lease in order to evict, remove, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in criminal acts of physical violence against family members or others, without evicting, removing, or terminating assistance, or otherwise penalizing the victim of such violence which is also a tenant or lawful occupant.

Criminal acts are defined as “criminal activity directly related to domestic violence, dating violence, sexual assault, or stalking against an affiliated individual or other individual”.

14.4.1 Terminating the Lease During the Initial Term of the Lease [24 CFR §982.310(a)]

During the term of the lease, the owner may not terminate the tenancy except for good cause, which includes serious or repeated violations of the lease and/or violations of federal, state or local law that imposes obligations on the family in connection with the use of the unit.

Under such conditions, the owner must provide both the family and the Housing Authority with a copy of any notice to move or eviction action. An eviction action is defined as a notice to vacate, or a complaint, or other initial pleading used under State or local law to commence an eviction action. Any eviction notice served to a family must specify the grounds for the termination of the tenancy.

An owner may commence termination of a tenancy for good cause by serving a legal notice of termination on the family for the following reasons:

1. Serious or repeated violation of the terms and conditions of the lease [24 CFR §982.310(a)(1)];
2. Violation of federal, state or local law that imposes obligations on the tenant in connection with the occupancy or use of the premises [24 CFR §982.310(a)(2)]; and
3. Other good cause, [24 CFR §982.310(a)(3)] including:
   - Criminal activity by the tenant, any member of the household, a guest or another person under the tenant's control that threatens the health, safety or right to peaceful enjoyment of the premises by the other residents, or persons residing in the immediate vicinity of the premises [24 CFR §982.310(d)];
   - Any drug-related criminal activity on or near the premises; or
   - Tenant disturbance of neighbors, destruction of property, or behavior resulting in damage to the premises.

14.4.2 Terminating the Lease After the Initial Term of the Lease

After the initial term of the lease, the owner may terminate the lease for other good cause. Examples of other good cause include:

- Business or economic reason for regaining possession of the unit;
- Owner's desire to repossess the unit for personal or family use or for a purpose other than residential property;

When terminating the lease for business or economic reasons, the owner is required to provide a 90-day notice to both the family and the Housing Authority.

14.4.3 Requests for Criminal Records by Project-Based Section 8 Owners [24 CFR §5.903(d)(3)]

Project-based Section 8 owners (excludes housing choice voucher owners), that have contracts with the Housing Authority, may request that the Housing Authority obtain criminal records, on their behalf, for the purpose of eviction or lease enforcement. The Housing Authority will, however, charge a fee in order to cover costs associated with the review of criminal records.

Project-based owners must submit the following items in order for the Housing Authority to process criminal records. Owner requests must include:

1. A copy of a signed consent form from each adult household members, age 18 years and older. Included in the consent form must be a legible name, the date of birth, a California Identification Number, and a Social Security number. This information will be used for the sole purpose of distinguishing persons with similar names or birth dates.
2. An owner's criteria or standards for evicting drug criminals in accordance with HUD regulations (§ 5.857 of 24 CFR Parts 5 et al.); or criteria for evicting other criminals (§ 5.858 of 24 CFR Parts 5 et al.); or criteria for lease enforcement.

Once the Housing Authority obtains the criminal records, a determination will be made as to whether a criminal act, as shown by a criminal record, can be used as a basis for eviction or lease enforcement. The Housing Authority will base its determination in accordance with HUD regulations and the owner criteria.

It is important to note that the Housing Authority will not disclose the participant's criminal conviction record, nor the content of that record to the owner unless the owner is proceeding with a judicial eviction process. In the case of a judicial eviction, the owner must provide the Housing Authority with a certification that the criminal records are necessary to proceed with the eviction.

14.5 MUTUAL TERMINATION OF THE LEASE

In cases where the owner and the family agree to terminate the lease, both parties have an obligation to notify the Housing Authority in writing at least 30 calendar days in advance of the vacate date in order that Housing Authority may avoid overpayment to the owner. A mutual termination of the lease will not be accepted if it is within a one-year period of the participant's last move or within the initial term of the lease.

14.6 TERMINATION OF THE HAP CONTRACT BY HOUSING AUTHORITY [24 CFR §982.453 – §982.454]

The Housing Authority will terminate the HAP contract as follows:

1. When the Housing Authority terminates program assistance for the family.
2. When the owner has breached the HAP contract.

   Any of the following actions will be considered a breach of the HAP contract by the owner:

- The owner has violated any obligation under the HAP contract for the dwelling unit, including the owner's obligation to maintain the unit according to housing quality standards, including any standards the Housing Authority has adopted in this policy [24 CFR §982.453(a)(1)].
- The owner has violated any obligation under any other HAP contract under Section 8 of the 1937 Act (42 U.S.C. 1437f) [24 CFR §982.453(a)(2)].
- The owner has committed fraud, bribery or any other corrupt or criminal act in connection with any federal housing program [24 CFR §982.453(a)(3)].
- The owner has failed to comply with regulations, the mortgage or note, or the regulatory agreement for projects with mortgages insured by HUD or loans made by HUD [24 CFR §982.453(a)(4)].
- The owner has engaged in drug-related criminal activity [24 CFR §982.453(a)(5)].
- The owner has committed any violent criminal activity [24 CFR §982.453(a)(6)].

3. If the family is required to move from a unit which is overcrowded based on the Housing Authority's current subsidy standards [24 CFR §982.403(a)].
4. If funding is no longer available under the ACC [24 CFR §982.454].
   - Before terminating HAP contracts on the basis of insufficient funding, the Housing Authority is required to ensure that the determination of insufficient funding is documented. The Housing Authority will consider funding insufficient if it is determined that the projected year-end subsidy falls short of the authorized budget amount.
   - The Housing Authority will determine the number of families that must be terminated, and will present the Board of Commissioners with a recommended method for terminating HAP contracts. Following Board of Commissioner and HUD notification, the Housing Authority will terminate HAP contracts.
   - Contracts of elderly and disabled families will not be subject to termination.
     - Terminated families will be placed on the waiting list and will receive a preference for assistance from the waiting list.

The Housing Authority may terminate the HAP contract if the owner has violated any obligation under any other HAP contract under Section 8 of the 1937 Act (42 U.S.C. 1437f) [24 CFR §982.453(a)(2)]. The Housing Authority will consider the following list of factors in determining whether to terminate the HAP contract for a violation of another HAP contract:

- The nature of the breach
- The location of the other units under contract compared to the subject unit
- The impacts on participants in other the units

Additionally, an owner who breaches a HAP contract may be disapproved to participate in Housing Authority programs, as detailed in Section 9.11 (Owner Disapproval). The Housing Authority's rights and remedies against the owner under the HAP contract include recovery of overpayments, abatement or other reduction of housing assistance payments, termination of housing assistance payments, and termination of the HAP contracts.

Request for reasonable accommodations relating to termination of HAP contracts will be reviewed on a case-by-case basis.

14.7 HAP PAYMENTS AND CONTRACT TERMINATIONS [24 CFR §982.311]

When a HAP contract terminates, the Housing Authority will make payments in accordance with the HAP contract and depending on the reason for the contract termination.

In cases involving a tenant notice to move or a mutual termination, not involving an eviction action, the Housing Authority will pay the owner for the entire last month that the family was in the unit regardless of the actual day of the month that the family moved out. The Housing Authority may also pay HAP on behalf of the family for the new unit in the same month.

In cases involving evictions, the Housing Authority will continue to pay the HAP until the day the family moves out or is evicted [24 CFR §982.311(b)].

In cases involving termination of assistance due to insufficient funding, families will receive a minimum of 30 days notice of termination of assistance.

In cases involving termination of assistance for reasons other than insufficient funding, the Housing Authority will notify the owner and the family of the proposed termination date. If the family does not request a hearing or the hearing is decided in the Housing Authority's favor, the HAP payments will terminate in accordance with the notification. If a family continues to occupy the unit after assistance is terminated, the family is responsible for the total amount of rent due to the owner.

If HAP payments are released to the owner for periods of time beyond the dates set forth above, the owner will be required to return all monies to the Housing Authority within 30 calendar days or within the time specified in any approved repayment agreement. The Housing Authority also reserves the right to deduct any monies from other HAP payments being made to the owner by the Housing Authority. If the owner fails to repay the HAP, the account will be forwarded for further action.

CHAPTER 15:
FAMILY OBLIGATIONS

15.1 INTRODUCTION
[24 CFR §982.552(a)]

The Housing Authority may terminate assistance for a family because of the family's action or failure to act. The Housing Authority will provide families with a written description of the family obligations under the program, the grounds under which the Housing Authority can terminate assistance, and the Housing Authority's informal hearing procedures. This chapter describes when the Housing Authority is required to terminate assistance, and the Housing Authority's policies for the termination of assistance.

15.2 SPECIAL CIRCUMSTANCE TERMINATIONS
[24 CFR §982.552(c)(2)(iv)]

The Housing Authority will not terminate assistance of a participant based solely upon incidences of domestic violence, dating violence, sexual assault, or stalking.

If termination is based upon behavior resulting from a disability, the Housing Authority will delay the termination in order to determine if there is a reasonable accommodation, pursuant to law, that would cure the grounds for the termination.

15.2.1 Form of Termination

Termination of assistance for a participant may include any or all of the following [24 CFR §982.552(a)(3)]:

1. Refusal to enter into a HAP contract or approve a lease
2. Termination of HAP under an outstanding HAP contract
3. Refusal to process or provide assistance under portability procedures

15.2.2 Mandatory Termination

The Housing Authority must terminate assistance for participants under the following conditions:

1. If any member of the family fails to sign and submit to HUD or Housing Authority required consent forms for obtaining information [24 CFR §982.552(b)(3)].
2. If no member of the family is an U.S. citizen or eligible immigrant [24 CFR §982.552(b)(4)].
3. If 180 calendar days have elapsed since the Housing Authority's last housing assistance payment was made.
4. If any family member fails to meet the eligibility requirements concerning individuals enrolled at an institution of higher education as specified in Section 2.5 [24 CFR §5.612].

15.2.3 Grounds for Termination of Assistance [24 CFR §982.552(c)(1)]

The Housing Authority may at any time terminate program assistance to a participant, for any of the following reasons:

1. The family violates any family obligation under the program as listed in 24 CFR 982.551 [24 CFR §982.552(c)(1)(i)].
2. Any member of the family has ever engaged in serious lease violations while a resident of federally assisted housing or within the past 5 years has been evicted from a federally assisted housing program [24 CFR §982.552(c)(1)(ii)].
3. Any family member, their guest or invitee (covered person), engages in drug-related or violent criminal activity [24 CFR §982.553(a) and §982.551(k)-(l)].
4. The family currently owes rent or other amounts to the Housing Authority or to another housing agency in connection with Section 8 or public housing assistance under the 1937 Act [24 CFR §982.552(c)(1)(v)].
5. The family has not reimbursed the Housing Authority or any housing agency for amounts paid under a HAP contract to an owner for rent, damages to the unit, or other amounts owed by the family under the lease [24 CFR §982.552(c)(1)(vi)].
6. The family breaches an agreement with any housing agency to pay amounts owed to any housing agency, or amounts paid to an owner by any housing agency [24 CFR §982.552(c)(1)(vii)].
7. A family participating in the family self-sufficiency (FSS) program fails to comply, without good cause, with the family's FSS contract of participation (COP) [24 CFR §982.552(c)(1)(viii)].
8. The family has engaged in or threatened abusive or violent behavior toward Housing Authority personnel [24 CFR §982.552(c)(1)(ix)].
   - "Abusive or violent behavior" includes verbal as well as physical abuse or violence. Use of expletives that are generally considered insulting, racial epithets, or other language, written or oral, that is customarily used to insult or intimidate, may be cause for termination.
   - "Threatening" refers to oral or written threats or physical gestures that communicate intent to abuse or commit violence.
   - Actual physical abuse or violence will always be cause for termination.

15.2.4 Welfare to Work Program [24 CFR §982.552(c)(1)(x)]

Failure to fulfill the obligations and conditions of the Welfare to Work program is grounds for termination of assistance.

Specifically, the Housing Authority will terminate assistance for Welfare-to-Work families if the family fails to comply with GAIN requirements, the FSS Contract of

Participation (CoP) and/or other required Family Self-Sufficiency requirements without good cause.

15.2.5 Registered Sex Offenders

If it is brought to the attention of the Housing Authority that a current program participant is subject to a lifetime sex offender registration requirement in any state , the Housing Authority will review the matter on a case-by-case basis. If the participant was erroneously admitted (the household member was subject to a lifetime registration requirement at admission and was admitted after June 25, 2001), the Housing Authority must immediately pursue termination of assistance for the household member.

If the Housing Authority erroneously admitted a lifetime sex offender, it must offer the family the opportunity to remove the ineligible family member from the household. If the family is unwilling to remove that individual from the household, the Housing Authority **must** terminate assistance for the household.

15.3 FAMILY OBLIGATIONS [24 CFR §982.551]

Failure to abide by any of the family obligations is grounds for termination.

1. The family must supply any information that the Housing Authority or HUD determines is necessary in the administration of the program [24 CFR §982.551(b)]. Information includes any requested certification, release or other documentation. Requirements include:
   - Submission of required evidence of citizenship or eligible immigration status (as provided by 24 CFR part 5);
   - Disclosure and verification of social security numbers (as provided by 24 CFR part 5);
   - Providing any information requested by the Housing Authority or HUD for use in a regularly scheduled or interim determination of family income and composition, including income, assets, and accurate family composition.
2. The family must report all changes in family composition and decreases of income in writing immediately as they occur. The owner of the unit and the Housing Authority must approve changes in composition of the assisted family [24 CFR §982.551(b) and §982.551(h)(2)]. The family must:
   - Report the birth, adoption or court-awarded custody of a child;
   - Request Housing Authority approval to add any other family member;
   - Notify the Housing Authority when a family member no longer lives in the unit.

   If the Housing Authority gives approval, a live-in aide or a foster child may live in the unit. Failure to report changes, making false reports and/or

allowing unauthorized people in the unit is cause for termination from the program.

3. All information supplied by the family must be true and complete [24 CFR §982.551(b)].
4. Maintain the rental unit [24 CFR §982.551(c)]. The family is responsible for any violation of Housing Quality Standards resulting from:
   - Failure to pay for tenant-paid utilities;
   - Failure to furnish required stove and or refrigerator if to be provided by family; or
   - Damage to the unit or grounds by the family or its guests beyond normal wear and tear.
5. The family must allow the Housing Authority to inspect the unit at reasonable times and after reasonable notice [24 CFR §982.551(d)].
6. The family may not commit any serious or repeated violation of the lease [24 CFR §982.551(e)].
7. The family must notify the owner and, at the same time, notify the Housing Authority before the family moves out of the unit or terminates the lease on notice to the owner. The family must promptly give the Housing Authority a copy of any owner eviction notice [24 CFR §982.551(f) – (g)].
8. The family must use the assisted unit for residence by the family. The unit must be the family's only residence. The family must not sublease or let the unit [24 CFR §982.551(h)(1), (6)].
9. The family must not assign the lease or transfer the unit. In cases where there is a change in the head of household, the lease may be transferred to the new Head but only with the consent of the owner of the property and the Housing Authority [24 CFR §982.551(h)(7)].
10. Members of the household may engage in legal profit-making activities in the unit, but only if such activities are incidental to primary use of the unit as a residence by members of the family [24 CFR §982.551(h)(5)].
11. The family must supply any information or certification requested by the Housing Authority to verify that the family is living in the unit, or relating to family absence from the unit, including any Housing Authority-requested information or certification on the purposes of family absences. The family must cooperate with the Housing Authority for this purpose. The family must promptly notify the Housing Authority of absence from the unit [24 CFR §982.551(i)].
12. The family must not own or have any interest in the unit [24 CFR §982.551(j)].
13. The members of the family must not commit fraud, bribery or any other corrupt or criminal act in connection with the programs [24 CFR §982.551(k)].

14. The members of the family, their guests or invitees, may not engage in drug-related criminal activity or violent criminal activity, or other criminal activity that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises.
15. The members of the family, their guests or invitees, must not abuse alcohol in a way that threatens the health, safety or right to peaceful enjoyment of other residents and persons residing in the immediate vicinity of the premises.
16. An assisted family, or members of the family, may not receive Section 8 tenant-based assistance while receiving another housing subsidy, for the same unit or for a different unit, under any duplicative (as determined by HUD or in accordance with HUD requirements) federal, State or local housing assistance program [24 CFR §982.551(n)].
17. The family must pay only the amount authorized by the Housing Authority on the approved lease. Any amount paid by the family other than the authorized amount is considered an illegal side payment and is cause for termination of the housing assistance subsidy. The Housing Authority may authorize additional payments for other amenities [24 CFR §982.451(b)(4)(ii)].
18. The family must not receive housing choice voucher program housing assistance while residing in a unit owned by a spouse, parent, child, grandparent, grandchild, sister or brother of any member of the family, unless the Housing Authority has determined (and has notified the owner and the family of such determination) that approving rental of the unit, notwithstanding such relationship, would provide reasonable accommodation for a family member who is a person with disabilities (See Section 9.4 for more information).
19. The family must not have a member that has committed a crime that subjects them to a lifetime sex offender registration requirement imposed by any State sex offender registration program reside in the unit. This is to ensure that no household member or guest is creating or maintaining a threat to the health and safety of other residents or the public.

15.3.1 Housing Authority Discretion [24 CFR §982.552(c)(2)]

In deciding whether to terminate assistance because of action or failure to act by members of the family, the Housing Authority has discretion to consider all of the circumstances in each case, including:

- The seriousness of the case,
- The extent of participation or culpability of individual family members,
- The length of time since the violation occurred and more recent record of compliance, and the effects of denial or termination of assistance on other family members who were not involved in the action or failure to act, and
- Requests for reasonable accommodation

On a case by case basis the Housing Authority may counsel the family before proposing termination.

The Housing Authority may impose, as a condition of continued assistance for other family members, a requirement that family members who participated in or were culpable for the action or failure will not reside in the unit. The Housing Authority may permit the other members of a family to continue in the program.

15.3.2 Enforcing Family Obligations

Explanations and Terms

- **HQS Breach**: The inspector will determine if an HQS breach as identified in 24 CFR §982.404(b) is the responsibility of the family. Families may be given extensions to correct HQS breaches as explained in Chapter 10.
- **Lease Violations**: The following criteria will be used to decide if a serious or repeated violation of the lease will cause a termination of assistance [24 CFR §982.310]:
    - If the owner terminates tenancy through court action for serious or repeated violation of the lease.
    - If the owner notifies the family of intention to terminate tenancy for serious or repeated lease violations, and the family moves from the unit prior to the completion of court action, and the Housing Authority determines that the cause is a serious or repeated violation of the lease based on available evidence.
    - If there are police reports, neighborhood complaints or other third-party information, and the Housing Authority has verified the information. Lack of receipts or other proof of rent payments by the family may also be considered verification of lease violations.
- **Family Member Moves Out**: Families are required to notify the Housing Authority within 30 calendar days if any family member leaves the assisted household [24 CFR §982.551(h)(3)]. When the family notifies the Housing Authority, they must furnish the following information:
    - The date the family member moved out.
    - The new address, if known, of the family member.
    - A statement as to whether the family member is temporarily or permanently absent.
    - Related income, asset or deduction changes resulting from the member moving.
- **Limitation on Profit-making Activity in Unit [24 CFR §982.551(h)(5)]**: If the business activity area results in the inability of the family to use any of the critical living areas, such as a bedroom utilized for a business which is not available for sleeping, it will be considered a violation.

  If the Housing Authority determines that the use of the unit as a business is not incidental to its use as a dwelling unit, it will be considered a violation of family obligations.

- **Interest in Unit [24 CFR §982.551(j)]**: The owner may not reside in the assisted unit, under any circumstances, including as a live-in aide, regardless of whether the owner is a member of the assisted family, unless assistance is being provided for a mobile home and the family owns the mobile home and rents the pad under the Certificate or Housing Choice Voucher Program.
- **Fraud [24 CFR §982.551(k)]**: In each case, the Housing Authority will consider which family members were involved, the circumstances, and any hardship that might be caused to innocent members.

15.3.3 Drug-Related Criminal Activity [24 CFR §982.553(a)(1) and (b)(1)]

Drug-related criminal activity includes the manufacture, dispensation, distribution, sale, use or possession of illegal drugs. An "illegal drug" is defined as any controlled substance, in any amount, as defined by the United States Code, Title 21, section 802, including but not limited to narcotics, amphetamines, hallucinogens, cocaine, marijuana, medical marijuana, designer drugs, or other intoxicants. This definition also specifically includes over the counter medications used in the manufacture of illegal drugs or for the purposes of becoming intoxicated, and pharmaceutical medications which are used either without being prescribed by a licensed physician or in excess of the amount prescribed by a physician for the purposes of becoming intoxicated.

Drug-related criminal activity does not include the prior use or possession of a controlled substance if the family member had an addiction to the substance and has recovered, or is recovering from the addiction and does not currently use or possess the substance and has demonstrated successful completion of a rehabilitation program [24 CFR §982.553(b)].

The Housing Authority maintains a zero tolerance policy regarding drug-related criminal activity and:

- May propose termination against the family for drug-related criminal activity that occurs on or off the premises of the assisted unit, or drug-related criminal activity committed by a guest or invitee of any family member on the premises of the assisted unit. An arrest or conviction is not required to deny or terminate assistance.
- May terminate a participant's assistance if they have been arrested, convicted or whose tenancy is being terminated due to drug-related criminal activity or whose activities, including the activities of their guests or invitees, have created a disturbance in the building or neighborhood.
- Will terminate assistance if the family violates the lease for drug-related criminal activity.

In appropriate cases, the Housing Authority may permit the family to continue receiving assistance provided that family members determined to have engaged in the prescribed activities will not reside and/or visit in the unit.

15.3.4 Violent Criminal Activity [24 CFR §982.553(a)(2) and (b)(2)]

Violent criminal activity includes any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force against a person or property, and the activity is being engaged in by any family member, their guests or invitees. Violent criminal activity also includes activity which occurs within the family, such as during domestic disputes.

The Housing Authority maintains a zero tolerance policy regarding violent criminal activity and:

- May propose termination against the family for violent criminal activity that occurs on or off the premises of the assisted unit. An arrest or conviction is not required to deny or terminate assistance.
- May terminate a participant's assistance if they have been arrested, convicted or whose tenancy is being terminated due to violent criminal activity or whose activities, including those of their guests and invitees, have created a disturbance in the building or neighborhood.
- Will terminate assistance if the family violates the lease for violent criminal activity.

Incidents or threats of abuse, or criminal activity related to abuse engaged in by a member or guest of the participant's household, will not be grounds for termination of the victim or threatened victim of the abuse.

In appropriate cases, the Housing Authority may permit the family to continue receiving assistance provided that family members determined to have engaged in the prescribed activities will not reside in the unit.

15.3.5 Other Criminal Activity [24CFR§982.553(a)(ii)(A)(3)

Other criminal activity includes any criminal activity which may threaten the health, safety, or right to peaceful enjoyment of the premises by other residents or persons residing in the immediate vicinity of the premises.

15.3.6 Required Evidence [24 CFR §982.553(c)]

The Housing Authority gathers publicity available arrest data related to its applicants, and will take appropriate action related to program violations.

In determining whether to terminate assistance based on criminal activity, the Housing Authority may terminate assistance if the preponderance of evidence indicates that a family member has engaged in such activity, regardless of whether the family member has been arrested or convicted.

The Housing Authority may consider arrests, convictions, no contest pleas, fines, city ordinance violations or other credible preponderance of evidence in determining if a violation has occurred.

The Housing Authority does not use records for juvenile offenses to terminate assistance to the family, except as may be authorized by State or federal law.

The Housing Authority may consider as evidence criminal records of a minor tried and convicted as an adult in criminal court for such offenses as murder, sex offenses, robbery and arson.

Preponderance of evidence: evidence which is of greater weight or more convincing than the evidence which is offered in opposition to it; that is, evidence which as a whole shows that the fact sought to be proved is more probable than not. The intent is not to prove criminal liability, but to establish that the act(s) occurred. Preponderance of evidence may not be determined by the number of witnesses, but by the greater weight of all evidence.

15.3.7 Confidentiality of Criminal Records [24 CFR §5.903(g)]

Criminal records received by the Housing Authority shall be maintained confidential, not misused, nor improperly disseminated and kept locked during non-business hours. Also, all criminal records will be destroyed no later than 30 calendar days after a final determination is made.

15.3.8 Disclosure of Criminal Records to Family [24 CFR §5.903(f) and §982.553(d)]

The applicant or household member requesting to be added to the lease will be provided with a copy of the criminal record upon request and an opportunity to dispute the record. Applicants will be provided with the opportunity to dispute the record at an informal review. Participants may contest such records at an informal hearing.

15.4 NOTICE OF TERMINATION OF ASSISTANCE

In any instance where the Housing Authority decides to terminate assistance to the family, the Housing Authority must give the family a written notice that includes:

1. The reason(s) for the proposed termination;
2. The effective date of the proposed termination;
3. Information regarding the family's right to request an Informal Hearing to be held before termination of assistance; and
4. The date by which a request for an informal hearing must be received by the Housing Authority.

A final notice of determination and date of termination will then be sent to the participant if no hearing is requested within the allowable time or if the Informal Hearing confirms the termination.

The Housing Authority will simultaneously provide written notice of the contract termination to the owner so that it will coincide with the termination of assistance. The notice to the owner will not include any details regarding the reason for termination of assistance.

15.5 PROCEDURES FOR NON-CITIZENS [24 CFR §982.552(b)(4) and 24 CFR §5.514]

The Housing Authority is required to terminate assistance for participant families in which no members are U.S. citizens or eligible immigrants. If a family member does not establish citizenship or eligible immigration status as required, the Housing Authority will prorate the assistance, or if there are no eligible family members remaining, the Housing Authority will propose program termination and provide the opportunity for an informal hearing, as explained in Chapter 16.

15.5.1 False or Incomplete Information (No Eligible Members)

Families are required to submit evidence and sign declarations of their citizenship or eligible immigration status. If the Housing Authority obtains substantive documentation (such as a permanent resident card or information from another agency) that contradicts a family member's declaration of citizenship, an investigation will be conducted and the individual given an opportunity to present relevant information.

- If the family (or any member) claimed eligible immigrant status and the USCIS primary and secondary verifications failed to document the status, the family may make an appeal to the USCIS and request a hearing with the Housing Authority either after the USCIS appeal or in lieu of the USCIS appeal.
- If the family member is unable to verify their citizenship, the Housing Authority may give the individual an opportunity to provide a new declaration as an eligible immigrant or to elect not to contend their status. The Housing Authority will then verify eligible status, and terminate, or prorate as applicable.
- Assistance may not be terminated while verification of the participant family's eligible immigration status is pending.

After the Housing Authority has made a determination of ineligibility, the family will be notified of the determination and the reasons, and informed of the option for prorated assistance (if applicable) or the proposed termination.

The Housing Authority will terminate assistance for misrepresentations or submission of false information.

15.6 ZERO ASSISTANCE (END OF PARTICIPATION) [24 CFR §982.455]

The Housing Authority is required to automatically terminate the HAP contract 180 calendar days after the last housing assistance payment is made to the owner. A family receiving no assistance may remain in the unit for up to 180 calendar days after the last HAP payment. If the family is still in the unit after 180 calendar days, assistance is terminated. If within the 180-day period, an owner rent increase or a decrease in the TTP causes the family to be eligible for a housing assistance payment, the Housing Authority will resume assistance payments for the family.

In order for a family to move to another unit during the 180 calendar days, the rent for the new unit would have to be high enough to necessitate a housing assistance payment.

15.7 OPTION NOT TO TERMINATE FOR MISREPRESENTATION OF INCOME

If the family has misrepresented any facts that caused Housing Authority to overpay assistance, the Housing Authority may choose not to terminate and may offer to continue assistance provided that the family agrees to pay the Housing Authority the amount owed and either pays the Housing Authority in full or executes a Repayment Agreement and makes payments in accordance with the agreement.

15.8 MISREPRESENTATION IN COLLUSION WITH OWNER

If the family willingly and knowingly commits fraud or is involved in any other illegal scheme with the owner, the Housing Authority will deny or terminate assistance.

15.9 MISSED APPOINTMENTS AND DEADLINES [24 CFR §982.551]

It is a family obligation to supply information, documentation, and certifications as needed for the Housing Authority to complete required processes. The Housing Authority schedules appointments and sets deadlines in order to obtain the required information. Failure to supply requested information can result in termination of assistance. Examples of failing to supply requested information can include: failing to sign necessary documents, failing to return documents or returning incomplete or altered documents, failing to complete all information requested on documents, etc.

The obligations also require that the family keep all appointments and allow the Housing Authority to inspect the assisted unit. All scheduled inspections are considered “appointments.”

The family will receive information about the requirement to keep appointments, and the number of times that appointments are rescheduled as specified below. Appointments are scheduled and time requirements imposed for the following events and circumstances:

1. Eligibility for Admissions;
2. Verification Procedures;
3. Voucher Issuance and Briefings;
4. HQS Inspections;
5. Re-examinations; and
6. Appeals (Informal Hearing/Reviews).

Examples of good cause for missing appointments or failing to provide information by deadlines are medical and/or family emergencies. In such cases, the family may be requested to provide verification of such circumstances.

An applicant or participant who fails to keep appointments, or to supply information required by a deadline without notifying the Housing Authority may be sent a notice of termination of assistance for failure to comply with program regulations.

The family may be granted up to two opportunities before they receive a notice of denial or termination for breach of a family obligation. After issuance of the denial or termination notice, if the family offers to correct the breach within the time allowed to request a review or hearing, the notice may be rescinded after the family corrects the breach, if the family does not have a history of non-compliance. For families with a history of non-compliance, the Housing Authority may elect to hold the review or hearing.

The Housing Authority may grant exceptions to this policy as a reasonable accommodation, in accordance with section 1.8.2 and 7.11.10.

15.10 REPORTING TERMINATED FAMILIES TO ENTERPRISE INCOME VERIFICATION SYSTEM (EIV)

If a family is terminated due to an adverse action or leaves the program owing money to the Housing Authority, the family will be reported to EIV. Additionally, if any debt is owed, the amount of the debt will be recorded in EIV.

CHAPTER 16:
INFORMAL REVIEWS/HEARINGS

16.1 INTRODUCTION

This chapter covers the Housing Authority's policy and procedures for informal reviews and informal hearings. This chapter defines the Housing Authority's responsibilities to applicants and participants.

16.2 REASONABLE ACCOMMODATION

All requests for accommodation will be verified with a reliable, knowledgeable professional so that the Housing Authority can properly accommodate the need presented by the disability.

Requests for accommodation from persons with disabilities will be granted upon verification that they are reasonable, and they meet the need presented by the disability.

Reasonable accommodation will be made for persons with disabilities that require an advocate or accessible offices. A designee will be allowed to provide information as needed, but only with the permission of the person with the disability.

16.3 INFORMAL REVIEW PROCEDURES FOR APPLICANTS [24 CFR §982.554(a)]

Under certain circumstances, the Housing Authority offers informal reviews for applicants. Applicants are defined as families who are on the Section 8 waiting list and are awaiting the issuance of a voucher or families who have been issued a voucher but have not yet been assisted under a Housing Assistance Payment (HAP) Contract.

When the Housing Authority denies assistance to an applicant, the family is notified in writing. The notice contains:

- The reason(s) for the decision;
- The procedure for requesting an informal review if the applicant does not agree with the decision; and
- The time limit for requesting a review.

The Housing Authority must provide applicants with the opportunity for an Informal Review of Decisions denying issuance of a voucher or participation in the program.

Applicants who are denied assistance based on ineligible immigration status are entitled to an informal hearing (rather than an informal review).

16.3.1 When an Informal Review is Not Required [24 CFR §982.554(c)]

Informal reviews are not required for established policies, procedures, and Housing Authority determinations such as:

1. Discretionary administrative determinations by the Housing Authority;
2. General policy issues or class grievances;
3. A determination of the family unit size under the Housing Authority subsidy standards;
4. Refusal to extend or suspend a certificate or voucher;
5. Disapproval of lease;
6. Determination that the unit is not in compliance with HQS; or
7. Determination that the unit is not in accordance with HQS due to family size or composition.

16.3.2 Procedure for Review [24 CFR §982.554(b)]

Informal Reviews will be conducted via mail. Applicants will be required to submit written objections to the Housing Authority by the close of business day, no later than 15 calendar days from the date of the Housing Authority's notification of "Notice of Cancellation of Application." The informal review will be conducted within 30 calendar days from the date the request is received.

The informal review will not be conducted by the person who made or approved the decision under review, nor a subordinate of such person. The review may be conducted by:

- A staff person who is not the person who made the decision or his/her subordinate, or
- An individual from outside the Housing Authority.

A Notice of the Review decision will be provided in writing to the applicant within 30 calendar days after the review. It shall include the decision of the review officer, and an explanation of the reasons for the decision.

All requests for a review, supporting documentation, and a copy of the final decision will be retained in the applicant's file.

Requests for accommodations from persons with disabilities will be granted upon verification that the request is reasonable, and they meet the need presented by the disability on a case-by-case basis.

16.4 INFORMAL HEARING FOR PARTICIPANTS [24 CFR §982.555]

16.4.1 When an Informal Hearing May Be Requested [24 CFR §982.555(a)(1)]

A participant family must be given an opportunity for an informal hearing to consider whether certain Housing Authority decisions are in accordance with the law, HUD regulations and Housing Authority policies.

1. A determination of the family's annual or adjusted income, and the use of the income to compute the housing assistance payment.
2. A determination of the appropriate utility allowance (if any) for tenant-paid utilities from the Housing Authority utility allowance schedule.
3. A determination of the family unit size under the Housing Authority's subsidy standards.
4. A determination that a certificate program family is residing in a unit with a larger number of bedrooms than appropriate for the family unit size under the Housing Authority's subsidy standards, or a Housing Authority determination to deny the family request for a waiver from the standards.
5. A determination to terminate assistance for a participant family because of the family's action or failure to act.
6. A determination to terminate assistance because the participant family has been absent from the assisted unit for longer than the maximum period permitted under Housing Authority policy and HUD rules.

In the cases described in paragraphs (4), (5), and (6) of this section, the PHA must give the opportunity for an informal hearing before the PHA terminates the housing assistance payment for the family under an outstanding HAP contract.

16.4.2 Notification [24 CFR §982.555(c)]

- When the matter in question is:

  1. The determination of the family's annual or adjusted income or computation of the housing assistance payment;
  2. Appropriate utility allowance (if any) for tenant-paid utilities; or
  3. Family unit size,

  The Housing Authority must notify the family that they may ask for an explanation of the basis of the Housing Authority's determination. The family must also be notified that if the family does not agree with the explanation, the family may request in writing an informal hearing on the decision.

- When the matter in question is:

  1. Certificate family residing in too large a unit, or the Housing Authority's refusal to issue a waiver to subsidy standards;

2. Termination due to the family's action or failure to act; or
3. Absence from the assisted unit for longer than the maximum period permitted,

The Housing Authority must give the family prompt written notice that the family may request in writing an informal hearing on the decision.

- When the Housing Authority has made a decision to:
  1. Terminate HAP on behalf of a participant under an active contract;
  2. Refuse to re-issue a voucher; or
  3. Refuse to execute a new contract with a program participant,

  The family must be given written notice of the opportunity for an informal hearing before the termination of Housing Assistance Payments.
- The notice must:
  1. Contain a brief statement of reasons for the decision;
  2. Inform the participant regarding his/her right to an informal hearing;
  3. Advise the participant that a request for an informal hearing must be in writing;
  4. Advise the participant that the Housing Authority must receive the request within 15 calendar days of the date of the letter; and
  5. Explain the basic elements of the informal hearing, i.e., right of the participant to present evidence, question witnesses, to have representation, the Housing Authority-designated impartial hearing officer written decision.

16.4.3 Prior to Hearing [24 CFR §982.555(e)(2)]

Before the informal hearing, the family may request an appointment to examine any documents in the family's portion of the file that are directly relevant to the hearing. The family must be allowed to copy any such document at the family's expense. If the Housing Authority does not make the document in the family's file available for examination on request of the family, the Housing Authority may not use the document at the hearing.

The Housing Authority may also provide information to participants on relevant documents in the possession of other public agencies in order for the participant to contact the agency and obtain a copy of the document. The Housing Authority may then reference the contents of the document at the hearing through witness testimony.

The Housing Authority requires that the family submit any documents that are directly relevant to the hearing either before or at the time of the hearing. The Housing Authority must be allowed to copy any such documents at the Housing Authority's expense. If the family does not make the document available for examination on request of the Housing Authority, the family may not rely on the document at the hearing.

During the course of the hearing, if the family offers to submit evidence, the Hearing Officer is not required to, but may exercise the discretion to allow the family to submit a document within a specified period.

16.4.4 Hearing Process [24 CFR §982.555(d)]

When a participant family has timely requested a hearing, the Housing Authority will proceed within 15 calendar days of receipt of the request to notify the participant of the date, time and location of the hearing.

- There may be one postponement of the hearing date by the participant. A request to reschedule must be requested before the scheduled date and may not extend beyond the proposed termination date.
- Any additional postponements may only be for good cause such as, but not limited to hospitalization, illness or injury. Second postponement requests must be supported by verification of the cause.

16.4.5 Hearing Officer [24 CFR §982.555(e)(4)]

The Hearing Officer may be either a Housing Authority employee or an outside third party contracted by the Housing Authority. The Hearing Officer must not have made or approved the decision under review nor be a subordinate of the person who made the decision. The Hearing Officer controls the informal hearing and may:

- Control the scope and method of direct and cross examination of witnesses;
- Control the admission and determine relevancy of offered evidence;
- Question witnesses and set time limitations for any portion of the informal hearing process.
- May consider evidence without regard to admissibility under the rules of evidence applicable to judicial proceedings.

The Hearing Officer will audio record the hearing and follow the format set forth below.

16.4.6 Opening

The Hearing Officer will convene the informal hearing with both parties and their representatives present. (If the participant is represented, the participant will have provided the Housing Authority written authorization for the representative to do so.)

The Hearing Officer will explain the informal hearing procedures, state the purpose of the hearing, and inform the participant that the hearing will be recorded. The Hearing Officer may request clarification or ask questions of either side or witnesses at any time during the Informal Hearing. Each person present will introduce himself or herself.

16.4.7 Presentations

Each side will have an opportunity to present its case and be allowed to present witnesses and submit relevant evidence as determined by the Informal Hearing Officer. (Witnesses may be cross-examined at this time.) The Housing Authority begins the hearing by presenting the Notice of Hearing. The Housing Authority will then present a copy of the original notification to the participant regarding the matter, followed by the evidence, including testimony of witnesses, which supports the allegations in the notification.

16.4.8 Rebuttals

Each side will have an opportunity to present rebuttal to the evidence presented.

16.4.9 Final Summary

Each side is then allowed to summarize its arguments.

16.4.10 Conclusion of Hearing

The Hearing Officer may continue a hearing if additional information from either party is requested. Otherwise, the Hearing Officer will advise each side that the testimony and evidence will be reviewed, a final decision made and a determination letter issued stating the decision and the reasons for the decision within 10 calendar days. The decision of the Hearing Officer is final.

The Hearing Officer will use the following principles for the Informal Hearings and decisions:

1. Evidence may be considered without regard to admissibility under the rules of evidence applicable to judicial proceedings.
2. Determinations on the matter being reviewed shall be based on the evidence presented at the hearing.
3. If the issues and differences can properly be resolved at the hearing, the Hearing Officer should attempt to resolve them through mutual consent as long as the resolution is not contrary to applicable law, HUD regulations and/or Housing Authority's policies.
4. The purpose of the hearing is to determine if the original decision made in the case is in accordance with the law, HUD regulations and Housing Authority policies.
5. The Hearing Officer may not make a finding contrary to HUD regulations or requirements, contrary to federal, state or local law or exceeding the authority of the Hearing Officer.

16.5 WHEN AN INFORMAL HEARING IS NOT REQUIRED [24 CFR §982.555(b)]

The Housing Authority is not required to provide a participant family an opportunity for an informal hearing for the following:

1. To review discretionary administrative determinations by the Housing Authority, or to consider general policy issues or class grievances;
2. To review the Housing Authority's determination that a unit does not comply with HQS, **except** when the breach of HQS was determined to be tenant-caused;
3. To review decision by the Housing Authority to exercise or not exercise any remedy against the owner under an outstanding Contract, including the termination of HAP to the owner;
4. To review the Housing Authority's decision not to approve a family's request for an extension or suspension of the term of the voucher;
5. Determination that the unit is not accordance with HQS due to family size;
6. Establishment of the Housing Authority's schedule of utility allowances for families in the program; or
7. A Housing Authority determination not to approve a unit or lease.

CHAPTER 17:
OWNER OR FAMILY DEBTS TO HOUSING AUTHORITY

17.1 INTRODUCTION
[24 CFR §982.163 AND §792]

This chapter describes the Housing Authority's policies and guidelines for the recovery of debts and the use of repayment agreements. Before a debt is assessed against a family or owner, the file must contain documentation to support the Housing Authority's claim that the debt is owed. The file must further contain written documentation of the method of calculation, in a clear format for review by the owner or the family, as appropriate.

When families or owners owe money to the Housing Authority, every effort will be made to collect the debt. A variety of collection tools to recover debts may be used including, but not limited to:

- Requests for lump sum payments
- Repayment agreements
- Abatements
- Deductions
- Collection agencies
- Credit bureaus
- Civil suits

17.2 REPAYMENT AGREEMENTS FOR FAMILIES
[24 CFR §792.103]

A Repayment Agreement as used in this plan is a document entered into between the Housing Authority and the person who owes a debt to the Housing Authority. The Repayment Agreement contains:

- Reference to the paragraphs in the family obligations whereby the person is in non-compliance and may be subject to termination of assistance; and
- A statement that the monthly retroactive rent repayment amount is in addition to the family's regular rent contribution and is payable to the Housing Authority; and
- The terms of the agreement may be renegotiated if there is a decrease or increase in the family's income; and
- Late and missed payments constitute default of the repayment agreement and may result in termination of assistance; and
- An acknowledgment by the person of the debt in a specific amount; and
- The terms of repayment; and
- Any special provisions of the agreement.

17.2.1 Late Payments

A payment will be considered to be in arrears if the payment has not been received by the close of the business day on which the payment was due.

- If the due date is on a weekend or holiday, the due date will be at the close of the next business day.

If the family's repayment agreement is in arrears, the Housing Authority may do one or more of the following:

- Require the family to pay the entire arrearage plus current month's payment in order avoid loss of assistance;
- Require the family to pay the balance in full in order to avoid losing assistance;
- Pursue civil collection of the balance due; or
- Terminate the housing assistance.

17.2.2 Requests To Move

If the family requests to move to another unit and has a repayment agreement in place, the family will be required to pay the balance in full prior to the issuance of a voucher, regardless of whether or not the family is current with its payments.

Under special circumstances indicated below, the Housing Authority may make an exception and allow a family to move without paying the entire balance of the debt if the family is current or can become current with its payments:

- HAP contract is terminated due to owner non-compliance
- A natural disaster
- The unit is uninhabitable or has major HQS deficiencies that are not the result of a family action or inaction.
- A life-threatening situation such as the family is a witness to or a victim of a crime and must move for safety reasons. The family will be required to provide proof in such cases.

17.2.3 Guidelines for Repayment Agreements

The Housing Authority, at its sole discretion, will determine on a case-by-case basis whether or not to offer a family a repayment agreement for monies owed to the Housing Authority. The offer of a repayment agreement does not constitute an agreement to continue the family's assistance. However, the Housing Authority will propose termination of the family's assistance upon refusal by the family to enter into a repayment agreement.

Repayment Agreements will be executed between the Housing Authority and the head of household or other adult family member.

Monthly payments may be decreased in cases of hardship with the prior notice of the family, verification of hardship, and the approval of a Housing Authority Manager.

If the Housing Authority offers a repayment agreement, the family has the option to repay retroactive rent balances as follows:

1. In a lump sum amount; or

2. A monthly payment; or
3. A combination of a lump sum and monthly payment.

The Housing Authority will usually ask that the family pay an initial lump sum (in an amount determined by the Housing Authority) with the remaining balance to be paid in equal payments over a period of time not to exceed 12 months for amounts under $2,400 or 24 months for any amount in excess of $2,400.

In determining the initial lump sum, the Housing Authority will consider the total amount owed, the ability of the person to make the remaining payments and the percentage of the total sum owed. In most cases, the Housing Authority will ask the family to pay a significant initial lump sum as part of entering into a Repayment Agreement to help ensure full payment to the Housing Authority and to reduce the monthly payment. These terms will be negotiated with the tenant.

Additional Debt Incurred: If the family has a Repayment Agreement in place and incurs an additional debt to the Housing Authority:

- The Housing Authority may choose, at its discretion, to agree to more than one Repayment Agreement at a time with the same family.
- If a Repayment Agreement is in arrears more than 30 calendar days, any new debts must be paid in full.

17.3 FAMILY DEBTS OWED FOR UTILITY REIMBURSEMENT PAYMENTS

Families must repay Utility Reimbursement Payments (URP) made by the Housing Authority for periods in which the family was not entitled to the URP.

If the amount of the URP owed to the Housing Authority is $50 or less, the tenant will be required to pay the debt in full.

17.4 FAMILY DEBTS DUE TO FRAUD/NON-REPORTING OF INFORMATION [24 CFR §792.103]

HUD's Definition of Program Fraud and Abuse: A single act or pattern of actions that constitutes false statement, omission, or concealment of a substantive fact, made with intent to deceive or mislead, and that results in payment of Housing Choice Voucher Program funds in violation of Housing Choice Voucher Program requirements.

17.4.1 Family Error/Late Reporting

Families who owe money to the Housing Authority due to the family's failure to report income or change in allowances or deductions will be required to repay in accordance with the guidelines set forth in 17.2 (Repayment Agreements for Families) of this chapter.

17.4.2 Program Fraud

At the Housing Authority's discretion, families who owe money to the Housing Authority due to program fraud will be required to repay the debt, and may be

required to accept a repayment agreement in accordance with the guidelines set forth in Section 17.2 (Repayment Agreements for Families) of this chapter.

In addition, the case may be referred to the Inspector General and/or the Housing Authority may refer the case for criminal prosecution.

17.5 FAMILY DEBTS PAID IN FULL

If the Housing Authority determines not to enter into a Repayment Agreement, or if the Repayment Agreement is breached and the Housing Authority demands payment of the balance in full, the family must pay the full amount due and owing in one lump sum. If the family fails to pay, the Housing Authority will terminate the family's assistance and may pursue collection through a collection agency or a civil action and may notify credit agencies of the debt. Whether or not the amount is paid, the Housing Authority does not waive its right to take other action including termination of assistance or referral for criminal prosecution in appropriate cases.

17.6 OWNER DEBTS TO HOUSING AUTHORITY

If the Housing Authority determines that the owner has retained Housing Assistance or Claim Payments the owner is not entitled to, the Housing Authority may deduct the amounts owed from future Housing Assistance or Claim Payments owed the owner for any units under contract.

If future Housing Assistance or Claim Payments are insufficient to reclaim the amounts owed, Housing Authority may do one or more of the following:

- Require the owner to pay the amount in full within 30 calendar days;
- Pursue collections through the local court system;
- Pursue collections through a collection agency; or
- Restrict the owner from future participation;
- Agree to a repayment agreement with the owner for the amount owed. Repayment period may not exceed 2 months; however an owner may appeal to the Executive Director in writing for additional time.

17.6.1 Owner Debts Due to Fraud

If the landlord has been overpaid because of fraud, misrepresentation or violation of the contract, the Housing Authority may terminate the contract and arrange for restitution to the Housing Authority and/or family as appropriate.

The Housing Authority will make every effort to recover any overpayments made as a result of landlord fraud or abuse. Possible remedies available to the Housing Authority include: recovering monies owed from payments otherwise due to the owner, setting up a repayment agreement, referring the debt to a collection agency, or pursuing the matter in a civil court. A determination on the course of action to be taken will be based on the nature of the violation and the amount of the money owed. Generally, if the owner is cooperative, is willing to pay back all monies owed, and all monies will be repaid within 2 months, the

Housing Authority will offer the owner a chance to enter into a Repayment Agreement. However, in cases where the owner knowingly and willfully violated program rules, the Housing Authority may seek full repayment in one lump sum.

17.7 WRITING OFF DEBTS

Debts may be written off if:

- The debtor's whereabouts are unknown and the debt is more than 3 years old.
- A determination is made that the debtor is judgment proof.
- The debtor is deceased and has an insufficient estate.
- The debtor is confined to an institution indefinitely or for more than 3 years.
- The amount is less than $100 and the debtor cannot be located.
- If a family defaults on a repayment agreement for an amount less than $50.

CHAPTER 18:
SPECIAL PROGRAMS

18.1 INTRODUCTION

The Housing Authority periodically has the opportunity to apply for targeted funding for special populations. The Housing Authority often enters into collaborative agreements with other agencies or County departments to qualify for and/or administer these funds. **Special Program policies and procedures are the same as that of the Housing Choice Voucher program except as otherwise noted. If there is a conflict between program regulations and the Admin Plan, the program regulations have precedence.**

Currently, The Housing Authority's Special Needs Housing and Special Program Units administer the following targeted programs:

- Family Unification Program (Family UP);
- Welfare-to-Work Program (WtW);
- Shelter Plus Care/Continuum of Care Program (SPC/CoC);
- Housing Opportunities for Persons with AIDS Program (HOPWA);
- Veterans Affairs Supportive Housing (VASH) Program, and
- The Family Self-Sufficiency Program (FSS).

This chapter provides details on the special programs currently administered by the Housing Authority. This section is divided into two main parts:

- Housing Assistance Programs, and
- Family Self-Sufficiency Program.

Housing Choice Voucher Welfare-to-Work Program (WtW) Program. This program originally provided assistance to families who were eligible for CalWORKs benefits, were in good standing with the employment/job training program offered by the Los Angeles County Department of Public and Social Services (DPSS) and were in need of housing in order to obtain or retain employment. The Housing Authority no longer accepts applicants for the Welfare to Work program, however original participants still receive assistance with a Welfare to Work voucher. The Housing Authority maintains these contracts in accordance with the policies found throughout this Plan for traditional Housing Choice Vouchers.

18.2 VETERANS AFFAIRS SUPPORTIVE HOUSING (VASH) PROGRAM

This program provides rental assistance to homeless veterans and their families in combination with case management and clinical services through the Department of Veterans Affairs at Veterans Affairs Medical Center (VAMC) supportive service sites.

18.2.1 Referral Process/Waiting List

The Housing Authority does not maintain a waiting list for the VASH Program. Instead, the VAMC utilizes a Coordinated Access System to refer homeless veterans and their families to the Housing Authority for VASH program rental assistance~~VASH families are admitted through referral by the VAMC~~.

18.2.2 Eligibility

To qualify for VASH rental assistance, applicants must meet HUD's income eligibility requirements. In order to determine final eligibility, the Housing Authority may verify all information submitted by applicants.

VASH applicants are not subject to a criminal background check, except to determine if any member of the family aged 13 and older is subject to a lifetime sex offender registration requirement.

For more specific information on eligibility requirements, please see Chapter 2 (Admission Eligibility Factors and Applicant Requirements).

18.2.3 Income Targeting

VASH applicants are not subject to income targeting. The Housing Authority may include the admission of an extremely low-income VASH applicant in its income targeting numbers for the fiscal year in which the family was admitted.

18.2.4 Denial of Participation

VASH applicants may not be denied assistance except for failure to meet income eligibility or for being subject to a state lifetime sex offender registration requirement. If a VASH applicant is denied assistance, the Housing Authority will send a copy of the denial notice to HUD Headquarters, Office of Public and Indian Housing, as specified in PIH Notice 2008-37.

18.2.5 Verification Procedures

Since HUD requires that factors of eligibility must be verified, applicants and program participants are required to provide proof of their statements whenever required by the Housing Authority.

The Homeless Condition Form is not required for VASH participants as the VAMC will verify if the participant is homeless.

18.2.6 Briefing Sessions and Voucher Issuance

Briefing sessions are conducted for all eligible VASH applicants. Families are issued a Housing Choice Voucher with an initial search time of a minimum of 120 days.

See Chapter 8 for policies regarding voucher extensions.

18.2.7 Contracts/Tenant Payments

Like other Housing Choice Voucher Special Programs, VASH families are contracted based on the payment standards, and participants may pay up to 40% of their adjusted monthly income for the initial lease of a unit.

Unlike other Housing Choice Voucher programs, VASH participants may enter into an initial lease of less than 12 months [FR-5596–N–01].

For more specific information on determining total tenant payment, please refer to Chapter 6. For more specific information on the new contract process, request for tenancy approval and contract execution, please refer to Chapter 9.

18.2.8 Eligible Housing Types

Along with other eligible housing types listed in Chapter 9 of this Plan, VASH families may also use the voucher in a unit owned by the VA on the grounds of the VAMC.

18.2.9 Re-Examinations

The Housing Authority is required to process annual re-examinations. In cases where a family experiences a change in household composition and/or income between annual re-examinations, the Housing Authority may process an interim re-examination. The family is required to report all changes in household composition and decreases in income to the Housing Authority within 30 calendar days of occurrence.

For more specific information regarding causes for processing annual/interim re-examinations and the requirements for completing annual/interim re-examinations, please refer to Chapter 12 (Re-Examination).

18.2.10 Terminations

VASH families are required to participate in case management services provided by the VAMC. In cases where the VASH family failed to comply with this requirement without good cause, at the direction of the VA, the Housing Authority must propose termination of the family's assistance.

A VA determination that the family no longer requires case management is not grounds for termination.

VASH participants are subject to the Housing Choice Voucher program rules under the family obligations. For more specific information on family obligations, please see Chapter 15 (Family Obligations).

18.2.11 Program Transition

If the VAMC determines the family no longer requires case management services, the Housing Authority will issue the family a regular Housing Choice Voucher in order to retain the VASH voucher for homeless veterans in need of case management services.

18.2.12 Portability

VASH families may port before initial lease-up, even when they did not reside in the Housing Authority's jurisdiction at the time of application.

If a VASH family ports to a housing authority where they can be served by the VAMC that services the Housing Authority's jurisdiction, the receiving housing authority must bill the Housing Authority. If a VASH family ports to another housing authority with an available VASH voucher and where the family will be served by the VAMC in that area, the receiving housing authority must absorb the family.

A VASH family may not port to another housing authority where there is no VAMC that can serve them. They also may not port where the family will receive case management through the receiving housing authority's VAMC and the receiving housing authority does not have an available VASH voucher.

For more specific information on allowable moves and eligibility for portability, please refer to Chapter 13 (Allowable Moves/ Portability).

18.3 SHELTER PLUS CARE/CONTINUUM OF CARE (SPC/CoC) PROGRAM

This program is designed to link rental assistance to supportive services for homeless individuals or families with disabilities. This program primarily provides assistance to those who have been diagnosed with mental illness, chronic substance abuse problems, or AIDS. Assistance is provided long as there is a continuum of funding available for this program.

18.3.1 Referral Process/Waiting List

The Housing Authority does not maintain a waiting list for the Shelter Plus Care or the /Continuum of Care Program. Instead, eligible families are identified and referred to apply for rental assistance as follows:

- The Continuum of Care program receives 100 percent of eligible family referrals from a Coordinated Access System.
- The Shelter Plus Care program receives 80 percent of eligible family referrals from a Coordinated Access System and 20 percent of referrals from pre-selected service providers or other agencies. Eligible families are identified to apply for these program by pre-selected service providers or other agencies and are referred to the Housing Authority.

18.3.2 Eligibility

Applicants must meet HUD's eligibility requirements specific to the Shelter Plus Care/Continuum of Care Program to qualify for rental assistance. In order to determine final eligibility, the Housing Authority may verify all information submitted by applicants.

For more specific information on eligibility requirements, please see Chapter 2 (Admission Eligibility Factors and Applicant Requirements).

18.3.3 Verification Procedures

Since HUD requires that factors of eligibility must be verified, applicants and program participants are required to provide proof of their statements whenever required by the Housing Authority. Shelter Plus Care/Continuum of Care Program requires additional documents when verifying program eligibility. For example:

- **Homeless Condition Form**: Must be provided for all individuals/families referred through the Shelter Plus Care/Continuum of Care Program,
- **Verification of Disability and/or Diagnosis Form**: Must be provided for all individuals claiming a disability, especially a disability that is cited as a qualifying factor for Shelter Plus Care/Continuum of Care Program. Written determinations must be made by a psychiatric or medical professional trained to make such determination.

18.3.4 Denial of Participation

If a family previously participated in any special program and violated a family obligation and was terminated, the family may be denied future participation.

Families may be denied participation in the program if they owe the Housing Authority, or any other housing agency, money in connection with the Housing Choice Voucher Program or Public Housing assistance.

Families referred by contracted Community-Based Organizations (CBO's), will be sent a denial letter and referred to the CBO if there are any further questions.

18.3.5 Criminal Background

Applicants of the Shelter Plus Care/Continuum of Care Program are not required to submit to a criminal background check to determine eligibility.

18.3.6 Briefing Sessions

Briefing sessions are conducted for all special programs. Shelter Plus Care/Continuum of Care applicants are issued a participation agreement. This participation agreement allows for termination of assistance if any member of the family violates the terms set forth in the participation agreement.

For more specific information on voucher issuance and briefings, please see Chapter 8 (Voucher Issuance and Briefing).

18.3.7 Contracts/Tenant Payments

The Shelter Plus Care/Continuum of Care Program is contracted based on the Fair Market Rents published by HUD and tenant rental portions are limited to 30% of the participant's adjusted monthly income.

For more specific information on determining total tenant payment, please refer to Chapter 6. For more specific information on the new contract process, request for tenancy approval and contract execution, please refer to Chapter 9.

18.3.8 Re-Examinations

The Housing Authority is required to process annual re-examinations. In cases where a family experiences a change in household composition and/or income between annual re-examinations, the Housing Authority may process an interim re-examination. The family is required to report all changes in household composition and decreases in income to the Housing Authority within 30 calendar days of occurrence.

For more specific information regarding causes for processing annual/interim re-examinations and the requirements for completing annual/interim re-examinations, please refer to Chapter 12 (Re-Examination).

18.3.9 Terminations

- **Proposed Terminations**: Community Based Organizations and/or other government units or departments currently contracted by the Housing Authority to provide supportive services may request termination of housing assistance for a program participant who is in violation of program requirements and/or conditions of occupancy.
- **Terminations**: If a Community Based Organization and/or other government unit or department currently contracted by the Housing Authority to provide supportive services determines that the family no longer requires supportive services, the determination is not grounds for termination. Housing assistance may be terminated if a family violates specific program requirements and/or the family obligation.

  (Continuum of Care Program only) If the qualifying (i.e., disabled) member of the household dies, or the member is incarcerated or institutionalized for more than 90 days, the other household members have right to remain in the unit until the expiration of the lease in effect at the time. If the remaining family chooses to exercise this right, HAP payments must continue until the current lease term expires. Upon the expiration of the current lease term, the family is no longer eligible to receive assistance and must be terminated from the program.

  For more specific information on family obligations, please see Chapter 15 (Family Obligations).

18.3.10 Program Transition

If a Community Based Organization and/or other government unit or department currently contracted by the Housing Authority to provide supportive services determines the family no longer requires supportive services, the Housing Authority will issue the family an available regular Housing Choice Voucher.

18.3.11 Portability

Shelter Plus Care/Continuum of Care participants have **no portability rights**. They must continue to live within the Housing Authority's jurisdiction for as long as they continue to participate in this program.

For more specific information on allowable moves and eligibility for portability, please refer to Chapter 13 (Allowable Moves/ Portability).

18.4 HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA)

This program specifically targets individuals and families afflicted by HIV/AIDS. Assistance under this program is provided for one year. After the one-year term, all HOPWA participants in good standing are allowed to transition to the regular Housing Choice Voucher Program. This program is also administered by the Housing Authority in other cities in addition to the cities currently within the Housing Authority's jurisdiction.

18.4.1 Referral Process/Waiting List

The Housing Authority does not maintain a waiting list for the HOPWA Program. Eligible families are identified to apply for this program by pre-selected service providers or other agencies and are referred to the Housing Authority.

18.4.2 Eligibility

Applicants must meet HUD's eligibility requirements for HOPWA to qualify for rental assistance. In order to determine final eligibility, the Housing Authority may verify all information submitted by applicants.

For more specific information on eligibility requirements, please see Chapter 2 (Admission Eligibility Factors and Applicant Requirements).

18.4.3 Verification Procedures

Since HUD requires that factors of eligibility must be verified, applicants and program participants are required to provide proof of their statements whenever required by the Housing Authority.

The Verification of Disability and/or Diagnosis Form must be provided for all individuals claiming a disability, especially a disability that is cited as a qualifying factor for the HOPWA program. Written determinations must be made by a medical professional trained to make such determination.

18.4.4 Denial of Participation

If a family previously participated in any special program and violated a family obligation and was terminated, the family may be denied future participation.

Families may be denied participation in the program if they owe the Housing Authority, or any other housing agency, money in connection with the Housing Choice Voucher Program or Public Housing assistance.

Families referred by contracted Community-Based Organizations (CBO's), will be sent a denial letter and referred to the CBO if there are any further questions.

18.4.5 Criminal Background

Applicants of the HOPWA Program are not required to submit to a criminal background check to determine eligibility.

18.4.6 Briefing Sessions

Briefing sessions are conducted for all special programs. HOPWA applicants are issued certificates.

For more specific information on voucher issuance and briefings, please see Chapter 8 (Voucher Issuance and Briefing).

18.4.7 Contracts/Tenant Payments

The HOPWA program is contracted based on the Fair Market Rents published by HUD and tenant rental portions are limited to 30% of the participant's adjusted monthly income.

For more specific information on determining total tenant payment, please refer to Chapter 6. For more specific information on the new contract process, request for tenancy approval and contract execution, please refer to Chapter 9.

18.4.8 Re-Examinations

The Housing Authority is required to process annual re-examinations. In cases where a family experiences a change in household composition and/or income between annual re-examinations, the Housing Authority may process an interim re-examination. The family is required to report all changes in household composition and decreases in income to the Housing Authority within 30 calendar days of occurrence.

For more specific information regarding causes for processing annual/interim re-examinations and the requirements for completing annual/interim re-examinations, please refer to Chapter 12 (Re-Examination).

18.4.9 Terminations

- **Proposed Terminations**: Community Based Organizations and/or other government units or departments currently contracted by the Housing Authority to provide supportive services may request termination of housing assistance for a program participant who is in violation of program requirements and/or conditions of occupancy.
- **Terminations**: Housing assistance may be terminated if a family violates specific program requirements and/or the family obligation.

  For more specific information on family obligations, please see Chapter 15 (Family Obligations).

18.4.10 Portability

HOPWA participants have no portability rights as long as they continue being assisted under this program. However, after 1 year of HOPWA assistance, eligible participants are converted to the regular Housing Choice Voucher program and become eligible to port out to another housing authority's jurisdiction.

For more specific information on allowable moves and eligibility for portability, please refer to Chapter 13 (Allowable Moves/ Portability).

18.5 HOUSING CHOICE VOUCHER FAMILY UNIFICATION (FAMILY UP) PROGRAM:

This program provides assistance to families who are in imminent danger of losing or who cannot regain custody of their minor children due to lack of adequate housing. This program is a collaborative effort between the Housing Authority and the Los Angeles Department of Children and Family Services (DCFS). Eligible families are identified by DCFS through their Family Preservation Unit and referred to the Housing Authority for rental assistance. The Housing Authority may also refer eligible families from the Housing Authority waiting list to DCFS.

This program is funded from the Housing Authority's regular turnover, i.e. vouchers that are vacated throughout the year because families are terminated from the program or voluntarily leave are used to provide assistance to special needs families.

For the purpose of the Family-Up Program, the Housing Authority will not require that a family qualify for a residential preference since most families are homeless and are unable to provide information about their last known permanent address. However, families must agree to reside in the Housing Authority's jurisdiction for the first year of assistance.

18.5.1 Referral Process/Waiting List

Eligible families are identified to apply for these programs by DCFS and are referred to the Housing Authority. All referred families will be maintained on the Housing Authority's waiting list.

18.5.2 Eligibility

Applicants must meet HUD's eligibility requirements for the Family-Up Program to qualify for rental assistance. In order to determine final eligibility, the Housing Authority may verify all information submitted by applicants.

For more specific information on eligibility requirements, please see Chapter 2 (Admission Eligibility Factors and Applicant Requirements).

18.5.3 Verification Procedures

Since HUD requires that factors of eligibility must be verified, applicants and program participants are required to provide proof of their statements whenever required by the Housing Authority.

18.5.4 Denial of Participation

If a family previously participated in any special program and violated a family obligation and was terminated, the family may be denied future participation.

Families may be denied participation in the program if they owe the Housing Authority, or any other housing agency, money in connection with the Housing Choice Voucher Program or Public Housing assistance.

Families will be sent a denial letter and referred to DCFS if there are any further questions.

18.5.5 Criminal Background

Program applicants will require criminal background checks.

For more specific information on the applicant screening standards used by the Housing Authority when reviewing criminal records, please see Section 2.8 (Screening for Drug Abuse and Other Criminal Activity).

18.5.6 Briefing Sessions

Briefing sessions are conducted for all eligible applicants.

For more specific information on voucher issuance and briefings, please see Chapter 8 (Voucher Issuance and Briefing).

18.5.7 Contracts/Tenant Payments

The Family-Up Program is contracted based on the payment standards, and participants may pay up to 40% of their adjusted monthly income.

For more specific information on determining total tenant payment, please refer to Chapter 6. For more specific information on the new contract process, request for tenancy approval and contract execution, please refer to Chapter 9.

18.5.8 Re-Examinations

The Housing Authority is required to process annual re-examinations. In cases where a family experiences a change in household composition and/or income between annual re-examinations, the Housing Authority may process an interim re-examination. The family is required to report all changes in household composition and decreases in income to the Housing Authority within 30 calendar days of occurrence.

For more specific information regarding causes for processing annual/interim re-examinations and the requirements for completing annual/interim re-examinations, please refer to Chapter 12 (Re-Examination).

18.5.9 Terminations

- **Proposed Terminations**: DCFS may request termination of housing assistance for a program participant who is in violation of program requirements and/or conditions of occupancy.
- **Terminations**: Housing assistance may be terminated if a family violates specific program requirements and/or the family obligation.

  For more specific information on family obligations, please see Chapter 15 (Family Obligations).

18.6 FAMILY SELF-SUFFICIENCY PROGRAM [24 CFR §984.101(a)]

Family Self-Sufficiency promotes the development of local strategies to enable families to achieve economic independence and self-sufficiency. The program is designed to provide supportive services for families who are residents within the Housing Authority's jurisdiction. Supportive services include but are not limited to childcare, education, transportation, counseling, job preparation, vocational training and home ownership workshops.

New admissions to the Family Self-Sufficiency program will be limited to the level of available funding.

Upon becoming employed, FSS participants continue to pay rent in accordance with the Housing Authority's housing choice voucher procedures. Whenever the participant's rent increases due to earned income, the Housing Authority establishes an interest bearing Escrow Account in their name. If the family successfully completes the contract obligations within 5 years, the family can apply to graduate from the program and receive the accrued portion of their escrow account.

The Housing Authority is to establish a Program Coordinating Committee (PCC) consisting of at least one representative of the Housing Authority and at least one of the residents assisted under the section 8 housing voucher program; to assist in securing commitments of public and private resources for the operation of the FSS program.

18.6.1 FSS Application Process

Applications are readily available to Section 8 program participating families. Upon request, an application will be mailed to the family. Tenants will not be penalized for not participating in the FSS Program since it is a voluntary program. Once an application is returned to the FSS office, eligibility is determined. If the application is not accepted, the tenant will be notified in writing within 30 calendar days.

At the time of enrollment, the Housing Authority is required to ensure that the family's income be updated to be within 120-days from the effective date of the Contract of Participation. At the family's written request, the Housing Authority will process an interim re-examination to meet this requirement.

18.6.2 Waiting List [24 CFR §984.203(b) and (c)]

If there is no current availability to enroll eligible participants, applicants will be placed on the FSS waiting list in the order of the date and time their applications are received by HACOLA. When a slot becomes available, the next eligible family will be contacted through telephone or mail for an interview with an FSS program specialist. Applicants who: 1) fail to respond; 2) do not attend the interview; and/or 3) are not willing to sign the FSS Contract of Participation will be removed from the waiting list in accordance with section 24 CFR §984.203(c).

HACOLA's FSS program will give priority to incoming portable families with an active FSS Contract of Participation.

18.6.3 FSS Eligible Families [24 CFR §984.103]

FSS eligible families are housing choice voucher holders and/or residents of County Public Housing.

- "FSS family" or "participating family" means a family that receives assistance under Public Housing or the Housing Choice Voucher Program and elects to participate in the FSS Program and whose designated head of FSS family has signed the Contract of Participation.
- "Head of the FSS family" means the adult member of the FSS family who is the head of household for purposes of determining income eligibility and rent.

18.6.4 Denial of Participation [24 CFR §984.303 and §984.305(b)]

If a family previously participated in the FSS Program but did not meet its obligations and was terminated, the family may be denied future participation.

Families may be denied participation in the program if they owe the Housing Authority or any other housing agency money in connection with the Housing Choice Voucher Program or Public Housing assistance.

18.6.5 Contract of Participation (COP) [24 CFR §984.303]

If the family is eligible to participate, the Housing authority will prepare a Contract of Participation (CoP) and an Individual Training and Services Plan (ITSP) for the household within 30 calendar days of the date the application is returned. The COP is valid for five years and may be extended up to two years to allow the family to meet their goals. Following execution of the CoP and ITSP, participants are referred to an FSS case manager for contract administration and may also be referred to a contracted Community Based Organization (CBO) for supportive services. The contract will contain the effective date as well as the expiration date. It will outline the resources, supportive services, and the starting base for determining the escrow account. In addition, the contract will outline the guidelines for administering and disbursing the escrow funds in accordance with [24 CFR §984.303(b)(1)].

18.6.5.1 Needs Assessment

The Housing Authority will perform a needs assessment with the family using various needs assessment tools. Upon completion of the assessment, the FSS case manager will be able to establish the milestones, and short and long-term goals designated for the head of household on the ITSP and any other participating family members with an executed ITSP.

18.6.5.2 Individual Training and Service Plan (ITSP)

The contract must contain an ITSP for the FSS head of household. Other adult family members who wish to receive services must also have an individual training and services plan to participate in the FSS program. The resources and services to be provided must be contained in the plan. It must contain the milestones, interim goals and final goal for suitable employment.

Each individual FSS contract must contain an ITSP for the FSS head of household and any participating family member. The items included on the ITSP will include:

- The resources and services to be provided by the Housing Authority and contracted supportive services provider;
- The individual milestones, interim goals and final goal for suitable employment;
- Completion dates for each individual interim goals will be included on or before the contract expiration date;
- A mandatory interim goal for families receiving welfare is that all family members must be free of welfare assistance for 12 consecutive months prior to the expiration of the contract (including extensions) [24 CFR §982.306(b)(2)];

The requirement for the head of the FSS family to seek and maintain suitable employment throughout the term of the contract; and

Each ITSP plan must be signed by the participant and a Housing Authority representative.

Any changes to the ITSP must be included as a revision to the original plan. The revision may be based on the following reasons: factors keeping the client from effectively becoming suitably employed, lack of supportive services, and unforeseen circumstances/barriers. The revision must include:

- The item changed;
- Signature of the participant and a Housing Authority representative; and
- The date signed.

18.6.5.3 Executing the FSS Contract of Participation (CoP) [24 CFR §984.303]

The CoP establishes an agreement between the family and the Housing Authority as to the responsibilities of each party. The contract is to be signed by the head of the FSS family, which is the head of household for purposes of determining eligibility. The effective date of the contract will be the first of the month after the contract is executed. The limited term is 5 years. Copies of the documents will be furnished to the head of household.

The CoP may be modified in the following areas, if the Housing Authority and the family mutually agree [24 CFR §984.303(f)]:

- Individual Training and Services Plan
- The contract term (extension)

- Designation of the FSS head of the family in cases where the FSS head is deceased or becomes unassisted

A change in the designated FSS head must be included as an attachment to the Contract. It must contain the following:

- Name of new designated FSS head
- The signatures of the new FSS head and a Housing Authority representative
- The date signed

The following representative(s) is/are authorized to execute a contract on behalf of the Housing Authority: the Special Programs Administrator, the FSS Coordinator, and the FSS Program Specialist.

18.6.5.4 Contract Extensions
[24 CFR §984.303(d)]

The contract may be extended in writing and at the family's request, for up to 2 years for good cause The Housing Authority will only grant an extension in rare circumstances that are beyond the control of the family, and which prevent completion of the training and services plan. Termination of employment for nonperformance by the FSS head is not justification for a contract extension. The Housing Authority may extend the CoP to allow families to meet the interim goal of being welfare-free at least 12 consecutive months prior to the expiration of the contract. During an extension to the contract, the family continues to have FSS amounts credited to the escrow account.

18.6.5.5 Completion of the Contract
[24 CFR §984.303(g)]

The contract of participation is considered to be completed, and a family's participation in the FSS Program is considered to be concluded when one of the following occurs:

- The FSS family has fulfilled all of its obligations under the Contract of Participation on or before the expiration of the contract term, including any extension thereof; or

- 30% of the monthly adjusted income of the FSS family equals or exceeds the published existing housing fair market rent for the size of the unit for which the FSS family qualifies based on the PHA's occupancy standards. The Contract of Participation will be considered completed and the family's participation in the FSS Program concluded on this basis even though the contract term, including any extension thereof, has not expired, and the family members who have individual training and services plans have not completed all the activities set forth in their plans. The head of the FSS family must certify that to the best of his or her knowledge, no member of the FSS family is a recipient of welfare assistance (cash maintenance payments) on the last

day in which 30% of the monthly adjusted income of the FSS family equals or exceeds the published existing housing fair market rent for the size of the unit for which the FSS family qualifies for based on the PHA's occupancy standards.

The Housing Authority may set milestones for employment and other activities leading to self-sufficiency early in the 5-year contract term in accordance with the family's abilities. The family's obligations may terminate before the end of the 5-year contract term, and the family's participation in the FSS Program and entitlement to the escrow may be less than 5 years.

18.6.5.6 Termination of the Contract
[24 CFR §984.303(h)]

The contract of participation is automatically terminated if the family's Section 8 assistance is terminated in accordance with HUD requirements. The Contract of Participation may be terminated before the expiration of the contract term, and any extension thereof, by:

- Mutual consent of the parties;
- The failure of the FSS family to meet its obligations under the contract of participation without good cause, including in the Section 8 FSS Program the failure to comply with the contract requirements because the family has moved outside the jurisdiction of the PHA;
- The family's withdrawal from the FSS Program;
- Such other act as is deemed inconsistent with the purpose of the FSS Program; or
- Operation of law

18.6.6 Compliance With The Lease
[24 CFR §984.303(b)(3)]

The Contract provides that the family must comply with the assisted lease. Therefore, noncompliance with County Housing Development lease, or the lease with the owner in the Housing Choice Voucher Program, is grounds for termination of the FSS Contract of Participation.

In the Housing Choice Voucher Program, if the violation of the lease is "serious or repeated," the housing authority may also terminate program assistance.

18.6.7 Escrow Accounts
[24 CFR §984.305]

The general concept of the escrow account is that FSS families continue to pay rent in accordance with their incomes (even as their incomes increase due to employment income). As a rule, the amount of the increase in earned income is escrowed. Because there are other factors that affect the family rent, it will not necessarily be dollar for dollar. The amount escrowed for the family will depend on whether the family is considered a very low- or low-income family.

- **Disbursing the FSS Escrow Account**: The amount in an FSS account, in excess of any amount owed to the Housing Authority by the FSS

family, is paid to the head or designated remaining family member of the FSS family [24 CFR §984.305(g)]:

- When the contract of participation has been completed. The head of the family must provide written certification that no member of the family is receiving welfare assistance (cash maintenance payments); and

- When 30% of the family's monthly adjusted income equals or is greater than the Fair Market Rent amount for the unit size for which the family qualifies. Family must provide written certification that no member of the family is receiving welfare assistance (cash maintenance payments).**Interim Disbursement**: The Housing Authority may, at its sole option, disburse a portion of the funds from the family's escrow account during the contract period for contract-related expenses if the family has fulfilled certain interim goals and needs a portion of the FSS account funds for purposes consistent with contract such as [24 CFR §984.305(c)(2)]:
  - School tuition;
  - Business start-up expenses;
  - Car when public transportation is unavailable or inaccessible to the family; or
  - Job training expenses.

The family may use the final disbursement of escrow account funds without restriction.

The Housing Authority cannot restrict a family's use of FSS escrow account funds withdrawn by the family unless the funds are withdrawn to aid in the completion of an interim goal.

- If a family receives an advance payment from their escrow account prior to completing the Contract, the advance payment does not have to be repaid to the Housing Authority if the family drops out of the FSS program, unless the payment was due to fraud or misinformation by the family.

If the family moves outside of the Housing Authority's jurisdiction under the Housing Choice Voucher Program portability procedures, the Housing Authority may transfer the balance of the family's FSS escrow account to another public housing agency [24 CFR §984.306(e)].

18.6.7.1 Reporting on FSS Accounts [24 CFR §984.305(3)]

- Each FSS family will receive an annual report on the status of the family's FSS escrow account, which will include:
- The balance at the beginning of the reporting period;
- The amount of the family's rent payment that was credited to the FSS account during the reporting period;
- Any deductions made from the account for amounts due to the Housing Authority before interest is distributed;

- The amount of interest earned on the account during the year; and
- The total in the account at the end of the reporting period.

18.6.7.2 Forfeiting the FSS Escrow Account [24 CFR §984.305(f)]]

Amounts in the FSS escrow account will be forfeited if:

- The Contract of Participation is terminated;
- The Contract of Participation is completed but the family is receiving welfare assistance when the contract expires, including extensions; or
- The head of the family dies and the remaining members of the family choose not to continue participating in the program, and the contract obligations have not been met.

If families do not pay their rent to the owner, the funds may be forfeited because:

- Compliance with the applicable housing choice voucher or Public Housing lease is a family obligation under the Contract, and
- Nonpayment of rent is grounds for terminating a family's FSS participation and forfeiture of the escrow.

In the housing choice voucher program, FSS account funds forfeited by the family will be treated as program receipts for payment of program expenses under the Housing Authority's Housing Choice Voucher Program budget. Escrow funds may be used by the Housing Authority for HUD-approved expenses; such expenses may include rental assistance payments.

In Public Housing, the forfeited account will be credited to the Housing Authority's operating reserves and counted as other income in the calculation of the Public and Indian Housing Performance Funding System (PFS) operating subsidy eligibility for the next budget year. The escrow funds may be used by the Housing Authority for HUD-approved expenses such as Public Housing maintenance costs.

18.6.8 Change in Family Composition

If the head of the FSS family no longer resides with other family members in the assisted unit, the remaining family members of the family will have the right to designate another family member to receive the funds. The Housing Authority must approve this change.

If a family with two adults splits up, the Housing Authority will determine if the escrow should be paid. The family may be paid if the family member that continues to reside in a Housing Development and/or retains the rental assistance through the Housing Choice Voucher Program:

- Is already head of the FSS family, or
- Was not designated as head of the FSS family but now designates himself or herself to receive the escrow account.

18.6.9 FSS Termination/Cancellation/Portability [24 CFR §984.303(h)]

The Housing Authority is responsible for determining whether the family has violated the FSS contract and whether the family's rental assistance should be terminated.

18.6.9.1 FSS Termination Due To Portability [24 CFR §984.306(f)]

Where the family is relocating and is not absorbed by the receiving housing authority under the portability regulations, and is participating in the receiving housing authority's FSS Program, the Housing Authority must abide by the termination decision of the receiving housing authority.

If a relocating FSS family is unable to fulfill its obligation under the FSS contract, the Housing Authority or the receiving public housing agency, whoever is party to the FSS Contract of Participation may:

- Terminate the family from the FSS Program and the family's FSS account will be forfeited, and
- Terminate the family's rental assistance since the family failed to meet its obligations under the FSS contract. This is applicable to Welfare-to-Work participants only.

If the family's FSS account is forfeited, the funds in the account will revert to the housing authority maintaining the FSS account for the family and will be treated as program receipts.

CHAPTER 19:
ENHANCED HOUSING CHOICE VOUCHER ASSISTANCE
[24 CFR §886 & §882]

19.1 INTRODUCTION

Enhanced voucher assistance will be offered to eligible residents under the following categories of Housing conversion actions - owner opt-outs and preservation prepayments, who are residing in the property on the date of the eligibility event (the contract expiration or the effective date of the prepayment).

In addition, although families affected by Section 8 moderate rehabilitation opt-outs are eligible for enhanced vouchers which are subject to the policies described by this section, these opt-outs are not considered a housing conversion action because the expiring contract is between the owner and the Housing Authority.

Families residing in eligible project-based developments on the date of the owner's prepayment/termination/opt-out will be offered a subsidy (enhanced voucher) if they meet other eligibility criteria. A family will be required to contribute a minimum amount toward rent.

Unlike a regular voucher, the subsidy is "enhanced" to cover the difference between the normally applicable payment standard and the possibly higher proposed rent of the unit that is going through the housing conversion action and Moderate Rehabilitation program opt-outs.

19.2 TERMS/PROVISIONS

The residents of the project-based program under the HUD Section 8 Contract are eligible to receive an Enhance Housing Choice Voucher if the participant eligibility screening is approved, including the criminal background check requirement.

The Housing Authority issues a family an enhanced voucher based on the number of bedrooms the family qualifies for under the current subsidy standards, not actual size of the unit the family is occupying. If the bedroom size of the family's unit exceeds the number of bedrooms the family qualifies for under the current subsidy standards the family is *over-housed*.

19.2.1 Characteristics of Enhanced Voucher Assistance

Enhanced vouchers have several special requirements but in all other respects are subject to normal housing choice voucher program rules.

1. **Payment standard where the family chooses to stay in the same project**.

   For families who choose to remain in place, the payment standard equals the new proposed gross rent. The payment standard equals the new gross rent for the unit after the opt-out or prepayment (provided the gross rent is reasonable based on comparable units).

If the Total Tenant Payment (TTP) exceeds the applicable payment standard at conversion, then the tenant is not eligible for assistance.

2. **Payment standard where the family chooses to move.**

   In all cases where the family decides to move from the development, HCV rules apply. (The payment standard equals the lesser of the new gross rent or payment standard).

   This payment standard includes situations where the family must move in order to receive assistance because the proposed new rent for the family's current unit in the preservation/opt-out development is reasonable in relation to comparable units.

3. **Rent Reasonableness**

   When an in-place family receives an enhanced voucher, the Housing Authority ensures the rent reasonableness of the proposed gross rent for the family.

4. **Minimum Rent Requirement**

   All families who stay in their current unit or move to an appropriate size unit within the same development and receive assistance with an enhanced voucher (whether previously assisted or non-assisted) are subject to a statutory minimum rent. The minimum rent is the amount of rent the family was paying on the date of the conversion action or Section 8 Moderate Rehabilitation owner opt-out. The minimum rent represents the lowest amount the family may pay as their family contribution. Depending on the circumstances, the family may have to pay more than the minimum rent.

5. **Calculating HAP Payments**

   When a family with an enhanced voucher remains in-place (or moves to an appropriate size unit within the development) AND the new gross rent exceeds the applicable payment standard and is rent reasonable; the HAP equals the new gross rent for the unit minus the GREATER of:

   - 30% of the monthly adjusted family income, or
   - 10% of the family gross income, or
   - The gross rent (TTP or family contribution for an assisted family) that the family was paying on the date of the prepayment/termination; i.e. minimum tenant rent.

19.2.2 <u>Availability of Appropriate Size Units in the Project</u>

The over-housed family must move to an appropriate size unit in the project if one is available in order to receive enhanced voucher assistance. The enhanced voucher housing assistance payment calculation is based on the gross rent of the appropriate size unit.

19.2.3 No Appropriate Size Units Currently Available in the Project

If there is no appropriate size unit current available for the family in the project, the Housing Authority executes a HAP contract on behalf of the family for the oversized unit, provided the rent is reasonable and the unit complies with all other program requirements such as the housing quality standards.

The enhanced voucher housing subsidy calculation is based on the gross rent for the oversized unit. The subsidy calculation will continue to be based on the gross rent (including subsequent rent increases) for the oversized unit until an appropriate size unit in the project becomes available for occupancy for the family.

19.2.4 Actions when Appropriate Size Units Later Become Available in the Project

The owner must immediately inform the Housing Authority and the family when an appropriate size unit will become available in the project. When an appropriate size unit becomes available, the family residing in the oversized unit must move to the appropriate size unit within 60 days to continue to receive enhanced voucher assistance. Extensions may be authorized as a reasonable accommodation. Such matters will be considered on an individual basis and must be supported by verifiable third-party documentation from a qualified professional with direct experience with the individual's disability.

The family and owner will enter into a new lease and the Housing Authority will execute a new HAP contract with the owner for the appropriate size unit. The enhanced voucher subsidy calculation is based on the gross rent for the appropriate size unit.

If an over-housed enhanced voucher family refuses to move to the appropriate size unit, staff will calculate the family's housing assistance payment (HAP) for the oversized unit based on the current applicable voucher subsidy formula using the applicable payment standard. The family will be responsible for any amount of the gross rent not covered by the housing assistance payment.

19.2.5 Decrease in Family Size or Change in Family Composition

If, as a result of a decrease in family size or change in family composition, an enhanced voucher family later becomes over-housed, the same policy regarding over-housed enhanced voucher families would apply. The family would continue to receive enhanced voucher assistance in the oversized unit until such time that an appropriate size unit becomes available for occupancy by the family in the project.

If an over-housed enhanced voucher family refuses to move to the appropriate size unit, staff will calculate the family's housing assistance payment (HAP) for the oversized unit based on the current applicable voucher subsidy formula using the applicable payment standard. The family will be responsible for any amount of the gross rent not covered by the housing assistance payment.

If there are more over-housed families than the number of available appropriate size units at any given time, the Housing Authority will require families to move to the appropriate size unit according to the date of their initial lease. If the family is

unable to move due to a disability and a reasonable accommodation has been approved, we will move to the next household by date of their initial lease.

Families who choose to vacate, the enhance voucher becomes regular housing choice voucher and the eligibility requirements policy is the same as for screening regular admissions for the Housing Choice Voucher Program. Families are also eligible for portability and the minimum rent requirement is no longer applicable.

19.3 COVERED HOUSING CONVERSION ACTIONS

There are two types of housing conversion actions that the property owners can choose: preservation pre-payment or opt-out.

1. Pre-payment date is the date the owner officially "pays off" their HUD-insured mortgage. The property is no longer considered a Project-Based or Affordable Development, and the owner is free to increase rents to market levels. As early as 60 days after this "pre-payment date," the residents are no longer protected by the subsidy or affordable rents.
2. Opt-out is where owners elect to discontinue the existing contract with HUD and no longer desire to participate in any subsidy program. In cases when owners pre-pay either their mortgage loan or opt-out of the Section 8 Housing Assistance, federal law requires that owners provide the tenants with a 1-year notification before the expiration of the Section 8 Contract. The owners are required to give proper notice of intent to pre-pay or opt-out to HUD, a notice of intent to pre-pay loan to California Housing Partnership Office, the Participant City, the local Housing Authority, and the Legal Aid Foundation. These notifications must be sent at least 1 year in advance, along with the notice of intent to increase the rent with a minimum of 60-day notice to the tenants of such a rent increase.

19.3.1 Family Eligibility for Enhanced Vouchers

Preservation Prepayment: A family/individual is eligible to receive an enhanced voucher subsidy due to a preservation prepayment in an eligible development on the effective date of prepayment or voluntary termination of mortgage insurance and is income-eligible on that effective date:

- The family is an elderly or disabled moderate-income family; (at least 80% but does not exceed 95% of area median income); or
- The family annual income is low (at or below 80% of area median income) or very low-income (at or below 50% of area median income); or
- A moderate-income family residing in a low vacancy area (3% or less vacancy rate) as determined by the local HUD office; and
- The family resides in the development on the conversion date; and
- The unit is an appropriate size; and passes HQS.

A family not qualified in one of the categories on the effective date of the pre-payment/termination is not eligible, regardless of whether the family's income situation changes during the next 12 months.

Unassisted and Assisted Families: Both unassisted and assisted families may be eligible for the enhanced voucher subsidy.

- Assisted Families are families residing in the development at the time of the prepayment/termination who currently receive tenant-based rental assistance from a local PHA. These participants must abide by the special provisions of the enhanced vouchers if the family chooses to remain in the unit. Continued residency at the development invokes minimum rent requirements.
- Unassisted Families are families residing in the development at the time of the prepayment/termination and are not current tenant-based voucher recipients.

Opt-Out: A family is eligible to receive an enhanced voucher subsidy due to an owner electing not to renew an expiring Section 8 project-based contract (Opt-Out) if:

- The family has an annual income that is at or below 80% of area median income, and
- The family resides in a unit covered by the expiring contract on the date of the expiration, and
- The amount the family pays for gross rent exceeds 30% of their adjusted monthly income as a result of the owner's rent increase, and
- The unit the family presently occupies or chooses to occupy is an appropriate sized unit and passes an HQS inspection.

19.4 DENIAL OF ENHANCED VOUCHER SUBSIDY

The Housing Authority may deny a family an enhanced voucher for the same reasons listed for denial of rental assistance in the regular HCV program, including income ineligibility, delinquencies, classification as a lifetime sex offender, or for other criminal activity.

The Housing Authority must provide a family that is denied assistance an opportunity for an informal review according to Section 2.11.

CHAPTER 20:
MODERATE REHABILITATION PROGRAM [24 CFR §882]

20.1 INTRODUCTION

The Moderate Rehabilitation (Mod Rehab) Program was designed in 1978 to be an expansion of the rental certificate program. The rental certificate program was initially amended to permit moderate levels of rehabilitation to upgrade and preserve the housing stock. The rental certificate program required a minimum expenditure of $1,500 in repairs to meet the program housing quality standards.

After the work was completed, owners entered into a 15-year Housing Assistance Contract with the local housing authority. Using this 15-year rental certificate contract, the housing authority helped the owner repay the loan by subsidizing the rents of low-income participants at a higher-than-fair market rate. The contract tied rental subsidies to the building not the participant. Although funding is no longer available for new participants, the Assisted Housing Division continues to administer existing contracts under this program. **Mod Rehab policies and procedures are the same as those of the Housing Choice Voucher program except as otherwise noted. If there is a conflict between program regulations and the Admin Plan, the program regulations have precedence.** For the re-examination process for the participant, see Chapter 12 (Re-Examination). On family obligations, see Chapter 15 (Family Obligations). These rulings apply to the Section 8 Certificate Program and the Housing Choice Voucher Program.

20.2 THE EXPIRED 15-YEAR CONTRACTS

To date, many of the 15-year contracts have now expired. HUD has authorized housing authorities to extend expiring Moderate Rehabilitation Contracts under certain conditions. These conditions are as follows:

- The project must have five or more units. If a building has five or more units, but only one of the units is under Moderate Rehabilitation Program then the unit is covered under the contract. The building still qualifies for an extension because the requirement is tied to the project not the contract.
- The owner must be in good standing with the current contract. Examples of non-compliance: on-going non-compliance with the Housing Quality Standard inspections.

20.3 REQUESTING AN EXTENSION

The Housing Authority closely monitors the expiration dates for all Moderate Rehabilitation contracts and mails the owners a letter asking owners if they would like to request an extension. Owners need to reply immediately to this letter if they wish to extend another year. The extension of the contract is a 1-year extension. HUD has allowed the Housing Authority to continue to extend the “extension” contract for another year. This has been the practice since 1996.

However, there is no guarantee that the contracts will continue to be extended in the future.

If an owner does not wish to extend the Mod Rehab Contract for their building, they are under no obligation to extend the contract. Rules governing the Moderate Rehabilitation program require that the owners give their tenants 1-year notice in advance of the expiration of the contract and their intent to opt-out of the program. The families will receive enhanced vouchers and have the right to remain in the units as long as the units are used for rental housing. If the family chooses to vacate the Mod Rehab unit, then the family will be given a Housing Choice Voucher.

If an owner does not provide a family with the required notice, the family is protected as if they were under an assisted tenancy until 1 year from the time the owner actually provides the notice. This means that if the owner elects not to renew the contract and the family chooses to remain in the unit as an unassisted tenant, the owner will be required to accept the family portion of the rent as full payment until he/she has complied with the notification requirement.

20.4 ANNUAL INCREASE FOR THE EXPIRED 15-YEAR CONTRACTS

The Housing Authority will mail the owner a letter regarding their upcoming expiration date and advise them of their annual increase that may be granted to them providing that they choose to extend their contract. The owner must respond immediately for an extension so that the Housing Authority can expedite the process to secure funding for the new coming year.

The methodology used to calculate the rent that an owner may be eligible to receive under the renewal contract is different. To determine the rent under the extension contract the Housing Authority must compare the following three rent analyses:

- Existing contract rents multiplied by the Operating Cost Adjustment Factors (OCAF);
- The Mod Rehab FMR (120% of the existing Fair Market Rents) minus the Utility allowance; and
- Comparable market rents

The rent under the extension contract is based on the lowest of the above three figures. The Housing Authority will complete this analysis for the building and provide the owner with a copy.

For the participant's re-examination process, see Chapter 12 (Re-Examination). For family obligations, see Chapter 15 (Family Obligations). These two rulings apply to the Section 8 Certificate Program and the Housing Choice Voucher Program.

20.5 NON-EXPIRED MOD REHAB CONTRACTS

For those Mod Rehab contracts that have not reached their 15-year contract, the annual increases may be granted providing:

- The owner submits a proper 60-day notice, prior to the anniversary date, of their rent increase amount to the Housing Authority.
- The new rent increase does not exceed the annual adjustment factor and comparables justify the increase.
- The unit has passed the annual inspection.

20.6 REQUEST TO MOVE

Since the assistance is attached to the unit and not the participant, assistance will be terminated for participants who relocate from their Mod Rehab unit. Participants who were selected from the Housing Choice Voucher waitlist and have been issued a voucher must submit their proper 30 day notice to their owner and mail a copy to the Housing Authority prior to vacating their unit. At the time of vacate an owner may claim vacancy loss (see Owner Claims 20.13) waiting lists.

20.7 WAITING LIST [24 CFR §882.513]

The Housing Authority will use a separate waiting list for each project under the Mod Rehab program. Applicants currently on the tenant-based assistance waiting list will be given an opportunity to place their name on a Mod Rehab waiting list, with their original date and time intact. If a new applicant applies to the tenant-based waiting list, the applicant will be given the opportunity to also place their name on any open Mod Rehab waiting list.

If a Mod Rehab waiting list is opened to the public, it will be advertised on the Housing Authority's website, along with its admissions preferences, in accordance with HUD fair housing guidelines and using the equal housing opportunity logotype, statement and slogan.

20.8 REFERRALS [24 CFR §882.514]

All assisted units must be leased to families found eligible and referred to the owner by the Housing Authority from the Housing Authority's waiting list. Admissions procedures will follow those outlined in Chapter Four of this Plan.

When vacancies occur, the Housing Authority will refer to the owner one or more appropriate size families on its waiting list.

If the Housing Authority is unable to refer a sufficient number of interested applicants on the waiting list to the owner within 30 days of the owner's notification to the Housing Authority of a vacancy, the owner may advertise or solicit applications from income-eligible families and refer them to the Housing Authority to determine eligibility.

Since the owner is responsible for tenant selection, the owner may refuse any family provided that the owner does not unlawfully discriminate. Should the owner reject a family and should the family believe that the owner's rejection was the result of unlawful discrimination; the family may request the assistance of the

Housing Authority in resolving the issue. If the issue cannot be resolved promptly, the family may file a complaint with HUD, and the Housing Authority may refer the family to the next available Moderate Rehabilitation unit.

20.9 NEW LEASE PROCESS

Once the applicant has been determined eligible by the Housing Authority for the Mod Rehab program, the Housing Authority will contact the applicant and schedule them for a briefing. After the briefing the applicant will then be referred to the owner for tenancy approval (this includes the owner selection process in section 20.8 Referrals). Once the tenant is approved by the owner, the Housing Authority will contact the owner/manager to schedule a new lease inspection. The unit is subject to lead-based paint requirements specified at 24 CFR §882.404(d). Chapter 10 (Housing Quality Standards and Inspections) contains details on inspections.

Upon passing of the initial inspection, the Housing Authority will contact the owner to obtain the new lease which will include the effective date and security deposit information.

Upon receipt of the signed lease, the Housing Authority will release the HAP payment to the owner.

20.10 SECURITY DEPOSITS
[24 CFR §882.414]

If at the time of the initial execution of the lease the owner wishes to collect a security deposit, the maximum amount shall be the greater of one month's Total Tenant Payment or $50. For units leased in place, security deposits collected prior to the execution of a Contract which are in excess of this maximum amount do not have to be refunded until the family vacates the unit subject to the lease terms. The family is expected to pay security deposits and utility deposits from its resources and/or other public or private sources.

20.11 OVERHOUSED AND UNDERHOUSED TENANTS
[24 CFR §882.509]

If the Housing Authority determines that a unit is not decent, safe, and sanitary by reason of an increase in family size or that a unit is larger than appropriate for the size of the family according to the Housing Authority’s occupancy standards, housing assistance payments with respect to the unit will not be abated.

The owner must offer the family an appropriate size unit should one be available and the family will be required to move. If the owner does not have a suitable available unit, the Housing Authority will assist the family in locating other standard housing in the area within the Family's ability to pay. The family will be required to move to such a unit as soon as possible.

In no case will a family be forced to move nor will housing assistance payments under the Contract be terminated unless the family rejects, without good reason, the offer of a unit which the Housing Authority judges to be acceptable.

20.12 TERMINATION OF TENANCY [24 CFR §882.511]

The owner must not terminate or refuse to renew a family's lease, except on the following grounds:

(1) Serious or repeated violation of the terms and conditions of the lease.

(2) Violation of applicable Federal, State or local law.

(3) Other good cause.

20.12.1 Notice of Termination of Tenancy

The Owner must serve a written notice of termination of tenancy on the family which states the date the tenancy shall terminate. Such date must be in accordance with the following:

(i) When termination is based on failure to pay rent, the date of termination must be not less than five working days after the family's receipt of the notice.

(ii) When termination is based on serious or repeated violation of the terms and conditions of the lease or on violation of applicable Federal, State or local law, the date of termination must be in accordance with State and local law.

(iii) When termination is based on other good cause, the date of termination must be no earlier than 30 days after the notice is served on the family.

The notice of termination must:

(i) State the reasons for such termination with enough specificity to enable the family to prepare a defense.

(ii) Advise the family that if a judicial proceeding for eviction is instituted, the tenant may present a defense in that proceeding.

(iii) Be served on the family by sending a prepaid first class properly addressed letter (return receipt requested) to the tenant at the dwelling unit or by delivering a copy of the notice to the dwelling unit.

20.12.2 Continuation of Housing Assistance Payments

A family's eligibility for Housing Assistance Payments shall continue until the Total Tenant Payment equals the gross rent. The termination of eligibility for HAP at such point will not affect the family's other rights under its lease, nor will such termination preclude the resumption of payments as a result of later changes in income, rents or other relevant circumstances during the term of the Contract.

20.13 OWNER CLAIMS

Under the Moderate Rehabilitation Program, owners may make a special claim for damages, unpaid rent, and vacancy loss after the tenant has vacated the unit. Owner claims for payment for unpaid rent, damages, or vacancy loss will be reviewed for accuracy and completeness and compared with records in the file. The Housing Authority establishes standards by which to evaluate claims, but the burden of proof rests with the owner.

If vacancy loss is claimed, the Housing Authority will ascertain whether the family gave proper notice of its intent to move. The file will also be reviewed to verify owner compliance at the time the contract was terminated.

The Housing Authority will pay properly filed claims to the owner as a function of the contract; however, any amount paid to the owner by the tenant will be reimbursed to the Housing Authority from the owner.

20.13.1 Unpaid Rent [24 CFR §882.414 (d)]

Unpaid rent only applies to the tenant's portion of rent while the tenant is in residence under the assisted lease and only until the termination date of the HAP contract.

Separate agreements are not considered a tenant obligation under the lease and the Housing Authority will not reimburse the owner for any claims under these agreements.

20.13.2 Vacancy Loss [24 CFR §882.411]

Vacancy loss under the Mod Rehab Program is paid if an eligible family moves from the assisted unit, unless the move was a consequence owner action in violation of the lease or contract..

In order to claim vacancy loss, the unit must be available for lease and the landlord must:

1. Notify the Housing Authority within 72 hours upon learning of the vacancy, or prospective vacancy, and
2. The Housing Authority will require documentation from the owner that the owner pursued all possible activities to fill the vacancy, including, but not limited to:
   - Not rejecting potentially eligible applicants except for good cause acceptable to the Housing Authority; or
   - Providing a list of income-qualified applicants to the Housing Authority in the case where the Housing Authority's waiting list cannot provide sufficient eligible applicants for the unit. The owner must document that attempts to find eligible applicants, such as:
     1. Contacting applicants on the owner's waiting list, if any;
     2. Advertising the availability of the unit.

When a tenant moves, the landlord may claim vacancy loss for the amount of Housing Assistance Payment (HAP) the owner was entitled to for the rest of the month in which the tenant vacated. Additionally, the owner may receive HAP in an amount not to exceed 80% of the contract rent for an additional month. However, if the owner collects any of the family's share of the rent for this period, the payment must be reduced to an amount which, when added to the family's payment, does not exceed 80 percent of the Contract Rent. Any such excess must be reimbursed by the owner to the Housing Authority.

In the event that a unit becomes vacant because of death, the Housing Authority will permit the owner to keep the HAP for the month in which the tenant died, but will pay no further HAP.

20.13.3 Damage Claims

To ensure valid claim processing, the Housing Authority will conduct a thorough move-in inspection noting conditions as well as HQS deficiencies, take pictures of questionable items, and send a report of all items to the owner and tenant.

The owner must be present during the move-out inspection and only damages claimed by the owner are reimbursable.

All claims for damages must be supported by the actual bills for materials and labor and a copy of the canceled checks or other receipts documenting payment. Estimates are accepted at the discretion of the Housing Authority depending upon the nature of the work to be done.

Bills from individuals providing labor must include their name, Social Security number, address and phone number. The owner may not bill himself/herself for labor since that is not considered by the Housing Authority to be an “actual cost”. However, the actual cost of the owner’s employees’ labor, such as the resident manager, to make repairs may be included.

Persons making repairs or replacements must be licensed to do business in Los Angeles County.

Reasonableness of costs will be based on practices consistent with industry standard.

The Housing Authority may require verification of purchase date, quality, and price of replaced items in order to calculate depreciation.

Claims for unpaid utility bills cannot be approved as part of a claim.

Claims for normal wear and tear, previously existing conditions, routine turnover preparation, and cyclical interior painting are not paid.

The Housing Authority will inspect the unit to verify that repairs were made.

20.13.4 Move-Out and Close-Out Inspections

Move-out (vacate) inspections are performed for the Mod Rehab Program after the tenant has vacated the unit. These inspections are performed by Program Specialists/Inspectors to assess the condition of the unit, not to evaluate the HQS.

The owner must notify the Housing Authority of the move-out and request an inspection within 5 calendar days of learning of the move-out, or contract termination, whichever is first, in order to submit a claim for damages.

If the contract was terminated due to owner breach, or the owner was in violation of the contract at the time that it was terminated, there will be no entitlement to claims and therefore no inspection.

The owner and tenant will be notified of the date and time of the inspection. If the owner is not present, the move-out inspection will not be rescheduled.

The Housing Authority will conduct a move-out inspection on the tenant's request.

In the event that the Housing Authority is unable to inspect within 10 calendar days, the owner will be permitted to use date-stamped photographs to substantiate the claim.

20.13.5 Processing Claims [24 CFR §882.414]

Any amount owed by the tenant to the owner for unpaid rent or damages will first be deducted from the maximum security deposit that the owner could have collected under the program rules. If the maximum allowable security deposit is insufficient to reimburse the owner for the unpaid tenant rent or other amounts which the family owes under the lease, the owner may request reimbursement from the Housing Authority for the lesser of:

1. The remainder of the amount owed to the owner; or
2. Two months' contract rent minus the greater of the actual amount of the security deposit collected or the maximum amount of security deposit that could have been collected. However, no reimbursement may be requested for unpaid rent after the period in which the family vacates the unit.

20.13.6 Other Requirements for Claims Processing

- The Housing Authority will require proof that the owner has complied with State and local laws applicable to security deposits before making payment on any claim.
- All notices to tenants during the processing of a claim must include proof of mailing or of personal delivery.
- Costs of filing eviction to remove the tenant or any other legal fees, shall not be reimbursed.

All unpaid rent, damage, and vacancy loss claim forms must be fully complete when they are submitted, and they must be submitted within 30 calendar days of the date the owner learned of the move-out.

CHAPTER 21:
PROJECT-BASED VOUCHERS

21.1 INTRODUCTION
[24 CFR 983. 5]

The Project-Based Voucher (PBV) program is administered by Public Housing Authorities who also administer the tenant-based Housing Choice Voucher program, or Section 8. PBV is assistance that is tied directly to a unit in an approved project, unlike the Housing Choice Voucher program, where assistance is tied to the participant. The policies regarding the Housing Choice Voucher program apply to the PBV program, except where they are specifically altered in this chapter.

In administering the Project-Based Voucher program, the goals of this Housing Authority are to:

- Attract more affordable developments to the Housing Authority's jurisdiction;
- Preserve affordable units that might otherwise become market-rate units;
- Increase affordability of housing for families making below 30% of the area median income; and
- Further HUD and Housing Authority goals of deconcentration.
- Increase housing opportunities for target populations (ex. Elderly, Disabled, Chronically Homeless, Special needs families, Transition aged youth)

The Housing Authority may enter into contracts for Project-Based Vouchers based on the policies outlined in this chapter.

21.2 LEVEL OF ASSISTANCE
[24 CFR 983.6]

The Housing Authority will appropriate no more than 20% of the Section 8 budget authority for Project-Based Vouchers.

21.3 OWNER PROPOSAL SELECTION PROCEDURE
[24 CFR 983.51]

Before issuing a request for PBV project proposals, the Housing Authority must notify HUD of its intent to project-base its vouchers and the following information must be submitted to HUD for review:

- The total amount of annual budget authority,
- The percentage of annual budget authority available to be project-based,
- The total amount of annual budget authority the Housing Authority is planning to project-base pursuant to the selection and the number of units the budget authority will support.

The Housing Authority may use one of the following methods to select owner proposals:

1. Request for Proposal (RFP): The Housing Authority may issue a competitive request for PBV proposals. An RFP may not be limited to a single site and may not impose restrictions that practically preclude owner submission of proposals for PBV on different sites.

   The Housing Authority will publish an RFP in at least one newspaper of general circulation, as well as post the RFP on the Housing Authority website. The submission deadline will be included in the RFP and a detailed application and selection criteria will be provided to all interested parties.

2. At the discretion of the Housing Authority, projects may be selected for PBV assistance using proposals for housing developed using federal, state, or local government housing assistance, community development, or a supportive services program that requires competitive selection of proposals (e.g., HOME, competitively-awarded Low-Income Housing Tax Credit, City of Industry Funds), where the proposal has already been selected in accordance with such program's competitive selection requirements within three years of the Housing Authority's PBV selection date, and the earlier selection proposal did not involve any consideration that the project would receive Housing Authority PBV assistance.

   Once a project is selected to receive PBV assistance, the Housing Authority will give public notice within 60 days of its selection on its website at www.hacola.org.

21.4 HOUSING ELIGIBLE FOR ASSISTANCE [24 CFR 983.52 AND 983.53]

The Housing Authority will consider proposals for existing and newly constructed and rehabilitated housing.

The following types of housing are ineligible under the Project-Based Voucher Program:

- Shared housing;
- Units on the grounds of a penal, reformatory, medical, mental, or similar public or private institution;
- Facilities providing continuous medical or related care, except an assisted-living facility that provides home health care services;
- Units owned by an educational institution that are designated for occupancy by students of the institution;
- Manufactured homes;
- Transitional housing;
- Units occupied by owners; and
- Units occupied by ineligible families.

21.5 LIMITS ON ASSISTANCE [24 CFR 983.56]

The Housing Authority may only provide Project-Based Voucher assistance to up to 25% of the units in a selected project.

Units excepted from this rule are:

- Units that house elderly or disabled families; and
- Families receiving qualified supportive services.

More than 25% of the units in a single-family building (a building with no more than 4 dwelling units) may be assisted with PBV.

The Housing Authority may allow elderly or disabled families living in excepted units (units under the HAP contract that exceed the 25% cap on PBV units in a property) to continue to occupy the unit after the elderly or disabled family member passes away or move out. In these situations the unit will continue to be counted as an excepted unit for as long as the family lives in the unit. Once the family vacates the unit, the next family to occupy the unit must meet the eligibility criteria for an excepted unit.

21.5.1 Qualified Supportive Services

Units occupied by families receiving qualified supportive services are excepted from the 25% cap on PBV assistance within a single development. Examples of supportive services that qualify for an exception include, but are not limited to:

- Family Self-Sufficiency (FSS) program;
- Welfare-to-Work
- Psychological or medical services
- Drug or alcohol rehabilitative treatment
- Job training or placement services
- Education program where there is a reasonable expectation of leading to self-sufficiency

21.5.2 Qualifications for Supportive Services

It is not necessary that the supportive services be provided at or by the project.

At least one member of the family must be receiving the supportive service for the unit to remain excepted from the 25% cap.

Participation in medical- or disability-related services is not required as a condition of living in an excepted unit, other than a drug and alcohol treatment program for current abusers, although such services may be offered.

21.5.3 Supportive Services Monitoring

Participant compliance with a supportive service contract will be monitored at least annually. The Housing Authority will request a status update for the participant's supportive service contract at the anniversary of said contract. The

Housing Authority may request a status update on the supportive service contract more frequently, at its discretion.

Providers of supportive services must provide the Housing Authority any changes to the program within thirty days of when those changes occur. Providers must also immediately report to the Housing Authority when a family fails to meet the supportive service contract requirements.

21.5.4 Failure to Meet Supportive Service Requirements

When a family living in an excepted unit fails to meet the requirements of a supportive service contract, and is living in the excepted unit because of the supportive services received, the Housing Authority will propose termination of the contract. The family will not be issued a voucher to move.

The owner and participant will be given a sixty-day notice of the proposed termination of the HAP contract. The owner may at that time terminate the lease and issue an order to vacate by the HAP contract termination date.

If a family fails to meet the requirements of the supportive service contract for good cause, as determined by the Housing Authority, and is qualified to become reinstated in the supportive service program within a reasonable time period, the Housing Authority may counsel the family on its obligations and allow reinstatement of the supportive service contract.

21.6 PROJECT SELECTION CRITERIA [24 CFR 983.57]

The following criteria will be considered when evaluating proposals for Project-Based Voucher assistance:

1. Housing that serves homeless families;
2. Housing that serves disabled families or individuals;
3. Housing that serves elderly families or individuals;
4. Housing that serves families with children, consistent with the needs indicated by HACoLA's waiting list; and/or
5. Other documented needs
6. Serving very low-income families in mixed-income projects;
7. Other appropriate criteria consistent with regulation.
8. Housing that provides an appropriate level of supportive services to residents;
9. Housing that serves low- to extremely low-income families for the life of the project;
10. Other criteria consistent with regulation.

21.6.1 Selection Requirements for All Housing Types

A project may be selected to receive PBV assistance only it is or will be located in a census tract that meets one of the following criteria:

(i) A HUD-designated Enterprise Zone, Economic Community or Renewal Community;

(ii) The Project will have at least 15 PBV units under contract;

(iii) The concentration of assisted units will be or has decreased as a result of public housing demolition;

(iv) Is undergoing significant revitalization;

(v) State, local, or federal dollars have been invested in the area that has assisted in the achievement of the statutory requirement;

(vi) New market rate units are being developed that will positively impact the poverty rate in the area;

(vii) Meaningful opportunities for educational and economic advancement exist.

Additionally, the site must be suitable in terms of furthering and facilitating all Fair Housing requirements.

The site must also meet the HQS site and neighborhood standards found in section 10.3.11 of this Plan.

21.6.2 Requirements For Selecting Existing and Rehabilitated Housing [24 CFR 983.151]

The Housing Authority will select only existing and rehabilitated housing projects that meet the following criteria:

(1) The site is adequate in size, exposure, and contour to accommodate the number and type of units proposed, and adequate utilities and streets must be available to service the site. (The existence of a private disposal system and private sanitary water supply for the site, approved in accordance with law, may be considered adequate utilities.)

(2) Promote greater choice of housing opportunities and avoid undue concentration of assisted persons in areas containing a high proportion of low-income persons.

(3) Be accessible to social, recreational, educational, commercial, and health facilities and services and other municipal facilities and services that are at least equivalent to those typically found in neighborhoods consisting largely of unassisted, standard housing of similar market rents.

(4) Be so located that travel time and cost via public transportation or private automobile from the neighborhood to places of employment providing a range of jobs for lower-income workers is not excessive. This requirement does not apply to senior projects.

21.6.3 Requirements for New Construction Housing

The Housing Authority will select only new construction housing projects that meet the following criteria:

(1) The site must be adequate in size, exposure, and contour to accommodate the number and type of units proposed, and adequate utilities (water, sewer, gas, and electricity) and streets must be available to service the site.

(2) The site must not be located in an area of minority concentration, except as permitted under number (3) of this section.

(3) A project may be located in an area of minority concentration only if:

(i) Sufficient, comparable opportunities exist for housing for minority families in the income range to be served by the proposed project outside areas of minority concentration;

a. Application of this sufficient, comparable opportunities standard involves assessing the following factors:

i. Significant number of assisted housing units is available outside areas of minority concentration.

ii. There is significant integration of assisted housing projects constructed or rehabilitated in the past 10 years, relative to the racial mix of the eligible population.

iii. There are racially integrated neighborhoods in the surrounding area.

iv. Minority families have benefited from local activities (*e.g.*, acquisition and write-down of sites, tax relief programs for homeowners, acquisitions of units for use as assisted housing units) undertaken to expand choice for minority families outside of areas of minority concentration.

(v) Comparable housing opportunities have been made available outside areas of minority concentration through other programs.

(vi) The project is necessary to meet overriding housing needs that cannot be met in that housing market area.

1. Application of the ‘‘overriding housing needs’’ criterion may permit approval of sites that are an integral part of an overall local strategy for the preservation or restoration of the immediate neighborhood and of sites in a neighborhood experiencing significant private investment that is demonstrably improving the economic character of the area (a ‘‘revitalizing area’’).

2. An ‘‘overriding housing need,’’ may not serve as the basis for determining that a site is acceptable, if the basis for the decision is that discrimination related to race, color, religion, sex, national origin, age, familial status, or disability renders sites outside areas of minority concentration unavailable or if the use of this standard in recent years has had the effect of circumventing the obligation to provide housing choice.

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(4) The site must promote greater choice of housing opportunities and avoid undue concentration of assisted persons in areas poverty concentration.

(5) The neighborhood must not be seriously detrimental to family life or one in which substandard dwellings or other undesirable conditions predominate, unless there is actively in progress a concerted program to remedy the undesirable conditions.

(6) The housing must be accessible to social, recreational, educational, commercial, and health facilities and services and other municipal facilities and services that are at least equivalent to those typically found in neighborhoods consisting largely of unassisted, standard housing of similar market rents.

(7) Except for new construction housing designed for elderly persons, travel time, and cost via public transportation or private automobile from the neighborhood to places of employment providing a range of jobs for lower-income workers, must not be excessive.

21.7 AGREEMENT TO ENTER INTO THE HAP CONTRACT [24 CFR 983.152]

If a rehabilitated or newly constructed project, as defined by regulation, is selected by the Housing Authority to receive Project-Based Vouchers, the Housing Authority will enter into an Agreement (AHAP) with the owner in the form required by HUD.

In the AHAP the owner agrees to develop the contract units to comply with HQS, and the Housing Authority agrees that, upon timely completion of the development in accordance with the terms of the AHAP, the Housing Authority will enter into a HAP contract with the owner for the contract units.

The Housing Authority may not pay or enter into an agreement if commencement of construction or rehabilitation occurs after proposal submission. Rehabilitation begins with the physical commencement of rehabilitation activity on the housing. Commencement of construction occurs when excavation of site preparation (including clearing of the land) begins.

Delays in completion of rehab/construction may result in termination of the agreement.

The PHA may extend the completion deadline for unforeseen factors outside of the owner's control.

The owner must obtain the PHAs approval for any changes in work. If the owner does not do so, the PHA may set a lower initial rent.

21.7.1 Subsidy Layering Review (SLR) [24 CFR 983.55]

The Housing Authority may only provide assistance in accordance with HUD subsidy layering regulations and other requirements.

A subsidy layering review will not be required to enter into an agreement or to execute a contract between the Housing Authority and the owner when a project has not received any form of government housing assistance, other than the PBV assistance.

A subsidy layering review is required for any new construction or rehabilitation project receiving a form of government housing assistance in addition to project-based vouchers. The Housing Authority will not enter into an AHAP with the owner until the project has successfully passed a subsidy layering review by HUD or other HUD-approved agency

The owner must certify in the HAP contract that the project has not received and will not receive any other form of public assistance during the life of the HAP contract other than that disclosed in the subsidy layering review.

21.7.2 Environmental Review [24 CFR 983.58]

The Project Based Voucher program is subject to National Environmental Policy Act environmental review pursuant to the requirements at 24 CFR Part 983.58 and 24 CFR Part 58.

If it is determined that an environmental review is required for new construction or rehabilitation projects, the Housing Authority will not commit any funds under PBV assistance nor enter into an AHAP with the owner until HUD approves a release of funds.

21.7.3 Housing Authority – Owned Units [24 CFR 983.59]

Units where a direct or indirect interest is held by any officer or employee of the Housing Authority are considered Housing Authority-owned units. Project-based vouchers may not be used for public housing units.

Selection of a project owned by the Housing Authority must be consistent with the process outlined in section 21.3 of this chapter.

Rent to owner must be determined in accordance with the same requirements for other units, except that the initial contract rent must be determined by an independent entity, approved by HUD, based on an appraisal by a licensed, state-certified appraiser.

A HUD-approved, independent entity must also perform all HQS inspections as required by regulation.

21.8 SELECTION OF PARTICIPANTS [24 CFR 983.251]

The Housing Authority will only provide PBV assistance to families determined eligible, consistent with Chapter Two of this Plan.

21.8.1 Waiting List

The Housing Authority will use a separate waiting list for each project receiving Project-Based Voucher assistance or sets of units within a project if there are multiple eligibility restrictions for special needs populations.

If applicable, projects receiving Project-Based Voucher or Project-Based VASH assistance are required to use a Coordinated Access System to identify and refer 80 percent of its eligible applicants for the project's waiting list.

Applicants currently on the tenant-based assistance waiting list will be given an opportunity to place their name on a PBV waiting list, with their original date and time intact. If a new applicant applies to the tenant-based waiting list, the applicant will be given the opportunity to also place their name on any open PBV waiting list.

Upon admission to the PBV program, the applicants name will be removed from any other project-based voucher waiting lists that the applicant has applied for.

21.8.2 Protection of In-Place Families

Families who reside in units selected to receive PBV assistance on the proposal selection date and who are also eligible in accordance with Section 2.2 of this Plan, will be given the opportunity to place their name on the appropriate PBV site-based waiting list. An absolute preference will be given to that family to be selected from the waiting list. If the family is then determined fully eligible for the PBV program under all Housing Authority eligibility criteria, the family will then be referred to the owner for an appropriately-sized unit in the project.

21.8.3 Local Preferences

Applicants on any PBV waiting list are subject to the system of local preferences as it pertains to that particular waiting list. PBV site-based waiting lists will have admissions preferences that reflect the target population of each project.

When PBV buildings are selected, the Housing Authority will publicly notice the selection, as well as the target population of each project. As new waiting lists are opened, a notice will be sent to the Housing Choice Voucher (Section 8) tenant-based waiting list identifying available site-based PBV lists and their respective admissions preferences. If a site-based waiting list is opened to the public, it will be advertised on the Housing Authority's website, along with its admissions preferences.

Disabled families who need an available accessible unit at a particular project may be awarded first preference from the waiting list.

Disabled families may not be required to accept the supportive services offered nor can a preference be granted for those with a particular disability.

21.8.4 Refusal of Assistance

If a family refuses an offer of PBV assistance or the owner rejects a family for admission to the owner's PBV units, the Housing Authority may remove the family from the site-based waiting list from which they were selected. Such

refusal will not affect the family's position on the tenant-based waiting list or any other PBV site-based waiting list, nor affect any admissions preference for which the family qualifies.

21.9 INFORMATION FOR ACCEPTED FAMILIES [24 CFR 983.252]

When a family accepts an offer of PBV assistance, the Housing Authority will provide the family an oral briefing. Attendance at this briefing is mandatory. The briefing will include:

- A description of how the program works;
- Family and owner responsibilities.

A briefing packet will be provided with information regarding:

1. How the Housing Authority determines total tenant payment;
2. Family obligations; and
3. Applicable fair housing information.

21.10 LEASING OF CONTRACT UNITS [24 CFR 983.253]

Owners must lease contract units only to eligible families, selected and referred by the Housing Authority from the waiting list, during the term of the HAP contract.

Owners must develop written tenant selection procedures consistent with the purpose of improving housing opportunities for very low-income families, related to program eligibility and an applicant's ability to perform lease obligations.

An owner must promptly notify, in writing, any rejected applicant of the grounds for rejection.

Owners must follow the Housing Authority's subsidy standards when leasing units to referred families.

21.11 VACANCIES [24 CFR 983.254]

The owner must promptly notify the Housing Authority of any current or expected vacancy in a contract unit. After owner notice, the Housing Authority will promptly refer a sufficient number of families to the owner to fill the vacancy.

If any contract unit has been vacant for at least 120 days since the owner notice of vacancy, the Housing Authority may give notice to the owner amending the HAP contract to reduce the number of contract units by the number of units that have been vacant for that period.

21.12 TENANT SCREENING [24 CFR 983.255]

The Housing Authority may take into consideration any admission criteria outlined in Chapter Two of this Plan in order to screen applicants for eligibility; however, it is the responsibility of the owner to screen applicants for behavior and suitability for tenancy.

The Housing Authority will provide the owner with the tenant's current and former address, as well as the name and address of the current and/or former landlord, if known. This policy is consistent with information provided to owners under the Housing Choice Voucher program.

21.13 HOUSING ASSISTANCE PAYMENTS CONTRACT

The Housing Authority must enter into a Housing Assistance Payments (HAP) contract with the owner in order to provide housing assistance payments for eligible families. The Housing Authority will make housing assistance payments to the owner in accordance with the HAP contract, for contract units leased and occupied by eligible families during the term of the HAP contract.

The Housing Authority will use the most recent HUD-approved form of the HAP contract.

21.13.1 Execution of the HAP Contract [24 CFR 983.204, 24 CFR 983.209]

Before the HAP contract may be executed, the Housing Authority will inspect each contract unit in accordance with section 21.14 of this chapter and Chapter Ten of this Plan.

For existing housing, the HAP contract must be executed within 60 days of passed inspections for all proposed units under the HAP contract.

For new construction or rehabilitated housing, the HAP contract is executed within 60 days after the Housing Authority has inspected the completed units and is satisfied that said units are completed in accordance with the AHAP and the owner has furnished the required evidence of completion.

By execution of the HAP contract, the owner certifies:

- The owner is and will maintain all contract units in accordance with HQS;
- The owner is providing all services, maintenance, equipment and utilities as agreed to under the HAP contract and in the leases with assisted families;
- Each contract unit is leased to an eligible family and the lease complies with the HAP contract and HUD requirements;
- Members of the assisted family reside in the contract unit and it is their only residence;
- The owner is not a relative of any member of the assisted family by blood or operation of law;

- The amount of the housing assistance payment is the correct amount due under the HAP contract;
- The rent to owner for each contract unit does not exceed the rent due to owner for any comparable, unassisted unit;
- The owner will not receive any other payments beyond the tenant rent and housing assistance payments for the contract unit; and
- The family does not own or have any interest in the contract unit.

21.13.2 Term of the HAP Contract [24 CFR 983.205]

The Housing Authority may enter into a HAP contract with an owner for an initial term of not less than one year and not more than fifteen years for each contract unit.

The Housing Authority and owner may agree at any time, including during the initial contract term, to extend the term of the HAP contract for up to fifteen years at each expiration. Under no circumstances may extensions exceed 15 years cumulatively.

The HAP contract may be terminated by the Housing Authority for insufficient funds. If it is determined there are insufficient funds available to continue to assist all contract units for the full term, the Housing Authority may give notice to the owner for all or any of the contract units, in accordance with HUD instructions.

21.13.3 Amendments to the HAP Contract [24 CFR 983.206]

Amendment to Substitute Contract Units – The Housing Authority may amend the HAP contract to substitute a different unit with the same number of bedrooms in the same building for the previously assisted unit. Prior to the substitution, the Housing Authority will inspect the proposed substitution unit and determine reasonable rent.

Amendment to Add Contract Units – At the discretion of the Housing Authority and provided the number of PBV-assisted units in a project will not exceed the 25% cap or the 20% budget authority for the PBV program, the HAP contract may be amended during the three-year period immediately following the execution date of the HAP contract to add additional PBV units to a building.

If there are already exception units (units in excess of the 25% cap) designated in the HAP contract, the contract may be amended during the same three year period to add additional exception units, provided that the addition does not exceed the 20% budget authority.

The anniversary and expiration date for the added units in either situation will be the same as for the existing units under the HAP contract.

21.14 INSPECTIONS [24 CFR 983.103]

HQS inspections will be conducted in accordance with Chapter Ten of this Plan. The Housing Authority may not perform inspections on units where there is a direct or indirect interest by any of its employees or officers.

The Housing Authority will inspect PBV units at the following times:

1. Pre-selection – the Housing Authority will inspect the proposed site before the proposal selection date. For existing units, units must substantially comply with HQS before the proposal selection date. Units must fully comply before the HAP contract may be executed;
2. Pre-HAP contract;
3. Turnover – the Housing Authority must inspect a unit before a new family moves in. The unit must fully comply with HQS before a family may receive assistance in that unit;
4. Annual – The Housing Authority will conduct inspections on a random sample of at least 20% of contract units in a building annually. Turnover inspections are not counted toward annual inspections.

   If more than 20% of the annual sample fails the HQS inspections, 100% of the contract units in the building must be inspected.
5. Other times – the Housing Authority will inspect PBV units at other times as necessary to insure the contract units are in compliance with HQS and that the owner is providing utilities, maintenance and other services in accordance with the HAP contract.

21.14.1 HQS Violation [24 CFR 983.207]

The Housing Authority may make no HAP payments to the owner during any period in which the contract unit does not comply with HQS or any other HAP contract requirement.

Remedies for HQS violation include abatement or reduction in HAP payments, reduction of contract units, and termination of the HAP contract.

21.15 RESTRICTIONS ON RENTING TO RELATIVES [24 CFR 983.251(a)(4)

The Housing Authority will not approve a tenancy if the owner, including principal owners or other interested parties, is the parent, child, grandparent, grandchild, sister, or brother of any member of the family unless the approval is the result of a reasonable accommodation for a disabled family member.

21.16 LEASE [24 CFR 983.256]

Owners must use the same lease for contract units as for unassisted units, with the lease being in accordance with state law.

The lease must include the HUD tenancy addendum. All provisions in the tenancy addendum must be included in the lease. Provisions in the addendum shall prevail over provisions in the lease.

The initial term of the lease must be for at least one year.

In addition to an initial term of at least one year, the lease must provide for automatic renewal after the initial term. Automatic renewal may be in the form of:

- Renewal for successive definite terms (ex: Month to month or year to year)
- Automatic indefinite extension of the lease term

The lease must specify:

- Names of the owner and tenant;
- Identifying information of the unit rented;
- Term of the lease and any provision for renewal;
- The amount of tenant rent to owner;
- Specification of services, maintenance, equipment, and utilities to be provided by the owner;
- The amount of any charges for food, furniture, or supportive services.

21.16.1 Changes in the Lease

If the tenant and owner agree to any changes in the lease, the change must be in writing and must be submitted to the Housing Authority immediately.

The owner must notify the Housing Authority of any proposed change in the lease regarding responsibility for utilities. Such changes may only be made with approval of the Housing Authority. If the Housing Authority approves a change in responsibilities for utilities, rent reasonableness must then be re-determined. The rent to owner will be re-re-calculated from the effective date of the change.

21.16.2 Absence from the Unit

The Housing Authority's absence policies found in Chapter Six of this Plan will apply to the PBV program. The lease may specify a maximum period of family absence from the unit that is shorter than that specified by the Housing Authority.

The HAP contract will not be terminated if the family is absent for longer than the maximum period permitted by the Housing Authority.

21.16.3 Owner Termination of Tenancy and Eviction

Grounds for owner termination and eviction reflect the policies outlined in Chapter Fourteen of this Plan, except that an owner may not terminate tenancy after the initial term of the lease for business or economic reasons, or to repossess the unit for personal, family, or nonresidential use.

If an owner refuses to renew the lease without good cause, the family will be issued a tenant-based voucher and the unit will be removed from the HAP contract.

The lease terminates if the owner terminates the lease for good cause, or the owner and tenant agree to terminate the lease

Owners who wish to terminate a HAP contract by either allowing it to expire or refusing to renew it must give The Housing Authority and the tenants at least 1 year notice. If a proper notice is not given, the owner must allow families to remain in their units for the balance of the notice period without an increase in the tenant's portion of rent. Under this circumstance the owner may not evict a family due to an inability to collect an increased tenant portion of rent. An owner may renew a terminating contract for a period long enough to give tenants at least a 1 year notice.

21.16.4 PHA Terminations
[24 CFR 983.2(c)(5)]

The PHA may terminate a family that violates the family obligations of the PBV program. Subsequent to a proposed termination of a family's assistance, the PHA will advise the family of its right to an informal hearing as outlined in chapter sixteen of this plan.

The Housing Authority is required to automatically terminate the HAP contract 180 calendar days after the last housing assistance payment is made to the owner.

- If the family still resides in the unit after the 180 day period and there is still no HAP payment on their behalf, the unit will be removed from the contract.
- If the family has resided in the unit for more than one year, they may request a tenant based voucher and attempt to find a unit for which there will be a HAP payment. No voucher will be issued to a family whose assistance has already been terminated.
- If the unit is in a fully assisted project it may be reinstated once the ineligible family vacates the unit, and in a partially assisted project, another unit may be substituted for the ineligible unit. In both cases the reinstatement/substitution must be in compliance with PBV regulations.

Additionally, the lease terminates if the Housing Authority terminates the HAP contract or if the Housing Authority terminates the family's assistance.

The termination of a family's assistance by the PHA alone does not result in an eviction. An owner must pursue eviction in local court. If the owner decides not to pursue eviction, the Housing Authority may elect to either substitute the ineligible unit or remove the ineligible unit from the HAP contract.

21.16.5 Security Deposits
[24 CFR 983.258]

The owner may collect a security deposit from the tenant. The amount may not exceed that allowed by state and local law or that charged to unassisted units in the same building.

When the tenant moves out, the owner may use the amount of the deposit, in accordance with the lease and state and local law, as reimbursement for any unpaid tenant rent, damage to the unit, or any other amount the tenant owes under the lease.

The owner must give the tenant a written list of all items charged against the security deposit and the amount of each item. After deducting the amount used to reimburse the owner, the owner must promptly refund the full amount of the balance to the tenant.

If the balance is not sufficient to cover amounts the tenant may owe under the lease, the owner may seek the remainder from the tenant. The Housing Authority has no liability or responsibility for payment of any amount owed by the family to the owner.

21.17 CURRENT PARTICIPANT RIGHT TO MOVE WITH TENANT-BASED ASSISTANCE [24 CFR 983.260]

Eligible families may terminate the assisted lease at any time after the first year of occupancy. Families who wish to move must first contact the Housing Authority to request a voucher before submitting a lease termination notice to the owner. Once the Housing Authority has received a written request for a voucher, the family will be issued a new voucher. If the reexamination is current (within 12 months) the Housing Authority will not conduct a reexamination before issuing the voucher unless there are reported changes to income or the family composition that would require an interim reexamination. At the same time the voucher is issued, the family will receive a Request for Tenancy Approval (RTA). The family should begin looking for housing immediately in order to ensure a smooth transition to the new unit.

If the family terminates the assisted lease before the end of one year, the family relinquishes the opportunity for continued tenant-based assistance.

Requests to move for families wishing to port to another jurisdiction must be submitted in writing.

If a tenant-based voucher is not available at the time of the family's request, the PHA will give the family priority to receive the next available opportunity for continued tenant-based assistance.

21.18 FAMILY OCCUPANCY OF WRONG-SIZE OR ACCESSIBLE UNIT [24 CFR 983.260~~59~~]

If the Housing Authority determines that a family is occupying the wrong-size unit, or a unit with accessibility features the family does not require, and is needed by a family that requires the accessibility features, the Housing Authority will offer the family continued assistance in another unit and will notify the family and owner immediately of its offer of continued assistance and determination.

The Housing Authority may offer continued assistance either in another PBV unit or a tenant-based voucher. If appropriate, the Housing Authority may refer the family to an available public housing unit or other public or private tenant-based assistance (e.g. HOME).

If the family is given a tenant-based voucher, policies under the Housing Choice Voucher program regarding voucher issuance and expiration will apply. If a family fails to lease a unit with the tenant-based voucher, assistance will be terminated upon expiration of the voucher (and any subsequent extensions granted by the Housing Authority)

Upon determination that the family is occupying a wrong-size unit or a unit with accessibility features not required by the family and continued assistance is offered in the form of a project-based voucher, the family will have ninety days in which to move to another unit. If the family fails to move or refuses the offer of continued assistance in another unit, assistance to the family will be terminated.

21.19 DETERMINING RENT TO OWNER [24 CFR 983.301]

The amount of estimated rent to owner must be included in the Agreement for rehabilitated or newly constructed housing. The actual rent to owner must be determined at the beginning of the HAP contract term for all types of housing.

The Housing Authority may include as part of the HAP contract, a provision that the rent to owner will not be reduced below the initial rent. If the Housing Authority elects to include such a provision, the rent to owner will not be reduced below the initial rental amount during subsequent reasonable rent re-determinations. Additionally, rents will only be reduced below the initial amounts to correct errors in calculations or if additional housing assistance has been combined with the PBV assistance after the execution of the initial HAP contract and a decrease is required due to subsidy layering requirements.

The amount of rent to owner is redetermined at the owner's request for a rent increase and when there is a 5% or greater decrease in the published FMR.

Except for certain tax credit units specified below, the amount of rent to owner must not exceed the lowest of:

- An amount determined by the PHA that does not exceed 110% of the FMR (or any exception payment standard approved by HUD), minus the utility allowance; The Housing Authority will cap this amount at the current payment standard in effect at the time of the determination.
- The reasonable rent; or
- The rent requested by the owner.

21.19.1 Housing Authority – Owned Units

For any units in which any officer or employee has a direct or indirect interest, the initial determination of rent to owner and the annual redetermination of rent to owner will be made by an independent entity, approved by HUD.

21.19.2 Redetermination of Rent to Owner [24 CFR 983.302]

The Housing Authority will only redetermine rent to the owner when the owner requests an increase at the annual anniversary of the HAP contract or when there is at least a 5% decrease in the published FMR. Notice of rent increase and other limitations on rent adjustments must conform to the above stated policies and section 11.3 of this Plan.

If there is a decrease in rent due to a 5% or greater decrease in the published FMR, the rent to owner must be decreased, whether or not the owner requested a rent adjustment.

The notice of rent adjustment from the Housing Authority constitutes an amendment of rent to owner specified in the HAP contract.

Rent reasonableness will be determined by a HUD-approved, independent entity for units owned by the Housing Authority. The entity will provide a copy of the determination to the Housing Authority and the HUD Los Angeles field office.

The Housing Authority will not approve and the owner may not receive any increase of rent to owner until and unless the owner has complied with all requirements of the HAP contract, including compliance with Housing Quality Standards. The owner may not receive any retroactive increase of rent for any period of noncompliance.

21.19.3 Rent Determination for Projects with Other Subsidies [24 CFR 983.304]

Rents may not exceed rent limits as established by the applicable federal program for units subsidized under the following programs:

1. HOME;
2. Insured or non-insured Section 236 project;
3. Formerly insured or non-insured Section 236 project that continues to receive Interest Reduction Payment following a decoupling action;
4. Section 221(d)(3) below market interest rate (BMIR) project;
5. Section 515 project of the Rural Housing Service;
6. Any other type of federally subsidized project specified by HUD.

The Housing Authority may set reasonable rents up to 110 percent of the HUD Market Rent in projects receiving Low-Income Housing Tax Credits (LIHTC), even if the rent level exceeds the maximum rent under the LIHTC program.

The Housing Authority may, at its discretion include provisions in the HAP contract to reduce the initial amount of rent to the owner because of other governmental subsidies.

21.19.4 Rent Control and Other Rent Limitations [24 CFR 983.305]

Rent control and other rent limitations under local, state or federal law will apply.

21.20 PAYMENT TO OWNER [24 CFR 983.351]

The Housing Authority will make HAP payments to the owner in accordance with the HAP contract for the months in which the contracted unit is leased to and occupied by an eligible family. Except for discretionary vacancy payments described in section 21.18.1 of this chapter, the Housing Authority will not make any payments for any month after the month in which the family moves out of the unit. In order to continue receiving HAP payments, the owner must comply with all provisions of the HAP contract, including HQS.

21.20.1 Vacancy Payments [24 CFR 983.352]

If a family moves out of a contract unit, the owner may keep the payment for the full calendar month in which the family moves out. The owner may not keep the payment if the Housing Authority determines that the vacancy is the owner's fault.

Subject to available funding, the Housing Authority may provide for vacancy payments to the owner not to exceed two months following move out. The vacancy payment may not exceed the amount of monthly rent under the assisted lease, minus any rent received by the owner, including any available amount from the tenant's security deposit.

Vacancy payments may only cover periods the unit is actually vacant.

The Housing Authority will only make vacancy payments to the owner if:

- The owner gives prompt, written notice to the Housing Authority certifying that the family vacated the unit, including the date the family moved out within 72 hours upon learning of the move out, and certifies:
  - The vacancy is not the fault of the owner and the unit was vacant during the period claimed;
  - The owner has taken every reasonable step to minimize the likelihood and length of the vacancy.

The owner must then submit a form requesting vacancy payments and provide the amount of the tenant's security deposit with any amount available to reimburse unpaid rent. The form must accompany receipts substantiating any damages the owner claims from the security deposit. The owner must certify on this form that no other payments were received for the unit during the period vacancy claimed.

21.20.2 Other Charges and Fees [24 CFR 983.354]

The owner may not require the family to pay charges for any meals or supportive services unless the project is an assisted living development, in which case owners may charge tenants, family members, or both for meals and supportive services. These charges may not be included in the rent to owner and may not

be used to calculate rent reasonableness. Nonpayment of such charges is grounds for termination under the lease only in an assisted living development.

The owner may not charge tenants or family members extra amounts for items customarily included in the rent in Los Angeles County, or provided at no additional cost for unsubsidized tenants on the premises.

2016

ADMISSIONS AND CONTINUED OCCUPANCY POLICY FOR THE CONVENTIONAL PUBLIC HOUSING PROGRAM

APPROVED BY HOUSING AUTHORITY BOARD OF COMMISSIONERS:

HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES

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Chapter 1

STATEMENT OF POLICIES AND OBJECTIVES

INTRODUCTION

The Housing Authority of the County of Los Angeles (herein referred to as HA) is responsible for public and affordable housing stock located throughout Los Angeles County. Through our efforts to provide and maintain housing that is decent, safe, and sanitary, the HA strives for a high standard of property management. In addition, the HA believes that residents of public housing deserve a living environment that promotes individual achievement and empowers families.

The administration of the public housing program and the functions and responsibilities of the HA shall be in compliance with the Annual Contributions Contract (ACC), and this Admissions and Continued Occupancy Policy (herein referred as ACOP). The administration of the HA's housing program will also meet the requirements set forth by the U.S. Department of Housing and Urban Development (HUD). Such requirements include any Public Housing Regulations, Handbooks, and applicable Notices. All applicable Federal, State and local laws, including Fair Housing Laws and regulations also apply. Changes in applicable federal laws or regulations shall supersede provisions in conflict with this policy. Federal regulations shall include those found in Volume 24 Code of Federal Regulations (CFR), Parts V, VII and IX.

A. LOCAL OBJECTIVES

The ACOP demonstrates that the HA manages its program in a manner that reflects its commitment to improving the quality of housing available to the public, and its capacity to manage that housing in a manner that demonstrates its responsibility to the public trust. In addition, the ACOP is designed to achieve the following objectives:

> To provide improved living conditions for very-low and low-income families while maintaining their rent payments at an affordable level.
>
> To operate a public housing authority that provides decent, safe, and sanitary housing within a suitable living environment for residents and their families.
>
> To provide opportunities for upward mobility for families who desire to achieve self-sufficiency.

B. PURPOSE OF THE POLICY

The purpose of the ACOP is to establish guidelines for the HA staff to follow in determining eligibility for admission and continued occupancy. These guidelines are governed by the requirements set forth by HUD with latitude for local policies and procedures. These policies and procedures for admissions and continued occupancy are binding upon applicants, residents, and the HA.

The HA Board of Commissioners must approve the original policy and any changes. Required portions of the ACOP will be provided to HUD.

C. FAIR HOUSING POLICY

It is the policy of the HA to comply fully with all Federal, State, and local nondiscrimination laws and with rules and regulations governing Fair Housing and Equal Opportunity in housing and employment. The HA will comply with all laws relating to Civil Rights, including:

Title VI of the Civil Rights Act of 1964

Title VIII of the Civil Rights Act of 1968 (as amended by the Community Development Act of 1974 and the Fair Housing Amendments Act of 1988)

Executive Order 11063

Executive Order 13166

Section 504 of the Rehabilitation Act of 1973

The Age Discrimination Act of 1975

Title II of the Americans with Disabilities Act (to the extent that it applies, otherwise Section 504 and the Fair Housing Amendments govern)

Any applicable State laws or local ordinances and any legislation protecting individual rights of residents, applicants or staff that may subsequently be enacted.

The HA shall not discriminate on the basis of race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group, in the leasing, rental, or other disposition of housing or related facilities, including land, that is part of any development or developments under the HA's jurisdiction covered by a contract for annual contributions under the United States Housing Act of 1937, as amended, or in the use or occupancy thereof.

Posters and housing information are displayed in locations throughout the HA's office in such a manner as to be easily readable from a wheelchair.

The HA's facilities are accessible to persons with disabilities. Accessibility for the hearing impaired is provided by the TDD telephone service provider.

The HA shall not, on account of race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group:

Deny to any family the opportunity to apply for housing, nor deny to any qualified applicant the opportunity to lease housing suitable to their needs;

Provide housing that is different from that provided to others;

Subject a person to segregation or disparate treatment;

Restrict a person's access to any benefit enjoyed by others in connection with the housing program;

Treat a person differently in determining eligibility or other requirements for admission; or

Deny a person access to the same level of services.

The HA shall not automatically deny admission to a particular group or category of otherwise qualified applicants (e.g., families with children born to unmarried parents, elderly families with pets).

Service and Accommodations Policy

The HA's policies and practices will be designed to provide assurances that all persons with disabilities will be provided reasonable accommodation so that they may fully access and utilize the housing program and related services. All requests for a reasonable accommodation will be verified so that the HA can properly accommodate the need presented by the disability.

This policy is applicable to all situations described in the ACOP including but not limited to when a family initiates contact with the HA, when the HA initiates contact with a family including when a family applies, and when the HA schedules or reschedules appointments of any kind.

Federal Americans with Disabilities Act of 1990

With respect to an individual, the term "disability," as defined by the 1990 Act means:

A physical or mental impairment that substantially limits one or more of the major life activities of an individual;

A record of such impairment; or

Being regarded as having such impairment.

Undue Hardship

Requests for reasonable accommodation from persons with disabilities will be granted upon verification that they meet the need presented by the disability and they do not create an "undue financial and administrative burden" for the HA, meaning an action requiring "significant difficulty or expense."

In determining whether an accommodation would create an undue hardship, the following guidelines will apply:

The nature and cost of the accommodation needed;

The overall financial resources of the facility or facilities involved in the provision of the reasonable accommodation; and

The number of persons employed at such facility, the number of families likely to need such accommodation, the effect on expenses and resources, or the likely impact on the operation of the facility as a result of the accommodation.

Posting of Required Information

The HA will maintain a bulletin board in a conspicuous area of the management offices which will contain:

Current schedule of routine maintenance charges

A Fair Housing Poster

An Equal Opportunity in Employment poster

Current Resident Notices

Required public notices

Utility Allowance Schedule

A VAWA Poster

Reasonable Accommodations

A person who has a disability, under HUD regulations, as defined in 42 U.S.C. 423, has a physical, mental, or emotional impairment that is expected to be of long-continued and indefinite duration, substantially impedes the ability to live independently, and is of such a nature that the ability to live independently could be improved by more suitable housing conditions.

A resident with a disability as defined above, may ask for including but not limited to the following:

A modification to our rules or policies;

A change in the way we communicate with you or give you information;

An alteration or change in your unit;

An accessible unit; or

An alteration or change to some other part of a HA owned property.

Verification of a Request for a Reasonable Accommodation

A request for an accommodation can be made at any time.

Any resident that requests an accommodation will be given a packet that contains the following:

Form 1: Notice of the Right to Reasonable Accommodation
Form 2: Request for a Reasonable Accommodation
Form 3: Verification of the Need for Reasonable Accommodation

All residents that request a reasonable accommodation must submit Forms 2 and 3 to the management office and in a legible written format. A resident may request to submit the required reasonable accommodation forms in an alternative format.

The management office has Reasonable Accommodation records that include the Reasonable Accommodation Log and all supporting documentation.

The housing development management staff will forward the reasonable accommodation request to the ADA compliance officer at the HA's administrative office for processing.

HA staff will send a decision to the resident on the Reasonable Accommodation Request form within 30 working days of receiving the request.

The Reasonable Accommodation Request form will include an approval or a denial of the request. If denied, the form will include reasons for denial and possible alternative accommodations.

If the Resident disagrees with the determination, the Resident may request an informal conference by responding in writing within 10 calendar days of the response letter sent to the Resident. The Resident must describe in detail why they believe the decision regarding their request for an accommodation is not correct. If no response is received by the Resident, the HA will presume that the Resident is in agreement with the determination (grievances and appeals policies are described in Chapter 14).

Copies of the Decision on Reasonable Accommodation Request will be sent to the housing development site to be included in the Reasonable Accommodation records.

D. VIOLENCE AGAINST WOMEN ACT

The HA's policy is to comply with the 2013 Violence Against Women Reauthorization Act (VAWA) Public Law 113-4-March 7, 2013. The HA shall not discriminate against an applicant, or public housing resident on the basis of the rights or privileges provided under the VAWA.

On an annual basis, the HA will provide residents a "notice of rights" document under VAWA during the annual re-certification process. Additionally, the HA will enclose in each application packet a "notice of rights" document advising applicants of their rights under VAWA. Finally,

the HA will also provide a "notice of rights" document to an applicant that is denied assistance, at the time the individual is admitted to a dwelling unit and when a resident is notified of eviction or termination of assistance.

E. FAMILY OUTREACH

The HA will disseminate information to publicize the availability of housing units and housing-related services for very low-income families on a regular basis.

The HA will communicate the status of housing availability to other service providers in the community. The HA will advise them of housing eligibility factors and guidelines so that service providers can make proper referrals for those who seek housing.

F. PRIVACY STATEMENT

Applicants and participants, including all adults in their households, are required to sign the form HUD-9886, "Authorization for Release of Information and Privacy Act Notice." This document incorporates the Federal Privacy Act Statement and describes the conditions under which HUD will release family information.

The HA's policy regarding release of information is in accordance with State and local laws which may restrict the release of family information.

Any and all information, which would lead one to determine the nature and/or severity of a person's disability, must be kept in a separate folder and marked "confidential." The personal information in this folder must not be released except on an "as needed" basis in cases where an accommodation is under consideration.

G. CONFIDENTIALITY

It is the policy of the HA to comply fully with all Federal, State, and local laws and with rules and regulations governing Confidentiality in housing. Each HA staff signs a "Confidentiality Agreement" at the commencement of their employment and participates in an annual Confidentiality training. Each HA staff agrees not to disclose any applicant/resident information, directly or indirectly, that is of a personal, private, and confidential nature, to any person or use such information in any way, either during the term of their employment or at any other time thereafter, except as follows:

To an officer, employee, or authorized representative of the HA who has a job related need to have access to the information in connection with admission of applicants, eviction of tenants, or termination of assistance;

If the tenant/applicant (or tenant's/applicant's parent/guardian, if tenant is a minor) consents in writing;

If disclosure is allowed by Court Order;

If disclosure is made to medical personnel in a medical emergency;

To the duly court appointed guardian or conservator of the individual;

To a law enforcement or regulatory agency, if the use of the information requested is in an investigation of unlawful activity under the jurisdiction of the requesting agency or for licensing, certification, or regulatory purposes by that agency;

To any person pursuant to a subpoena, court order, or other compulsory legal process if, before the disclosure, the HA reasonably attempts to notify the individual to whom the record pertains, and if the notification is not prohibited by law;

Disclosure of any information about suspected child or elder abuse and/or neglect reported to appropriate state or local authorities pursuant to state or local law.

To share resident information that is necessary to determine eligibility for County welfare department programs or services for which the client has applied or is receiving, as authorized by the State of California Health and Safety Code, section 34217.

To report all families to the EIV System who were terminated for adverse actions or who left the program owing money to the HA.

To any individual or organization provided by the applicant/resident on Form HUD-92006 to the HA. Information shared with the individual or organization is limited to the reason(s) the individual may be contacted, as provided by Form HUD-92006.

To an individual who has been given power of attorney by the applicant or resident.

By signing the "Confidentiality Agreement", the HA staff agrees that all files, records, documents and similar items relating to their employment, whomever prepared by, are and shall remain exclusively the property of the HA and that said files shall be removed from the premises only with the express consent of the Executive Director or his/her designee. A violation of the "Confidentiality Agreement" may result in disciplinary action up to and including termination of employment. The unauthorized release of information may subject the HA and the HA staff to civil action under the Quality Housing and Work Responsibility Act of 1998.

H. VAWA CONFIDENTIALITY

All VAWA information provided to the HA, including the fact that an individual is a victim of domestic violence, dating violence, sexual assault or stalking, shall be retained in confidence, and will not be entered into any shared database or provided to any related entity, except to the extent that disclosure is-

1. Requested or consented to by the individual in writing;
2. Required for use in an eviction proceeding under subsection (1)(5) or (6) of Public Law 109-162 referencing amendments made to Section 6 of the United States Housing Act of 1937 (42 U.S.C. 1437d); or
3. Otherwise required by applicable law.

Chapter 2

ELIGIBILITY AND SUITABILITY FOR ADMISSION TO PUBLIC HOUSING

[24 CFR Part 960, Subpart B]

INTRODUCTION

This chapter describes the eligibility and suitability criteria for admission to the public housing program. The policy of the HA is to apply these criteria to evaluate the qualifications of families who apply. The HA will review all information provided by the family carefully and without regard to factors other than those defined in this chapter. Families will be provided the opportunity to explain their circumstances, to furnish additional information, if needed, and to receive an explanation of the basis for any decision made by the HA pertaining to their eligibility and suitability.

PART I: ELIGIBILITY

A. QUALIFICATION FOR ADMISSION

In order to be deemed eligible for admission to public housing, an applicant must meet the following criteria:

Meet the definition of a family as defined by HUD and the HA

Has a head of household, co-head or spouse/martital-type partner where at least one of these members is either a U.S. citizen or eligible non-citizen. (24 CFR Part 5, Subpart E)

Has an annual income at the time of admission that does not exceed the Income Limits for occupancy established by HUD and posted separately at the HA

Provide a Social Security number for all family members or will provide written certification that they legally cannot obtain Social Security numbers at this time and will notify the HA upon receipt of a Social Security number

Meet the Suitability Criteria as set forth in this chapter

Has no outstanding debts to this HA, any other HA or any other governmental agency, excluding educational loans

Has no current debt to a Utility Company or has entered into a repayment agreement with the Utility Company for outstanding debts

The HA shall permanently deny admission to persons convicted of manufacturing or producing methamphetamine on the premises of assisted housing.

The HA shall deny admission to sex offenders who are subject to a lifetime registration

requirement under a State sex offenders registration program.

Timing for the Verification of Qualifying Factors

The HA shall not verify eligibility factors until the HA "batches" applicant files from one of the thirteen site based waiting lists after determining that a sufficient number of vacancies warrant a pool of eligible applicants.

B. DEFINITION OF FAMILY

Definition of Family

The term "family" includes, but is not limited to the following, regardless of actual or perceived sexual orientation, gender identity, or marital status:

A single person, who is an elderly person, displaced person, a person with disabilities, near-elderly person, or any other single person; or

A group of persons residing together and such group include, but is not limited to:

(1) A family with or without children (a child who is temporarily away from the home because of placement in foster care is considered a member of the family);

(2) An elderly family;

(3) A near-elderly family;

(4) A disabled family;

(5) A displaced family;

(6) The remaining member of a tenant family;

(7) A foster care arrangement, or a kinship care arrangement;

(8) Other persons, including members temporarily absent (e.g. a child temporarily placed in foster care or a student temporarily away at college), may be considered a part of the applicant family's household if they are living or will live regularly with the family;

(9) Live-In Aides may also be considered part of the applicant family's household. However, live-in aides are not family members and have no right of tenancy or continued occupancy; and

(10) Foster Care Arrangements include situations in which the family is caring for a foster adult, child or children in their home who have been placed there by a public child placement agency, or a foster adult or adults placed in the home by a public adult placement agency. For purposes of continued occupancy; the term family also includes the remaining member of a resident family with the capacity to execute a lease.

Elderly, disabled, and displaced families as defined by HUD in CFR 5.403.

Other families are defined by the HA as follows:

A family, other than an elderly, disabled, or displaced family, is defined by the HA as one or more persons who intend to share residency in the public housing unit, and whose income and resources are available to meet the family's needs.

Head of Household

The head of household is the adult member of the household who is designated by the family as head, is wholly or partly responsible for paying the rent, and has the legal capacity to enter into a lease under State/local law.

Spouse of Head

"Spouse" means the husband or wife of the head.

The definition of "spouse" is the marriage partner who, in order to dissolve the relationship, would have to be divorced. It includes the partner in a common-law marriage. The term "spouse" does not apply to boyfriends, girlfriends, significant others, or co-heads.

Co-Head

An individual in the household who is equally responsible for the lease with the Head of Household. A household may have either a spouse or co-head, but not both. A co-head never qualifies as a dependent.

Live-In Aides

A family may include a live-in aide provided that such live-in aide:

Is determined by the HA to be essential to the care and well-being of an elderly person, a near-elderly person, or a person with disabilities,

Is not obligated for the support of the person(s), and

Would not be living in the unit except to provide care for the person(s).

A live-in aide is not considered to be an assisted family member and has no rights or benefits under the program.

Income of the live-in aide will not be counted for purposes of determining eligibility or level of benefits.

Live-in aides are not subject to Non-Citizen Rule requirements.

Live-in aides may not be considered as a remaining member of the resident family.

Relatives are not automatically excluded from being live-in aides, but they must meet all of the elements in the live-in aide definition described above.

Family members of a live-in aide may also reside in the unit, providing that doing so does not increase the subsidy by the cost of an additional bedroom and that the presence of the family member(s) does not overcrowd the unit.

A live-in aide may only reside in the unit with the approval of the HA. Written verification will be required from a reliable, knowledgeable professional, such as a doctor, social worker, or caseworker. The verification provider must certify that a live-in aide is needed for the care of the family member who is elderly, near-elderly (50–61) or disabled.

Verification of the need for a live-in aide must include the hours the care will be provided.

The HA has the right to disapprove a request for a live-in aide based on the "Other Eligibility Criteria" described in this chapter.

The family is responsible for the actions of the live-in aide. The live-in aide must comply with obligations of the family to maintain the unit and premises in a decent, safe and sanitary manner and not to disturb the peaceful enjoyment of the premises. Prior to residing in public housing, a live-in aide must pass a criminal background check, be deemed suitable by the HA and is required to execute a "Live-in Aide Agreement" with the HA.

Spouses Living Apart

An applicant who declares that he/she is married but living apart from their spouse, must provide proof of address for their spouse including but not limited to a utility bill, Lease or other HA acceptable address verification.

C. MANDATORY SOCIAL SECURITY NUMBERS [24 CFR 5.216]

Families are required to provide verification of Social Security Numbers for all family members prior to admission, or written certification that they legally cannot obtain Social Security numbers at this time and will notify the HA upon receipt of a Social Security number. This requirement also applies to persons joining the family after admission to the program.

Failure to furnish verification of Social Security Numbers is grounds for denial of admission or termination of tenancy.

If a member legally cannot obtain a Social Security Number, he/she must sign a certification stating that he/she does not have one. The certification shall:

State the individual's name, state that the individual has not been issued a Social Security Number;

State that the individual will disclose the Social Security Number to the HA, if he/she obtains one at a later date;

Be signed and dated.

D. CITIZENSHIP/ELIGIBLE IMMIGRATION STATUS

In order to receive assistance, a family member must be a U.S. citizen, a citizen of the Freely Associated States of the Marshall Islands, the Federated States of Micronesia, and Palau, or an eligible immigrant. Individuals who are neither may elect not to contend their status. Eligible immigrants are persons who are in one of the six immigrant categories as specified by HUD.

For the Citizenship/Eligible Immigration requirement, the status of each member of the family is considered individually before the family's status is defined.

> Mixed Families: A family is eligible for assistance as long as at least one member is a citizen or eligible immigrant. Families that include eligible and ineligible individuals are called "mixed." Such applicant families will be notified that their assistance will be pro-rated and that they may request a hearing if they contest this determination.
>
> Non-eligible members: Applicant families that include only non-eligible members will be ineligible for assistance. Such families will be denied admission and offered an opportunity for a hearing.
>
> Non-citizen students: As defined by HUD in the non-citizen regulations, non-citizen students are not eligible for assistance.

The HA will establish and verify eligibility no later than the date of the family's first annual reexamination.

E. DENIAL OF ADMISSION FOR PREVIOUS DEBTS TO THIS HA, ANY OTHER HA OR ANY OTHER GOVERNMENTAL AGENCY

Previous outstanding debts incurred by all adult members of an applicant household to this HA, any other HA or any other governmental agency, excluding educational loans, must be paid in full prior to admission.

F. INCOME LIMITS

Income eligibility for the public housing program is based on the total anticipated income from all sources received by any family member except for listed income exclusions in Chapter 5. Income limits are determined by HUD and updated annually. The HA shall use income guidelines provided by HUD to determine program eligibility for the public housing program. These income guidelines will be posted at all times at the HA's site management offices.

PART II: SCREENING FOR SUITABILITY [24 CFR 960.204, 960.205]

A. SUITABILITY CRITERIA

All applicants will be processed in accordance with HUD's regulations (24 CFR Part 960) and sound management practices. Applicants will be required to demonstrate ability to comply with essential provisions of the lease as summarized below.

All applicants must demonstrate through an assessment of current and past behavior the ability:

To pay rent and other charges as required by the lease in a timely manner;

To care for and avoid damaging the unit and common areas;

To use facilities, appliances and equipment in a reasonable way;

To create no health or safety hazards, and to report maintenance needs in a timely manner;

Not to interfere with the rights and peaceful enjoyment of others and to avoid damaging the property of others;

Not to engage in criminal activity or alcohol abuse that threatens the health, safety or right to peaceful enjoyment of other residents or staff and not to engage in drug-related criminal activity;

Not to have ever been convicted of manufacturing or producing methamphetamine, also known as "speed," on the premises of assisted housing;

Not to be subject to sex offender lifetime registration under a State sex offender registration program. *

To comply with necessary and reasonable rules and program requirements of HUD and the HA; and

To comply with local health and safety codes.

* This requirement includes minors from 13 to 17 years of age.

In developing its admission policies, the aim of the HA is to attain a resident body composed of families with a broad range of incomes and to avoid concentrations of the most economically deprived families and families with serious social problems. Therefore, it is the policy of the HA to deny admission to applicants whose habits and practices may reasonably be expected to have a detrimental effect on the operations of the development or neighborhood, or on the quality of life for its residents.

The HA will conduct a detailed interview of all applicants designed to evaluate the qualifications of applicants to meet the essential requirements of tenancy. Answers may be subject to third party verification.

An applicant's misrepresentation of any information related to eligibility, award of preference for admission, housing history, allowances, family composition, criminal history, or rent may result in denial of admission. If the HA discovers that an applicant misrepresented such information and that such misrepresentation led to the applicant's admission, the applicant shall be deemed not eligible for admission and shall be subject to termination.

Applicants must be able to demonstrate the ability and willingness to comply with the terms of the lease. Should the applicant require assistance in order to comply with the terms of the lease, the

applicant must notify the HA. (24 CFR 8.2 Definition: Qualified Individual with Disabilities). The availability of assistance is subject to verification by the HA.

The HA's minimum age for admission as head of household is 18, so that the HA will avoid entering into leases that would not be valid or enforceable under applicable law.∗

As a part of the final suitability determination, the HA will screen each applicant household to assess their suitability as renters.

The HA will complete a credit check and rental history check on all applicants.

The HA may complete a home visit at the current residence of all applicants who:

Have had landlords refuse to sign their Resident Reference Form;

Stated information on their application that is inconsistent with information on the credit and unlawful detainer report;

Do not have an established residence at the time of their suitability review (e.g., state they live "here and there with friends");

Have landlords raise suitability issues on the Resident Reference Forms;

Have a criminal history that raises suitability concerns;

Claim to have zero income (to establish how they are meeting their needs);

Were interviewed by HA staff who has found the applicant's statement or behavior to raise concerns regarding suitability.

The HA's examination of relevant information pertaining to past and current habits or practices will include, but is not limited to, an assessment of:

The applicant's past performance in meeting financial obligations, especially rent;

Eviction or records of disturbance of neighbors sufficient to warrant a police call, destruction of property, or living or housekeeping habits at present or prior residences, which may adversely affect the health, safety, or welfare of other residents or neighbors;

Any history of criminal activity on the part of <u>any</u> applicant family member, involving criminal acts, including drug-related criminal activity;

Any history or evidence of repeated acts of violence on the part of an individual, or a pattern of conduct constituting a danger to peaceful occupancy by neighbors;

Any history of initiating threats or behaving in a manner that indicates intent to assault

∗ The HA shall make an exception for emancipated minors upon completion of verifying their legal status as such.

employees or other residents;

Any history of alcohol or substance abuse that would threaten the health, welfare, or right to peaceful enjoyment of the premises by other residents.

Any evidence of housing assistance termination for adverse actions or who left the program owing money to a HA.

The ability and willingness of an applicant to comply with the essential lease requirements will be verified and documented by the HA. The information to be considered in the screening process shall be reasonably related to assessing the conduct of the applicant and other family members listed on the application in present and prior housing.

The history of applicant conduct and behavior must demonstrate that the applicant family can reasonably be expected not to:

Interfere with other residents in such a manner as to diminish their peaceful enjoyment of the premises by adversely affecting their health, safety, or welfare [24CFR 960.205(b)];

Adversely affect the physical environment or financial stability of the development [24CFR 960.205(b)];

Violate the terms and conditions of the lease [24CFR 8.3];

Require services from HA staff that would alter the fundamental nature of the HA's program [24 CFR 8.3].

B. SCREENING FOR DRUG-RELATED AND/OR CRIMINAL ACTIVITY

It is the intention of the Housing Authority to administer a policy that maintains decent, safe, and sanitary public housing. All screening procedures shall be administered fairly and in such a way as to not discriminate on the basis of race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group as well as not in violation of the right to privacy.

The HA will obtain and take into consideration criminal summary history information from State and/or local law enforcement agencies, and the FBI on all applicants over the age of eighteen for the purpose of determining resident suitability. The HA may also obtain and take into consideration public records of past or current criminal history of the applicant or proposed member of the applicant's household. The HA uses the Data Compliance System (DCS) which automatically provides publically available arrest data from Los Angeles County enforcement agencies. The HA will verify the information collected on its applicants and take information into consideration for admissions purposes.

All applicants to the public housing program will be screened for drug-related, violent- and any

other criminal activity involving the applicant or proposed member of the applicant's household during the suitability review process. The HA defines criminal activity in the following manner:

> Drug-Related Criminal Activity: the illegal manufacture, sale, distribution, use or possession with intent to manufacture, sell, distribute, or use a controlled substance (as defined in Section 102 of the Controlled Substances Act (21 U.S.C. 802)). **Please note that the cultivation, sale, use or possession of marijuana for medical reasons is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law. The cultivation, sale, use or possession of marijuana for medical reasons subjects applicants to the denial of admission.
>
> Violent Criminal Activity: any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably likely to cause, serious bodily injury or property damage.
>
> Sex Offense Related Criminal Activity: Any criminal activity that subjects a member of the applicant's household, which includes minors 13 to 17 years of age, to be subject to sex offender lifetime registration under a State sex offender registration program.
>
> Other Criminal Activity: any criminal activity including, but not limited to, violent criminal activity which would adversely affect the health, safety, or right to peaceful enjoyment of the public housing premises by other residents or persons residing in the immediate vicinity or employees of the HA.

Applicant(s) engaging in fraud or bribery associated with any federal housing program:

The HA shall deny admission if the applicant or any household member has committed fraud, bribery, or any other corrupt or criminal act in connection with any Federal housing program. The HA may make an exception in determining admission if the family member(s) who participated or were culpable for the action do not reside in the assisted unit.

Denial of Admission for Drug-Related Criminal Activity:

The HA shall permanently deny admission to any applicant if any household member has ever been convicted of drug-related criminal activity for the manufacture or production of methamphetamine on the premises of Federally assisted housing, in accordance with HUD regulations. **Please note that the cultivation, sale, use or possession of marijuana for medical reasons is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law. The cultivation, sale, use or possession of marijuana for medical reasons subjects applicants to the denial of admission.

The HA ~~may~~ den~~y~~ies admission of any applicant evicted from federally assisted housing by reason of drug-related criminal activity within the previous three-year period ~~shall be denied admission~~, unless the evicted resident successfully completed a rehabilitation program approved by the HA and is willing to continue with counseling and support activities.

The HA shall deny admission to applicants where it is determined that there is a pattern of illegal use of a controlled substance or abuse of alcohol by the applicant. The HA will consider the illegal

use of a controlled substance or abuse of alcohol a "pattern" where there are three or more incidents during the previous twelve months.

In determining whether to deny admission to public housing based on a pattern of illegal use of a controlled substance or abuse of alcohol by an applicant, and/or prior eviction from federally assisted housing by reason of drug-related criminal activity, the HA may consider the following mitigating factors:

> Has successfully completed a supervised drug or alcohol rehabilitation program (as applicable) and is willing to continue with counseling and support activities and is no longer engaging in the illegal use of a controlled substance or abuse of alcohol (as applicable);
>
> Has otherwise been rehabilitated successfully and is willing to continue with counseling and support activities and is no longer engaging in the illegal use of a controlled substance or abuse of alcohol (as applicable); or
>
> Is participating in a supervised drug or alcohol rehabilitation program (as applicable) and is no longer engaging in the illegal use of a controlled substance or abuse of alcohol (as applicable).

Notwithstanding Sections a, b, and c, the HA reserves the right to deny admission to applicants who have engaged in any drug-related criminal activity within a previous three-year period. In such a determination, the HA shall take into account the above-listed mitigating factors.

Denial of Admission of Persons Engaged in Violent Criminal Activity

In accordance with Section 576 (C) of the Quality Housing and Work Responsibility Act of 1998, the HA shall deny admission to public housing for any applicant who has engaged in violent criminal activity within the last three years. Violent criminal activity means any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably li~~ke~~ly to cause, serious bodily injury or property damage. In accordance with 24 CFR section 960.203 (c)(3), the HA may deny the admission of persons who have a history of criminal activity involving crimes of physical violence to persons or property.

Denial of Admission of Persons Engaged in Other Criminal Activity

In accordance with 24 CFR section 960.203 (c)(3), the HA may deny the admission of persons who have a history of criminal activity involving criminal acts which would adversely affect the health, safety or welfare of other tenants. The HA shall consider "other criminal activity" engaged in by an applicant in determination of suitability for public housing.

Denial of Admission for Lifetime Sex Offender Registrants

In accordance with 24 CFR 960.204 (a)(4), the HA shall permanently deny admission to any applicant, which includes minors 13 to 17 years of age, who is subject to a sex offender lifetime registration under a State sex offender registration program.

Right to Informal Hearing

Applicants denied admission to public housing based on drug-related, violent or other criminal activity may dispute the information revealed in the criminal summary history information or the determination made by the HA and request an informal hearing in accordance with the HA's grievance policy.

C. OTHER SUITABILITY FACTORS

Rent-Paying Habits

The HA will examine any HA records from a prior tenancy, and will request written references from the applicant's current landlord and may request written references from current and former landlords for up to the past three years.

Based upon these verifications, the HA will determine if the applicant was chronically late with rent payments, has been evicted for nonpayment of rent, or had other legal action initiated against him/her for debts owed. Any of these circumstances could be grounds for an ineligibility determination, depending on the amount of control the applicant had over the situation.

Screening Applicants Who Claim Mitigating Circumstances

Mitigating circumstances are facts relating to the applicant's record of unsuitable history or behavior, which, when verified, would indicate both: (1) what the reason for the unsuitable history and/or behavior is; and (2) that the reason for the unsuitable history and behavior is no longer in effect or is under control, and the applicant's prospect for lease compliance is an acceptable one, justifying admission.

If unfavorable information is received about an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors that might indicate a reasonable probability of favorable future conduct. In order to be factored into the HA's screening assessment of the applicant, mitigating circumstances must be verifiable.

If the mitigating circumstances claimed by the applicant relate to a change in disability, medical condition or course of treatment, the HA shall have the right to refer such information to persons who are qualified and knowledgeable to evaluate the evidence and to verify the mitigating circumstance. The HA shall also have the right to request further information reasonably needed to verify the mitigating circumstance, even if such information is of a medically confidential nature. Such inquiries will be limited to the information necessary to verify the mitigating circumstances or, in the case of a person with disabilities, to verify a reasonable accommodation.

Examples of mitigating circumstances:

Evidence of successful rehabilitation;

Evidence of the applicant family's participation in and completion of social service or other appropriate counseling service approved by the HA;

Evidence of successful and sustained modification of previous disqualifying behavior.

Consideration of mitigating circumstances does not guarantee that the applicant will qualify for admission. The HA will consider such circumstances in light of:

> The applicant's ability to substantiate through verification the claim of mitigating circumstances and his/her prospects for improved future behavior; and the applicant's overall performance with respect to all the screening requirements.

D. QUALIFIED AND UNQUALIFIED APPLICANTS

Information which has been verified by the HA will be analyzed and a determination will be made with respect to:

> The eligibility of the applicant as a family;
>
> The eligibility of the applicant with respect to income limits for admission; and
>
> The eligibility of the applicant with respect to citizenship or eligible immigration status.

Assistance to a family may not be delayed, denied or terminated on the basis of the family's ineligible immigration status unless and until the family completes all the verification and appeals processes to which they are entitled under both United States Citizenship and Immigration Services (USCIS) and HA procedures, except for a pending HA hearing.

Applicants who are determined to be unqualified for admission will be promptly notified with a Notice of Denial of Admission stating the reason for the denial. The HA shall provide applicants the opportunity for an informal hearing (see chapter titled "Grievances and Appeals").

The HA will make every effort to accurately estimate an approximate date of occupancy. However, the date given by the HA does not mean that applicants should expect to be housed by that date. The availability of a suitable unit to offer a family is contingent upon factors not directly controlled by the HA, such as turnover rates, and market demands as they affect bedroom sizes and development location.

E. DOCUMENTATION OF FINDINGS

An authorized representative of the HA shall document any pertinent information received relative to the following:

> Criminal Activity—includes the activities listed in the definition of criminal activity in this chapter.
>
> Pattern of Violent Behavior—includes evidence of repeated acts of violence on the part of an individual, or a pattern of conduct constituting a danger to peaceful occupancy of neighbors.
>
> Pattern of Drug Use—includes a determination by the HA that the applicant has exhibited a pattern of illegal use of a controlled substance which might interfere with the health, safety, or right to peaceful enjoyment of the premises by other residents.

Drug-Related Criminal Activity—includes a determination by the HA that the applicant has been involved in the illegal manufacture, sale, distribution, use or possession of a controlled substance.

Pattern of Alcohol Abuse—includes a determination by the HA that the applicant's pattern of alcohol abuse might interfere with the health, safety or right to peaceful enjoyment of the premises by other residents.

Initiating Threats—or behaving in a manner indicating an intent to assault employees or other residents.

Abandonment of a Public Housing Unit without advising HA officials so that staff may secure the unit and protect its property from vandalism.

Non-Payment of Rightful Obligations—including rent and/or utilities and other charges owed to the HA or any other HA.

Falsifying an Application for Leasing—providing false information about family income and size, using an alias on the application for housing, or making any other material false statement or omission intended to mislead.

Record of Serious Disturbances of Neighbors, Destruction of Property or Other Disruptive or Dangerous Behavior—consists of patterns of behavior that endanger the life, safety, or welfare of other persons by physical violence, gross negligence or irresponsibility; that damage the equipment or premises in which the applicant resides; or that are seriously disturbing to neighbors or disrupt sound family and community life, indicating the applicant's inability to adapt to living in a multi-family setting. Includes judicial termination of tenancy in previous housing on the grounds of nuisance or objectionable conduct, or frequent loud parties that have resulted in serious disturbances of neighbors.

Grossly Unsanitary or Hazardous Housekeeping—includes the creation of a fire hazard through acts such as hoarding; severe damages to premises and equipment, if it is established that the family is responsible for the condition; seriously affecting neighbors by causing infestation, foul odors, depositing garbage in halls; or serious neglect of the premises. This category does not include families whose housekeeping is found to be superficially unclean or due to lack of orderliness, where such conditions do not create a problem for neighbors.

Destruction of Property from previous rentals.

Whether Applicant or Resident Is Capable of Maintaining the Responsibilities of Tenancy In the case of applicants for admission, the person's present living arrangements and a statement obtained from applicant's physician, social worker, or other health professional will be among factors considered in making this determination. The availability of a live-in aide will be considered in making this determination.

In the event of the receipt of unfavorable information with respect to an applicant, consideration shall be given to the time, nature, and extent of the applicant's conduct and to factors that might

indicate a reasonable probability of favorable future conduct or financial prospects. However, this does not preclude the HA from rescinding a determination of an applicant's eligibility and suitability should the receipt of unfavorable information, and/or the discovery of falsified information, occur after the HA has concluded its screening process.

F. PROHIBITED CRITERIA FOR DENIAL OF ADMISSION

The HA shall not reject an applicant on the basis that such applicant:

Has no income;

Is not employed;

Does not participate in a job-training program;

Will not apply for public assistance or benefit programs;

Has a child (or children);

Has children born out of wedlock;

Is on welfare;

Is a student;

On the basis of race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against other legally protected groups;

Is or had been a victim of domestic violence, dating violence, sexual assault or stalking if the applicant otherwise qualifies for assistance or admission, and that nothing in this section shall be construed to supersede any provision of any Federal, State, or local law that provide greater protection than this section for victims of domestic violence, dating violence, sexual assault or stalking.

Chapter 3

APPLICATIONS AND MANAGEMENT OF THE SITE BASED WAITING LISTS

[24 CFR 5.400, 5.600, 960.201 through 960.208]

INTRODUCTION

The policy of the HA is to ensure that all families who express an interest in public housing assistance are given an equal opportunity to apply and are treated in a fair and consistent manner. This chapter describes the policies and procedures for entering new applications on up to thirteen site based waiting lists based on eligibility, opening and closing of the waiting lists, determining essential applicant information for waiting list placement, administering preferences, and removing applicants from a waiting list. The HA maintains thirteen separate waiting lists, with seven of those waiting lists designated as Elderly-Only housing developments.

A. ENTERING NEW APPLICANTS ON A THE WAITING LIST

Applicants interested in public housing may call the HA's application phone line to submit an application to be placed on up to thirteen waiting lists (contingent upon unit size required and other eligibility requirements as set forth below) or apply online at www.hacola.org. Upon a request from a person with a disability, the HA will make the waiting list application available in an accessible format. Current public housing residents are prohibited from reapplying and placing their name on any of the thirteen waiting lists.

The HA maintains thirteen separate waiting lists:

Carmelitos Family
East County Family
Quartz Hill Family
Harbor Hills Family
Santa Monica Family
South Scattered Sites Family

Elderly-Only waiting lists
South Bay Gardens Senior
Carmelitos Senior
East County Senior
West Knoll/Palm Senior
Marina Manor Senior
Orchard Arms Senior
Foothill Villa Senior

B. PROCEDURES FOR INITIAL APPLICATION TO A WAITING LIST

The purpose of the initial application is to permit the HA to determine placement on the waiting lists. At the time of the application intake, whether through the application line or website, the

HA will obtain the following information:

- Name, address and social security number of the head of household;
- Name and social security number of any co-head,spouse/marital-type partner;
- Name and social security number of each additional household member;
- Date and time of application;
- Amount and source of annual income;
- Information regarding request for reasonable accommodation or accessible unit.
- Employment address.

The HA requires that applicants inform the HA of changes in family composition and address within 30 calendar days of the occurrence. The HA also requires that applicants respond to requests from the HA to update information on their application, or to determine their continued interest for assistance.

Initial application and placement on the waiting list

If the head of household/co-head and/or spouse/marital-type partner no longer need housing assistance, or are deceased, their application and placement on the waiting list will be immediately forfeited and cannot be transferred to any other family member or person.

Multiple families in the same household

When families apply that consist of two families living together, (such as a mother and father, and a daughter with their own husband or children), if they apply as a family unit, they will be treated as a family unit and will only be provided one unit if offered housing.

C. NOTIFICATION OF APPLICANT STATUS

Upon submission of the initial application, the HA will post on the online waiting list registration page the applicant's eligibility and waiting list(s) status.

Should the family be determined as ineligible, based on the information provided during the initial application, the HA will notify the family in writing (or in an accessible format upon request as a reasonable accommodation), state the reason(s), and inform them of their right to an informal hearing. Persons with disabilities may request to have an advocate attend the informal hearing as an accommodation. Refer to the chapter "Grievances and Appeals."

D. OPENING AND CLOSING OF A WAITING LIST

The HA, at its discretion, may restrict application intake, suspend application intake, and close waiting lists in whole or in part. The decision to close any of the thirteen waiting lists will be based on the number of applications available for a particular size and type of unit, and the ability of the HA to house an applicant in an appropriate units within a reasonable period of time.

The HA will publicly announce the decision to close any of the thirteen waiting lists and/or restrict intake through public notice in local newspaper publications, media entities, and social service

agencies. The public notice will contain the date and time when the HA will close a waiting list. Generally, the HA will give at least ten days' notice prior to closing any of the thirteen site based waiting lists. Furthermore, during the period when a waiting list is closed, the HA will not maintain a list of individuals who wish to be notified when a waiting list is open.

Upon request from a person with a disability, an accommodation may be granted such as additional time for submission of an application after the closing deadline. This accommodation is to allow persons with disabilities the opportunity to submit an application in cases when a social service organization provides inaccurate or untimely information about the closing date. An individual may request an accommodation at any time.

Opening of a waiting list will be announced in the same manner as closing of a waiting list. This notice will be made in an accessible format if requested. It will provide potential applicants with information that includes the HA address and telephone number, how to submit an application, and information on eligibility requirements.

Unless a waiting list is closed, the HA will accept an application, even if the HA believes that the applicant is probably not eligible.

E. REMOVAL FROM A WAITING LIST AND PURGING

Purging of a waiting list

A waiting list may be purged at least once a year by a mailing to all applicants to ensure that the waiting list is current and accurate. The mailing will request for current information and confirmation of continued interest in the program. Notices will be made available in an accessible format upon the request of a person with a disability.

Should an applicant fail to respond within ten calendar days s/he will be removed from all applicant selected waiting lists. If a letter is returned by the Post Office with a forwarding address, it will be considered as 'returned undeliverable' and the applicant will be subject to removal from all selected waiting lists. An extension to reply to the purge notification will be considered as an accommodation if requested by a person with a disability. The HA will also consider as an accommodation the reinstatement of an applicant who did not respond in the timeframe described above because of a verified reason connected to a disability.

Granting a request for re-instatement by applicants removed from all selected waiting lists due to their failure to respond will be at the discretion of the HA in consideration of factors such as:

- A verified family/health/work emergency;
- The applicant failed to respond to a request for information or updates because of a family member's disability;
- The applicant can provide verification or attest they were homeless at the time of the mailing;

- The applicant can verify a mail delivery problem;

- The applicant failed to respond to a request for information due to the applicant's status as a victim of domestic violence, dating violence, sexual assault or stalking.

Periodically, applicants will call to check their status on the waiting list and learn that they have been purged from the waiting list. In extenuating circumstances, such as those listed above, the applicant may be reinstated. However, the applicant must be able to provide documentation of the circumstances. Such requests will be reviewed and decided on a case-by-case basis by the HA.

In addition, the HA will remove applicants from all applicant selected public housing waiting lists once they have been housed, have requested in writing that their names be removed, have not responded to the HA's request for information, have not met the eligibility/suitability screening criteria, or they have refused an offer of housing without good cause (24 CFR 960.206).

Applicants who are denied (because the HA determined they were ineligible/unsuitable) are entitled to an informal hearing. Please refer to chapter 13 "Grievances and Appeals."

F. WAITING LIST PREFERENCES

A preference is not an automatic guarantee of admission to the program. Preferences are used to establish the order of placement on the waiting lists. Every applicant must meet the HA's Selection Criteria as defined in this policy.

The HA's preference system will work in combination with requirements to match the characteristics of the family to the type of unit available, including units with targeted populations, and further de-concentration of poverty in public housing. When such matching is required or permitted by current law, the HA will give preference to qualified families.

Families who reach the top of a waiting list will be contacted by the HA to verify their preference and, if verified, the HA will complete a full application for occupancy. Applicants may not retain their preference status on a waiting list if they refuse to provide verification of their preference and will be cancelled if they fail to complete the application process.

Among applicants with equal preference status, waiting lists will be organized according to date and time of application.

Local Preferences

Local preferences will be used to select among applicants on a waiting list. A public notice with opportunity for public comment will be held before the HA adopts or changes any local preference.

The notice will be distributed following the same guidelines as those used for opening or closing a waiting list.

General Occupancy Housing Developments

The HA has established the following local admissions preferences for general occupancy

housing developments:

In accordance with the State of California Health and Safety Code, section 34322.2, the Housing Authority gives priority to families of veterans and servicepersons within each of the above admissions preference categories including the spouse/marital-type partner of a deceased veteran or serviceperson.

First Preference: Homeless

Homeless Families and Victims of Domestic Violence:

The Housing Authority provides a countywide waiting list preference for homeless families. The preference is limited to 30% of the number of vacant general occupancy public housing units available on July 1 of each fiscal year. The family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person. Victims of domestic violence, dating violence, sexual assault or stalking receive the same admissions preference as homeless families.

For the family properties located specifically in the South Los Angeles County area, the Housing Authority provides an expanded waiting list homeless preference by first offering any unit that becomes available to a homeless family.

To qualify for this preference, homeless families must be referred by County agencies or Community Based Organizations (CBOs) with a contract or Memorandum of Understanding (MOU) in place with the Housing Authority. Additionally, the Housing Authority will require partner agencies to use a Coordinated Access System for homeless referrals. The referring agency must provide a certification of the family's homeless status.

Transitional Aged Youth (TAY):

HACoLA provides a homeless preference to TAY. This preference is limited to 3 households per housing development at Carmelitos, Harbor Hills, and Nueva Maravilla, where on-site services are available to ensure that case management will continue to be provided. In order to qualify for the TAY, the applicant must be referred to HACoLA by the Los Angeles Homeless Services Administration (LAHSA).
~~Homeless families must be referred to the HA by a homeless service provider currently under contract with the HA. The family must consist of two (2) or more persons with one (1) member being under the age of 18 or be a single elderly and/or disabled person:~~

~~This preference is limited to 30% of the number of vacant general occupancy units available on July 1 of each fiscal year. Furthermore, the HA will consider victims of domestic violence, dating violence, sexual assault or stalking and emancipated youth aging out of Foster Care as part of the definition of homeless families. Victims of domestic violence, dating violence, sexual assault or stalking and~~

~~emancipated youth aging out of Foster Care will receive the same admissions preference as homeless families. In order to qualify for the victim of domestic violence, dating violence, sexual assault or stalking preference, the applicant must be referred to the HA by a homeless service provider currently under contract with the HA. In order to qualify for the emancipated youth aging out of Foster Care preference, the applicant must be referred to the HA by the Department of Children and Family Services (DCFS).~~

Second Preference: Families that have been displaced by a natural disaster declared by the President of the United States or through a governmental action.

Third Preference: Families who live and/or work in unincorporated Los Angeles County.

Fourth Preference: Families that do not live or work in unincorporated Los Angeles County.

~~In accordance with the State of California Health and Safety Code, section 34322.2, the Housing Authority gives priority to families of veterans and servicepersons within each of the above admissions preference categories including the spouse/marital-type partner of a deceased veteran or serviceperson. Additionally, the Los Angeles Homeless Services Administration (LAHSA) provides youth aging out of Foster Care, also known as Transitional Age Youth (TAY), with social services and pays their public housing rent. For those TAYs that are no longer assisted by LAHSA, and need to remain in public housing, LAHSA will provide the HA with a certification of homelessness. The TAY will than remain in their unit and pay their public housing rent on their own.~~

The Housing Authority has an ~~Memorandum of Understanding (~~MOU~~)~~ with the Long Beach Housing Authority to permit residents of the City of Long Beach to be classified as in-jurisdiction applicants for housing at the Carmelitos Public Housing development only. Once the Housing Authority provides public housing assistance to a City of Long Beach resident at the Carmelitos Public Housing development, the resident must abide by and is governed by all policies in the Housing Authority's Admissions and Continued Occupancy Policy, Public Housing Lease Agreement ("Lease"), any Lease addendums and any other Public Housing rules and policies. Additionally, these residents are eligible to transfer to any other Public Housing development owned by the Housing Authority. Approval of a transfer request is delineated in Chapter 7 "Transfer Policy".

Elderly-Only Housing Developments

The HA has established the following local admissions preferences for elderly-only housing developments:

In accordance with the State of California Health and Safety Code section 34322.2, the HA gives priority to families of veterans and servicepersons including the spouse/marital-type partner of a deceased veteran or serviceperson, within each of the above admissions preference categories.

First Preference: Families that live and/or work in unincorporated Los Angeles County, who are Elderly Only (all household members must be 62 years of age or older).

Second Preference: Families who do not live and/or work in unincorporated Los Angeles County and who are Elderly Only (all household members must be 62 years of age or older).

~~In accordance with the State of California Health and Safety Code section 34322.2, the HA gives priority to families of veterans and servicepersons including the spouse/marital-type partner of a deceased veteran or serviceperson, within each of the above admissions preference categories.~~

The Housing Authority has a Memorandum of Understanding (MOU) with the Long Beach Housing Authority to permit residents of the City of Long Beach to be classified as in-jurisdiction applicants for housing at the Carmelitos Public Housing development only. Once the Housing Authority provides public housing assistance to a City of Long Beach resident at the Carmelitos Public Housing development, the resident must abide by and is governed by all policies in the Housing Authority's Admissions and Continued Occupancy Policy, Public Housing Lease Agreement ("Lease"), any Lease addendums and any other Public Housing rules and policies. Additionally, these residents are eligible to transfer to any other Public Housing development owned by the Housing Authority. Approval of a transfer request is delineated in Chapter 7 "Transfer Policy".

G. FACTORS OTHER THAN PREFERENCES THAT AFFECT SELECTION OF APPLICANTS

Before applying its preference system, the HA will first match the characteristics of the available unit to the applicants available on a specific waiting list. Factors such as unit size, accessible features, de-concentration or income mixing, income targeting, or units in housing designated for the elderly and/or disabled limit the admission of families to those characteristics that match the characteristics and features of the vacant unit available.

By matching unit and family characteristics, it is possible that families who are lower on the waiting list may receive an offer of housing ahead of families with an earlier date and time of application.

H. INCOME TARGETING

The HA will monitor its admissions to ensure that at least 40% of families admitted to public housing in each fiscal year shall have incomes that do not exceed 30% of area median income of the HA's jurisdiction.

The HA shall, at its discretion, at least annually, exercise the "fungibility" provision of QHWRA by admitting less than 40% of "extremely low income families" to public housing in a fiscal year, to the extent that the HA has provided more than 75% of newly available Section 8 Housing Choice Vouchers to "extremely low income families." This fungibility provision discretion by the HA is also reflected in the HA's Section 8 Administrative Plan.

The fungibility credits will be used to drop the annual requirement below 40% of admissions to public housing for extremely low income families by the lowest of the following amounts:

> The number of units equal to 10% of the number of newly available vouchers in the fiscal year; or
>
> The number of public housing units that 1) are in public housing developments located in census tracts having a poverty rate of 30% or more, and 2) are made available for occupancy by and actually occupied in that year by, families other than extremely low-income families.

The Fungibility Floor: Regardless of the above two amounts, in a fiscal year, at least 30% of the HA's admissions to public housing will be for extremely low-income families. The fungibility floor is the number of units that cause the HA's overall requirement for housing extremely low-income families to drop to 30% of its newly available units.

I. UNITS DESIGNATED FOR THE ELDERLY

The HA submitted an Allocation Plan as required by the 1992 Housing Act to designate specific units or sites for elderly applicants only. HUD approved the designation of twelve Public Housing developments as Elderly-Only (all household members must be 62 years of age or older). In accordance with the 1992 Housing Act, elderly families will receive a preference for admission to these twelve Elderly-Only housing developments. If there is a shortage of Elderly-Only applicants, the HA has the option to house near-elderly families (ages fifty to sixty-one years of age).

HUD approved the senior designation of the housing developments listed below on August 27, 2013. A non-elderly resident (61 years or younger) living at any of the Elderly-Only housing developments listed below prior to August 27, 2013, is "grandfathered" in and allowed to remain at the property. If a resident living at a Senior Only housing development requests to add a new household member to their Lease after August 27, 2013, the new household member must be 62 years or older.

Elderly-Only Housing Developments

Carmelitos

Nueva Maravilla "Rosas"

West Knoll

Palm

Marina Manor I

Marina Manor II

Orchard Arms

Foothill Villa

Francisquito Villa

Whittier Manor

Herbert Avenue

South Bay Gardens

J. UNITS DESIGNATED FOR THE DISABLED

The HA may elect at some future time to submit an Allocation Plan as required by the 1992 Housing Act to designate specific units or sites for disabled applicants only. In accordance with the 1992 Housing Act, disabled families with a head of household/co-head and/or spouse/marital-type partner or sole member who qualifies as a person with disabilities as defined in 24 CFR 945.105 will receive a preference for admission to units that are covered by a HUD-approved Allocation Plan.

K. GENERAL OCCUPANCY UNITS

General occupancy units are designed to house all populations of eligible families. In accordance with the HA's occupancy standards, eligible families will be admitted to the HA's general occupancy units.

The HA will use its local preference system as stated in this chapter for admission of eligible families to its general occupancy units.

L. DECONCENTRATION OF POVERTY AND INCOME MIXING

The HA's admission policy is designed to provide for de-concentration of poverty and income-mixing by bringing higher income residents into lower income developments and lower income residents into higher income developments.

A resident's gross annual income is used to determine income limits at admission and for income-mixing purposes.

De-concentration and Income-Mixing Goals

The HA's de-concentration and income-mixing goal, in conjunction with the requirement to target at least 40 percent of new admissions to public housing in each fiscal year to "extremely low-income families," will be to admit higher income families to lower income developments, and lower income families to higher income developments.

De-concentration will apply to transfer families as well as applicant families.

Development Designation Methodology

The HA will determine on an annual basis the average income of all families residing in general

occupancy developments.

The HA will then determine whether each general occupancy development falls above, within, or below the Established Income Range (EIR).

> The EIR is 85 percent to 115 percent (inclusive of 85 percent and 115 percent) of the HA-wide average income for general occupancy developments.

The HA will then determine whether or not developments outside the EIR are consistent with local goals and strategies in the HA Agency Plan.

> The HA may explain or justify the income profile for these developments as being consistent with and furthering two sets of goals:

1. Goals of de-concentration of poverty and income mixing (bringing higher income residents into lower income developments and vice versa); and

2. Local goals and strategies contained in the HA's Agency Plan.

<u>De-concentration Policy</u>

If, at annual review, there are found to be development(s) with average income above or below the EIR, and where the income profile for a general occupancy development above or below the EIR is not explained or justified in the PHA Plan, the HA shall adhere to the following policy for de-concentration of poverty and income mixing in applicable developments.

> Skipping a family on any of the thirteen waiting lists to reach another family in an effort to further the goals of the HA's de-concentration policy:
>
> > If a unit becomes available at a development below the EIR, the first eligible family on a waiting list with income above the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list with income above the EIR will be offered the unit. The process will continue in this order. For the available unit at the development below the EIR, if there is no family on the waiting list with income above the EIR, or no family with income above the EIR accepts the offer, then the unit will be offered to the next family regardless of income.
> >
> > If a unit becomes available at a development above the EIR, the first eligible family on the waiting list with income below the EIR will be offered the unit. If that family refuses the unit, the next eligible family on the waiting list with income below the EIR will be offered the unit. The process will continue in this order. For the available unit at the development above the EIR, if there is no family on the waiting list with income below the EIR, or no family with income below the EIR accepts the offer, then the unit will be offered to the next family regardless of income.

Skipping of families for de-concentration purposes will be applied uniformly to all families.

A family has the sole discretion whether to accept an offer of a unit made under the HA's de-

concentration policy. The HA shall not take any adverse action toward any eligible family for choosing not to accept an offer of a unit under this de-concentration policy. However, the HA shall uniformly limit the number of offers received by applicants, described in this Chapter.

The HA provides a waiting list preference to homeless families referred by the agencies listed in this Chapter. Only the veteran/serviceperson and homeless preferences can override de-concentration and income mixing policies.

If the average incomes of all general occupancy developments are within the Established Income Range, the HA will be considered to be in compliance with the de-concentration agreement.

Nothing in the de-concentration policy relieves the HA of the obligation to meet the income targeting requirements.

HA Incentives for Higher Income Families

The HA may offer certain incentives to families with incomes above the EIR willing to move into a development with average income below the EIR.

M. PROMOTION OF INTEGRATION

Beyond the basic requirement of nondiscrimination, HA shall affirmatively further fair housing to reduce racial and national origin concentrations.

The HA shall not require any specific income or racial quotas for any development or developments.

The HA shall not assign persons to a particular section of a community or to a development or building based on race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group for purposes of segregating populations.

N. VERIFICATION OF PREFERENCE QUALIFICATION

A family's placement on any one of the thirteen waiting lists is based upon whether the family qualifies for an admissions preference. When a family is selected from one of the waiting lists during the final determination of eligibility, the HA shall verify the preference.

If the preference verification indicates that the family did not qualify for an admissions preference at the time of selection, the family will be returned to the waiting list and ranked without regard for a local preference and given an opportunity for a review.

Change in Circumstances

Changes in applicant's circumstances while on any of the thirteen waiting lists may affect the

family's entitlement to a preference. Applicants are required to notify the HA in writing when their circumstances change within 30 calendar days of the occurrence. When an applicant claims an additional preference, s/he will be placed on a waiting list in the proper order of their newly claimed preference.

O. PREFERENCE DENIAL

If the HA denies a preference, the HA will notify the applicant in writing of the reasons why the preference was denied and offer the applicant an opportunity for an informal hearing. The applicant will have ten calendar days to request the hearing in writing. If the preference denial is upheld as a result of the hearing, or the applicant does not request a hearing, the applicant will be placed on the waiting list without benefit of the preference. Applicants may exercise other rights if they believe they have been discriminated against by the HA.

P. COMPLETION OF A FULL APPLICATION

The HA utilizes the full application form to obtain information related to applicant's eligibility, preferences, and tenant history. Applicants who fail to complete the full application will be cancelled from any of the thirteen waiting lists the applicant selected.

Reasonable accommodation(s) will be made for persons with a disability that may include: providing materials in formats requested by applicants, allowing applicants to be represented by a family member, advocate, or case worker designated by the applicant, completing paperwork at a site other than the HA administrative office for applicants who cannot come to the office for a reason connected to their disability, granting extended time for responses to persons who cannot respond within the established timeframes because of a disability and reinstating applicants who do not respond within established timeframes due to a verified reason connected to a disability.

Preferences are based on an applicant's current status and shall be verified during the eligibility review, regardless of the length of time an applicant may have been on a specific waiting list. The preference must exist at the time the HA initiates verification of applicant information. The HA shall conduct Third Party Verification (TPV) of eligibility and preference at the time the HA initiates the eligibility review.

Additionally, the HA shall provide the applicant with the opportunity to complete information on Form HUD-92006, Supplement to Application for Federally Assisted Housing. The forms gives applicants the option to identify an individual or organization that the HA may contact and the reason(s) the individual may be contacted. The applicant must sign and date Form HUD-92006, if the applicant elects to provide the additional contact information. The HA shall not require any individual or family applying for occupancy to provide the contact information as providing contact information is optional on the part of the individual or family. The HA shall also provide applicants the opportunity at the time of admission to update, remove or change contact information provided at the time of application. An applicant may provide Form HUD-92006 for each contact, indicating the reason the HA may contact the individual or organization. Those applicants who choose not to provide the contact information must check the box indicating that they "choose not to provide the contact information" and sign and date the form.

Q. PROCESSING FULL APPLICATIONS

The HA will verify for the following items to determine qualification for admission to the HA's public housing program:

- Preference(s)
- Family composition and type (elderly/non-elderly)
- Annual income
- Assets and Asset Income
- Deductions from Annual Income
- Social Security Numbers for all family members
- Information used in applicant screening
- Citizenship or eligible immigration status
- Criminal history
- Rental history
- School enrollment (for all household members attending school)

If the HA determines that additional information or document(s) are needed, the HA will request the document(s) or information in writing. The applicant will be given ten calendar days to supply the information. Should this information not be supplied within the timeframe, the HA will provide the applicant a notification of cancellation for assistance. Before the HA makes a final determination for qualification for admissions to the HA's Public Housing program, all adult family members must attend an interview conducted by the HA. Exceptions may be made for adult students attending school out of state or for members for whom attendance would be a hardship.

The HA only transfers Head of Household status to persons listed on the waiting list or application as Spouse or Co-Head under the following circumstances:

- In the event of the death of the Head of Household, a person already listed as the Spouse or Co-Head on the waiting list or application may request a change of the Head of Household status by submitting a signed, written request along with a copy of the death certificate of the original Head of Household.

- In all other cases (including, but not limited to divorce, separation, abandonment, medical incapacity), the Head of Household status will be changed only when the original Head of Household submits to the HA a written release of the application to the Spouse or Co-Head, or if the Spouse or Co-Head requesting the transfer of Head of Household status submits to the HA legal documentation of his/her right to the application.

R. FINAL DETERMINATION AND NOTIFICATION OF ELIGIBILITY

After the verification process is completed, the HA shall make a final determination of eligibility based upon information provided by the family, the verification conducted by the HA, and determination of resident suitability (refer to chapter on Eligibility for Admission).

A final eligibility determination is needed since rules and regulations governing the housing program are subject to change by HUD and/or applicants may have experienced a change in

circumstance(s) during the review process that may affect their eligibility.

The applicant is not actually eligible for a unit offer until this final determination has been made, even though they may have been preliminarily determined eligible and have been placed on the waiting list. Additionally, applicants have a continuing obligation to provide material information to the HA within 30 calendar days of the occurrence.

S. PLAN FOR UNIT OFFERS

The HA plan for selection of applicants and assignment of dwelling units will assure equal opportunity and non-discrimination on grounds of race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group. The HA plan for selection is based on how many available units of suitable size and type within each of the thirteen waiting lists are available. This plan is also based on the distribution of vacancies within each of the thirteen waiting lists.

The applicant will be offered a unit in the location with the oldest vacancy within the thirteen waiting lists selected by the applicant. If the offer is rejected, the HA will cancel the applicant. The HA will proceed to remove the applicant's name from all of the waiting lists selected. Removal from all selected waiting lists means the applicant must reapply.

If more than one unit of the appropriate type and size is available within a waiting list, the first unit to be offered will be the first unit that is ready for occupancy.

The HA will maintain a record of units offered, including location, date and circumstances of each offer, each acceptance or rejection, including the reason for the rejection.

T. CHANGES PRIOR TO UNIT OFFER

Changes that occur during the period between removal from a waiting list and an offer of a suitable unit may affect the family's eligibility or Total Resident Payment. The family will be notified in writing of changes in their eligibility or level of benefits and offered their right to an informal hearing when applicable. (See chapter on Grievances and Appeals.)

U. OFFER OF ACCESSIBLE UNITS

The HA has units designed for persons with mobility, sight and hearing impairments, referred to as accessible units.

No non-mobility impaired families will be offered these units until all eligible mobility-impaired applicants have been considered.

Before offering a vacant accessible unit to a non-disabled applicant, the HA will offer such units:

First, to a current occupant of another unit of the same development, or other public

housing developments under the HA's control, who has a disability that requires the special features of the vacant unit.

Second, to an eligible qualified applicant on a specific waiting list having a disability that requires the special features of the vacant unit.

When offering an accessible/adaptable unit to a non-disabled applicant, the HA will require the applicant to sign a certified statement agreeing that the applicant will relocate within 5 calendar days to the first available vacant unit of appropriate size, at the same or comparable housing development site, should the modified unit be required for an eligible disabled family. This requirement will be a provision of the lease agreement.

V. TIME LIMIT FOR ACCEPTANCE OF UNIT

Applicants must accept a unit offer within three calendar days of the date the offer is made. At the HA's discretion or for ADA accommodations, the HA may extend the timeframe to accept or reject a unit offer. Offers made over the telephone will be confirmed in writing by the HA. If the HA is unable to contact an applicant by telephone, the HA will write to the applicant to inform him/her of the unit offer.

W. APPLICANT STATUS AFTER FINAL UNIT OFFER

When an applicant rejects the one unit offer, the HA will remove the applicants name from all selected waiting list(s).

X. REQUIREMENT TO ATTEND INTERVIEW

The HA utilizes the interview session to discuss the family's circumstances in greater detail, to clarify information which has been provided by the family, and to ensure such information is complete. Families are also given information on HA services or programs that may be available.

All adult family members must attend the interview. Exceptions may be made for adult students attending school out of state or for members for whom attendance would be a hardship.

It is the applicant's responsibility to reschedule the interview and call the HA within 5 business days if s/he misses the appointment. If the applicant does not reschedule or misses two scheduled meeting(s), the HA will not provide housing.

Reasonable accommodation will be made for persons with a disability that requires an advocate or accessible offices. A designee will be allowed to provide some information, but only with permission of the person with a disability.

At the time of the interview, all adult members will be required to sign the House Rules, Lease Agreement and other documents required by the HA. Failure to sign any required documents will be cause for denial of housing.

If the HA determines at or after the interview that additional information or document(s) are needed, the HA will request the document(s) or information in a legible written format. An applicant may request to submit the required requested document(s) or information in an

alternative format. The family will be given ten calendar days to supply the information.

If the information is not supplied in this time period, the HA will provide the family a notification of denial for assistance. (See Chapter on Grievances and Appeals.)

Y. APPLICANTS UNABLE TO TAKE OCCUPANCY

If an applicant is willing to accept the unit offered, but is unable to take occupancy at the time of the offer for "good cause," the applicant will not be removed from the waiting list.

Examples of "good cause" reasons for the refusal to take occupancy of a housing unit include, but are not limited to:

Applicant needs to provide adequate notice to their current landlord of the termination of their lease;

Unit is not of the proper size and type, and the applicant would be able to reside there only temporarily;

Unit contains lead-based paint, and accepting the offer could result in subjecting the applicant's children under seven (7) years of age to lead-based paint poisoning;

An elderly or disabled family makes the decision not to occupy or accept occupancy in designated housing; [24 CFR 945.303(d)];

A qualified, knowledgeable, health professional verifies the temporary hospitalization or recovery from illness of the principal household member, other household members, or a live-in aide necessary to care for the principal household member;

The unit is inappropriate for the applicant's disabilities.

Chapter 4

DWELLING UNIT OCCUPANCY STANDARDS

INTRODUCTION

This Chapter states the HA Occupancy Standards used to determine the appropriate type development and unit size for families, based on the following guidelines.

A. DETERMINING UNIT SIZE

GUIDELINES FOR DETERMINING BEDROOM SIZE

Bedroom Size	Persons in Household: Minimum #	Persons in Household: Maximum #
0 Bedroom	1	2
1 Bedroom	1	3
2 Bedrooms	2	5
3 Bedrooms	3	7
4 Bedrooms	4	9
5 Bedrooms	5	11

The living room may be used as a bedroom at the request of the family and the approval of the HA. Additionally, a family member who is away at school but lives with the family during school recesses or the military may be included in determining unit size.

All guidelines in this section relate to the number of bedrooms in the unit. Dwelling units will be so assigned that:

Generally the HA will assign one bedroom to two people within the following guidelines:

A minimum of one person per bedroom.

Adults of different generations, persons of the opposite sex (other than head of household/co-head and/or spouse/marital-type partner and significant others), and unrelated adults will not be required to share a bedroom.

Foster children will be included in determining unit size.

Live-in aides will generally be provided a separate bedroom. No additional bedrooms are provided for the attendant's family.

The HA may offer a family a unit that is larger than required by HA's occupancy standards.

All members of the family residing in the unit must be approved by the HA. The family must obtain approval of any additional family member before the person occupies the unit

except for additions by birth, adoption, court-awarded custody or of a minor child approved by a social service agency (i.e. DPSS, DCFS, etc.), in which case the family must inform the HA within ten calendar days in a legible written format. An applicant may request to inform the HA in an alternative format.

The temporary absence of a child from the home due to placement in foster care may be considered in determining family composition and family size, which will be considered in determining bedroom size.

B. EXCEPTIONS TO OCCUPANCY STANDARDS

Person with Disability

The HA will grant an exception upon request as a reasonable accommodation for persons with disabilities if the need is appropriately verified [* and meets requirements in the "Service and Accommodations Policy" section of Chapter 1].

Accessible units will be offered to non-mobility impaired applicants only with the understanding that such applicants must accept a transfer to a non-accessible unit at a later date if a person with a mobility impairment requiring the unit applies for housing and is determined eligible. If the applicant at a later date is required to move from the accessible unit to a non-accessible unit, they will be required to relocate within 5 calendar days to the new unit.

Other Circumstances

Circumstances may dictate a larger size than the occupancy standards permit when:

As a reasonable accommodation.

Persons cannot share a bedroom because of a need for medical equipment due to its size and/or function. Verification from a doctor must accompany requests for a larger bedroom to accommodate medical equipment.

Requests based on health related reasons must be verified by a doctor.

The HA will grant exceptions from the guidelines in cases where it is the family's request or the HA determines the exceptions are justified by the relationship, age, sex, health or disability of family members, or other individual circumstances, and there is a vacant unit available. If an applicant requests to be listed on a smaller or larger bedroom size waiting list, the following guidelines will apply.

Applicants may request to be placed on any of the thirteen waiting lists for a unit size smaller than designated by the occupancy guidelines, (as long as the unit is not overcrowded according to local codes). The family must agree not to request a transfer until they have been admitted and have occupied the unit for 18 months.

The family may request to be placed on a larger bedroom size waiting list than indicated by the HA's occupancy guidelines. The request must explain the need or justification for a

larger bedroom size, and must be verified by the HA before the family is placed on the larger bedroom size waiting list.

In all cases, where the family requests an exception to the general occupancy standards, the HA will evaluate the relationship and ages of all family members and the overall size of the unit.

C. OCCUPANCY STANDARDS ARE APPLICABLE TO TRANSFERS

When a change in the circumstances of a resident family requires another unit size, if the unit is not available at the time it is requested, the family will be placed on the Transfer List.

The unit considerations in this section should be used as a guide to determine whether and when the bedroom size should be changed. If a situation occurs which is not currently covered in this policy, the case should be taken to the property manager who will make determination after review of the situation, the individual circumstances, and the verification provided.

D. OCCUPANCY BY POLICE OFFICERS

In order to provide an increased sense of security for public housing residents the HA will allow a public housing unit to be occupied by a police officer if needed.

Police officers will not be required to be income eligible to qualify for admission to the HA's public housing program.

Chapter 5

DETERMINATION OF TOTAL TENANT PAYMENT AND

FAMILY CHOICE IN RENTS

[24 CFR 5.609, 5.611, 5.613, 5.615]

PART I: DETERMINATION OF TOTAL TENANT PAYMENT (TTP)

INTRODUCTION

The accurate calculation of Annual Income and Adjusted Income ensures that families are not paying more or less money for rent than their obligation under the regulations.

This chapter defines the allowable deductions from gross Annual Income and how the presence or absence of household members may affect the Total Tenant Payment (TTP). Income and TTP are calculated in accordance with 24 CFR Part 5, Subpart F and further instructions set forth in HUD Notices, Memoranda and Addenda. However, the QHWRA now gives HAs broader flexibility to define terms and to develop standards in order to assure consistent application of the various factors that relate to the determination of TTP.

A. MINIMUM RENT

The minimum rent for the HA is $50. The minimum rent refers to a minimum total tenant payment and not a minimum tenant rent.

The Total Tenant Payment is the greater of:

30% of the adjusted monthly income

10% of the monthly gross income

The minimum rent as established by the HA

HA Procedures for Notification to Families of Hardship Exceptions

The HA will notify those participant families subject to a minimum rent of their right to request a minimum rent hardship exception under the law.

The HA will notify all families at the annual recertification appointment of their right to request a minimum rent hardship exception.

The HA notification will advise the family that hardship exception determinations are subject to HA grievance procedures.

The HA will review all resident requests for exception from the minimum rent due to financial hardships.

All requests for minimum rent exception are required to be in a legible written format. A resident may request as an accommodation to submit the document in an alternative format.

Requests for minimum rent exception must state the family circumstances that qualify the family for an exception.

Exceptions to Minimum Rent

The HA will immediately grant the minimum rent exception to all families who request it.

The minimum rent will be suspended until the HA determines whether the hardship is:

Covered by statute

Temporary or long-term

If the HA determines that the minimum rent is not covered by statute, the HA will impose a minimum rent including payment for minimum rent from the time of suspension.

HUD Criteria for Hardship Exception

In order for a family to qualify for a hardship exception the family's circumstances must fall into one of the following criteria:

The family has lost eligibility (for reasons other than failure to comply with program requirements and/or committing program fraud) or is awaiting an eligibility determination for Federal, State, or local assistance.

The family would be evicted as a result of the imposition of the minimum rent requirement.

The income of the family has decreased because of changed circumstances, including:

Loss of employment as defined whereby an individual is out of work due to no fault of their own;

Death of the Resident or other member of the Resident's household;

Other circumstances as determined by the HA or HUD

Temporary Hardship

If the HA determines that the hardship is temporary, a minimum rent will be imposed, including back payment from time of suspension, but the family will not be evicted for nonpayment of rent during the 90 day period commencing on the date of the family's request for exemption.

The HA defines temporary as less than 90 days.

Repayment Agreements for Temporary Hardship

The HA will offer a repayment agreement to the family for any such rent not paid during the temporary hardship period.

If the family owes the HA money for rent arrears incurred during the minimum rent period, the HA will require that the family pay an initial lump sum (in an amount determined by the HA) with the remaining balance to be repaid in equal payments over a period of time not to exceed 12 months under $2400 or 24 months for any amount in excess of $2400.

Minimum rent arrears that are less than $50 will be required to be paid in full the first month following the end of the minimum rent period.

The HA's policies regarding repayment agreements are further discussed in the chapter entitled "Family Debts to the HA."

B. INCOME AND ALLOWANCES

The HA shall define income and allowances as the following:

"Income": The types of money that are to be used as income for the purposes of calculating the TTP are defined by HUD in federal regulations. In accordance with this definition, income from all sources of each member of the household is documented. (See Income Inclusions and Income Exclusions in the Glossary of Terms of this policy.)

"Annual income" is defined as the gross amount of income anticipated to be received by the family during the 12 months after certification or recertification. "Gross income" is the amount of income prior to any HUD allowable expenses or deductions, and does not include income that has been excluded by HUD.

Annual income is used to determine whether or not applicants are within the applicable income limits. (24 CFR 5.607)

"Adjusted income" is defined as the annual income minus any HUD allowable deductions.

Allowable Deductions

HUD has five allowable deductions from Annual Income which are verified per procedures delineated in Chapter 6:

1. Dependent allowance: $480 each for family members (other than the head of household/co-head and/or spouse/marital-type partner), who are minors, and for family members who are 18 and older who are full-time students or who are disabled.

   A. When more than one family residing in public housing shares custody of a child, only one family can claim the dependent deduction for that child. The family with primary custody or with custody at the time of the initial certification or annual recertification, receives the deduction. If there is a dispute regarding which family should claim the

dependent deduction, the HA will refer to available documents such as copies of court orders or an IRS tax return verifying which family has claimed the child for income tax purposes.

B. Should a family member claiming the $480 full-time student deduction not report to the HA a change in the student's full-time status prior to the annual recertification, the HA shall require verification of full-time enrollment status for the prior year to ensure that there was no underpayment of rent.

2. "Elderly" allowance: $400 per household for families whose head of household/co-head and/or spouse/marital-type partner is 62 or over or disabled.

3. Allowable medical expenses for all family members are deducted for elderly and disabled families.

4. Childcare expenses for children under 13 are deducted when childcare is necessary to allow an adult member to work or attend school (including vocational training). This amount cannot exceed the income a family receives from working. It also cannot exceed the market rate for a day care provider in the area.

5. Expenses for attendant care or auxiliary apparatus for persons with disabilities if needed to enable the individual or an adult family member to work.

C. MEDICARE PRESCRIPTION DRUG PLAN- PART D PROGRAM (PIH 2005-37)

1. In calculating annual income for a family, the low-income subsidy received to assist low-income persons in paying for their Medicare prescription drug plan costs will be excluded as annual income for the purpose of calculating rent.

2. The Housing Authority will include as a medical expense for the medical expenses deduction, the out-of-pocket expenses incurred for prescription drugs and premiums.

D. DISALLOWANCE OF EARNED INCOME FROM RENT DETERMINATIONS

The rent for qualified families may not be increased as a result of the increased income due to such employment during the 12-month period beginning on the first day of the month following the effective date of employment. Only family members 18 years of age or older can qualify for the earned income disallowance.

A family qualified for the earned income exclusion is a family that occupies a dwelling unit in a public housing development, is paying income-based rent; and

1. Whose income increases as a result of employment of a member of the family who was previously unemployed for one or more years previous to employment;

   The HUD definition of "previously unemployed" includes a person who has earned in the previous 12 months no more than the equivalent earnings for working 10 hours per week for 50 weeks at the minimum wage. Minimum wage is the prevailing minimum wage in the State or locality.

2. Whose earned income increases as a result of increased earnings by a family member during participation in any family self-sufficiency or other job training program; or

   The HUD definition of economic self-sufficiency program is: any program designed to encourage, assist, train or facilitate economic independence of assisted families or to provide work for such families. Such programs may include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship, or any other program necessary to ready a participant to work (such as substance abuse or mental health treatment).

   Amounts to be excluded are any earned income increases of a family member during the self-sufficiency or job training program and not increases that occur after participation, unless the training provides assistance, training or mentoring after employment. The amount of TANF received in the six-month period includes monthly income and such benefits and services as one-time payments, wage subsidies and transportation assistance.

3. Who is or was, within six months, assisted under any State program for TANF and whose earned income increases, if the amount received under TANF was at least $500 for the six-month period.

The amount that is subject to the disallowance is the amount of <u>incremental</u> increase in income. The incremental increase in income is calculated by comparing the amount of the family member's income before the beginning of qualifying employment to the amount of such income after the beginning of employment.

Initial Twelve-Month Exclusion:

During the cumulative 12-month period beginning on the first day of the month following the effective date of employment, the HA will exclude from annual income any increase in income of the family member as a result of employment over the prior income of that family member.

Second Twelve-Month Exclusion:

Upon the expiration of the 12-month period referred to above, the rent payable by a family may be increased due to the continued employment of the family member above, except that during the 12-month period beginning upon such expiration the amount of the increase may not be greater than 50 percent of the amount of the total rent increase that would be applicable except for this exclusion.

Maximum Four-Year Disallowance:

The earned income disallowance is limited to a lifetime 48-month period for each family member. For each family member, the disallowance only applies for a maximum of 12 months total exclusion of incremental increase, and a maximum of 12-month phase in exclusion during the 48-month period starting from the date of the initial exclusion.

If the period of increased income does not last for 12 consecutive months, the disallowance period may be resumed at any time within the 48-month period, and continued until the disallowance has been applied for a total of 12 months of each disallowance (the initial 12-month total exclusion and the second 12-month Housing phase in exclusion).

No earned income disallowance will be applied after the 48-month period following the initial date the exclusion was applied.

Tracking the Earned Income Exclusion

The earned income exclusion will be reported on the HUD 50058 form. Documentation will be maintained using a tracking system to ensure the correct application of the earned income and to document the reason for the reduced increase in rent.

Such documentation will include:

Date the increase in earned income was reported by the family

Name of the family member whose earned income increased

Reason (new employment, participation in job training program, within 6 months after receiving TANF) for the increase in earned income

Amount of the increase in earned income (amount to be excluded)

Date the increase in income is first excluded from annual income

Date(s) earned income ended and resumed during the initial cumulative 12-month period of exclusion (if any)

Date the family member received the total 12-month of the initial exclusion

Date the 12-month 50% disregard of the incremental increase began

Date(s) earned income ended and resumed during the second cumulative 12-month period phase-in exclusion period

Date the family member received the total 12-month of the phase-in exclusion period

Ending date of the maximum 48-month (four year) disallowance period (48 months from the date of the initial earned income disallowance)

The HA will maintain a tracking system to ensure correct application of the earned income disallowance.

Family’s Responsibility to Report Changes

The monthly rent will remain constant for the period between Regular Reviews, unless during such a period any of the following "Changes in Circumstance" occur:

1. There is a loss of head of household (Resident) due to death, abandonment, separation, divorce or other continuing circumstances. In such event the Lease shall terminate and a new Lease shall be executed by a responsible, residual adult family member deemed legally eligible by the accepted Admissions and Continued Occupancy Policy (a copy of which is on file in Management's office and which is incorporated herein by reference), and Management.

2. There is a loss of any person(s) other than head of household;

3. There is an addition of a member of the household who is 18 years of age or older, by marriage, remarriage, cohabitation or otherwise, and the additional member is added to the Lease in accordance with Management's policy.

4. Resident can show a change in his/her family circumstances (such as decrease in income) or other similar circumstances which will create a hardship to the Resident in relation to the prompt payment of the rent. In the event the monthly rent payment is decreased because of a verifiable hardship to Resident, Resident must promptly report to management any increases in family income which occur, and the monthly rent will be appropriately adjusted.

5. There is an additional source of income for any eligible family member, and/or income or the addition of a family member to the household.

The Resident must report any "Changes in Circumstances" to the management office within ten (10) calendar days of their occurrence and any failure by the Resident to do so or any misrepresentation of any such changes will be a material breach of the Public Housing Lease Agreement.

Changes in Family Composition

The HA's policy is not to alter rent amounts between annual re-certifications, except in the case of a change in family composition. Residents must report all changes in the household composition.

Changes in Income

The HA's policy is not to alter rent amounts between annual re-certifications, except in the case of a change in family composition and/ or source of income and/or an increase of $200/month or more. If a family is on the Earned Income Disallowance, the family is still required to report any changes in income and/or change in family composition with ten (10) calendar days of the occurrence.

Inapplicability to Admission

The earned income disallowance is only applied to determine the annual income of families residing in public housing, and is not used in determining the annual income of applicants for purposes of eligibility or income targeting for admission.

E. TRAINING PROGRAMS FUNDED BY HUD

All training income from a HUD sponsored or funded training program, whether incremental or not, is excluded from the resident's annual income while the resident is in training. Income from a Resident Services training program, which is funded by HUD, is excluded.

Upon employment with the HA, the full amount of employment income received by the person is counted. There is no 18-month exclusion of income for wages funded under the 1937 Housing Act Programs, which includes Public Housing and Section 8.

F. AVERAGING INCOME

Income from the previous year may be analyzed to determine the amount to anticipate when third party or check-stub verification is not available.

When Annual Income cannot be anticipated for a full twelve months, the HA will average known sources of income that vary to compute an annual income.

If there are bonuses or overtime, which the employer cannot anticipate for the next twelve months, bonuses and overtime received the previous year, may be used.

If by averaging, an estimate can be made for those families whose income fluctuates from month to month, this estimate will be used so that the housing payment will not change from month to month.

The method used depends on the regularity, source and type of income.

G. INCOME OF PERSON PERMANENTLY CONFINED TO NURSING HOME

If a family member is permanently confined to a hospital or nursing home and there is a family member left in the household, the HA will calculate the Total Tenant Payment by excluding the income of the person permanently confined to the nursing home and not giving the family deductions for medical expenses of the confined family member.

H. REGULAR CONTRIBUTIONS AND GIFTS [24 CFR 5.609(a)(7)]

Regular contributions and gifts received from persons outside the household are counted as income for calculation of the Total Tenant Payment.

Any contribution or gift received on a regular basis regardless of frequency will be considered a "regular" contribution or gift. This includes rent and utility payments made on behalf of the family and other cash or non-cash contributions provided on a regular basis. This information must be reported by the family and will be averaged over a twelve-month period and included in the calculation of Total Tenant Payment. It does not include casual contributions or sporadic gifts. (See chapter on "Verification Procedures," for further definition.)

I. ALIMONY AND CHILD SUPPORT [24 CFR 5.609(a)(7)]

Regular alimony and child support payments are counted as income for calculation of Total Tenant Payment.

If the amount of child support or alimony received is less than the amount awarded by the court, the HA must use the amount awarded by the court unless the family can verify that they are not receiving the full amount.

J. LUMP-SUM RECEIPTS [24 CFR 5.609(b)(5), (c)]

Lump-sum additions to Family assets, such as inheritances, insurance payments (including payments under health and accident insurance and worker's compensation), capital gains, and settlement for personal or property losses, are not included in income but may be included in assets.

Lump-sum payments caused by delays in processing periodic payments (unemployment or welfare assistance) are counted as income. Lump sum payments from Social Security or SSI are excluded from income, but any amount remaining will be considered an asset. Deferred periodic payments which have accumulated due to a dispute will be treated the same as periodic payments which are deferred due to delays in processing.

In order to determine amount of retroactive resident rent that the family owes as a result of the lump sum receipt the HA will always calculate retroactively to date of receipt.

Retroactive Calculation Methodology

The HA will go back to the date the lump-sum payment was received, or to the date of admission, whichever is closer.

The HA will determine the amount of income for each certification period, including the lump sum, and recalculate the resident rent for each certification period to determine the amount due the HA.

At the HA's option, the HA may enter into a Repayment Agreement with the family. The HA will only enter into a Repayment Agreement with the family if they are in good standing (no unpaid rent or other charges, no disturbance complaints). The family will be required to pay fifty percent (50%) of the retroactive amount due at the time of calculation and the balance of the amount over a six-month period.

The amount owed by the family is a collectible debt even if the family becomes unassisted.

Attorney Fees

The family's attorney fees may be deducted from lump-sum payments when computing annual income if the attorney's efforts have recovered a lump-sum compensation, and the recovery paid to the family does not include an additional amount in full satisfaction of the attorney fees.

K. CONTRIBUTIONS TO RETIREMENT FUNDS—ASSETS

Contributions to company retirement/pension funds are handled as follows:

While an individual is employed, count as assets only amounts the family can withdraw without retiring or terminating employment.

After retirement or termination of employment, count any amount the employee elects to receive as a lump sum.

L. ASSETS DISPOSED OF FOR LESS THAN FAIR MARKET VALUE

The HA must count assets disposed of for less than fair market value during the two years preceding certification or recertification. The HA will count the difference between the market value and the actual payment received in calculating total assets.

Assets disposed of as a result of foreclosure or bankruptcy is not considered to be assets disposed of for less than fair market value. Assets disposed of as a result of a divorce or separation is not considered to be assets disposed of for less than fair market value.

M. CHILD CARE EXPENSES

Un-reimbursable child care expenses for children under 13 may be deducted from annual income if they enable an adult to work, attend school full time, or attend full-time vocational training.

In the case of a child attending private school, only before or after-hours care can be counted as child-care expenses.

Child-care expenses must be reasonable. Reasonable is determined by what the average child care rates are in the HA's jurisdiction.

Allowable deductions for child-care expenses are based on the following guidelines:

Child care to work: The maximum child care expense allowed must be less than the amount earned by the person enabled to work. The "person enabled to work" will be the adult member of the household who earns the least amount of income from working.

Child care for school: The number of hours claimed for childcare may not exceed the number of hours the family member is attending school (including one hour travel time to and from school).

Amount of Expense: Each site management office will survey the local care providers in the surrounding community to determine what is reasonable. The site management office will use the collected data as a guideline. If the hourly rate materially exceeds the guideline, the HA may calculate the allowance using the guideline.

N. MEDICAL EXPENSES [24 CFR 5.603]

When it is unclear in the HUD rules as to whether or not to allow an item as a medical expense, IRS Publication 502 will be used as a guide.

Over- the- counter medication must be doctor-prescribed in order to be considered a medical

expense and will be counted toward medical expenses for families who qualify if the family furnishes legible receipts with identification of the type of purchase.

Acupressure, acupuncture, physical therapy including exercise and chiropractic services may be considered allowable medical expenses if these services are recommended as a specific treatment by the family's primary physician.

The cost of transportation to and from medical appointments and treatments will be an allowable medical expense and will be calculated at the current IRS rate.

O. PRORATION OF ASSISTANCE FOR "MIXED" FAMILIES [24 CFR 5.520]

Applicability

Proration of assistance must be offered to any "mixed" applicant or participant family. A "mixed" family is one that includes at least one U.S. citizen or eligible immigrant and any number of ineligible members.

"Mixed" families that were participants on June 19, 1995, and that do not qualify for continued assistance must be offered prorated assistance. (See chapter titled "Recertifications.") Applicant mixed families are entitled to prorated assistance. Families that become mixed after June 19, 1995, by addition of an ineligible member are entitled to prorated assistance.

Prorated Assistance Calculation

Prorated assistance will be calculated by subtracting the Total Tenant Payment from the applicable Maximum Rent for the unit the family occupies to determine the Family Maximum Subsidy. The family's TTP will be calculated by:

Dividing the Family Maximum Subsidy by the number of persons in the family to determine Member Maximum Subsidy.

Multiplying the Member Maximum Subsidy by the number of eligible family members to determine Eligible Subsidy.

Subtracting the amount of Eligible Subsidy from the applicable Maximum Rent for the unit the family occupies to get the family's Revised Total Tenant Payment.

P. INCOME CHANGES RESULTING FROM WELFARE PROGRAM REQUIREMENTS

The HA will not reduce the public housing rent for families whose welfare assistance is reduced specifically because of:

Fraud; or

Failure to participate in an economic self-sufficiency program; or

Noncompliance with a work activities requirement.

However, the HA will reduce the rent if the welfare assistance reduction is a result of:

The expiration of a lifetime time limit on receiving benefits; or

A situation where the family has complied with welfare program requirements but cannot or has not obtained employment, such as:

The family has complied with welfare program requirements, but the durational time limit, such as a cap on the length of time a family can receive benefits, causes the family to lose their welfare benefits.

Verification Before Denying a Request to Reduce Rent

A family's request for rent reduction shall be denied upon the HA obtaining written verification from the welfare agency stating that the family's benefits have been reduced for fraud or noncompliance.

Cooperation Agreements

The HA has taken a proactive approach to culminating an effective working relationship between the HA and the local welfare agency for the purpose of targeting economic self-sufficiency programs throughout the community that are available to public housing residents.

Q. UTILITY ALLOWANCE AND UTILITY REIMBURSEMENT PAYMENTS

If the cost of utilities (excluding telephone) is not included in the Resident Rent, a utility allowance will be deducted from the total tenant payment. The Utility allowance is intended to help defray the cost of utilities not included in the rent. The allowances are based on the monthly cost of reasonable consumption utilities in an energy conservative household, *not* on a family's actual consumption.

When the Utility Allowance exceeds the family's Total Tenant Payment, the HA will provide the family with a Utility Reimbursement Payment (URP) each month. The HA will provide a Bank of America Debit Card to those residents that qualify for an URP. The Bank of America Debit Card will be credited with the monthly URP and unused funds will accumulate. Debit card usage will be limited to the family's account balance. Any misrepresentation of income and/or household composition by any member of the household will require the family to repay any amount due the Housing Authority and may result in the termination of tenancy.

Resident-Paid Utilities

The following requirements apply to residents living in developments with resident-paid utilities or applicants being admitted to such developments:

Paying the utility bill is the resident's obligation under the lease. Failure to pay utilities is grounds for eviction.

Resident Responsibility for Appliances

Residents who are responsible for providing one or more appliance(s) are also responsible for the maintenance and upkeep of such appliance(s). Failure to maintain such appliance(s), as specified in the Lease Agreement, is grounds for termination of tenancy.

R. EXCESS UTILITY PAYMENTS

Residents in units where the HA pays the utilities may be charged for excess utilities if additional appliances or equipment are used in the unit. This charge shall be applied as specified in the lease. [24CFR 966.4(b)(2)]

PART II: FAMILY CHOICE IN RENTS

A. FAMILY RENT CHOICE

The HA shall provide information to enable each family residing in a public housing unit to elect annually whether the rent paid by such family shall be 1) determined based on family income; or 2) the flat rent. The HA may not at any time fail to provide both such rent options for any public housing unit owned, assisted or operated by the HA.

B. FLAT RENTS

The HA has established, for each dwelling unit in public housing, a flat rental amount for the dwelling unit, which:

Is based on the rental value of the unit, as determined by the HA; and

Is designed so that the rent structures do not create a disincentive for continued residency in public housing by families who are attempting to become economically self-sufficient through employment or who have attained a level of self-sufficiency through their own efforts.

The HA shall review the income of families paying flat rent not less than once every three years.

C. INCOME-BASED RENTS

The monthly Total Tenant Payment amount for a family shall be an amount, as verified by the HA, that does not exceed the greatest of the following amounts:

30 percent of the family's monthly adjusted income;

10 percent of the family's monthly gross income; or

The HA's Minimum TTP of $50.

D. SWITCHING RENT DETERMINATION METHODS BECAUSE OF HARDSHIP CIRCUMSTANCES

In the case of a family that has elected to pay the HA's flat rent, the HA shall, no later than the

first of the month following the month the family reported the hardship, provide for the family to pay rent in the amount determined under income-based rent, during the period for which such choice was made for the following hardship circumstances:

> Situations in which the income of the family has decreased because of changed circumstances, loss of or reduction of employment through no fault of the individual, death in the family, and reduction in or loss of income or other assistance;
>
> An increase, because of changed circumstances, in the family's expenses for medical costs, child care, transportation, education, or similar items; and
>
> Such other situations as may be determined by the HA.

All hardship situations must be verified. If a family has switched from flat rent to income-based rent because of hardship, the family shall remain on income-based rent until the next scheduled annual recertification, at which time the Housing Authority shall allow the family to elect whether to pay flat rent or income-based rent.

E. HA'S FLAT RENT METHODOLOGY

The HA has set a flat rent for each public housing unit, based on the reasonable market value of the unit and compliance with Public Law 113-76. The following methodology will be utilized to determine and implement flat rents:

1. The HA will obtain three rent comparables for each bedroom size from unsubsidized units in the surrounding area. The rent comparable information includes factors such as age of the building, location, physical condition, amenities and design. Once three rent comparables are obtained, an average of the three rents is calculated to obtain the unadjusted flat rent;

2. The HA will than ensure that the final flat rental amount for each public housing unit is set at no less than 80 percent of the applicable Fair Market Rent (FMR) and adjusted, if necessary, to account for reasonable utilities costs (subtracting the utility allowance from the calculated unadjusted flat rent amount);

3. The HA places a cap on any increase in a family's rental payment that exceeds 35 percent by: multiplying the existing flat rental payment by 1.35 and compare that to the updated flat rental amount;

4. The HA presents two options to the family:

> -the lower of the product of the calculation and the updated flat rental amount; and
>
> -the income-based rent.

Rent comparables and flat rents shall be calculated not less than once every three years. The rent comparable analysis is kept at each site, including documentation as to how the flat rent was determined. For those families that choose to pay the Flat Rent, the amount the family pays is not locked in for the three year period. Instead, the HA revises the flat rent amount annually based on

the findings of the HA's rent reasonableness and changes to the FMR. Families currently paying the flat rent amount will be offered the choice between the updated flat rent amount, and the previously calculated income-based rent.

F. ANNUAL RECERTIFICATION

During the annual recertification process, the family will be provided a form from the HA, on which the family will indicate whether they choose flat rent or income-based rent. The HA form will state what the flat rent would be, and an estimate, based on current information, what the family's income-based rent would be. This form will be retained in the resident's file.

Chapter 6

VERIFICATION PROCEDURES

[24 CFR Part 5, Subpart B; 24 CFR 960.259]

INTRODUCTION

This chapter explains the HA's procedures and standards for verification of preferences, income, assets, allowable deductions, family status, and changes in household composition. The HA's verification procedures are designed to meet HUD's requirements that the factors of eligibility and Total Tenant Payment be verified and that the HA maintain program integrity. Applicants and program residents must furnish proof of their statements whenever required by the HA, and the information they provide must be true and complete. The HA will ensure that proper authorization for release of information is always obtained from the family before making verification inquiries.

A. METHODS OF INCOME VERIFICATION AND TIME ALLOWED

The HA will use six levels of verification methods acceptable to HUD in the following order:

Level Six: **Up-Front Income Verification (UIV)** using EIV (highest priority). This level is mandatory and will be used when available.

Level Five: **Up-Front Income Verification (UIV) using a non-HUD system (highest priority)**. In cases where EIV is not available, this level will be used when possible.

Level Four: **Third-Party written verification (high priority)**. This verification level is defined as tenant-provided documents obtained from a third-party source. The documents must be authentic, original and computer-generated. Level Four is used:

* To support the information reported through EIV/UIV,

* When there is a discrepancy between EIV/UIV and tenant-reported income,

* When EIV or other forms of UIV are not available, the Housing Authority will accept authentic, original, computer-generated documents as verification of income, assets or other family circumstances.

Level Three: **Third-party written verification form (medium-low priority)**. The Housing Authority will send verification forms to third party sources when:

* There is a discrepancy between EIV/UIV and tenant-reported income and the tenant disputes the information in EIV, or

* Verification levels six through four are unavailable.

Level Two: **Third-Party oral verification (low-priority)**. This level will be used when sending verification forms to third-party sources under Level Three is not possible or the forms are not returned in a timely manner.

Level One: **Self-Declaration (low priority)**. Certification/self-declaration verification will be the last level used if verification is not possible or able to be obtained using the higher levels of verification, the Housing Authority may allow up to 10 calendar days for the return of third-party verification forms before using the next verification level.

At all times, should the HA not obtain Levels 6, 5 4 or 3 of the verification hierarchy, the HA staff must document why such verifications were not obtained and the verification method utilized to substantiate the information.

Verifications for applicants may not be more than 60 days old at the time of the unit offer. All tenant-provided documents are current if dated within 60 days of the date of receipt.

Up-Front Income Verification (UIV)

The Housing Authority will utilize up-front income verification tools. The use of the EIV system is mandatory and will be used whenever possible. Other UIV systems, such as the DPSS LEADER system for the verification of DPSS benefits and the Work Number, will be used whenever possible when EIV is unavailable.

If there is a difference in source of income or a substantial difference ($2,400 annually or $200 monthly) in reported income between EIV verification and family-provided documents and the tenant disputes the discrepancy or cannot provide adequate documentation to validate the discrepancy, the HA shall follow the guidelines below:

- The HA will send written third party verification forms to the disputed income source.

- The HA may review historical income data for patterns of employment, paid benefits, and/or receipt of other income, when the HA cannot readily anticipate income, such as in the cases of seasonal employment, unstable working hours, and suspected fraud.

- The HA will analyze all data (UIV data, third party verification documents provided by the family and verification forms returned by the disputed income source) and attempt to resolve the income discrepancy.

- The HA will use the most current information available to calculate the anticipated annual income.

In cases where UIV income data is different than tenant-reported income and the tenant does not dispute the discrepancy and can provide adequate documentation to validate the discrepancy, the HA will use the written third party documents provided by the family to calculate the anticipated annual income.

B. RELEASE OF INFORMATION

Applicants and residents are required to sign specific authorization forms when information is needed that is not covered by the HUD Form 9886, Authorization for Release of Information.

Each family member requested to consent to the release of information will be provided with a copy of the appropriate forms for their review and signature. Family refusal to cooperate with the HUD prescribed verification procedure will result in denial of admission or termination of tenancy in accordance with the family's obligation to provide information requested by the HA.

C. ITEMS TO BE VERIFIED

1. All income not specifically excluded by the regulations.

   Zero-income applicants and residents will be required to complete a family expense form at each certification or recertification interview.

2. Full-time student status including high school students who are 18 or over.

3. Current assets including assets disposed of for less than fair market value in preceding two years.

4. Child-care expense where it allows an adult family member to be employed, seek employment or to further his/her education.

5. Total medical expenses of all family members in households whose head or spouse is elderly or disabled.

6. Disability assistance expenses to include only those costs associated with attendant care or auxiliary apparatus that allow an adult family member to be employed.

7. Legal identity.

8. U.S. citizenship/eligible immigrant status.

9. Social Security Numbers for all family members

10. Preference status, based upon HA preferences.

11. Family/marital status when needed for head of household/co-head and/or spouse/marital-type partner definition.

12. Disability for determination of preferences, allowances or deductions.

13. Enrollment in a Medicare prescription drug plan.

14. The amount of prescription drug benefits received.

15. Actual or threatened incidents of domestic violence, dating violence, sexual assault or stalking.

D. VERIFICATION OF INCOME

This section defines the methods the HA will use to verify various types of income.

Employment Income

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) system, or if EIV is unavailable, other Up-Front Income Verification (UIV) tools, such as the Work Number.

2. Check stubs or earning statements, which indicate the employee's gross pay, frequency of pay or year to date earnings. This may be requested, along with W-2 forms, to supplement EIV data or a primary verification in the event EIV or other UIV tools are unavailable. Residents are required to provide the HA with two current and consecutive pay stubs for determining annual income from wages. For new income sources or when two pay stubs are not available, the HA will project income based on the information from traditional written third party verification form or other acceptable verification method.

3. Employment verification form completed by the employer.

4. Income tax returns signed by the family may be used for verifying self-employment income, or income from tips and other gratuities.

Verification forms request the employer to specify the:

Dates of employment

Amount and frequency of pay

Date of the last pay increase

Likelihood of change of employment status and effective date of any known salary increase during the next 12 months

Year-to-date earnings

Estimated income from overtime, tips, bonus pay expected during next 12 months

Applicants and program residents may be requested to sign an authorization for release of information from the Internal Revenue Service for further verification of income.

In cases where there are questions about the validity of information provided by the family, the HA will require the most recent federal income tax statements.

Social Security, Pensions, Supplementary Security Income (SSI), Disability Income

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) system

2. Computer report electronically obtained or in hard copy.

3. Award or benefit notification letters prepared by the providing agency.

Unemployment Compensation

Acceptable methods of verification include, but are not limited to the following:

1. Enterprise Income Verification (EIV) system.

2. Verification form completed by the unemployment compensation agency.

3. Payment stubs.

4. The Employment Development Department (EDD) no longer responds to requests for verification of unemployment and State Disability Insurance benefits.

Welfare Payments or General Assistance

Acceptable methods of verification include, but are not limited to:

1. DPSS LEADER computerized database of DPSS clients

2. Computer-generated DPSS Notice of Action

3. Written statement from payment provider indicating the amount of grant/payment, start date of payments, and anticipated changes in payment in the next 12 months.

4. HA verification form completed by payment provider.

Alimony or Child Support Payments

Acceptable methods of verification include, but are not limited to the following:

1. Computerized official printout of payments made, if through a State agency.

2. Copy of a separation or settlement agreement or a divorce decree stating amount and type of support and payment schedules.

3. A notarized letter from the persons paying the support.

4. HA verification form completed by payment provider.

5. Family's self-certification of amount received and of the likelihood of support payments being received in the future, or that support payments are not being received.

6. If payments are irregular, the family must provide appropriate court or welfare agency documents supporting the family's claim that the amount they are actually receiving is less child support/alimony than was ordered.

The County of Los Angeles Child Support Services Department no longer responds to written or oral third-party verification requests by the HA.

Net Income from a Business

In order to verify the net income from a business, the HA will view IRS and financial documents from prior years and use this information to anticipate the income for the next 12 months.

Acceptable methods of verification include, but are not limited to the following:

1. IRS Form 1040, including:

   Schedule C (Small Business)

   Schedule E (Rental Property Income)

   Schedule F (Farm Income)

   If accelerated depreciation was used on the tax return or financial statement, an accountant's calculation of depreciation expense, computed using straight-line depreciation rules.

2. Audited or unaudited financial statement(s) of the business.

3. Documents such as cash-books, bank statements, and receipts will be used as a guide for the prior six months (or lesser period if not in business for six months) to project income for the next 12 months. The family will be advised to maintain these documents in the future if they are not available.

Child Care Business

If an applicant/resident is operating a licensed day care business, income will be verified as with any other business.

If the applicant/resident is operating a "cash and carry" operation (licensed or not), the HA will require the applicant/resident to complete a form for each customer giving: name of person(s) whose child(ren) is/are being cared for, phone number, number of hours child is being cared for, method of payment (check/cash), amount paid, and signature of person.

If the family has filed a tax return, the family will be required to provide it to the site management office.

Recurring Gifts

The family must furnish a Notarized Statement, which contains the following information:

The person who provides the gifts

The value of the gifts

The estimated frequency of the gifts

Zero-Income Status

Families claiming to have no income will be required to execute verification forms to determine that forms of income such as unemployment benefits, TANF, SSI, etc. are not being received by the household.

Families must also provide a written statement as to how they meet living expenses, such as utilities, food, clothing, and other incidentals, when they claim a zero income.

Full-Time Student Status

Only the first $480 of the earned income of full time students 18 years of age or older (including those who are temporarily absent), other than head of household/co-head and/or spouse/marital-type partner, will be counted towards family income.

Financial aid, scholarships and grants received by full time students is not counted towards family income.

- Verification of full time student status includes: Written verification from the registrar's office or other school official.
- School records indicating enrollment for sufficient number of credits to be considered a full-time student by the education institution.
- A copy of student's transcript or grade report.

E. INCOME FROM ASSETS

Acceptable methods of verification include, but are not limited to the following:

Savings Account Interest Income and Dividends

Will be verified by:

1. Account statements, passbooks, certificates of deposit, or HA verification forms completed by the financial institution.
2. Broker's statements showing value of stocks or bonds and the earnings credited the family. Earnings can be obtained from current newspaper quotations or oral broker's verification.
3. IRS Form 1099 from the financial institution, provided that the HA must adjust the information to project earnings expected for the next 12 months.

Interest Income from Mortgages or Similar Arrangements

Acceptable documents for verification include, but are not limited to the following:

1. A letter from an accountant, attorney, real estate broker, the buyer, or a financial institution stating interest due for next 12 months. (A copy of the check paid by the buyer to the family

is not sufficient unless a breakdown of interest and principal is shown.)

2. Amortization schedule showing interest for the 12 months following the effective date of the certification or recertification.

Net Rental Income from Property Owned by Family

Acceptable documents for verification include, but are not limited to the following:

1. IRS Form 1040 with Schedule E (Rental Income).

2. Copies of latest rent receipts, leases, or other documentation of rent amounts.

3. Documentation of allowable operating expenses of the property: tax statements, insurance invoices, bills for reasonable maintenance and utilities, and bank statements or amortization schedules showing monthly interest expense.

F. VERIFICATION OF ASSETS

Family Assets

The HA will require the necessary information to determine the current cash value, (the net amount the family would receive if the asset were converted to cash).

Verification forms, letters, or documents from a financial institution or broker.

Passbooks, a 3-month checking account average and a 3-month average of savings account funds, certificates of deposit, bonds, or financial statements completed by a financial institution or broker.

Quotes from a stock broker or realty agent as to net amount family would receive if they liquidated securities or real estate.

Real estate tax statements if the approximate current market value can be deduced from assessment.

Financial statements for business assets.

Copies of closing documents showing the selling price and the distribution of the sales proceeds.

Appraisals of personal property held as an investment.

Verification forms from a financial institution or broker.

Families must provide the HA with acceptable, written third-party verification documents to verify the value of or income from an asset. A written third-party verification document is considered current if at the time of receipt of the document is:

- A monthly statement not more than 60 days old, or
- The most recent quarterly statement, or
- A savings passbook that has been updated by the financial institution within the last 60 days, or
- The most recent annual statement, or
- The most recent document or statement issued to the family, including but not limited to a closing escrow statement or closing bank statement.

Assets Disposed of for Less than Fair Market Value (FMV)

1. For all certifications and re-certifications, the family will certify as to whether any member has disposed of assets for less than fair market value during the two years preceding the effective date of the certification or recertification.

2. If the family certifies that they have disposed of assets for less than fair market value, verification [or certification] is required that shows:

   (a) All assets disposed of for less than FMV;

   (b) The date they were disposed of;

   (c) The amount the family received; and

   (d) The market value of the assets at the time of disposition. Third party verification will be obtained wherever possible.

G. VERIFICATION OF ALLOWABLE DEDUCTIONS FROM INCOME

Child Care Expenses

Acceptable documents for verification include, but are not limited to the following:

- Verification documents the family obtained from the childcare provider that specify the child care provider's name, address, telephone number, the names of the children cared for, the number of hours the child care occurs, the rate of pay, and typical yearly amount paid, including school and vacation periods.
- Written verification form returned by the person or the agency who receives the payments. If the child care provider is an individual, s/he must provide a statement of the amount they are charging the family for their services.
- Family's certification as to whether any of those payments have been or will be paid or reimbursed by outside sources.

- In all instances, the HA may also request income tax records, money orders to the childcare provider and/or cancelled checks.

Medical and Disabled Assistance Expenses

Families who claim medical expenses or expenses to assist a person(s) with disability will be required to submit a certification as to whether or not any expense payments have been, or will be, reimbursed by an outside source. All expense claims will be verified by one or more of the methods listed below:

1. Written verification by a doctor, hospital or clinic personnel, dentist, pharmacist, of

   (a) The anticipated medical costs to be incurred by the family and regular payments due on medical bills; and

   (b) Extent to which those expenses will be reimbursed by insurance or a government agency.

2. Written confirmation by the insurance company or employer of health insurance premiums to be paid by the family.

3. Written confirmation from the Social Security Administration's of Medicare premiums to be paid by the family over the next 12 months. A computer printout will be accepted.

4. For attendant care:

   * A reliable, knowledgeable professional's certification that the assistance of an attendant is necessary as a medical expense and a projection of the number of hours the care is needed for calculation purposes.

   * Attendant's written confirmation of hours of care provided and amount and frequency of payments received from the family or agency (or copies of canceled checks the family used to make those payments) or stubs from the agency providing the services.

5. Receipts, canceled checks, or pay stubs that verify medical costs and insurance expenses likely to be incurred in the next 12 months.

6. Copies of payment agreements or most recent invoice that verify payments made on outstanding medical bills that will continue over all or part of the next 12 months.

7. Receipts or other record of medical expenses incurred during the past 12 months that can be used to anticipate future medical expenses. HA may use this approach for "general medical expenses" such as non-prescription drugs and regular visits to doctors or dentists, but not for one-time, nonrecurring expenses from the previous year.

8. The HA will use mileage at the IRS's rate, or cab, bus fare, or other public transportation cost for verification of the cost of transportation directly related to medical treatment.

1. Assistance to Persons with Disabilities: In all cases the HA may require:

   Written certification from a reliable, knowledgeable professional that the person with disabilities requires the services of an attendant and/or the use of auxiliary apparatus to permit him/her to be employed or to function sufficiently independently to enable another family member to be employed.

   Family's certification as to whether they receive reimbursement for any of the expenses of disability assistance and the amount of any reimbursement received.

2. Attendant Care:

   If the family pays for any portion of the attendant care expenses, the attendant's written certification of amount received from the family, frequency of receipt, and hours of care provided.

   Certification of family and attendant and/or copies of canceled checks family used to make payments.

3. Auxiliary Apparatus:

   Receipts for purchases or proof of monthly payments and maintenance expenses for auxiliary apparatus.

   In the case where the person with disabilities is employed, a statement from the employer that the auxiliary apparatus is necessary for employment.

NOTE: Disability expenses are allowable deductions only if the expenses enable a member of the household age 18 and over (including the disabled member) to go to work.

H. VERIFYING NON-FINANCIAL FACTORS

Verification of Legal Identity

In order to prevent program abuse, the HA will require applicants to furnish verification of legal identity for all family members.

The documents listed below will be considered acceptable verification of legal identity for adults. If a document submitted by a family is illegible or otherwise questionable, more than one of these documents may be required.

Certificate of birth, naturalization papers

Current, valid Driver's license

Department of Motor Vehicles Identification Card

U.S. military discharge (DD 214)

U.S. passport

Foreign Consulate Identification Cards (FCICs) approved by the Los Angeles County Board of Supervisors for the purpose of verifying identity.

Documents considered acceptable for the verification of legal identity for minors may be one or more of the following:

Certificate of birth

Adoption papers

Custody agreement

Verification of Marital Status

Verification of divorce status will be a certified copy of the divorce decree, signed by a Court Officer.

Verification of a separation may be a copy of court-ordered maintenance or other records.

Verification of marriage status is a marriage certificate.

Familial Relationships

Certification will normally be considered sufficient verification of family relationships. In cases where reasonable doubt exists, the family may be asked to provide verification.

The following verifications will be required if certification is insufficient:

Verification of relationship:

- Official identification showing names
- Birth certificates

Verification of guardianship:

- Court-ordered assignment
- Affidavit of parent
- Verification from social services agency
- School records

Evidence of an established family relationship:

Joint bank accounts or other shared financial transactions

Leases or other evidence of prior cohabitation

Credit reports showing relationship

Verification of Permanent Absence of Adult Member

If an adult member who was formerly a member of the household is reported permanently absent by the family, the HA will consider any of the following as verification:

Husband or wife institutes divorce action.

Husband or wife institutes legal separation.

Order of protection/restraining order obtained by one family member against another.

Proof of another home address, such as utility bills, canceled checks for rent, driver's license, or lease or rental agreement, if available.

Statements from other agencies such as social services that the adult family member is no longer living at that location.

If the adult family member is incarcerated, a document from the Court or prison should be obtained stating how long they will be incarcerated.

If the adult family member is permanently confined to a nursing home, verification is required from the nursing home.

Verification of Change in Family Composition

The HA may verify changes in family composition (either reported or unreported) through letters, telephone calls, leases, utility records, inspections, landlords, neighbors, credit data, school or DMV records, and other sources. Verification of legal custody must be a court-ordered assignment or verification from a social service agency.

Verification of Disability

Verification of disability must be receipt of SSI or SSA disability payments under Section 223 of the Social Security Act or 102(7) of the Developmental Disabilities Assistance and Bill of Rights Act (42 U.S.C. 6001(7) or verified by appropriate diagnostician such as physician, psychiatrist, psychologist, therapist, rehabilitation specialist, or licensed social worker, using the HUD language as the verification format.

Verification of Citizenship/Eligible Immigrant Status

To be eligible for assistance, individuals must be U.S. citizens or non-citizens with eligible immigrant status. Individuals who are neither may elect not to contend their status. Eligible immigrants must fall into one of the categories specified by the regulations and must have their status verified by USCIS. Each family member must declare his or her status once. Assistance cannot be delayed, denied, or terminated while verification of status is pending except that assistance to applicants may be delayed while the HA hearing is pending.

Citizens or Nationals of the United States required to sign a declaration under penalty of perjury.

Eligible Immigrants who were residents and 62 or over on June 19, 1995, required to sign a declaration of eligible immigration status and provide proof of age.

Non-citizens with eligible immigration status must sign a declaration of status and verification consent form and provide their original immigration documents which are copied front and back and returned to the family. The HA verifies the status through the USCIS SAVE system. If this primary verification fails to verify status, the HA must request within ten days that the USCIS conduct a manual search.

Family members who do not claim to be citizens or eligible immigrants must be listed on a statement of non-contending family members signed by the head of household/co-head and/or spouse/marital-type partner.

Non-citizen students on student visas are ineligible members even though they are in the country lawfully. They must provide their student visa but their status will not be verified and they do not sign a declaration but are listed on the statement of non-contending members.

Failure to Provide. If an applicant or resident family member fails to sign required declarations and consent forms or provide documents, as required, they must be listed as an ineligible member. If the entire family fails to provide and sign as required, the family may be denied or terminated for failure to provide required information.

Time of Verification. For applicants, verification of U.S. citizenship/eligible immigrant status occurs at the same time as verification of other factors of eligibility for final eligibility determination. For family members added after other members have been verified, the verification occurs prior to the new member moving in. Once verification has been completed for any covered program, it need not be repeated. The Housing Authority will also request new immigration documents for those individuals whose immigration documents that prove eligibility has expired.

Extensions of Time to Provide Documents. The HA will grant an extension of 30 days for families to submit evidence of eligible immigrant status or a receipt issued by the USCIS for issuance of replacement documents.

Acceptable Documents of Eligible Immigration. The regulations stipulate that only the following documents are acceptable unless changes are published in the Federal Register.

Resident Alien Card (I-551)

Alien Registration Receipt Card (I-151)

Foreign Passport with I-551 stamp

Arrival-Departure Record (I-94) with no annotation accompanied by:

- A final court decision granting asylum (if no appeal is taken);
- A letter from an INS or USCIS asylum officer granting asylum (if application is filed on or after 10/1990) or from and INS director granting asylum (application

filed before 10/1990);

- A court decision granting withholding of deportation; or
- A letter from an asylum officer granting withholding of deportation (if application filed on or after 10/1/90)

Arrival-Departure Record (I-94) stamped with one of the following:

- "Admitted as a Refugee Pursuant to Section 207"
- "Section 208" or "Asylum"
- "Section 243(h)" or "Deportation stayed by Attorney General"
- "Paroled Pursuant to Section 221(d)(5) of the INS (or USCIS)"

Temporary Resident Card (I-688) annotated "Section 245A" or Section "210"

Employment Authorization Card (I-688B) annotated "Provision of Law 274a. 12(11)" or "Provision of Law 274a.12"

Any official revision of the acceptable documents listed above

Receipt issued by the USCIS for issuance of replacement of any of the above documents that shows individual's entitlement has been verified

A birth certificate is not acceptable verification of status. All documents in connection with U.S. citizenship/eligible immigrant status must be kept five years.

Determination of Ineligibility. After the HA has made a determination of ineligibility, the family will be notified of the determination and the reasons and informed of the option for prorated assistance (if applicable).

Verification of Social Security Numbers

Social Security numbers must be provided as a condition of eligibility for all family members, except for family members who were determined eligible on or before January 31, 2010 and were at least 62 years old on that date, and family members who are not eligible to obtain a Social Security number. Verification of Social Security numbers will be done through a Social Security Card issued by the Social Security Administration.

If a family member cannot produce a Social Security Card, only the documents listed below showing his/her Social Security Number may be used for verification. The family is also required to certify in writing that the document(s) submitted in lieu of the Social Security Card information provided is/are complete and accurate:

* A document issued by the Social Security Administration that contains the name and Social Security number of the individual; or

* A document issued by a Federal, state or local government agency that includes the name, Social Security Number and other identifying information about the individual.

All new family members, except children age 5 and under, who have not been assigned a number, will be required to produce their Social Security Card or provide the substitute documentation described above together with their certification that the substitute information provided is complete and accurate. This information is to be provided at the time the change in family composition is reported to the HA and the family member will not be added to the household composition until it is provided.

A child age 5 or under who has not been assigned a Social Security Number may be added to the household before providing a Social Security number. However, the parent or guardian will be required to sign a form attesting that the child was never issued a Social Security Number. The family must disclose the child's Social Security Number within 90 calendar days of being added to the family composition.

If the family is unable to disclose and provide evidence of the Social Security Number within the 90 calendar days, the HA may grant the family an additional 90-calendar day period to comply with the Social Security Number disclosure and documentation requirement. The additional 90-calendar day period may be granted if the HA determines that the family was unable to comply with the requirements due to circumstances that could not have reasonably been foreseen and were outside of the control of the family. Examples include but are not limited to: delayed processing of the Social Security Number application by the Social Security Administration, natural disaster, fire, death in family etc. If the family fails to provide the required documentation within the established timeframe, the family's assistance will be terminated. .

If the family member states they cannot legally obtain a Social Security number, the family member will be required to sign a certification to this effect.

I. VERIFICATION OF SUITABILITY FOR ADMISSION

Sources to be used to determine suitability include but are not limited to:

Criminal History Reports

Prior landlord references

Physicians, social workers, and other health professionals

HA of the County of Los Angeles and Other HAs (to whom the family may owe debt)

(See Chapter 2 on eligibility.)

Ability to Meet Financial Obligations Under the Lease

All applicants may be subject to the following procedures to ensure their ability to meet financial obligations under the lease:

All applicants may be interviewed and asked questions about the basic elements of tenancy.

The HA may access a Credit Report on all applicants prior to selection.

The HA may independently verify the rent-paying history of all applicants directly from previous landlord(s).

Drug-Related or Violent Criminal Activity

The HA will complete a criminal background check of all adult members of the household.

Housekeeping

The HA will obtain references from prior landlords to determine acceptable housekeeping standards.

The HA may conduct a home visit prior to admission.

J. VERIFICATION OF WAITING LIST PREFERENCES [24 CFR 5.410, 5.415, 5.430]

Local Preferences

1. Homeless families: This preference requires written certification by an agency with whom the HA has a cooperation agreement.

2. Residency preference: For families who live, work or have been hired to work in the jurisdiction of the HA. Families who are unable to work due to age or disability automatically qualify for this preference.

   In order to verify that an applicant is a resident, the HA will require any of the following documents: current rent receipts, leases, utility bills, employer or agency records, school records, drivers licenses, voters registration records, credit reports, statement from household with whom the family is residing. At the HA's discretion, verification of residency may also include other documents, certifications, or declarations as needed to verify that a family lives or works in the jurisdiction.

3. Veterans preference: This preference is available to current members of the U.S. Armed Forces, veterans, or surviving spouses/marital-type partners of veterans. Acceptable documentation regarding veteran's status will include a DD-214 (discharge documents), proof of receipt of veteran's benefits, or documentation from the Veteran's Administration.

K. VERIFICATION OF VAWA STATUS

The HA will request that an individual certify via HUD Form 50066, "Certification of Domestic Violence, Dating Violence, Sexual Assault or Stalking", that the individual is a victim of domestic violence, dating violence, sexual assault or stalking, and that the incident or incidents in question are bona fide incidents of such actual or threatened abuse and meet the requirements set forth in Public Law 113-4-March 7, 2013. The victim is only required to provide the name of the perpetrator if the victim knows the name of the perpetrator and it is safe to provide it. The HA will request the certification in writing and require that the resident come into the management

office to pick up HUD Form 50066 "Certification of Domestic Violence, Dating Violence, Sexual Assault or Stalking". In those cases where the victim of domestic violence, dating violence, sexual assault or stalking is unable to pick up the form due to imminent danger by the perpetuator, the HA will arrange to safely provide the form to the victim. The individual shall provide such certification within 14 business days after the individual receives HUD Form 50066, "Certification of Domestic Violence, Dating Violence, Sexual Assault or Stalking". If the individual does not provide the certification within 14 days of receiving the form, nothing in this subsection, or in Public Law 113-4-March 7, 2013, may be construed to limit the authority of the HA to evict any tenant that commits violations of the Public Housing Lease Agreement. At the discretion of the HA, the 14-day deadline may be extended.

An individual may also satisfy the certification requirement by producing a Federal, State, tribal, territorial, or local police or court record or providing the HA with documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional from whom the victim has sought assistance in addressing domestic violence, dating violence, sexual assault or stalking, or the effects of the abuse. The professional must attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse, and the victim of domestic violence, dating violence, sexual assault or stalking has signed or attested to the documentation.

The HA will not demand that an individual produce official documentation or physical proof of the individual's status as a victim of domestic violence, dating violence, sexual assault or stalking in order to receive any of the benefits provided in this section. At the discretion of the HA, an individual may be provided benefits based solely on the individual's statement or other corroborating evidence.

In the case where the HA receives conflicting certification documents from two or more members of the household each claiming to be a victim and naming one or more of the other petitioning household members as the perpetrator, the HA will determine which is the true victim by requiring third-party documentation. Additionally, the HA will honor any court orders addressing rights of access or control of the property, including civil protection orders issued to protect the victim and to address the distribution or possession of property among the household.

Chapter 7

TRANSFER POLICY

INTRODUCTION

It is the policy of the HA to permit a resident to transfer within or between housing developments under certain conditions (i.e., to be in closer proximity to work or school); when it is necessary to comply with occupancy standards; or when it will help accomplish the affirmative housing goals of the HA.

The HA will always consider transfer requests as a reasonable accommodation for a person with a disability.

Transfers will be made without regard to race or color, national origin, religion, gender, familial status (including children under the age of 18 living with parents or legal custodians; pregnant women and people securing custody of children under 18), religious creed, ancestry, physical disability, mental disability, medical condition, genetic information, gender identity, marital status/marital-type relations, age, sexual orientation or military and veteran status or against any other legally protected group. Residents can be transferred to accommodate a disability[1].

Residents will receive one unit offer for a transfer, whether for HA-initiated transfers or resident-initiated transfer requests approved by the HA. Refusal of that offer without good cause will result in lease termination for mandatory transfers or the removal of the household from the transfer list for voluntary transfers.

The HA Executive Director shall retain discretionary authority to approve/disapprove all transfers.

A. HA-INITIATED TRANSFER CATEGORIES

The HA may require that a family transfer to another unit at the same housing development or to another housing development under the following hierarchy:

1. Emergency Transfers:

   Emergency transfers are mandatory when the HA determines that conditions pose an immediate threat to resident life, health or safety. Emergency transfers may be made to: permit repair of unit defects hazardous to life, health, or safety[2]; alleviate verified disability problems of a life threatening nature; or to protect residents due to a reasonable fear of direct violence[3].

   These transfers shall take priority over new admissions.

2. Category 1

   Category 1 Administrative transfers include mandatory transfers to remove residents who are witnesses to crimes and may face reprisals; provide housing options to residents who

are victims of hate crimes or extreme harassment; alleviate verified medical problems of a serious (but not life-threatening) nature; permit modernization or demolition of units; perform work (e.g., repair, modernization, or lead hazard reduction work) above a specified scale and duration that disturbs lead-based paint or controls lead-based paint hazards; or permit a family that requires a unit with accessible features to occupy such a unit.

These transfers shall take priority over new admissions.

Requests for these transfers will be made to the HA Administrative Office with the necessary documentation to substantiate the need for such transfers. Transfers may also be initiated by the HA (e.g., moving a person with mobility problems to a unit with accessible features or temporarily moving residents to a unit free of lead-based paint hazards).

3. Category 2 correct serious occupancy standards problems.

   These transfers will take priority over new admissions.

   Category 2 transfers will only be made if the family size is so small that it includes fewer persons than the number of sleeping areas, or so large that the number of household members would equal more than two persons per sleeping area. These transfers are mandatory.

   If the family's size is between the smallest and largest size permissible for the unit, the family may request a transfer, but it shall be considered a Category 3 transfer.

4. Category 3 transfers may be made to avoid concentration of the most economically and socially deprived families, correct occupancy standards[4], or address situations that interfere with peaceful enjoyment of the premises.

   These transfers will not take priority over new admissions.

B. PROCESSING TRANSFERS

1. Transfers will be sorted into their appropriate categories by the Property Manager for their respective sites. Transfers will be made in the following order:

   First: Emergency transfers;
   Second: Category 1;
   Third: Category 2;
   Fourth: Resident initiated transfer requests and,
   Fifth: Category 3

   Within each category, transfer requests will be sorted by the date the completed request (including any verification needed) is received from the Program Specialist or Resident Manager.

2. Category 2 transfers to correct occupancy standards may be recommended at time of re-examination or interim re-examination.

3. Residents in Category 2 over/under housed status will be advised by the HA that a transfer is recommended and that the family has been placed on the transfer list.

4. When a HOH, originally housed in a bedroom by him/herself, has or adopts a child, the family will not be approved for a Category 2 transfer until the child is four (4) years of age. Exceptions: spouse or marital-type partner is added to the Lease Agreement, or family decides to remain in the unit and the unit is large enough (using the smallest-unit standard) to accommodate the number of persons now in the household.

C. TRANSFERS AT THE REQUEST OF THE RESIDENT

1. A resident shall have resided in their unit for a minimum of 24 months before being eligible for a transfer to another housing development. Each resident may not request more than one transfer every four years. (Exceptions to this standard will be made for medical or other emergency situations.)
2. Furthermore, the HA will consider approving transfer requests, other than those for health and safety reasons, by taking into account whether the resident is in good standing with the HA. Please see Section D below, "Good Record Requirement for Transfers".
3. New applicants shall have priority over transfers except for Emergency and Category 1 and Category 2 transfers and other Administrative reasons as determined by the HA.
4. Residents requesting to transfer to another unit or development are required to submit a Resident Request to Transfer Form to the site management office. Within ten calendar days, the Property Manager will review the request and determine if the resident is in good standing with the HA, has met the 24 month residency requirement, and has not transferred from another site within the last four years.
5. Upon determination by the Property Manager that the resident has met the transfer eligibility criteria, the Resident Request to Transfer Form (RRTF) will be submitted to the Area Manager for review and approval.
6. Should the request be approved, the Property Manager will request that the Eligibility and Suitability Supervisor, or his/her designee, to review the list of available units to determine if a vacant unit, of the appropriate size, is available. The HA will inform the resident of the unit location and allow the resident an opportunity to view and/or accept the unit. Upon acceptance of the unit by the resident, the HA will notice the resident of the date of their lease termination for their old unit and the move-in date for the new unit.
7. Should a unit not be available, HA will notify the resident that their name has been placed on the transfer list for the location and/or bedroom size desired. If the request is denied, the family will be sent a letter stating the reason for denial, and offering the family an opportunity for an informal conference.
8. Requests to transfer to another unit within the same development, generally for medical reasons or to accommodate a smaller/larger family composition, will be reviewed and approved by the Property Manager. As units become vacant, the Property Manager

will review the transfer list to determine if a request has been approved for a unit of a particular bedroom size and/or accessibility features. The Property Manager will advise the Eligibility/Suitability Unit Supervisor that the unit has been flagged for an intra-development transfer. In cases where the Property Manager denies the transfer request, the resident will be notified in writing of the decision and offered an opportunity for an informal conference.

D. UNIT OFFERS

1. With the exception of Emergency, Category 1, and Category 2 Transfers, the HA will make a maximum of one unit offer to residents. A resident must accept or reject the unit offered within two calendar days of the date the offer is made and move into the unit within five calendar days of the date the offer is made. Residents are entitled to reject transfer offers for a "good cause".

2. Examples of "good cause" reasons for the refusal to take a housing unit offered include, but are not limited to:

   i. Unit is not of the proper size and type, and the applicant would be able to reside there only temporarily;
   ii. Unit contains lead-based paint, and accepting the offer could result in subjecting the applicant's children under seven (7) years of age to lead-based paint poisoning;
   iii. An elderly family makes the decision not to occupy or accept occupancy in designated housing; (24 CFR 945.303(d));
   iv. A qualified, knowledgeable, health professional verifies the temporary hospitalization or recovery from illness of the principal household member, other household members, or a live-in aide necessary to care for the principal household member;
   v. The unit is inappropriate for the applicant's disabilities.

E. GOOD RECORD REQUIREMENT FOR TRANSFERS

1. In general, and in all cases of all resident-requested transfers, residents will be considered for transfers only if the head of household and any other family members for the past 24 months:

   i. have not engaged in criminal activity that threatens the health and safety of residents and staff;
   ii. do not owe back rent or other charges, or evidence a pattern of late payment;
   iii. meet reasonable housekeeping standards and have no housekeeping lease citations; and
   iv. can get utilities turned on in the name of the head of household (applicable only to properties with tenant-paid utilities).

2. Exceptions to the good record requirements may be made for emergency transfers as deemed necessary by the HA.

3. Absent a determination of exception, the following policy applies to transfers:

   i. If back rent is owed, the resident will not be transferred until the total amount of back rent is paid in full.
   ii. A resident with housekeeping standards citations will not be transferred until he/she passes a follow-up housekeeping inspection.

F. SECURITY DEPOSITS

1. **For transfer to a new housing development:** The HA will charge the family for any damages to the previous unit that exceed that unit's security deposit. If there is a balance left on the original security deposit, it will be refunded within twenty-one days following move-out. The family must pay a **new** security deposit to the receiving development upon move-in. Any charges due prior to move out (i.e., delinquent maintenance charges, late rent fee, etc.) will be billed by the previous housing development to the resident. Moreover, any "Other Charges" incurred after the resident vacates the unit (i.e., maintenance charges) which exceed the security deposit will also be billed to the resident. The resident must pay "Other Charges" within 30 calendar days of receiving an invoice. "Other Charges" are reasonable charges beyond normal wear and tear for repair or damage to the residence or for unauthorized alteration to the residence or common areas caused by the resident, other household members or guests.

   For a transfer within the same housing development: The balance of the security deposit from the previous unit will be applied to the new unit security deposit. If needed, the family must send the HA additional funds to have a full security deposit for the new unit upon move-in (security deposit amounts are set forth in Chapter 8, Section H "Security Deposits"). Any charges due prior to move out (i.e., delinquent maintenance charges, late rent fee, etc.) will be billed to the resident. Moreover, any "Other Charges" incurred after the resident vacates the previous unit (i.e., maintenance charges) which exceed the security deposit will also be billed to the resident. The resident must pay "Other Charges" within 30 calendar days of receiving an invoice. "Other Charges" are reasonable charges beyond normal wear and tear for repair or damage to the residence or for unauthorized alteration to the residence or common areas caused by the resident, other household members or guests.

2. In the case of HA initiated transfers, the inability to pay the security deposit should not delay the transfer and will be handled on a case-by-case basis.

G. RESIDENT'S RESPONSIBILITY

Residents are responsible for all moving costs related to their transfer. The HA will only incur the reasonable cost of transfers initiated by the HA due to demolition, disposition, revitalization, rehabilitation, and/or a reasonable accommodation for a resident with a disability.

Residents must return the keys to their old unit within five calendar days of the date the transfer offer was made. Should the resident not return the keys to the their old unit, the sending development, in addition to billing the resident, will also notify the receiving development of the resident's outstanding balance. Failure to pay outstanding charges to the HA is a material breach of the Lease Agreement and the resident will be subject to termination of their tenancy.

H. RECERTIFICATION

A recertification (code-2) will not be conducted at the time of transfer, unless the actual move in date occurs on the household annual recertification date. The date of annual recertification will not change upon the completion of the transfer.

[1] 24 CFR 100.5

[2] If a unit become uninhabitable due to conditions caused by the resident, any member of the resident household, or the resident's guests, will be addressed through the lease violation process and the resident shall not have the rights set forth above, or if the cause for the conditions is determined after the transfer, the HA may still terminate tenancy.

[3] Such transfers may be initiated after the HA receives input from local law enforcement. In considering whether to initiate such transfers, the HA will take account the circumstances creating the risk of violence and make a determination in the best interest of the resident and the HA.

[4] Voluntary if the family is between the minimum and maximum occupancy standard but the family requests a transfer, e.g. to permit older children of opposite sexes to have separate bedrooms.

Chapter 8

LEASE AGREEMENT

[24 CFR 966.4]

INTRODUCTION

All units must be occupied pursuant to a dwelling Lease Agreement that complies with HUD's regulations [24 CFR Part 966]. This chapter describes the HA's policies pertaining to lease execution, terms of Lease Agreement, security deposits, rent payments, inspection of units, and additions to the lease.

A. LEASE ORIENTATION

Upon execution of the lease, the HA will conduct a lease orientation for all adult members of the household. The family must attend an orientation before taking occupancy of the unit.

Families will be provided with the following information during the lease orientation:

A copy of the Lease Agreement

A copy of the HA's lease and grievance procedure

A copy of the House Rules

A copy of the full application and signed release forms

A copy of Parking Policy

Information on the Privacy Act

Lead Based Paint Information

Fair Housing Booklet

A copy of the HA's Pet Policy

A schedule of HA maintenance charges

Topics to be discussed will include, but are not limited to:

Applicable deposits and other charges

Provisions of the Lease

Orientation to the community

Unit maintenance and work orders

B. TERM OF LEASE AGREEMENT

The initial term of the lease will be for 12 months. The lease will renew for a 12-month term unless good cause exists not to renew the lease.

See Chapter 11 for further detail regarding the date of annual re-examination and the Lease Agreement anniversary date.

C. EXECUTION OF LEASE

The lease shall be executed by the head of household/co-head and/or spouse/marital-type partner, and all other adult members of the household, and by an authorized representative of the HA, prior to admission.

The head of household is the person who assumes legal and financial responsibility for the household and is listed on the application as head.

An appointment will be scheduled for the parties to execute the lease. One executed copy of the lease will be given to the resident, and the HA will retain one in the resident's file. The lease is incorporated into this policy by reference. The lease document will reflect current HA policies as well as applicable Federal, State and Local law.

The following provisions govern lease execution and amendments:

> A lease is executed at the time of admission for all new residents.
>
> A new lease is executed at the time of the transfer of a resident from one HA unit to another with a change in recertification date.
>
> If, for any reason, any signer of the lease ceases to be a member of the household, the lease will be amended by drawing a line through the party's name and both parties will be required to initial and date the change.
>
> The names and date of birth of all household members are listed on the lease at initial occupancy and on the Application for Continued Occupancy each subsequent year. Only those persons listed on the most recent certification shall be permitted to occupy a dwelling unit.
>
> Changes to resident rents are made upon the preparation and execution of a "Notice of Rent Adjustment" by the HA, which becomes an attachment to the lease. Documentation will be included in the resident file to support proper notice.

Households that include a live-in aide are required to execute a "Live-In Aide Agreement" authorizing the arrangement and describing the status of the attendant.

D. MODIFICATIONS TO THE LEASE

The HA may modify its form of lease from time to time, giving residents 30 days for an opportunity to comment on proposed changes and advance notice of the implementation of any changes.

Schedules of special charges and rules and regulations are subject to modification or revision. Residents will be provided at least thirty days written notice of the reason(s) for any proposed

modifications or revisions, and they will be given an opportunity to present written comments. Comments will be taken into consideration before any proposed modifications or revisions become effective.

A copy of such notice shall be posted in the central office, and at site management offices.

Any modifications of the lease must be accomplished by a written addendum to the lease and signed by both parties.

A resident's refusal to execute HA approved lease modifications, or those modifications required by HUD, is a material breach of the Lease Agreement and grounds for termination of tenancy.

E. ADDITIONS TO THE LEASE

Requests for the addition of a new member to the household must first be approved by the HA, prior to the actual move-in by the proposed new member except for additions by birth, adoption, court-awarded custody or of a minor child approved by a social service agency (i.e. DPSS, DCFS, etc.), in which case the family must inform the HA within ten calendar days.

Following receipt of a family's request to add a new member, the HA will conduct a pre-admission suitability review for those proposed household members over the age of 18 and conduct a screening for sex offender status for proposed members between the ages of 13 to 17 years of age. Only those members approved by the HA will be added to the lease. Furthermore, the HA will consider whether the resident request to add a member(s) will exceed the occupancy limit for the unit as a factor determining whether to approve the request.

Upon approval by the HA, families may add only the following persons to their lease:

- Spouse/marital-type partner and the minor children of that person
- Minor child of the head of household, co-head, spouse/marital-type partner who has been living elsewhere
- Adult child due to recent discharge from the military
- A disabled adult parent or child of the head of household/co-head who requires disability-required care

Live-in aides do not have the right of tenancy and cannot be added to the families' Lease Agreement. Upon approval by the HA, a live-in aide can reside in the household. Live-in aides are required to execute a "Live-In Aide Agreement" with the HA.

Children born to a family member are not subject to screening for purposes of determining household additions.

Residents who fail to notify the HA of additions to the household, or who permit persons to join the household (includes permitting non-tenants to utilize a resident's address), without undergoing screening are considered to have unauthorized occupants by the HA, and are in violation of the lease and subject to termination of tenancy [24 CFR 966.4(f)(3)].

Upon approval of the additional household members, the HA will amend the Form 50058 to reflect the change in household composition and income. In cases where the new household member is

over the age of 18, the HA will execute a new Lease Agreement with the family. In such cases, the HA will conduct an annual or interim reexamination (whichever is applicable). However, in cases where the new household member is under the age of 18, the HA will process an interim re-examination and the Lease anniversary date will remain the same.

F. LEASING UNITS WITH ACCESSIBLE OR ADAPTABLE FEATURES
[24 CFR 8.27(a)(1)(2) and (b)]

Before offering a vacant accessible unit to a non-disabled applicant, the HA shall offer such units:

First, to a current occupant of another unit of the same development, or other public housing developments under the HA's control, who has a disability that requires the special features of the vacant unit.

Second, to an eligible qualified applicant on a specific waiting list having a disability that requires the special features of the vacant unit.

Third, to an eligible qualified applicant on a specific waiting list who does not require the special features of the vacant unit.

The HA may require such applicant to agree to move to an available non-accessible unit within 30 days when either a current resident or an applicant needs the features of the unit and there is another unit available for the applicant. This requirement will be a provision of the Lease Agreement.

G. UTILITY SERVICES

Residents are responsible for direct payment of utilities. Residents must abide by any and all regulations of the specific utility company, including regulations pertaining to advance payments of deposits. Failure to maintain utility services during tenancy is a lease violation and grounds for termination of tenancy.

If there is a utility reimbursement payment, the HA shall pay the utility reimbursement payment directly to the resident as delineated in Chapter 5 Section Q.

H. SECURITY DEPOSITS

New residents must pay a security deposit to the HA at the time of admission.

Elderly-Only Housing Developments:

The Security Deposit is the greater of the applicant's TTP or $75 for Elderly-Only housing developments.

General Occupancy Housing Developments:

The Security Deposit is the greater of the applicant's TTP or $125 for general occupancy housing developments.

The HA will hold the security deposit for the period the resident occupies the unit.

The HA will refund to the resident the amount of the security deposit, less any amount needed to pay the cost of:

Unpaid Rent;

Damages listed on the Move-Out Inspection Report that exceed normal wear and tear;

Other charges under the Lease.

The HA will refund the Security Deposit less any amounts owed, as required by California State Law, within 21 days, following move out.

The HA will provide the resident or designee identified above with a written list of any charges against the security deposit. If the resident disagrees with the amount charged to the security deposit, the HA will provide a meeting to discuss the charges.

The resident must leave the dwelling unit in a clean and undamaged (beyond normal wear and tear) condition and must furnish a forwarding address to the HA. All keys to the unit must be returned to the Management upon vacating the unit.

The HA will not use the security deposit for payment of rent or other charges while the resident is living in the unit.

If the resident transfers to another unit, the HA will refund the security deposit, less damages, and collect a new deposit, using the current information regarding Total Tenant Payment. If applicable, the resident will be informed of the new deposit amount.

I. RENT PAYMENTS

The tenant rent is due and payable to a specified Bank of America (BofA) Post Office Box on the first day of every month. If the first day falls on a weekend or holiday, the rent is due and payable on the first business day thereafter.

If BofA does not receive a payment by the fifth business day of the month, a notice to pay rent or quit will be served on the resident. Residents shall make all payments by check or money order payable to THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES (HACoLA). The HA shall collect a fee in the amount charged the HA by the bank anytime a check is not honored for payment or there are insufficient funds through an Automated Clearing House (ACH) or web based payment. Residents who submit more than two (2) checks that are not honored for payment will be required to make rent payments by money order only. If a Resident is delinquent in paying their rent more than three (3) times during their twelve (12) month Lease Agreement term, it will be considered a material breach of their Lease Agreement.

If a resident is issued a Notice of Termination, the HA will not accept rent payments in any form unless the resident is in the grievance hearing process.

The HA provides Residents with up to three options for paying rent:

Option 1:

LOCKBOX SYSTEM PAYMENT

The following is the LOCKBOX procedure for paying rent:

All rent payments are mailed to a Bank of America (BofA) Postal Office Box;

BofA scans the front of the money order/check, envelope and statement;

All scanned documents and payment information is inputted and batched by BofA;

BofA uploads all payment information to a secure web site;

The HA accesses the secure BofA web site and import rent payment data into the Yardi Voyager housing software.

Option 2:

WEB BASED ONLINE PAYMENT

An HA resident can make rent payments online through the HA's secure online rent payment service. Residents must enter their T Code, bank account number, and bank routing number. Payments made before 4:30 p.m. are debited from the resident's bank account on the same day. Payments made after 4:30 p.m. are processed the following business day. If there are insufficient funds in the resident's account, the HA shall collect from the resident any fees charged by the bank. Residents can make web based online payments at any time.

Option 3:

AUTOMATIC BANK PAYMENT

An HA resident can make Automated Clearing House (ACH) rent payments from the resident's bank account. The resident's bank account will be debited on the third (3rd) calendar day of each month. If that day is on a weekend or holiday, the payment will be debited on the next business day. Residents continue to receive a monthly rent statement and the total rent due on that statement is the amount that is withdrawn from the resident's bank account. A resident can cancel automatic rent payments by providing their management office with a written request to cancel. Cancellation request must be submitted by the 10th of the month to allow the HA and the Depository sufficient time to process. If there are insufficient funds in the resident's account, the HA shall collect from the resident any fees charged by the bank.

J. PAYMENT OF OTHER CHARGES

Residents must pay "Other Charges" as set forth in the Lease within 30 calendar days from receiving an invoice. “Other Charges” include damages to the Residence beyond normal wear and tear or unauthorized alterations to the Residence or common areas caused by the Resident, other household members or guests. Other Charges are billed to the Resident and specify damaged items, corrective action taken and cost of labor and/or materials to correct damages. The costs of repairs are based on the Schedule of Other Charges provided to each Resident and posted in the Management Office.

Management accepts payments of “Other Charges” separately from payments of rent owed by the Resident. The failure of Resident to pay Other Charges when due constitutes a material breach of the Lease.

K. NONPAYMENT PENALTIES

If BofA does not receive the resident’s rent payment by the fifth business day of the month, a late fee as set forth in the lease will be charged.

L. SCHEDULES OF MAINTENANCE CHARGES

A schedule of charges for maintenance services and repairs which is incorporated into the lease by reference shall be publicly posted in a conspicuous manner in the management office.

M. INSPECTIONS OF PUBLIC HOUSING UNITS

Move-In Inspections

The HA and the family will inspect the premises prior to occupancy of the unit in order to determine the condition of the unit and equipment in the unit. A copy of the initial inspection, signed by the HA and the resident, will be kept in the resident file.

Annual Inspections

The HA will inspect all units annually in accordance with the HUD Uniform Physical Condition Standards (UPCS).

The HA Inspection report will indicate whether required corrections are to be charged to the resident or covered by the HA.

All inspections will include a check of all smoke alarms to ensure proper working order.

Quality Control Inspections

The HA will conduct periodic quality control inspections to determine the condition of the unit and to identify problems or issues in which the HA can be of service to the family and to assure that repairs were completed at an acceptable level of craftsmanship and within an acceptable time frame.

The HA will conduct quality control inspections of not less than 5% of all units.

Special Inspections

The HA may conduct a special inspection for housekeeping, unit condition, or suspected lease violation every 30 days for one year. Tenants will have 30 days to correct any deficiencies noted by the HA and may be subject to one or more follow-up inspections.

HUD representatives or local government officials may review HA operations periodically and as a part of their monitoring may inspect a sampling of the HA's inventory.

Move-Out Inspections

The purpose of these inspections is to determine necessary maintenance and whether there are damages that exceed normal wear and tear. The HA will determine if there are resident caused damages to the unit. Resident caused damages may affect part or all of the family's security deposit.

In accordance with Section 1950.5(f) of the California Civil Code, the HA will abide by the following Move-Out Inspection procedures when the resident submits a 30-day Notice of Intent to Vacate or the HA issues a 30-day Notice to Vacate or a 14-Day Notice to Pay Rent or Quit or a 30-Day Notice to Cure or Quit, to the resident.

These procedures do not apply to residents who receive a Three-Day Notice to Quit due to a lack of time to provide an initial Move-Out inspection.

The HA shall notify the resident in writing of their option to request an initial Move-Out inspection and their right to be present at the inspection.

At the time the resident submits a 30-Day Notice of Intent to Vacate or the HA issues a 30-Day or 14-Day Notice, the residents will be informed that the request for the initial inspection must be in writing and delivered to the Management office during normal business hours within three (3) days of the date of service of the Notice. Should the resident fail to request an initial inspection, the HA will be discharged of its duty.

After the resident submits a request for an initial inspection, the HA and the resident will schedule said inspection at a mutually agreed upon date and time. The inspection should be scheduled no earlier than two weeks before the termination of the Lease Agreement.

The HA will give the resident 48 hour prior written notice of the mutually agreed upon date and time. However, the HA and the resident may forego the 48 hour written notice by executing a written waiver. The HA will then proceed with the inspection whether the resident is present or not in the unit.

Upon the completion of the inspection, the HA will give the resident an itemized statement specifying the items that are in need of repair and/or cleaning which will be the basis for deductions from the security deposit. This itemized statement will be handed to the resident at the conclusion of the inspection or placed inside the unit (should the tenant not be present).

The resident will have the opportunity during the period from the completion of the initial inspection until termination of the Lease Agreement to remedy the deficiencies.

Following the final inspection, the HA may deduct from the security deposit items not cured, items which occurred after the initial inspection, or items not identified during the initial inspection due to the presence of the resident's possessions.

Emergency Inspections

The HA may initiate an emergency inspection report to generate a work order if they believe that an emergency exists in the unit. In addition, the HA may conduct an emergency inspection without a work order and generate a work order after the inspection has been conducted (see Entry of Premises Notice in this chapter.) Repairs are to be completed within 24 hours from the time the work order is issued.

Entry of Premises Notices

The HA will give 48 hour advance written notice prior to entering the unit for non-emergency inspections or repairs. Non-emergency entries to the unit will be made during reasonable hours of the day. For emergency inspections or repairs, no advance notice is required for the HA to enter the unit. Furthermore, the HA will consider tenant maintenance service requests as constituting permission for the HA to enter the unit to conduct repairs.

An adult family member must be present in the unit during the inspection or repair if there are children present in the unit.

If no person is at home, the HA will enter the unit and conduct the inspection or repairs and will leave a written notice to the resident explaining the reason the unit was entered and the date and time.

Where the HA is conducting regular annual inspections of its housing units, the family will receive at least 48 hours advance notice of the inspection to allow the family to prepare and be able to pass the inspection.

The HA reserves the right to enter a unit, subject to the applicable notice, under the following conditions:

Inspections and maintenance

To make improvements and repairs

To show the premises for leasing

In cases of emergency

Non-Inspection Emergency Entry

The HA staff will allow access to the unit to proper authorities when issues of health or safety of the resident are concerned.

Family Responsibility to Allow Inspection

It is a violation of the Lease Agreement for the resident to refuse to allow entry to the unit for the reasons set forth in this ACOP.

Housekeeping Citations

Should the HA observe housekeeping deficiencies in the course of conducting an annual unit inspection, the Property Manager will issue a Housekeeping Citation to the resident, and schedule a housekeeping inspection. Should the resident "fail" the housekeeping inspection, the HA will conduct such inspections every thirty (30) days for a twelve (12) month period.

Serious housekeeping deficiencies which pose a health and safety risk to the resident and/or neighbors and/or the development can be considered a material breach of the Lease and grounds for termination of tenancy.

More than one citation issued to a family who has purposely and for convenience disengaged the unit's smoke detector will also be considered a violation of the Lease.

Resident Damages

Repeated failed inspections or damages to the unit beyond normal wear and tear may constitute serious or repeated lease violations.

"Beyond normal wear and tear" is defined as items that could be charged against the resident's security deposit under state law.

N. GUEST POLICY

Residents shall obtain HA management written approval for the presence of any person not identified in the lease as a member of the resident's household who occupies the unit for over ten consecutive days or a total of 14 days within a twelve month period. The Executive Director or his/her designee has discretionary authority to approve the occupation of a unit beyond 14 days.

Absence of evidence of any other address will be considered verification that the visitor is an unauthorized household member.

The HA will consider:

- Statements from neighbors and/or HA staff
- Vehicle license plate verification
- Post Office records
- Driver's license verification
- Law enforcement reports
- Credit reports

Use of the unit address as the non-household member's current residence for any purpose is not authorized and is considered a breach of the Lease Agreement.

The burden of proof that the individual is a guest rests on the family. In the absence of such proof, the individual will be considered an unauthorized member of the family and the HA may terminate

the family's lease since prior approval was not requested for the addition.

In a joint custody arrangement, if the minor is in the household less than 90 days per year, the minor will be considered to be an eligible guest and not a family member. If both parents reside in Public Housing, only one parent shall be able to claim the child for deductions and for determination for the occupancy standards.

O. HOME OCCUPATIONS

The HA in its sole discretion, may authorize a unit to be used as a place for conducting a home occupation; provided that the unit is used primarily as a place of residence and the following conditions are met to assure that the use of the unit is consistent with residential use and will not disturb the peaceful enjoyment of the premises by other residents.

Criteria for Home Occupations

1. No construction, structural alteration or addition to the unit shall be permitted;

2. Not more than one room in a unit shall be primarily used in connection with the home occupation;

3. No special equipment or facilities other than furnishings, small tools, and hand-carried or light office machines shall be installed or utilized;

4. No persons other than residents of the HA shall work on the Premises in connection with the home occupation;

5. There shall be no excessive vehicular traffic to or from the unit by customers, salesmen, repairmen, service vehicles, deliverymen, messengers or others beyond the amount of such traffic generally incidental to residential uses;

6. No sound created by the operation of the home occupation shall raise the noise to a level which disturbs the neighbors or the housing complex;

7. No hazardous or offensive materials shall be stored or utilized;

8. No sign shall be displayed which in any way indicates the presence of a nonresidential activity;

9. There shall be no evidence of nonresidential activity visible from any point beyond the immediate premises where the home occupation is located;

10. Storage of goods and materials not associated with residential uses shall be limited and shall not create a safety or health impact such as, but not limited to, fire safety or blockage of passage ways;

11. Sale of firearms shall be prohibited.

12. The Property Manager shall have final approval of all Home Occupation activities.

Criteria for Childcare Home Occupations

For those residents electing to provide childcare in their unit, the following additional requirements must be followed:

1. Criminal background check for all family members 18 years of age and older;

2. Executed Space Use Agreement (SUA) which will include the following:

   a. In accordance with the revised Health and Safety Code Section 1597.531, the childcare provider shall maintain one of the following:

      1. Liability insurance kept in force covering injury to clients and guests in the amount of at least one hundred thousand dollars ($100,000) per occurrence and three hundred thousand dollars ($300,000) in the total annual aggregate, sustained on account of the negligence of the licensee or its employees; or

      2. A bond in the aggregate amount of three hundred thousand dollars ($300,000); or

      3. A file of affidavits signed by each parent with a child enrolled in the home. The affidavit shall state that the parents has been informed that the family child care home does not carry liability insurance or a bond according to standards established by the state of California, and that the parent has been informed that the liability insurance, if any, of the owner of the property may not provide coverage for losses arising out of, or in connection with, the operation of the family day care home, except to the extent that the losses are caused by, or result from, an action or omission by the owner of the property for which the owner of the property would otherwise be liable under the law.

   A family day care home that maintains liability insurance or a bond pursuant to the above section, shall name the HA as an additional insured party on the liability insurance policy or bond with the following conditions being met:

      The HA may make a written request to be added as an additional insured party;

      The addition of the HA does not result in cancellation or nonrenewal of the insurance policy or bond carried by the family day care home;

      Any additional premium assessed for this coverage is paid by the HA.

   b. Copy of State of California Child-Care License

3. Pass a Unit Inspection;

4. Comply with the Home Inspection Criteria;

5. Abide by and assure that childcare clients comply with the applicable terms of the Lease Agreement (section 6 parts A, B, C, D, E, F, H, L, N, O, Q, S, T, U, W, Z, AA, CC, DD, and EE) established for the benefit and well-being of the Housing Development in which the Residence is located. The Lease Agreement is available in the Management office;

6. Provide to the Property Manager the names of each parent and child utilizing the childcare services;

7. Complete a safety training to be conducted by site Maintenance staff;

8. Notify the site Community Policing Program (CPP) that resident is conducting childcare in the unit;

9. Resident, as Licensee, shall comply with all applicable Federal, State, and local laws regarding the provision of childcare in the unit and comply with all terms of their Lease Agreement;

10. The HA shall ensure the peaceful enjoyment of all residents at the housing development;

11. Failure to comply with the Childcare Home Occupations Policy may result in the resident losing their housing;

12. Provide the site management office with the name of an alternate person as back-up child caretaker, including a copy of the person's California Identification Card or Driver's License.

Chapter 9

PET POLICY—ELDERLY DEVELOPMENTS

[24 CFR 5.309]

INTRODUCTION

This policy sets forth the Housing Authority's policies and procedures for the ownership and care of common household pets at the Elderly Public Housing Developments owned and or managed by the Housing Authority. This policy is also intended to ensure that no applicant or resident is discriminated against regarding admission or continued occupancy because of his or her ownership of a pet. It also establishes reasonable rules governing the keeping of common household pets.

These policies and procedures implement the provisions of Title 24 Code of Federal Regulations §§ 5.300-5.380 and 24 CFR § 960.701 (if applicable), and HUD Final Rule re Pet Ownership for the Elderly and Persons with Disabilities (October 27, 2008).

Animals That Assist Persons with Disabilities

This Pet Policy does **not** apply to assistive (service) animals or their owners. Nothing in this policy or the dwelling lease limits or impairs the right of persons with disabilities to own animals that are used to assist them. Pursuant to 24 CFR § 5.303(a)(1), The Housing Authority shall grant an exception to the Pet Policy, as a reasonable accommodation, if the resident/pet owner certifies that:

> (a) The tenant or prospective tenant certifies in writing that the resident or a member of his or her family is a person with a disability;
> (b) The animal is necessary to assist, support or provide services to the resident or member of his or her household with a disability.

A. HA PRIOR APPROVAL OF COMMON HOUSEHOLD PETS

All common household pets must be approved in advance by the Housing Authority. Pets must meet the Housing Authority's pet standards and the tenant and the Housing Authority must enter into a Pet Agreement.

B. PET STANDARDS

1. Types of Pets Allowed-Common Household Pet Defined [24 CFR §§ 5.306 & 5.318]

No types of pets are permitted unless such pets meet the definition of a "common household pet." For purposes of this Policy, the term "common household pet" is defined as follows:

(a) A domesticated animal, such as a dog, cat, bird, rodent (including a rabbit), fish, or turtle, that is traditionally kept in the home for pleasure rather than for commercial purposes.

(b) Common household pet does not include reptiles (except turtles).

(c) If this definition conflicts with any applicable California State or local law or regulation defining the pets that may be owned or kept in dwelling accommodations, California State or local law or regulation shall apply.

(d) This does not include animals that are used to assist persons with disabilities. [24 CFR § 5.306]

The following animals are NOT "common household pets":

Domesticated dogs that exceed thirty (30) pounds (Animals certified to assist the disabled are exempt from the weight limitation.)

Vicious or intimidating dogs. Under California law (Food and Agriculture Code Sec. 31603), a "vicious" dog is defined as, but not limited to:

(a) Any dog seized under Section 599aa of the California Penal Code and upon the sustaining of a conviction of the owner or keeper under subdivision (a) of Section 597.5 of the Penal Code.

(b) Any dog which, when provoked, in an aggressive manner, inflicts severe injury on or kills a human being.

(c) Any dog previously determined to be and currently listed as a potentially dangerous dog, which, after its owner or keeper has been notified of this determination, continues the behavior, described in Section 31602 or is maintained in violation of Section 31641, 34642, or 31643.

(d) Any dog breeds that have been determined to be "potentially dangerous" or "vicious" under California law or local animal control ordinance.

(e) Wild, feral, or any other animals that are not amenable to routine human handling.

(f) Any poisonous animals of any kind.

(g) Fish in aquariums exceeding ten gallons in capacity.

(h) Non-human primates.

(i) Animals whose climatological needs cannot be met in the unaltered environment of the individual dwelling unit.

(j) Pot-bellied pigs.

(k) Ferrets or other animals whose natural protective mechanisms pose a risk of serious bites and/or lacerations to small children.

(l) Hedgehogs or other animals whose protective instincts and natural body armor produce a risk of serious puncture injuries to children.

(m) Chicks or other animals that pose a significant risk of salmonella infection to those who handle them.

(n) Pigeons, doves, mynahs, psittacines, and birds of other species that are hosts to the organisms that cause psittacosis in humans.

(o) Snakes or other kinds of reptiles (except turtles).

(p) Any other animal that, due to its size, nature or disposition, presents a risk to public health or safety or cannot be properly cared for due to its physical needs.

2. Limitation of Number of Pets [24 CFR § 5.318]

Residents are not permitted to have more than a total of two (2) common household pets. Of this total, residents are not permitted to have more than one (1) dog.

3. Pet Size and Type

(a) Dogs

Maximum number: 1

Maximum adult weight: 30 pounds

Must be housebroken

Must be spayed or neutered

Must have all required inoculations

Must be licensed as specified now or in the future by State law and local ordinance

Any litter resulting from the pet must be removed as soon as the puppies are weaned or are eight weeks of age.

(b) Cats

Maximum number: 2

Must be a household cat

Must be spayed or neutered

Must have all required inoculations

Must be trained to use a litter box or other waste receptacle

Must be licensed as specified now or in the future by State law or local ordinance

Any litter resulting from the pet must be removed as soon as the kittens are weaned or are eight weeks of age.

(c). Birds

Maximum number: 2

Must be enclosed in a cage at all times

(d). Fish

Maximum aquarium size: (10 gallons)

Must be maintained on an approved stand

(e). Rodents (rabbit, guinea pig, or hamster ONLY)

Maximum number: 1

Must be enclosed in an acceptable cage at all times

Must have any or all inoculations as specified now or in the future by State law or local ordinance

C. INNOCULATION AND REGISTRATION OF PETS [24 CFR § 5.350(d)]

1. Inoculation

Pets need to be inoculated in accordance with California State and local law and regulations.

2. Registration

Pets must be registered with the Housing Authority before the pet is brought onto the premises and must update the registration on an annual basis at time of the resident/owner's recertification.

Registration must include:

(a) a certification of inoculation signed by a licensed veterinarian or a State or local authority (or designated agent of such an authority) stating that the pet has received all inoculations required by State or local law.

(b) Information sufficient to identify the pet and to demonstrate that it is a common household pet, including, a color photograph of their pet(s) and display a "Pet Here" sticker, provided by the Housing Authority, which will be displayed on the front door of the resident/pet owner's unit at all times.

(c) The name, address, and phone number of at least one responsible party who will care for the pet if the owner dies or in unable to provide care.

(d) The resident/pet owner's acknowledgement indicating that he or she has read the pet rules and agrees to be bound by them.

3. Housing Authority's Authority to Refuse Registration of a Pet

The Housing Authority shall be authorized to refuse to register a pet under the following circumstances:

(a) If the pet does not meet the definition of a "common household pet" as defined herein.

(b) If the keeping of the pet would violate any applicable house pet rule.

(c) If the resident/pet owner fails to provide complete pet registration information or fails annually to update the pet registration.

(d) The Housing Authority reasonably determines, based on the resident/pet owner's habits and practices, that the resident/pet owner will be unable to keep the pet in compliance with the pet rules and other lease obligations. The pet's temperament may be considered as a factor in determining the prospective resident/pet owner's ability to comply with the pet rules and other lease obligations.

The Housing Authority shall provide notice of a decision refusing to register a pet and such notice shall further inform the resident/pet owner of the basis of that decision and of the resident/pet owner's grievance rights. Notice of decision shall be served in conformance with the requirements of 24 CFR § 5.353(f).

If the Resident/pet owner refuses to register a pet, a written notification will be sent to the pet owner stating the reason for denial and shall be served in accordance with HUD Notice requirements.

D. PET CARE STANDARDS

Residents who have been approved to have a pet must adhere to the following pet standards:

1. Sanitary Standards Governing the Disposal of Pet Waste

(a) The following areas are designated no-pet areas: management offices, community centers, and recreation center areas (except such areas that would deny access to the building).

(b) Pets shall not be exercised or permitted to deposit waste in any interior common area of the Housing Development.

(c) All fecal matter deposited by the pet(s) must be promptly and completely removed from any common area. Failure to do so will result in a Pet Waste

Removal charge of $5.00 for each separate violation of the waste removal requirements.

(d) All animal waste or the litter from litter boxes shall be picked up immediately by the pet owner, disposed of in sealed plastic trash bags, and placed in a trash bin. Litter shall not be disposed of by being flushed through a toilet.

(e) Litter boxes shall be stored inside the resident's dwelling unit or in animal enclosures maintained within dwelling units AND must be removed and/or replaced no less every two weeks. Failure to do so will result in a Pet Waste Removal charge of $5.00 for each separate violation of the waste removal requirements.

(f) Deceased pets shall be properly disposed by Los Angeles County Animal Control Services where applicable and shall not be disposed on the Housing Authority's property.

2. Pet Restraints

All household pets must be under the control of a responsible individual while on the common areas of the Housing Development. All pets must be effectively and appropriately restrained and under the control of a responsible individual while on the common areas of the Housing Development.

3. Other Standards

(a) Each resident/pet owner shall comply with all California State and local licensing laws and regulations.

(b) Each dog and cat shall be spayed or neutered.

(c) No pet shall be permitted on Housing Development property that is not owned by a resident or that is temporarily present for a period in excess of 14 days.

(d) The Housing Authority shall have the right to require the removal of any pet, if the pet's conduct or condition is duly determined to constitute, under the provision of California State or local law, a nuisance or a threat to the health or safety of other occupants of the Housing Development or of other persons in the community where the Housing Development is located.

E. NOTICE OF PET RULE VIOLATIONS

1. If the Housing Authority determines on the basis of objective facts, supported by written statements, that a resident/pet owner has committed a violation of a rule governing the owning or keeping of pets, the Housing Authority may serve a written notice of a pet rule violation. The notice of violation must:

(a) Contain a brief statement of the factual basis for the determination and the pet rule or rules alleged to be violated.

(b) State that the resident/pet owner has 10 calendar days from the effective date of service of the notice to correct the violation (including appropriate circumstances, removal of the pet) or to make a written request for an informal conference to discuss the violation.

(c) State that resident/pet owner is entitled to be accompanied by another person of his or her choice at the informal conference.

(d) State that the resident/pet owner's failure to correct the violation, to request an informal conference, or to appear at the informal conference may result in initiation of procedure to terminate the tenant's tenancy.

(e) If the resident/pet owner requests a meeting, the Housing Authority shall establish a mutually agreeable time and place for the meeting. Such a meeting shall take place no later than 15 calendar days from the effective date of service of the notice of the pet violation (unless the Housing Authority agrees to a later date). At the informal conference, the resident/pet owner and the Housing Authority shall discuss any alleged pet rule violation and attempt to correct it. The Housing Authority may, as a result of the meeting, give the resident/pet owner additional time to correct the violation.

2. Notice of Pet Removal

If the resident/pet owner and the Housing Authority are unable to resolve the pet violation at the pet rule violation meeting, or if the Housing Authority determines that the resident/pet owner has failed to correct the pet rule violation within any additional time provided for this purpose under sub-section (e) above, the Housing Authority may serve a written notice on the resident/pet owner, in accordance with 24 CFR § 5.353(f)(l)(i) or (ii) requiring the resident/pet owner to remove the pet. The notice must:

(a) Contain a brief statement of the factual basis for the determination and the pet rule or rules alleged to be violated.

(b) State that the resident/pet owner must remove the pet within 10 days of the effective date of service of the notice of pet removal (or the meeting, if notice is served at the meeting).

(c) State that the failure to remove the pet may result in initiation of procedures to terminate the resident/pet owner's tenancy.

3. Initiation of Procedures to Remove a Pet or Terminate the Resident/Pet Owner's Tenancy

The Housing Authority may not initiate procedures to terminate a resident/pet owner's tenancy based on a pet rule violation unless:

(a) The resident/pet owner has failed to remove the pet or correct the pet rule violation within the applicable time period specified above.

(b) The pet rule violation is sufficient to begin procedures to terminate the pet owner's tenancy under the terms of the lease and applicable regulations.

(c) The Housing Authority shall have the right to initiate procedures to remove a pet under 24 CFR § 5.327 (Nuisance of Threat to Health or Safety) at any time, in accordance with the provisions of applicable State or local law.

F. FINANCIAL OBLIGATIONS RELATING TO PETS-PET DEPOSITS [24 CFR § 5.318(d)]

A resident/pet owner who owns or keeps a dog or cat shall be required to pay a refundable deposit in an amount of $75.00. This deposit is in addition to any other financial obligation generally imposed on tenants of the Housing Development.

For pet deposits subject to paragraph 24 CFR § 5.318 (d)(2)(i)(A), the resident/pet owner may pay the pet deposit through gradual accumulation of the deposit through an initial payment not to exceed $50 when the pet is brought onto the premises, and subsequent monthly payments not to exceed $10 per month until the amount of the deposit is reached.

The pet deposit and pet waste removal charges are **not** part of rent payable by the resident.

The Housing Authority may use the pet deposit only to pay reasonable expenses directly attributable to the presence of the pet in the Housing Development, including (but not limited to) the cost of repairs and replacements to, and fumigation of, the tenant's dwelling unit and the cost of animal care facilities under 24 CFR § 5.363. The Housing Authority shall refund the unused portion of the pet deposit to the tenant within a reasonable time after the tenant moves from the Housing Development or no longer owns or keeps a cat or dog in the dwelling unit.

The Housing Authority will refund the Pet Deposit to the resident, less any damage caused by the pet(s) to the dwelling unit, upon removal of the pet or the owner from the unit.

The Housing Authority will provide the resident or designee identified above with a written list of any charges against the pet deposit. If the resident disagrees with the amount charged to the pet deposit, the Housing Authority will provide a meeting to discuss the charges.

G. ALTERATIONS TO UNIT

Residents/pet owners shall not alter their unit, patio, premises or common areas to create an enclosure for any animal. Installation of pet doors is prohibited.

H. ADDITIONAL REQUIREMENTS

Resident/pet owners must take precautions to eliminate pet odors.

Resident/pet owners will prevent disturbances by their pets that interfere with the quiet enjoyment of the premises of other residents in their units or in common areas. This includes, but is not limited to loud or continuous barking, howling, whining, biting, scratching, chirping, or other such activities.

Resident/pet owners shall not feed stray animals; doing so, or keeping stray or unregistered animals will be considered having a pet without permission.

I. PET CARE

No pet (excluding fish) shall be left unattended in any apartment for a period in excess of 24 hours.

All residents/pet owners shall be responsible for adequate care, nutrition, exercise and medical attention for his/her pet(s).

In the event the resident relocates to a privately-owned apartment complex or hotel at the request of the Housing Authority to complete emergency repairs to the resident's unit and/or complete modernization and/rehabilitation activities, the resident shall have the responsibility for the board and care of their pet(s) during the duration of the resident's relocation.

J. INSPECTIONS

The Housing Authority shall be permitted, as authorized by the Lease Agreement, to after reasonable notice to the tenant and during reasonable hours, to enter and inspect the premises. The lease shall permit entry and inspection only if the Housing Authority has received a signed, written complaint alleging or the Housing Authority has reasonable grounds to believe that the conduct or condition of a pet in the dwelling unit constitutes, under applicable State or local law, a nuisance or a threat to the health or safety of the occupants of the project or other persons in the community where the Housing Development is located.

K. EMERGENCIES

The Housing Authority shall be permitted, as authorized by the Lease Agreement, to undertake the following actions in response to an emergency:

(1) If there is no State or local authority (or designated agent of such an authority) authorized under applicable State or local law to remove a pet that becomes vicious, displays symptoms of severe illness, or demonstrates other behavior that constitutes an immediate threat to the health or safety of the tenancy as a whole, the Housing Authority may place a provision in tenant leases permitting the Housing Authority to enter the premises (if necessary), remove the pet, and take such action with respect to the pet as may be permissible under State and local law, which may include placing it in a facility that will provide care and shelter for a period not to exceed 30 days.

(2) If authorized by the lease agreement, the Housing Authority shall be permitted to enter the premises and remove the pet or take such other permissible action only if the Housing Authority requests the pet owner to remove the pet from the project

immediately, and the pet owner refuses to do so, or if the project owner is unable to contact the pet owner to make a removal request. The lease may not contain a provision relieving the Housing Authority from liability for wrongful removal of a pet**.** The cost of the animal care facility shall be paid as provided in 24 CFR § 5.363.

(3) The Housing Authority may place a provision in tenant leases
permitting the Housing Authority the right to enter the premises, remove the pet, and place the pet in a facility that will provide care and shelter, in accordance with the provisions of 24 CFR § 5.363. The lease may not contain a provision relieving the Housing Authority from liability for wrongful removal of a pet.

L. PROTECTION OF PETS

The Housing Authority shall be authorized to take the following action in furtherance of the protection of pets.

(1) If the health or safety of a pet is threatened by the death or incapacity of the resident/pet owner, or by other factors that render the resident/pet owner unable to care for the pet, the Housing Authority may contact the responsible party or parties listed in the pet registration required under 24 CFR § 5.350(d)(1)(iii).

(2) If the responsible party or parties are unwilling or unable to care for the pet, or the Housing Authority, despite reasonable efforts, has been unable to contact the responsible party or parties, the project owner may contact the appropriate State or local authority (or designated agent of such an authority) and request the removal of the pet.

(3) If there is no State or local authority (or designated agent of such an authority) authorized to remove a pet under these circumstances and the Housing Authority has placed a provision in the lease agreement (as described in Sec. 5.360(c)(2)), the Housing Authority may enter the pet owner's unit, remove the pet, and place the pet in a facility that will provide care and shelter until the resident/pet owner or a representative of the resident/pet owner is able to assume responsibility for the pet, but not longer than 30 days.

(4) The cost of the animal care facility provided under this section shall be borne by the resident/pet owner. If the resident/pet owner (or the pet owner's estate) is unable or unwilling to pay, the cost of the animal care facility may be paid from the pet deposit, if imposed under the pet rules.

Chapter 10

PET POLICY—GENERAL OCCUPANCY SITES

[24 CFR 960.701]

INTRODUCTION

This policy sets forth the Housing Authority's policies and procedures for the ownership and care of common household pets in general occupancy public housing developments owned and or managed by the Housing Authority. This policy is also intended to ensure that no applicant or resident is discriminated against regarding admission or continued occupancy because of ownership of a pet. It also establishes reasonable rules governing the keeping of common household pets.

Nothing in this policy or the dwelling lease limits or impairs the right of persons with disabilities to own animals that are used to assist them.

Animals That Assist Persons with Disabilities

This Pet Policy does **not** apply to assistive (service) animals or their owners. Nothing in this policy or the dwelling lease limits or impairs the right of persons with disabilities to own animals that are used to assist them. Pursuant to 24 CFR § 5.303(a)(1), The Housing Authority shall grant an exception to the Pet Policy, as a reasonable accommodation, if the resident/pet owner certifies that:

(a) The tenant or prospective tenant certifies in writing that the resident or a member of his or her family is a person with a disability;
(b) The animal is necessary to assist, support or provide services to the resident or member of his or her household with a disability.

In accordance with Section 504 of the Rehabilitation Act and the Fair Housing Act, pet rules will not be applied to animals that assist persons with disabilities except as provided below:

There is reliable objective evidence that the animal poses a direct threat to the health or safety of others that cannot be reduced or eliminated by a reasonable accommodation;

There is reliable objective evidence that the animal would cause substantial physical damage to the property of others;

The presence of the assistance animal would pose an undue financial and administrative burden to the provider; or

The presence of the assistance animal would fundamentally alter the nature of the provider's services.

A. HA APPROVAL OF PETS

All pets must be approved in advance by the HA.

Pets must meet the HA's pet standards and the tenant and the PHA must enter into a Pet Agreement.

B. PET STANDARDS

Types of Pets Allowed

No types of pets other than the following may be kept by a resident.

Residents are not permitted to have more than one dog and cat. The maximum number of individual type of a common household pet is set forth below:

1. Dogs

Maximum number: 1

Maximum adult weight: 30 pounds

Must be housebroken

Must be spayed or neutered

Must have all required inoculations

Must be licensed as specified now or in the future by State law and local ordinance

Any litter resulting from the pet must be removed from the unit as soon as the puppies are weaned or are eight weeks of age.

2. Cats

Maximum number: 1

Must be a household cat

Must be spayed or neutered

Must have all required inoculations

Must be trained to use a litter box or other waste receptacle

Must be licensed as specified now or in the future by State law or local ordinance

Any litter resulting from the pet must be removed from the unit as soon as the kittens are weaned or are eight weeks of age.

3. Birds

Maximum number: 3

Must be enclosed in a cage at all times

4. Fish

Maximum aquarium size: 10 gallons

Must be maintained on an approved stand

5. Rodents (rabbit, guinea pig, or hamster ONLY))

Maximum number: 1

Must be enclosed in an acceptable cage at all times

Must have any or all inoculations as specified now or in the future by State law or local ordinance

The following are NOT "common household pets":

Domesticated dogs that exceed thirty pounds (Animals certified to assist the disabled are exempt from the weight limitation.)

Vicious or intimidating dogs. Under California law (Food and Agriculture Code Sec. 31603), a "vicious" dog is defined as, but not limited to:

(a) Any dog seized under Section 599aa of the California Penal Code and upon the sustaining of a conviction of the owner or keeper under subdivision (a) of Section 597.5 of the Penal Code.

(b) Any dog which, when provoked, in an aggressive manner, inflicts severe injury on or kills a human being.

(c) Any dog previously determined to be and currently listed as a potentially dangerous dog which, after its owner or keeper has been notified of this determination, continues the behavior described in Section 31602 or is maintained in violation of Section 31641, 34642, or 31643.

(d) Any dog breeds that have been determined to be "potentially dangerous" or "vicious" under California law or local animal control ordinance.

Wild, feral, or any other animals that are not amenable to routine human handling

Any poisonous animals of any kind

Fish in aquariums exceeding ten gallons in capacity

Non-human primates

Animals whose climatological needs cannot be met in the unaltered environment of the individual dwelling unit

Pot-bellied pigs

Ferrets or other animals whose natural protective mechanisms pose a risk of serious bites and/or lacerations to small children

Hedgehogs or other animals whose protective instincts and natural body armor produce a risk of serious puncture injuries to children

Chicks, turtles, or other animals that pose a significant risk of salmonella infection to those who handle them

Pigeons, doves, mynahs, psittacines, and birds of other species that are hosts to the organisms that cause psittacosis in humans

Snakes or other kinds of reptiles

Any other animal that, due to its size, nature, or disposition, presents a risk to public health or safety or cannot be properly cared for due to its physical needs.

C. REGISTRATION OF PETS

Pets must be registered with the HA ten (10) days before they are brought onto the premises. Registration includes certificate signed by a licensed veterinarian or State/local authority that the pet has received all inoculations required by State or local law, and that the pet has no communicable disease(s) and is pest-free.

Registration must be renewed and will be coordinated with the annual recertification date and proof of license and inoculation will be submitted at least 30 days prior to annual recertification.

Each pet owner must provide two color photographs of their pet(s) and display a "Pet Here" sticker, provided by the HA, which will be displayed on the front door of the unit at all times.

Approval for the keeping of a pet shall not be extended pending the completion of these requirements.

Refusal To Register Pets

If the HA refuses to register a pet, a written notification will be sent to the pet owner stating the reason for denial and shall be served in accordance with HUD Notice requirements.

The HA will refuse to register a pet if:

The pet is not a "common household pet" as defined in this policy;

Keeping the pet would violate any House Rules;

The pet owner fails to provide complete pet registration information, or fails to update the registration annually;

The HA reasonably determines that the pet owner is unable to keep the pet in compliance with the pet rules and other lease obligations. The pet's temperament and behavior may be

considered as a factor in determining the pet owner's ability to comply with provisions of the lease.

The notice of refusal may be combined with a notice of a pet violation.

D. PET AGREEMENT

Residents who have been approved to have a pet must adhere to the following rules:

1. Agree that the resident is responsible and liable for all damages caused by their pet(s).

2. No animals may be tethered or chained inside the dwelling unit.

3. When outside the dwelling unit, all pets must be on a leash or in an animal transport enclosure and under the control of a responsible individual.

4. All fecal matter deposited by the pet(s) must be promptly and completely removed from any common area. Failure to do so will result in a Pet Waste Removal charge of $50. All animal waste or the litter from litter boxes shall be picked up immediately by the pet owner, disposed of in sealed plastic trash bags, and placed in a trash bin. Litter shall not be disposed of by being flushed through a toilet.

5. Litter boxes shall be stored inside the resident's dwelling unit or in animal enclosures maintained within dwelling units AND must be removed and/or replaced regularly. Failure to do so will result in a Pet Waste Removal charge.

6. Mandatory implementation of effective flea control by measures that produce no toxic hazard to children who may come into contact with treated animals.

7. All complaints of cruelty and all mammalian bites will be referred to animal control of applicable policy agency for investigation and enforcement.

8. Deceased pets shall be properly disposed by Los Angeles County Animal Control Services where applicable and shall not be disposed on Housing Authority property.

9. The right of management to enter dwelling unit upon receipt of notice from the HA.

10. The right of management to enter dwelling unit when there is evidence that an animal left alone is in danger or distress, or is creating a nuisance.

11. The right of management to seek impoundment and sheltering of any animal found to be maintained in violation of housing rules, pending resolution of any dispute regarding such violation, at owner's expense. The resident shall be responsible for any impoundment fees, and the HA accepts no responsibility for pets so removed.

12. That failure to abide by any animal-related requirement or restriction constitutes a violation of the "Resident Obligations" in the resident's Lease Agreement.

E. DESIGNATION OF PET/NO-PET AREAS

The following areas are designated no-pet areas: HA playgrounds, management offices, community centers, and recreation center areas.

F. FEES AND DEPOSITS FOR PETS

The resident/pet owner shall be required to pay a refundable deposit of $200.00 per unit for the purpose of defraying all reasonable costs directly attributable to the presence of a pet. The deposit fee shall not apply to birds and fish.

The HA will refund the Pet Deposit to the resident, less any damage caused by the pet to the dwelling unit, upon removal of the pet or the owner from the unit.

The HA will provide the resident or designee identified above with a written list of any charges against the pet deposit. If the resident disagrees with the amount charged to the pet deposit, the HA will provide a meeting to discuss the charges.

All reasonable expenses incurred by the HA as a result of damages directly attributable to the presence of the pet in the dwelling unit will be the responsibility of the resident, including:

The cost of repairs and replacements to the resident's dwelling unit;

Fumigation of the dwelling unit;

Common areas of the development if applicable.

G. ALTERATIONS TO UNIT

Residents/pet owners shall not alter their unit, patio, premises or common areas to create an enclosure for any animal. Installation of pet doors is prohibited.

Single bedroom dwelling units are limited to no more than one pet of any kind.

H. ADDITIONAL REQUIREMENTS

Pet owners must take precautions to eliminate pet odors.

Residents will prevent disturbances by their pets that interfere with the quiet enjoyment of the premises of other residents in their units or in common areas. This includes, but is not limited to loud or continuous barking, howling, whining, biting, scratching, chirping, or other such activities.

Residents shall not feed stray animals; doing so, or keeping stray or unregistered animals will be considered having a pet without permission.

I. PET WASTE REMOVAL CHARGE

A separate pet waste removal charge of $50 per occurrence will be assessed against the resident for violations of the pet policy.

Pet deposit and pet waste removal charges are not part of rent payable by the resident.

J. PET CARE

No pet (excluding fish) shall be left unattended in any apartment for a period in excess of 24 hours.

All residents/pet owners shall be responsible for adequate care, nutrition, exercise and medical attention for his/her pet.

In the event the resident relocates to non-HA owned property (such as privately-owned apartment complex or hotel) at the request of the HA to complete emergency repairs to the resident's unit and/or to complete modernization and/or rehabilitation activities, the resident shall have the responsibility for the board and care of their pet during the duration of the resident's relocation to non-HA owned property.

K. RESPONSIBLE PARTIES

The resident will provide the following information when registering their pet: Name, address and telephone number of the veterinarian who will be providing regular care for the pet; name of the adult household member who will be primarily responsible for animal care; name and contact information for a household member who will return home in the event an animal experiences distress or causes a disturbance when left alone; contact information for a non-household member who will respond to emergency situations regarding the pet in question.

L. PET RULE VIOLATION NOTICE

If a determination is made on objective facts supported by written statements that a resident/pet owner has violated the Pet Rule Policy, the HA will serve a 30-Day Notice to Cure or Quit.

M. NOTICE FOR PET REMOVAL

If the resident/pet owner and the HA are unable to resolve the violation at the meeting or the pet owner fails to correct the violation in the time period allotted by the HA, the HA may serve notice to remove the pet. The Notice shall contain:

A brief statement of the factual basis for the HA's determination of the Pet Rule that has been violated; and

A statement that failure to remove the pet may result in the initiation of termination of tenancy procedures.

N. TERMINATION OF TENANCY

The HA may initiate procedure for termination of tenancy based on a pet rule violation if:

The pet owner has failed to remove the pet or correct a pet rule violation within the time period specified; and

The pet rule violation is sufficient to begin procedures to terminate tenancy under terms of the lease.

O. PET REMOVAL

If the death or incapacity of the pet owner threatens the health or safety of the pet, or other factors occur that render the owner unable to care for the pet, the situation will be reported to the Responsible Party designated by the resident/pet owner. Includes pets who are poorly cared for or have been left unattended for over 24 hours.

If the responsible party is unwilling or unable to care for the pet, or if the HA after reasonable efforts cannot contact the responsible party, the HA may contact the appropriate State or local agency and request the removal of the pet.

P. EMERGENCIES

The HA will take all necessary steps to insure that pets which become vicious, display symptoms of severe illness, or demonstrate behavior that constitutes an immediate threat to the health or safety of others, are referred to the appropriate State or local entity authorized to remove such animals.

If it is necessary for the HA to place the pet in a shelter facility, the cost will be the responsibility of the resident/pet owner.

Chapter 11

STANDARDS FOR CONTINUED OCCUPANCY AND RECERTIFICATIONS

[24 CFR 5.613, 24 CFR 5.61524; 24 CFR Part 960, Subpart C]

INTRODUCTION

This chapter defines the HA's policy for conducting annual re-certifications. It also explains the interim reporting requirements for families, and the standards for continued occupancy.

A. ELIGIBILITY FOR CONTINUED OCCUPANCY

Residents who meet the following criteria will be eligible for continued occupancy:

Qualify as a family as defined in this policy;

Are in full compliance and able to abide with all the obligations and responsibilities described in the Lease Agreement;

For family members who have submitted their Social Security numbers (or have certifications on file that they do not have a Social Security number);

For family members who have submitted required citizenship/eligible immigration status/non-contending documents.

Are not subject to sex offender lifetime registration under a State sex offender registration program.

~~* If the HA discovers that a current public housing resident is subject to sex offender lifetime registration under a State sex offender registration program, the HA will proceed with eviction of the resident. Families who fail to remove any household member(s), which includes minors 13 to 17 years of age, that are subject to a sex offender lifetime registration under a State sex offender registration program, will be evicted.~~

B. ANNUAL RECERTIFICATION

In order to be recertified, families are required to provide current and accurate information on income, assets, allowances and deductions, and family composition. Families are required to report and certify this information by completing a Personal Declaration with the HA. Additionally, families must complete an Emergency Notification card annually at recertification. All documents provided to the Housing Authority must be legible.

Timing of the Annual Recertification

Families who choose flat rent are to be recertified every three years. For families who move in on the first of the month, the annual re-certifications will be completed within 12 months of the

anniversary of the move-in date. (Example: If family moves in August 1, the annual recertification will be conducted to be effective on August 1, the following year.)

For families who move after the first of the month, the annual recertification will be completed no later than the first of the month in which the family moved in, the following year. (Example: If family moves in August 15, the effective date of the next annual recertification is August 1.)

When families transfer to another property (i.e., OPT transfer), an annual recertification may be conducted and a new Lease Agreement shall be executed, but under no circumstances shall the annual recertification take place later than twelve months. For unit-to-unit transfers within the same property, an annual recertification may take place depending upon the circumstances of the transfer (i.e., change in family composition) and the proximity to the Lease anniversary date.

Lease Anniversary Date and the Annual Recertification

In order to have consistency between the Lease Anniversary and the Annual Recertification, the HA will adhere to the following:

Upon move-in, the date the resident and the HA execute the Lease Agreement will be the same effective date as the HUD Form 50058 (for example, the resident signs the Lease Agreement on November 1st, which will be the same date on the 50058 effective date).

The terms of the Lease Agreement, as stated previously, will be for a 12-month period (i.e., November 1st through October 31st of the following year) and the 50058 will be completed to coincide with the anniversary date (for Lease Agreements executed on the first of the month).

However, for Lease Agreements executed after the 1st of the month (i.e., November 15th), the recertification date for the following year will take place on the first of the month in which the family moved in (i.e., November 1st of the following year). Under this scenario, the term of the Lease shall be for 12 months (i.e., November 15th through November 14th of the following year). At the next annual recertification date, the HA will amend the Lease term to coincide with the annual recertification date (i.e., November 1st).

Recertification Notice to the Family

All families will be notified of their obligation to recertify by first class mail. The notification shall be sent at least 120 days in advance of the anniversary date. If requested as an accommodation by a person with a disability, the HA will provide the notice in an accessible format. The HA will also mail the notice to a third party, if requested as reasonable accommodation for a person with disabilities. These accommodations will be granted upon verification that they meet the need presented by the disability.

Persons with Disabilities

Persons with disabilities, who are unable to come to the HA's office will be granted an accommodation of conducting the interview at the person's home/by mail/hospital, upon verification that the accommodation requested meets the need presented by the disability.

Collection of Information

The family is required to complete the Personal Declaration form and an Emergency Notification card.

Form HUD-92006

Tenants may request to update, remove or change the contact information provided in Form HUD-92006 at any time, including at recertification.

Requirements to Attend

All adult family members may be required to attend the recertification interview and sign the Personal Declaration for continued occupancy.

If the head of household is unable to attend the interview the appointment will be rescheduled.

Failure to Respond to Notification to Recertify

The written notification will explain which family members are required to attend the recertification interview. The family may call to request another appointment date up to five calendar days prior to the interview.

If the family does not appear for the recertification interview, and has not rescheduled or made prior arrangements with the HA**,** the HA will reschedule a second appointment.

Exceptions to these policies may be made by the Property Manager if the family is able to document an emergency situation that prevented them from canceling or attending the appointment.

Documents Required from the Family

In the notification letter to the family, the HA will include instructions for the family to bring the following:

Documentation of income for all family members

Documentation of liquid and non-liquid assets

Documentation to substantiate any deductions or allowances

Personal Declaration Form completed and signed by all adult family members

Completed Emergency Notification card

Verification of Information

All information which affects the family's continued eligibility for the program, and the family's Total Tenant Payment (TTP) will be verified in accordance with the verification procedures and guidelines described in this ACOP. Verifications used for recertification must be less than 120

days old. All verifications will be placed in the file, which has been established for the family.

When the information has been verified, it will be analyzed to determine:

The continued eligibility of the resident as a *family* or as the *remaining member* of a family;

The unit size required by the family;

The amount of rent the family should pay.

Changes in the Tenant Rent

If there is any change in rent, including change in family's choice in rent, the lease will be amended, or a new lease will be executed, and a Notice of Rent Adjustment will be issued [24 CFR 966.4(c)].

Tenant Rent Increases

If the tenant rent increases, a thirty-day notice will be mailed to the family and the rent increase will be effective on their anniversary date.

If less than thirty days are remaining before the anniversary date, the tenant rent increase will be effective on the first of the second month following the thirty-day notice.

If there has been a misrepresentation or a material omission by the family, or if the family causes a delay in the recertification processing, there will be a retroactive increase in rent to the anniversary date.

Tenant Rent Decreases

If tenant rent decreases, it will be effective on the anniversary date.

If the family causes a delay so that the processing of the recertification is not complete by the anniversary date, rent change will be effective on the first day of the month following completion of the recertification processing by the HA.

C. REPORTING INTERIM CHANGES

Families must report within ten calendar days all changes in household composition to the HA between annual re-certifications. This includes loss or addition of one or more family members through death, divorce, birth, or adoption ~~additions due to birth, adoption, -~~, court-awarded custody and if a social service agency (i.e. DPSS, DCFS, etc.) has approved the addition of a minor child to the household. The family must obtain HA approval prior to all other additions to the household. An interim re-examination will always be conducted due to a change in household composition. All income for a new family member will be counted and used to calculate the family's TTP.

The U.S. citizenship/eligible immigrant status of additional family members must be declared and verified prior to the approval by the HA of the family member being added to the lease.

D. INTERIM RECERTIFICATION POLICY

~~Increases in Income to Be Reported~~

~~Families that select to pay flat rent are not required to report increases in income or assets.~~

~~If families elect to pay income-based rent, the family must report any of the following factors which could result in an increase in rent to management within ten calendar days of their occurrence:~~

- ~~Receipt of a deferred payment in a lump sum which represents the delayed start of a periodic payment such as unemployment or social security benefits.~~
- ~~Change in family (which could either provide additional income to the household or reduce the deductions and allowances for which the family qualifies).~~
- ~~A change of source of income, such as moving from welfare benefits to employment income.~~
- ~~An increase in income of $200/month or more.~~-

~~Any other changes reported by residents electing to pay income-based rent, other than those list above, will not be processed between regularly scheduled annual re-certifications.~~

Increases in Income and Rent Adjustments

The HA's policy is not to raise rent between annual re-certifications, except in the case of a change in family composition and/ or if a family requests an interim to be processed. ~~source of income and/or an increase of $200/month or more~~.

Decreases in Income and Rent Adjustments

Residents may report a decrease in income and other changes, such as an increase in allowances or deductions, which would reduce the amount of the total tenant payment.

The HA will initiate third-party verification of the decrease in income no later than five (5) days after the resident reports the change to the HA. Upon completion of the third-party verification, the HA will process a rent adjustment to be effective the first day of the month following the month in which the HA completes the verification. If the reduction in income is reported after the HA's cut-off date for the following months rent set-up, Management will charge the resident the former, higher rent, subject to a credit when the circumstances of reduction are verified.

E. INCOME CHANGES RESULTING FROM WELFARE PROGRAM REQUIREMENTS

The HA will not reduce the public housing rent for families whose welfare assistance is reduced due to a "specified welfare benefit reduction," which is a reduction in welfare benefits due to:

Fraud by a family member in connection with the welfare program; or

Noncompliance with a welfare agency requirement to participate in an economic self-sufficiency program.

A "specified welfare benefit reduction" does not include a reduction of welfare benefits due to:

The expiration of a lifetime time limit on receiving benefits; or

A situation where the family has complied with welfare program requirements but cannot or has not obtained employment, such as:

The family has complied with welfare program requirements, but the durational time limit, such as a cap on the length of time a family can receive benefits, causes the family to lose their welfare benefits.

Noncompliance with other welfare agency requirements.

Definition of "Covered Family"

A household that receives benefits for welfare or public assistance from a State or public agency program which requires, as a condition of eligibility to receive assistance, the participation of a family member in an economic self-sufficiency program.

Definition of "Imputed Welfare Income"

The amount of annual income, not actually received by a family, as a result of a specified welfare benefit reduction, that is included in the family's income for purposes of determining rent.

The amount of imputed welfare income is determined by the HA, based on written information supplied to the HA by the welfare agency, including:

The amount of the benefit reduction

The term of the benefit reduction

The reason for the reduction

Subsequent changes in the term or amount of benefit reduction

Imputed welfare income will be included at annual and interim recertifications during the term of reduction of welfare benefits.

The amount of imputed welfare income will be offset by the amount of additional income a family receives that begins after the sanction was imposed. When additional income is at least equal to the imputed welfare income, the imputed income will be reduced to zero.

If the family was not an assisted resident of public housing when the welfare sanction began, imputed welfare income will not be included in annual income.

Verification Before Denying a Request to Reduce Rent

The HA will obtain written verification from the welfare agency stating that the family's benefits have been reduced for fraud or noncompliance before denying the family's request for rent reduction.

The HA will rely on the welfare agency's written notice to the HA regarding welfare sanctions.

Cooperation Agreements

The HA has an unwritten cooperation agreement in place with the local welfare agency which assists the HA in obtaining the necessary information regarding welfare sanctions.

The HA has taken a proactive approach to culminating an effective working relationship between the HA and the local welfare agency for the purpose of targeting economic self- sufficiency programs throughout the community that are available to public housing residents.

The HA and the local welfare agency have mutually agreed to notify each other of any economic self-sufficiency and/or other appropriate programs or services that would benefit public housing residents.

Family Dispute of Amount of Imputed Welfare Income

If the family disputes the amount of imputed income and the HA denies the family's request to modify the amount, the HA will provide the resident with a notice of denial, which will include:

An explanation for the HA's determination of the amount of imputed welfare income

A statement that the resident may request a grievance hearing

If the resident requests a grievance hearing, the resident is not required to pay an escrow deposit pursuant to 966.55(e) for the portion of tenant rent attributable to the imputed welfare income.

F. OTHER INTERIM REPORTING ISSUES

An interim recertification will be scheduled for families with zero income every 90 days.

Any changes reported by residents other than those listed in this section will not be processed between regularly scheduled annual re-certifications.

HA Errors

If the HA makes a calculation error at admission to the program or at an annual recertification, an interim recertification will be conducted to correct the error. If the family had been undercharged as a result of the calculation error, the family will not be charged retroactively. If the family had been overcharged as a result of the calculation error, the family will receive a rent credit retroactively.

G. TIMELY REPORTING OF CHANGES IN INCOME (AND ASSETS)

Standard for Timely Reporting of Changes for Reexaminations and Interims

The HA requires that families report changes, such as change in family composition, to the HA within ten calendar days of when the change occurs. Any information, document or signature needed from the family needed to verify the change must be provided within three calendar days of the reported change.

If the change is not reported within the required time period, or if the family fails to provide signatures, certifications or documentation, (in the time period requested by the HA), it will be considered untimely reporting.

Procedures When the Change Is Reported in a Timely Manner

The HA will notify the family of any changes in Tenant Rent to be effective according to the following guidelines:

Increases in the Tenant Rent is effective on the first day of the second calendar month following the month in which the change in circumstance occurred with at least thirty days' notice to the family. It is the HA's policy to not process interim increases in Tenant Rent between regular annual re-certifications except if the family's requests an interim to be processed or if there is a change in family composition. ~~for a receipt of a deferred payment in a lump sum which represents the delayed start of a periodic payment, change in family (which could either provide additional income to the household or reduce the deductions and allowances for which the family qualifies), a change of source of income and/or an increase in income of $200/month or more. The family must report any of the above factors which could result in an increase in rent to management within ten calendar days of their occurrence.~~

Decreases in the Tenant Rent are effective the first of the month following the month in which the change is reported.

Procedures When the Change Is Not Reported by the Tenant in a Timely Manner

If the family does not report the change as described under Timely Reporting, the family will have caused an unreasonable delay in the interim or annual recertification processing and the following guidelines will apply:

Increase in Tenant Rent will be effective retroactive to the date the increase in income became effective. The family will be liable for any underpaid rent, and may be required to sign a Repayment Agreement**.** The Repayment Agreement will require that the family pay an initial lump sum (in an amount determined by the HA) with the remaining balance to be paid in equal payments over a period of time not to exceed 12 months for amounts under $2400 or 24 months for any amount in excess of $2400.

Decrease in Tenant Rent will be effective on the first of the month following completion

of processing by the HA and not retroactively.

Procedures When the Change Is Not Processed by the HA in a Timely Manner

"Processed in a timely manner" means that the change goes into effect on the date it should when the family reports the change and provides all information, documents and signatures in a timely manner. If the change cannot be made effective on that date, the change is not processed by the HA in a timely manner.

Therefore, an increase will be effective after the required thirty days' notice prior to the first of the month after completion of processing by the HA.

If the change resulted in a decrease, the overpayment by the family will be calculated retroactively to the date it should have been effective, and the family will be credited for the amount.

H. REPORTING OF CHANGES IN FAMILY COMPOSITION

The members of the family residing in the unit must be approved by the HA. The family must inform the HA and request approval of additional family members other than additions due to birth, adoption, court-awarded custody or of a minor approved by a social service agency (i.e. DPSS, DCFS, etc.) before the new member occupies the unit.

All changes in family composition, including additions due to birth, adoption, court-awarded custody of a minor approved by a social service agency, must be reported within ten calendar days of the occurrence in writing. If an adult family member is declared permanently absent by the head of household, the notice must contain a certification by the head of household [or spouse] that the member (who may be the head of household) removed is permanently absent.

HUD approved the designation of the housing developments listed below as Elderly-Only on August 27, 2013. If a resident living at an Elderly-Only housing development requests to add a new household member to their Lease after August 27, 2013, the new household member must be 62 years or older.

Elderly-Only Housing Developments

Carmelitos

Nueva Maravilla "Rosas"

West Knoll

Palm

Marina Manor I

Marina Manor II

Orchard Arms

Foothill Villa

Francisquito Villa

Whittier Manor

Herbert Avenue

Southbay Gardens

Increase in Family Size

The HA will consider a unit transfer (if needed under the Occupancy Guidelines) for additions to the family in the following cases:

Addition by marriage/or marital-type relation and the minor children of that person

Addition of a minor child of the head of household, co-head, spouse or marital-type partner who had been living elsewhere

Addition of a HA-approved live-in aide

Addition of any relation of the head of household/co-head and/or spouse/marital-type partner

Addition of an adult child due to recent discharge from the military

Addition of an adult who is not a marital-type relation, who requires disability-required care

Addition due to birth, adoption, court-awarded custody or of a minor approved by a social service agency

If addition(s) to the family require a larger size unit due to overcrowding, the change in unit size shall be made effective upon availability of an appropriately sized unit.

Definition of Temporarily/Permanently Absent

The HA must compute all applicable income of every family member who is on the lease, including those who are temporarily absent.

Income of persons permanently absent will not be counted. If the spouse/ marital-type partner is temporarily absent and in the military, all military pay and allowances (except hazardous duty pay when exposed to hostile fire and any other exceptions to military pay HUD may define) is counted as income.

It is the responsibility of the head of household to report changes in family composition. The HA will evaluate absences from the unit in accordance with this policy.

Absence of Entire Family

These policy guidelines address situations when the family is absent from the unit, but has not

moved out of the unit. In cases where the family has moved out of the unit, the HA will terminate tenancy in accordance with the appropriate lease termination procedures contained in this Policy.

Families are required to notify the HA before they move out of a unit in accordance with the lease and to give the HA information about any family absence from the unit.

"Absence" means that no family member is residing in the unit.

In order to determine if the family is absent from the unit, the HA may:

Conduct a home visit

Write letters to the family at the unit

Post letters on exterior door

Telephone the family at the unit

Interview neighbors

Verify if utilities are in service

Check with Post Office for forwarding address

Contact emergency contact

If the entire family is absent from the unit for more than 30 consecutive days, whether or not rent has been paid, the unit will be considered to be abandoned and the HA will terminate the Lease Agreement.

As a reasonable accommodation for a person with a disability, the HA may approve an extension. (See Absence Due to Medical Reasons for other reasons to approve an extension.) During the period of absence, the rent and other charges must remain current.

Absence of Any Member

Any member of the household will be considered permanently absent and removed from the lease if s/he is away from the unit for 90 days in a 12-month period except as otherwise provided in this chapter.

The HA, at its discretion, may initiate a transfer of the remaining household members to an appropriately-sized unit in accordance with the Occupancy standards (see Chapter 4).

Absence Due to Medical Reasons

If any family member leaves the household to enter a facility such as hospital, nursing home, or

rehabilitation center, the HA will seek advice from a reliable qualified source as to the likelihood and timing of their return. If the verification indicates that the family member will be permanently confined to a nursing home, the family member will be considered permanently absent. If the verification indicates that the family member will return in less than 120 consecutive days, the family member will not be considered permanently absent, as long as rent and other charges remains current. A resident may request a reasonable accommodation to have a longer absence approved. The HA has full discretion of approval, and will make determinations on a case by case basis.

If the person who is determined to be permanently absent is the sole member of the household, assistance will be terminated in accordance with the HA's "Absence of Entire Family" policy.

Absence Due to Incarceration

If the sole member is incarcerated for more than 90 consecutive days, s/he will be considered permanently absent. Any member of the household, other than the sole member, will be considered permanently absent if s/he is incarcerated for 90 consecutive days. The rent and other charges must remain current during this period.

Foster Care and Absences of Children

If the family includes a child or children temporarily absent from the home due to placement in foster care, the HA will determine from the appropriate agency when the child/children will be returned to the home.

If the time period is to be greater than 180 days from the date of removal of the child(ren), the family will be required to move to a smaller size unit. If all children are removed from the home permanently, the unit size will be reduced in accordance with the HA's occupancy guidelines.

I. REMAINING MINOR MEMBER OF RESIDENT FAMILY—RETENTION OF UNIT

If neither parent remains in the household, and the appropriate agency has determined that another adult is to be brought into the assisted unit to care for the children for an indefinite period, the HA will treat that adult as a visitor for the first 90 calendar days. This will be noted as an exception to the HA's Visitor Policy.

If by the end of that period, court-awarded custody or legal guardianship has been awarded to the caretaker, and the caretaker qualifies under Tenant Suitability criteria, the lease will be transferred to the caretaker.

If the court has not awarded custody or legal guardianship, but the action is in process, the HA will secure verification from social services staff or the attorney as to the status.

The HA will transfer the lease to the caretaker, in the absence of a court order, if the caretaker qualifies under the Tenant Suitability criteria and has been in the unit for more than 90 days and it is reasonable to expect that custody will be granted.

When the HA approves a person to reside in the unit as caretaker for the child(ren), the income of the caretaker should be counted pending a final disposition. The HA will work with the appropriate service agencies to provide a smooth transition in these cases.

If a member of the household is subject to a court order that restricts him/her from the home for more than 90 days, the person will be considered permanently absent.

If an adult child goes into the military and leaves the household, they will be considered permanently absent.

Full time students who attend school away from the home and live with the family during school recess will be considered temporarily absent from the household.

A minor, who was part of the household, can also qualify as a "remaining member of the resident family". A minor will be authorized to remain in the unit by establishing emancipation or by adding another adult, who has been determined eligible and suitable by the HA, to the Lease. The added adult will become the new head of household. When such situations arise, the HA will work with the minor's advocate, which may be another adult relative or DCFS caseworker, to determine the appropriate course of action and time necessary for the minor to meet one of the two criteria to remain in the unit.

J. REMAINING ADULT MEMBER OF RESIDENT FAMILY—RETENTION OF UNIT

Under certain circumstances, an existing member of a household has the right to remain in the unit following the death or departure of the head of household to non-subsidized housing. This section is not intended to apply to circumstances where the household is the subject of a pending eviction, lease enforcement action or where the household is not in good standing. For purposes of this section, an existing member of the household may be considered a "remaining member of the resident family" under the following circumstances:

(a) To be considered the "remaining member of the resident family", the person must be an adult previously approved by the HA to be living in the unit and must have signed the lease in his or her capacity as an adult occupant. Prior to being approved as a "remaining member of the resident family", the person must successfully undergo criminal screening and be deemed eligible and suitable under Chapter 2 of the ACOP.

A live-in aide or a care-giver, by definition, are not members of the household and will not be considered a "remaining member of the resident family".

A reduction in family size shall require a transfer to an appropriate unit size per the Occupancy Standards.

The HA shall grant exceptions from the occupancy standards if the family requests and the HA determines the exceptions are justified according to this policy.

The HA will consider the size of the unit and the size of the bedrooms, as well as the number of bedrooms, when an exception is requested (Refer to chapter on Occupancy Standards).

K. CONTINUANCE OF ASSISTANCE FOR "MIXED" FAMILIES

Under the Non-Citizens Rule, "mixed" families are families that include at least one citizen or eligible immigrant and any number of ineligible members.

"Mixed" families who were participants on June 19, 1995, shall continue receiving full assistance if they meet the following criteria:

> The head of household, co-head or spouse/marital-type partner is a U.S. citizen or has eligible immigrant status; AND
>
> The family does not include any ineligible immigrants other than the head of household, spouse/marital-type partner or parents or children of the head, co-head or spouse/marital-type partner.

Mixed families who qualify for continued assistance after 11/29/96 may receive prorated assistance only.

If the mixed families do not qualify for continued assistance, the member(s) that cause the family to be ineligible for continued assistance may move, or the family may choose prorated assistance (See chapter titled "Factors Related to Total Tenant Payment Determination"). The HA may no longer offer temporary deferral of termination (see chapter on Lease Terminations).

Chapter 12

LEASE TERMINATIONS

[24 CFR 966.4]

INTRODUCTION

The HA may terminate tenancy for a family based on the resident's action(s) or failure to act in accordance with HUD regulations [24 CFR 966.4 (l)(2)], and the terms of the Public Housing Lease Agreement. This chapter describes the HA's policies for notification of lease termination and provisions of the Lease Agreement.

A. TERMINATION BY RESIDENT

The resident may terminate their Lease Agreement by providing the HA with a written thirty-day advance notice as defined in the Lease Agreement. The HA in its sole discretion, may reinstate the tenancy of a family within 120 days after move-out. The resident must have been in good standing with the HA. Good standing means the resident has demonstrated prompt rent paying habits; the resident has demonstrated and maintained adequate housekeeping standards; the resident has a good overall record since living in public housing. Once the HA has approved the former resident's request for re-instatement, the HA will conduct an annual re-exam and enter into a new Lease Agreement with the family.

B. TERMINATION BY HA

The lease may be terminated at any time by the HA who shall give written notice for serious or repeated violation of the terms of the lease, such as, but not limited to:

Nonpayment of rent or other charges due under the Lease, or chronic late payment of rent (3 times in 12 months is considered chronic)

Failure to provide timely and accurate statements of income, assets, expenses and family composition at Admission, Interim, Special or Annual Rent Re-certifications

Assignment or subleasing of the premises or providing accommodation for boarders or lodgers

Use of the premises for purposes other than solely as a dwelling unit for the Resident and Resident's household as identified in this Lease, except as approved by the HA for a home based occupation

Failure to abide by reasonable rules made by the HA for the benefit and well-being of the housing development and the Residents

Failure to abide by applicable building and housing codes materially affecting health or safety

Failure to dispose of garbage waste and rubbish in a safe and sanitary manner

Failure to use electrical, plumbing, sanitary, heating, ventilating, air conditioning and other equipment, including elevators, in a safe manner

Acts of destruction, defacement or removal of any part of the premises, or failure to cause guests to refrain from such acts

Failure to pay reasonable charges (other than for normal wear and tear) for the repair of damages to the premises, development buildings, facilities, equipment, or common areas

Sex offender lifetime registrants

Being subject to sex offender lifetime registration under a State sex offender registration program will be grounds for eviction. ~~For families, failure to remove any household member(s), which includes minors 13 to 17 years of age, that are subject to a sex offender lifetime registration under a State sex offender registration program will result in eviction of the family.~~

Criminal activity

> Residents must refrain from and ensure that household members and guests refrain from engaging in drug-related and/or violent criminal activity on or off the housing development premises. Violent criminal activity is any criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably likely to cause, serious bodily injury or property damage. The illegal manufacture, sale, distribution or use of, or possession with the intent to manufacture, sell, distribute or use, a controlled substance constitutes a drug-related criminal activity. Residents on or off the housing development premises and having a controlled substance in his/her system are in violation of the lease. Committing of any of the above acts is a material breach of the lease and may result in termination of tenancy on three days' notice. **Please note that the cultivation, sale, use or possession of marijuana for medical reasons is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law. The cultivation, sale, use or possession of marijuana for medical reasons subjects a tenant to the termination of tenancy.
>
> Alcohol abuse that the HA determines interferes with the health, safety, or right to peaceful enjoyment of the premises by other residents.

Non-compliance with Non-Citizen requirements

No remaining family member in the household is a U.S. Citizen or an eligible immigrant

Physically or verbally abusive behavior toward residents and/or HA staff

Non-compliance with the Non-Smoking Policy as set forth in the Public Housing Non-

Smoking Lease Addendum

Other good cause

Over Income Households

If a household has an income that is over 120 percent of the Area Median Income (AMI) for the most recent two (2) consecutive years, the Housing Authority will terminate the family's tenancy within 6 months. The over-income policy will exclude evicting families receiving the Earned Income Disregard and families participating in the Family Self-Sufficiency (FSS) Program.

C. NOTIFICATION REQUIREMENTS

The HA's written notice of lease termination will state the reason for the proposed termination, the date of termination, and the rights and protections afforded the resident by the regulations and this policy. (See chapter on Grievances and Hearings.)

Notices of lease termination shall be in writing and delivered to resident or adult member of the household or posted on the unit; and in all cases sent by first class mail addressed to the resident at the unit.

Timing of the Notice

If the HA terminates the lease, written notice will be given as follows:

Fourteen (14) calendar days in the case of failure to pay rent;

Three (3) calendar days for drug-related criminal activity, or criminal activity when the health or safety of other residents or HA employees is threatened;

Thirty (30) calendar days in all other cases.

Following the eviction for drug-related criminal activity, the HA shall notify the Post Office that mail should no longer be delivered to the person who was evicted for drug-related criminal activity.

D. CRIMINAL ACTIVITY

The HA shall terminate the lease if any family member is convicted of manufacturing or producing methamphetamine on the premises of the housing development in violation of any Federal or State law. "Premises" is defined as the building or complex in which the dwelling unit is located, including common areas and grounds.

The HA may terminate the lease in cases where the HA determines there is reasonable cause to believe that a family member, or a guest of a family member, is illegally using a controlled substance or engages in drug-related criminal or violent criminal activity. The same will apply if it is determined that a family member, or a guest of a family member, abuses alcohol in a way that interferes with the health, safety or right to peaceful enjoyment of the premises by other residents.

This includes cases where the HA determines that there is a pattern of illegal use of controlled substances or a pattern of alcohol abuse.

The HA will consider the use of a controlled substance or alcohol to be a "pattern" if there is three or more incidents in the previous 12-month period.

"Engages in" drug related criminal or violent criminal activity means any act by a family member, or guest of a family member, which involved drug-related criminal or violent criminal activity which may or may not have resulted in the arrest and/or conviction of the family member, or guest of a family member. **Please note that the cultivation, sale, use or possession of marijuana for medical reasons is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law. The cultivation, sale, use or possession of marijuana for medical reasons subjects a tenant to the termination of tenancy.

In evaluating whether to terminate the lease, the HA will give fair consideration to the seriousness of the activity, and/or likelihood of favorable conduct in the future (including evidence of rehabilitation).

The HA will also consider whether:

> The person demonstrates successful completion of a credible rehabilitation program approved by the HA, and is willing to continue in a supportive program approved by the HA; or
>
> The individual involved in drug-related criminal activity is no longer in the household due to incarceration.

If the HA determines not to terminate the lease, and permit continued occupancy, the HA may require the family accept imposed conditions such as that the involved family member(s) does not reside in or visit the unit. The HA will consider evidence that the person is no longer in the household such as a divorce decree/incarceration/ death/ copy of a new lease for the person including the owner's telephone number and address/ or other substantiating evidence.

Anti-Hate Crime

In accordance with California Penal Code Section 422.6, the HA shall terminate the lease if any family member engage in a Hate Crime in violation of California Penal Code 422.6 which includes but is not limited to the use of force or threat of force, willfully injure, intimidate, interfere with, oppress, or threaten any other person in whole or in part because of that person's race or ethnicity, disability, gender, nationality, religion, sexual orientation or association with a person or group with one or more of these actual or perceived characteristics and knowingly deface, damage, or destroy the real or personal property of any other person for in whole or in part because of that person's race or ethnicity, disability, gender, nationality, religion, sexual orientation or association with a person or group with one or more of these actual or perceived characteristics.

Data Compliance System

The HA uses the Data Compliance System (DCS) which automatically provides publically available arrest data from Los Angeles County enforcement agencies. The HA will verify the information collected on its residents, and will take appropriate action related to program violations.

E. NON-SMOKING

The HA strictly prohibits smoking on all our properties except at the South Bay Gardens senior housing development located at 230 E. 130th Street, Los Angeles CA 90061. The term "smoking" means inhaling, exhaling, breathing, or carrying or possessing any lighted cigarette, cigar, pipe or other tobacco product or similar lighted product in any manner or in any form. Additionally, "smoking" also includes but is not limited to the use of an electronic cigarette (e-cig or e-cigarette), a personal vaporizer (PV) or an electronic nicotine delivery system (ENDS).

The smoke free policy applies to all residents, guests, visitors, vendors and staff. At South Bay Gardens, smoking is only permitted in one specified open area that is located at least 20 feet away from a HA building and is clearly labeled as a "Smoking Designated Area".

The HA may terminate the Lease for a material or continuing breach of the Public Housing Non-Smoking Lease Addendum. Additionally, Residents are financially responsible for the mitigation of any damages caused by smoking in their unit or caused by smoking in non-smoking areas on the HA's premises. Residents shall pay for these damages as set forth in the Lease as "Other Charges". Costs may include but are not limited to cleaning, sealing, painting, deodorizing, duct cleaning, and possible replacement of fixtures and various surface materials. The HA would like to mitigate (i) the irritation and known health effects of secondhand smoke; (ii) the increased maintenance and cleaning costs from smoking; (iii) the increased risk of fire from smoking; and (iv) the higher costs of fire insurance for a non-smoke-free building.

Residents, members of the Resident's household or their guests/visitors are strictly prohibited to smoke on the premises occupied by the Resident and members of the Resident's household in any common areas, including but not limited to; community rooms, community bathrooms, lobbies, reception areas, hallways, laundry rooms, stairways, offices and elevators, playground areas, entry ways, porches, balconies and patios. Smoking is strictly prohibited on all of the HA's properties, except for the designated smoking area at the South Bay Gardens housing development, including individual units, common areas, every building and adjoining grounds. A Resident, members of the Resident's household or their guests/visitors shall not smoke anywhere in Non-Smoking Areas. The Resident may not permit any guests or visitors under the control of the Resident to smoke in Non-Smoking Areas.

Resident to Promote Non-Smoking Policy and to Alert the HA of Violations

Residents shall inform Resident's guests or visitors of the non-smoking policy. Residents are also encouraged to promptly submit to Management a written statement of any incident where tobacco smoke is migrating into the Resident's unit from sources outside of the Resident's unit.

Vendors and HA Staff

Vendors and HA staff on HA premises must also adhere to the non-smoking policies delineated in this chapter.

Promotion of Non-Smoking Policy

Management shall post no-smoking signs at entrances and exits, in common areas, and in conspicuous locations adjoining the grounds of Non-Smoking Areas.

The HA Not a Guarantor of Smoke-Free Environment

The HA's adoption of a non-smoking living environment does not make the HA the guarantor of the Resident's, members of the Resident's household or their guests/visitors health or of the non-smoking condition of the Resident's unit and common areas. However, the HA shall take reasonable steps to enforce the non-smoking terms as set forth in the Lease Addendum and ACOP and to make the Non-Smoking Area as smoke-free as is reasonably possible. The HA is not required to take steps in response to smoking unless the HA is aware of said smoking or has been given written notice of said smoking.

HA Disclaimer

The HA's adoption of a non-smoking living environment does not in any way change the standard of care that the HA would provide to a resident household to render buildings and premises designated as non-smoking any safer, more habitable, or improved in terms of air quality standards than any other rental premises. The HA specifically disclaims any implied or express warranties that the building, common areas, or Resident's premises will have any higher or improved air quality standards than any other rental property. The HA cannot and does not warrant or assert that the rental premises or common areas will be free from secondhand smoke. The HA's ability to police, monitor, or enforce the agreements of the Lease Addendum is dependent in significant part on voluntary compliance by the Resident and Resident's guests/visitors. Residents and Resident's guests/visitors with respiratory ailments, allergies, or any other physical or mental condition relating to smoke are put on notice that the HA does not assume any higher duty of care to enforce the Public Housing Non-Smoking Lease Addendum than any other HA obligation under the Lease.

F. PEST CONTROL

"Bedbugs" or "pests" mean any insect of the genus cimex including but not limited to cimex lectularius, and/or its eggs. "Pests" also include but is not limited to roaches and spiders. The following information and acknowledgements are provided to the Resident through the "Public Housing Pest Control Lease Addendum".

At move-in and at each recertification, the Resident is provided with bedbug education materials. New move-ins are required to disclose one of the following on the "Public Housing Pest Control

Lease Agreement Addendum":

1. Resident is not aware of any infestation of bedbugs in your current or previous apartment, home or dwelling. Resident agrees that he/she is not aware of any bedbug infestation or presence in any of his/her furniture, clothing, personal property or possessions. Resident further agrees that he/she has not been subjected to conditions in which there were any bedbug infestations or presence of bedbugs.

2. Resident agrees that if he/she previously lived anywhere that had a bedbug infestation that all of his/her personal property (including furniture, clothing and other belongings) have been treated by a licensed pest control professional. Resident agrees that such items are free of further infestation.

The Resident is required to sign the "Public Housing Pest Control Lease Agreement Addendum" which further acknowledges, requires and discloses the following:

If the Resident fails to report any pest infestation with their unit within seven (7) calendar days of move-in, it shall be an acknowledgement by Resident that the premises are acceptable, in good condition and pest free. After move-in, the HA will take immediate steps to address any identified pest infestation problem. Resident acknowledges that time is of the essence in dealing with issues of potential pest infestation. Because of the need for prompt action to avoid any further infestation, Resident shall report during business hours any actual or suspected infestation within forty-eight (48) hours of discovery to the management office.

In the event that bedbugs or other pests are located within the unit, the Housing Authority shall treat the premises for bedbugs or other pests in a timely manner and at the Housing Authority's expense.

If a bedbug or pest control treatment is scheduled and the Resident is not prepared for treatment as delineated in bedbug or pest control instructions distributed prior to the service, the Resident will be charged fifty (50) dollars. Exceptions may be made due to verified Americans with Disability Act (ADA), medical or other emergency situations.

The Resident is informed that used or second-hand furniture is the primary method in which bedbugs are spread. Resident agrees that he/she will not knowingly or recklessly bring onto the property furniture or other belongings that are infested with bedbugs or other pests. Resident further agrees to exercise caution when acquiring used or second-hand furniture and shall examine any such items thoroughly before bringing them into their unit. Resident further agrees that Resident shall not bring into the dwelling used or second-hand furniture items that have been abandoned or discarded in such areas as roadsides, trash rooms, and disposal receptacles.

In the event that there is a bedbug or pest infestation in the Resident's unit, the Resident agrees to cooperate fully with and to undertake all efforts and tasks required by the Housing Authority, and in the Housing Authority's sole discretion, or by the Housing Authority's pest control company, employed to eradicate pests. The Resident's full cooperation includes but is not limited to reporting during business hours any suspected pest infestation to the management office within forty-eight (48) hours of discovery, making the premises available for entry to complete pest

inspection and eradication treatment(s), completing all required pre-treatment activities, evacuating the premises during and after treatment for the required timeframe, completing all required post-treatment activities, and immediately reporting ineffective treatment or re-infestations to the management office.

Resident also acknowledges that any violation of this Addendum constitutes a material violation of the Lease and is grounds for termination of the Lease by the HA. Conduct that constitutes a material violation of the Lease includes failure to promptly notify the management office of evidence of any pest infestation, any action that prevents treatment of the unit or potentially exasperates or increases the bedbug issue, refusal to permit Management to enter to inspect for infestation or to perform eradication treatments, and failure to complete all required pre-treatment and post treatment activities, including a failure to report ineffective treatment or re-infestations. Proof of the violation of the Addendum shall be by a preponderance of the evidence.

Resident may request reasonable extermination services at any time. Upon notification from Resident, within twenty-four (24) hours of the report, Management shall provide Resident with information about control and prevention of bedbugs or pests and will discuss measures that Resident may be able to take in the unit before the inspection is performed. Management will conduct an inspection of the unit within three (3) business days if possible for bedbug infestations. If an inspection cannot be conducted within three (3) business days by trained staff or a licensed professional, Management will retain documentation of efforts made. Should a pest infestation be identified through the inspection, Management shall contact the Resident and schedule treatment within ten (10) calendar days for regular pests and five (5) calendar days for bedbugs, except for resident caused delays or lack of vendor availability. When Management requires access to a unit for purposes of inspecting for the presence of a household pest or controlling the presence of a household pest, Management shall provide at least forty-eight (48) hours' notice to Resident, that Management requires access. Management will notify Resident in advance of each pest inspection, including providing a preparation sheet. If Resident notifies Management of a possible infestation and requests extermination services, the notice from Resident constitutes permission to enter the dwelling unit for the purpose of acting on the inspection or extermination request.

Except in those situations where the HA has been grossly negligent, and/or as provided by law, Owner, Management, and its employees, are not liable to Resident for any damages caused by pests, including but not limited to, personal expenses, replacement of furniture, and/or other personal items, including clothing, medications or medical expenses, or for the costs to treat, clean, replace and/or protect the Resident's personal belongings. Owner, Management, and its employees are not responsible for any damage done to Resident's unit or personal items during pest control inspections and/or treatment(s).

The Resident acknowledges that the HA's adoption of the Addendum, and the efforts to provide a pest free environment, does not in any way change the standard of care that the Housing Authority owes the Resident under the Lease. Resident further acknowledges that the Housing Authority does not guarantee or warranty a pest-free environment. Resident acknowledges and understands that the HA's ability to police, monitor, or enforce the agreements of the Addendum is dependent in significant part on voluntary cooperation and compliance by the Resident and Resident's guests/visitors.

The HA will attempt to make reasonable accommodations in the event that any the Resident requests an accommodation in connection with pest control service. Any Resident requesting such an accommodation is requested to provide notice to Management at the time the pest control is requested or when Resident receives notice that it will be provided, whichever is earlier. The HA will provide the Resident with the ADA paperwork to complete and submit to the management office as delineated in Chapter 1. Resident understands that in some circumstances, because of the nature of a particular pest or infestation, there may not be an alternative, effective means of eradication, and in those circumstances, the HA may use the eradication services that are effective in dealing with the infestation.

G. VAWA

An incident or incidents of actual or threatened domestic violence, dating violence, sexual assault or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy rights of the victim of such violence.

Criminal activity directly relating to domestic violence, dating violence, sexual assault or stalking, that is engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of the tenancy, if the tenant, or affiliated individual of the tenant is a victim of that domestic violence, dating violence, sexual assault or stalking.

The HA retains the authority to terminate the tenancy of a victim under either of the following conditions:

> The termination is for a lease violation not premised on the act or acts of domestic violence, dating violence, sexual assault or stalking against the tenant or a member of the tenant's household, and the HA does not subject an individual who is or has been a victim of domestic violence, dating violence, sexual assault or stalking to a more demanding standard than other tenants in determining whether to evict.
>
> The HA can demonstrate an actual and imminent threat to other tenants or those employed at or providing services to the property if the tenancy of the victim is not terminated.

H. TERMINATIONS DUE TO INELIGIBLE IMMIGRATION STATUS [24 CFR 5.514]

Families who were participants on June 19, 1995, but are ineligible for continued assistance due to the ineligible immigration status of all members of the family, or because a "mixed" family chooses not to accept proration of assistance, were eligible for temporary deferral of termination of assistance to permit the family additional time for transition to affordable housing.

Deferrals may have been granted for intervals not to exceed six months, up to an aggregate maximum of three years for deferrals granted prior to 11/29/96 or up to 18 months if granted after 11/29/96.

However, due to the timeframe applicable to the deferral period, current families are no longer

eligible for deferral of termination of assistance.

If the HA determines that a family member has knowingly permitted an ineligible individual to reside in the family's unit on a permanent basis, the family's assistance will be terminated for 24 months. This provision does not apply to a family if the eligibility of the ineligible individual was considered in calculating any proration of assistance provided for the family.

Chapter 13

FRAUD POLICY

INTRODUCTION

Fraud is defined as the intentional, false representation or concealment of a material fact for the purpose of inducing another to act upon it to his or her injury. Fraud and abuse by a tenant or applicant therefore, may constitute an intentional misrepresentation of income, assets, and allowances, or intentional misrepresentation of family composition or initiating and participating in bribes or other illegal activities. Intentional may mean a claim that a tenant or applicant *knows or has reason to know* is false, fictitious, or fraudulent. Knows or has reason to know may mean a person acts in deliberate ignorance of the truth or acts in reckless disregard of the truth or falsity of the claim or statement.

A. CRITERIA FOR INVESTIGATION OF SUSPECTED ABUSE AND FRAUD

The HA does not intend to undertake an inquiry or an audit of a tenant family arbitrarily. The HA's expectation is that tenant families will comply with HUD requirements, provisions of the lease, and other program rules. The HA staff will make an effort (formally and informally) to orient and educate all families in order to avoid unintentional violations**.** However, the HA has a responsibility to HUD, to the community, and to eligible families in need of housing assistance, to monitor tenants' lease obligations for compliance and, when indicators of possible abuse come to the HA' s attention, to investigate such claims.

The HA may initiate an investigation of a tenant family in the event of one or more of the following circumstances:

> Referrals, Complaints, or Tips: Referrals from other agencies, companies or persons which are received by mail, by telephone or in person, which allege that a tenant family is in noncompliance with, or otherwise violating the lease or the program rules**.** Such follow-up will be made providing that the referral contains at least one item of information that is independently verifiable**.** A notation of the allegation will be retained in the tenant file.
>
> Internal File Review: If the HA staff discovers (as a function of a (re)certification, an interim redetermination, or a quality control review), information or facts which conflict with previous file data, the HA's knowledge of the family, or is discrepant with statements made by the family.
>
> Verification or Documentation: If the HA receives independent verification or documentation, which conflicts with representations in the tenant file (such as public record information, credit bureau reports, or reports from other agencies).

B. STEPS TO HELP PREVENT PROGRAM ABUSE AND FRAUD

Management and program staff utilizes various methods and practices (listed below) to help

prevent program abuse, noncompliance, and willful violations of program rules by applicants and tenant families**.** This policy objective is to establish confidence and trust in the management by emphasizing education as the primary means to obtain compliance by tenant families.

Things You Should Know (HUD-1140-OIG): This program integrity bulletin (created by HUD's Inspector General) will be furnished and explained to all tenants/ applicants to promote understanding of program rules, and to clarify the HA's expectations for cooperation and compliance.

Program Orientation Session: Mandatory orientation sessions will be conducted by HA staff for all prospective tenants either prior to or upon execution of the lease**.** At the conclusion of all Program Orientation Sessions, the family representative will be required to sign a "Things You Should Know" (HUD-1140-OIG) form to confirm that all rules and pertinent regulations were explained to him/her regarding fraud and abuse.

Resident Counseling: HA staff will routinely provide tenant counseling as a part of the recertification interview in order to clarify any confusion pertaining to program rules and requirements**.**

Use of Instructive Sign and Warnings: Instructive signs will be conspicuously posted in common areas and interview areas to reinforce compliance with program rules and to warn about penalties for fraud and abuse.

Review and Explanation of Forms: Staff will explain all required forms and review the contents of all (re)certification documents prior to signature.

C. STEPS TO DETECT PROGRAM ABUSE AND FRAUD

HA staff training to maintain a high level of alertness to indicators of possible abuse and fraud by assisted families.

Quality Control File Reviews. Prior to initial certification, and at the completion of all subsequent re-certifications, each tenant file will be reviewed. Such reviews may include, but are not limited to:

1. Changes in reported Social Security Numbers or dates of birth.
2. Authenticity of file documents.
3. Ratio between reported income and expenditures.
4. Review of signatures for consistency with previously signed file documents.
5. Assurance that verification of all income and deduction is present.

Observation. Management and Program Staff (to include maintenance personnel and policing authorities) training to maintain high awareness of circumstances which may

indicate program abuse or fraud, such as unauthorized persons residing in the household and unreported income and assets, including personnel and real property.

Public Record Bulletins. Reviewed by management and staff.

State Wage Data Record Keepers. Inquiries to State Wage and Employment record keeping agencies as authorized under Public Law 100-628, the Stewart B. McKinley Homeless Assistance Amendments Act of 1988, may be made annually in order to detect unreported wages or unemployment compensation benefits.

Credit Bureau Inquiries. Credit Bureau inquiries may be made in the following circumstances:

1. Application Process.
2. Annual Re-certification.
3. When an allegation is received by the HA wherein unreported income sources are disclosed.
4. When a tenant's expenditures exceed his/her reported income, and no plausible explanation is given.

Enterprise Income Verification (EIV) Inquiries. Enterprise Income Verification is a system that enables PHAs to verify participant reported income and identified households that may have under reported their households annual income. EIV inquires may be made in the following circumstances:

1. Annual Re-certification.
2. When an allegation is received by the HA wherein unreported income sources are disclosed.
3. When a tenant's expenditures exceed his/her reported income, and no plausible explanation is given.

D. THE HA'S HANDLING OF ALLEGATIONS OF POSSIBLE ABUSE AND FRAUD

HA staff will encourage all tenant families to report suspected abuse to the Property Manager. All allegations, complaints and tips will be carefully evaluated in order to determine if they warrant follow-up. The HA will not follow up on allegations which are vague or otherwise nonspecific.

They will only review allegations, which contain one or more independently verifiable facts.

Preliminary File Review

An internal file review will be conducted to determine:

1. If the subject of the allegation is a tenant of a project based Housing Development and, if so, to determine whether or not the information reported has been previously disclosed by the family.

2. It will then be determined if the HA is the most appropriate authority to do a follow-up (more so than police or social services). Any file documentation of past behavior as well as corroborating complaints will be evaluated.

Conclusion of Preliminary Review

If, at the conclusion of the preliminary file review, there is/are fact(s) contained in the allegation which conflict with file data, and the fact(s) are independently verifiable, the Fraud Analyst will initiate an investigation to determine if the allegation is true or false.

E. HOW THE HA REVIEWS ALLEGATIONS OF ABUSE AND FRAUD

If the HA determines that an allegation or referral warrants follow-up, the Fraud Analyst will conduct the review. The steps taken will depend upon the nature of the allegation and may include, but are not limited to, the items listed below. In all cases, the HA will ensure, where required, that a written authorization from the program participant for the release of information has been

obtained.

Credit Bureau Inquiries (CBIs). In cases involving previously unreported income sources, a CBI inquiry may be made to determine if there is financial activity which conflicts with the reported income of the family.

IRS. Request for IRS Returns or W-2's may be required.

Verification of Credit. In cases where the financial activity conflicts with file data, a Verification of Credit form may be mailed to the creditor in order to determine the unreported income source.

Employers and Ex-Employers. Employers or ex-employers may be contacted to verify wages, which may have been previously undisclosed or misreported.

Neighbors/Witnesses. Neighbors and/or other witnesses may be interviewed who are believed to have direct or indirect knowledge of facts pertaining to the HA's review.

Field Investigation: Field Investigators may be utilized to gather additional information as determined by the HA.

Other Agencies. Investigators, caseworkers or representatives of other benefit agencies may be contacted.

Public Records. If relevant, the HA will review public records kept in any jurisdictional courthouse or county recorder's office. Examples of public records which may be checked are: real estate, marriage, divorce, uniform commercial code financing statements, voter registration, judgments, court or police records, state wage records, utility records and postal records.

Interviews with Head of Household or Family Members. The HA will discuss the allegation (or details thereof) with the head of household or family member by scheduling an appointment at the site office with the Property Manager and the Fraud Analyst. The HA intends to conduct such interviews with a high standard of courtesy and professionalism, avoiding inflammatory language, accusations, or unprofessional conduct or language. If necessary, an additional staff person may attend such interviews.

Enterprise Income Verification (EIV) Inquiries. The HA will conduct Enterprise Income Verification inquires to identify households that may have under reported their households annual income.

F. PLACEMENT OF DOCUMENTS, EVIDENCE AND STATEMENTS OBTAINED BY THE HA

Documents and other evidence obtained by the HA during the course of an investigation will be considered "work product" and will be kept in a separate "work file." The work file will be kept locked and under the control of the Fraud Analyst and Assistant Director. Such cases under review will not be discussed among the HA staff unless they are involved in the process, or have

information, which may assist in the investigation.

The Fraud Analyst will maintain a Fraud Data Base System (FDBS), which will document the status of the cases and additional information in order to provide a tracking devise for all fraud cases. The FDBS will be maintained by the Fraud Analyst. Assess of the FDBS will be limited to the HA Director, the HA Assistant Director, the PHA Executive Director and Assistant Executive Director and HA legal counsel.

G. CONCLUSION OF THE HA'S INVESTIGATIVE REVIEW

At the conclusion of the fraud review, the Fraud Analyst will report the findings to the HA Director, Assistant Executive Director, Assistant Director or designee, Property Manager or Area Manager. It will then be determined whether a violation has occurred, a violation has not occurred, or if the facts are inconclusive. In any event, the Fraud Analyst will document the file of his/her fraud investigation by providing a Fraud Findings memorandum including proposed course of action.

H. EVAULATION OF THE FINDINGS

If it is determined that a program violation has occurred, the Fraud Analyst will review the facts to determine:

1. The type of violation (noncompliance, fraud).
2. Whether the violation was intentional or unintentional.
3. What amount of money (if any) is owed by the tenant.
4. If the family is eligible for continued occupancy.

I. PROCEDURES FOR VIOLATIONS WHICH HAVE BEEN DOCUMENTED

Once a program violation has been documented, the Fraud Analyst will propose the most appropriate remedy based upon the type and severity of the violation.

Procedural Noncompliance - Retroactive Rent

When the tenant owes money for failure to report changes in income or assets, a Notification of

Underpaid Rent may be issued. This Notice will contain the following:

1. A description of the violation and the date(s).

2. Any amounts owed to the HA .

3. A 10-calendar day response period.

4. The right to disagree and to request an informal conference with instructions for the request of such conference in compliance with the grievance policy established in the ACOP.

    a. Tenant Fails to Comply with the Notice. If the tenant fails to comply with the notice, and a material provision of the lease has been violated, termination of tenancy may be initiated.

    b. Tenant Complies with the Notice. When a tenant complies with the notice, the Property Manager responsible will meet with him/her to discuss and explain the obligation or lease provision which was violated. The Property Manager will complete a Tenant Counseling Report, give one copy to the family and retain a copy in the tenant file.

A Tenant Conference may be scheduled by the Property Manager to discuss any procedural noncompliance issues and to provide the Tenant with the *Things You Should Know* Program Integrity Bulletin, to promote understanding of the Program Rules and to clarify the expectation for cooperation and compliance. The Property Manager may enlist the assistance of the Fraud Analyst.

Intentional Misrepresentations

When a tenant falsifies, misstates, omits or otherwise misrepresents a material fact which results (or would have resulted) in an underpayment of rent by the tenant, an evaluation will determine whether or not:

1. The tenant had knowledge that his/her actions were wrong, and

2. That the tenant willfully violated the lease or the law.

Knowledge that the action or inaction was wrong. This will be evaluated by determining if the tenant was made aware of program requirements and prohibitions. The tenant's signature on various certification, briefing certificate, Personal Declaration and *Things You Should Know* are adequate to establish knowledge of wrongdoing.

The tenant willfully violated the law. Any of the following circumstances will be considered

adequate to demonstrate willful intent:

1. An admission by the tenant of the misrepresentation.
2. That the act was done repeatedly.
3. If a false name or Social Security Number was used.
4. If there were admissions to others of the illegal action or omission.
5. That the tenant omitted material facts, which were known to them (e.g., employment of self or other household member).
6. That the tenant falsified, forged or altered documents.
7. That the tenant uttered and certified to statements at a rent (re)determination which were later independently verified to be false.

The Tenant Conference for Serious Violations and Misrepresentations

When the HA has established that material misrepresentation(s) have occurred, a Tenant Conference may be scheduled with the family representative, the Fraud Analyst, the Property Manager and/or the staff person most knowledgeable about the circumstances of the case. In the event that a conference is scheduled, an appointment letter confirming the Tenant Conference date may include a checklist of documents and/or information for the resident to bring to the meeting.

The purpose of such conference is to review the information and evidence obtained with the tenant, and to provide the tenant an opportunity to explain any document findings which conflict with representations in the tenant file. Any documents or mitigating circumstances presented by the tenant will be taken into consideration by the HA . The tenant will be given 10 working days commencing from the conclusion of the Tenant Conference to furnish any mitigating evidence.

A secondary purpose of the Tenant Conference is to assist the HA in determining the course of action most appropriate for the case. Prior to the final determination of the proposed action, the following will be considered:

1. The duration of the violation and number of false statements.
2. The tenant's ability to understand the rules.
3. The tenant's willingness to cooperate and to accept responsibility for his/her actions.
4. The amount of money involved.
5. The tenant's past history.
6. The number of false statements.

Notification to Tenant of Proposed Action

The tenant will be notified by mail of the proposed action no later than fifteen (15) business days

after the conclusion of the Tenant Conference.

Dispositions of Cases Involving Misrepresentations

In all cases of misrepresentations involving efforts to recover monies owed, the HA may pursue, depending upon its evaluation of the criteria stated above, one or more of the following actions:

Civil Remedies: The HA may:

1. Terminate tenancy and demand payment of restitution in full.

2. Terminate assistance and execute an administrative repayment agreement in accordance with the HA's Repayment Policy.

3. Terminate assistance and/or pursue restitution through civil litigation.

4. Terminate assistance and seek recovery through tax refunds and/or garnishment of wages or other forms of collection.

5. Continue assistance at the correct level upon repayment of restitution in 30 days.

6. Permit continued assistance at the correct level and execute an administrative repayment agreement in accordance with the HA's repayment policy.

Criminal Referral : If the HA believes that the case meets the criteria established by the HA for prosecution, the HA may refer the case to other enforcement agencies.

Termination by the HA:

In any event, and at the sole discretion of the HA, the HA may terminate a public housing tenancy for a material breach of the lease for discovery of material false statements or fraud, including but not limited to misrepresentation of facts, omitted pertinent information, or failure to inform Management of information it requires for an annual re-certification or interim adjustments, by the tenant or family member in connection with an application for assistance, with re-certification, or reexamination of income.

Chapter 14

GRIEVANCES AND APPEALS

[24 CFR 966.50–966.57]

INTRODUCTION

This chapter describes the policies to be used when applicants or residents disagree with a HA decision. It is the policy of the HA that all applicants and residents have the benefit of all appeal/grievance rights due to them under the law.

PART I: APPEALS

A. APPEALS BY APPLICANTS

Applicants who are determined ineligible because they do not meet the HA's admission standards, will be given prompt written notification stating reason for the determination and the procedure for requesting an informal hearing. Applicants must submit their request for an informal hearing in writing to the HA within ten (10) calendar days from the date of the notification of their ineligibility.

Except for good cause as determined by the HA such as, but not limited to hospitalization, illness or injury, if an applicant requests an informal hearing, the HA will schedule the hearing to be held within ten (10) calendar days of receiving the request. The HA will notify the applicant of the time, date, and location.

An impartial hearing officer will conduct informal hearings. The person who is designated as the hearing officer cannot be the person who made the determination of ineligibility or a subordinate of such person.

The hearing officer will consider documentation or evidence provided by the applicant and data compiled by the HA. The hearing officer will make a determination based upon the merits of the evidence presented by both sides. Within ten (10) calendar days of the date of the hearing, the hearing officer will mail a written decision to the applicant and place a copy of the decision in the applicant's file.

The grievance procedure for public housing residents is not applicable to applicants, and applicants have no rights under the HA's grievance procedures.

B. HEARING AND APPEAL PROVISIONS FOR "RESTRICTIONS ON ASSISTANCE TO NON-CITIZENS"

Assistance to the family in a HA unit pursuant to a lease may not be delayed, denied or terminated on the basis of immigration status at any time prior to the receipt of the decision on the INS appeal.

Assistance to a family may not be terminated or denied while the HA hearing is pending but assistance to an applicant may be delayed pending the HA hearing.

INS Determination of Ineligibility

If a family member or applicant claims to be an eligible immigrant and the INS SAVE system and manual search do not verify the claim, the HA notifies the applicant or resident within ten (10) calendar days of their right to appeal to the INS within thirty days or to request an informal hearing for applicants/informal conference for residents with the HA either in lieu of or subsequent to the INS appeal.

If the family or applicant appeals to the INS, they must give the HA a copy of the appeal and proof of mailing or the HA may proceed to deny or terminate. The time period to request an appeal may be extended by the HA for good cause.

The request for a HA hearing must be made within fourteen (14) calendar days of receipt of the notice offering the hearing or, if an appeal was made to the INS, within fourteen days of receipt of the INS decision.

After receipt of a request for an informal conference for Residents or an informal hearing for Applicants, the hearing is conducted as described in the "Grievance Procedures" and "Appeals by Applicants" section of this chapter for both applicants and residents. If the hearing officer decides that the individual is not eligible, and there are no other eligible family members the HA will deny the applicant family.

If there are eligible members in the family, the HA will offer to prorate assistance or give the family the option to remove the ineligible members.

All other complaints related to eligible citizen/immigrant status:

> If any family member fails to provide documentation or certification as required by the regulation, that member is treated as ineligible. If all family members fail to provide, the family will be denied assistance.
>
> Participants whose assistance is pro-rated (either based on their statement that some members are ineligible or due to failure to verify eligible immigration status for some members after exercising their appeal and hearing rights described above) are entitled to a hearing based on the right to a hearing regarding determinations of Resident Rent and Total Resident Payment.
>
> Families denied or terminated for fraud in connection with the non-citizens rule are entitled to a review or hearing in the same way as terminations for any other type of fraud.

PART II: GRIEVANCE PROCEDURES

A. DEFINITIONS

Grievance

Any dispute that a resident may have with respect to an HA action or failure to act in accordance with the individual resident's lease or HA regulations that adversely affects the individual

resident's rights, duties, welfare, or status. Grievance does not include disputes between residents not involving the HA; to class grievances such as rent strikes; as a forum for initiating or renegotiating policy changes between groups of residents and the HA Board of Commissioners; nor to an eviction based upon violent criminal activity or drug-related criminal activity.

Complainant

Any resident whose grievance is presented to the HA at the site/management office.

Hearing Officer

A person selected in accordance with this grievance procedure to hear grievances and render a decision with respect thereto.

VAWA

An incident or incidents of actual or threatened domestic violence, dating violence, sexual assault or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy rights of the victim of such violence.

Criminal activity directly relating to domestic violence, dating violence, sexual assault or stalking, engaged in by a member of a tenant's household or any guest or other person under the tenant's control, shall not be cause for termination of the tenancy, if the tenant, or affiliated individual of the tenant is a victim of that domestic violence, dating violence, sexual assault or stalking.

B. APPLICABILITY

This Grievance Procedure applies to all individual grievances, except any grievance concerning a termination of tenancy or eviction that involves:

Any activity, not just criminal activity, that threatens the health, safety, or right to peaceful enjoyment of the premises of other residents or HA employees, or

Any drug-related criminal activity on or off such premises. **Please note that the cultivation, sale, use or possession of marijuana for medical reasons is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law. The cultivation, sale, use or possession of marijuana for medical reasons subjects a tenant to the termination of tenancy.

C. INFORMAL CONFERENCE

Any grievance shall be presented in writing through a "Grievance Request Form" submitted to the HA office or to the housing management office who sent the notice on which the grievance is based. Written grievances must be signed by the resident. The grievance must be requested within ten (10) calendar days after receipt of the notice of any adverse action on which the grievance is based. It may be simply stated, but shall specify:

The particular grounds upon which it is based,

The action requested; and

The name, address, and telephone number of the complainant, and similar information about the complainant's representative, if any.

The HA will provide reasonable accommodation for persons with disabilities to participate in the informal conference. The HA must be notified within three (3) calendar days of the scheduled time if special accommodations are required.

The purpose of the initial discussion is to discuss and to resolve the grievance without the necessity of a formal hearing. A formal hearing is only for current residents.

Except for good cause as determined by the HA such as, but not limited to hospitalization, illness or injury, a designated HA representative shall hold an informal conference with the resident within ten (10) calendar days of receipt of the grievance. At the informal conference, the resident will present their grievance and the HA representative will attempt to settle the grievance.

If the complainant fails to appear within 30 minutes of the scheduled time, the HA representative may determine that the complainant has waived their right to an informal conference.

When the informal conference is completed the HA representative will provide the resident with a written summary of the informal conference. The summary of the informal conference shall be prepared within a reasonable time and one copy shall be given to the tenant and one retained in the HA's tenant file. The summary will specify the date of the informal conference, names of participants, nature of the disposition of the complaint and supporting reasons, as well as specifying the procedures by which a formal hearing may be obtained if the grievance has not been resolved at this level. A receipt signed by the resident or a return receipt for delivery of certified mail, whether or not signed, will be sufficient proof of time of delivery for the summary of the informal conference.

1.Dissatisfaction with Informal Conference

If the resident, also known as the complainant, is dissatisfied with the results of the informal conference, s/he shall submit a "Grievance Request Form" requesting a formal hearing within ten (10) calendar days of the date of service of the informal conference summary.

The request must specify the reason for the grievance request and the relief sought.

2. Failure to Request a Formal Hearing

If the complainant does not request a formal hearing within ten (10) calendar days of the date of service of the informal conference summary, s/he waives his/her right to a hearing, and the HA's proposed disposition of the grievance will become final. This section in no way constitutes a waiver of the complainant's right to contest the HA's disposition in an appropriate judicial proceeding.

D. FORMAL HEARING

After exhausting the informal conference procedures outlined above, a complainant shall be entitled to a formal hearing before a hearing officer.

The head of household must attend the formal hearing.

If the complainant fails to appear within 30 minutes of the scheduled time, the hearing officer may determine that the complainant has waived their right to a hearing.

The HA will provide reasonable accommodation for persons with disabilities to participate in the hearing. The HA must be notified within three days of the scheduled time if special accommodations are required.

1. Formal Hearing Officer

A grievance hearing shall be conducted by an impartial person appointed by the HA other than the person who made or approved the HA action under review, or a subordinate of such person.

Hearing Officer shall be appointed by the Housing Authority through an approved list of hearing officers or through an organization approved by the Executive Director of the Housing Authority.

Each party may challenge the hearing officer for good cause and must file an objection stating reason prior to start of hearing.

2. Notifying Hearing Officer to Administer Hearing Proceedings

The designated HA representative will send written notification to the hearing officer with a copy of the grievance/complaint form, the informal conference summary, and a copy of the request for formal hearing.

The designated HA representative advises the hearing officer of name(s) and address(es) of all participants.

The hearing officer notifies all parties as to date, time and place of hearing.

E. PROCEDURES TO OBTAIN A HEARING

Informal Conference Prerequisite

All grievances must be presented pursuant to the informal conference procedure as a prerequisite to a formal hearing.

The hearing officer may waive the prerequisite informal conference if, and only if, the complainant can show good cause why s/he failed to proceed informally.

Escrow Deposit

Before a hearing is scheduled in any grievance involving an amount of rent the HA claims is due, the complainant shall pay to the HA all rent due and payable as of the month preceding the month in which the act or failure to act took place.

The complainant shall thereafter give the HA their monthly rent and the HA will deposit the monthly rent into an escrow account each month until the complaint is resolved by decision of the hearing officer.

The HA will waive these escrow requirements if the complainant is paying minimum rent and the grievance is based on a request for hardship exemption or imputed welfare income.

Unless so waived, failure to make the required escrow payments shall result in termination of the grievance procedure.

Failure to make such payments does not constitute a waiver of any right the complainant may have to contest the HA's disposition of the grievance in any appropriate judicial proceeding.

Scheduling

If the complainant complies with the procedures outlined above, a hearing shall be scheduled promptly by the HA.

A written notification of the date, time, place, and procedures governing the hearing shall be delivered to the complainant and the appropriate HA official.

The HA will provide reasonable accommodation for persons with disabilities to participate in the hearing. The HA must be notified within three days of the scheduled time if special accommodations are required.

F. HEARING PROCEDURES

The hearing shall be held before a hearing officer.

The complainant shall be afforded a fair hearing and be provided the basic safeguards of due process to include:

> The opportunity to examine and to copy before the hearing, at the expense of the complainant ($.10 per copy), all documents, records and regulations of the HA that are relevant to the hearing with at least a 24 hour notice prior to the hearing. Any document not so made available after request by the complainant may not be relied upon by the HA at the hearing.
>
> The HA shall also have the opportunity to examine and to copy at the expense of the HA all documents, records and statements that the resident plans to submit during the hearing to refute the HA's inaction or proposed action. Any documents not so made available to the HA may not be relied upon at the hearing.

The right to a private hearing unless otherwise requested by the complainant.

The right to be represented by counsel or other person chosen as a representative at the family's expense.

The right to present evidence and arguments in support of the complaint, to controvert evidence presented by the HA, and to confront and cross-examine all witnesses upon whose testimony or information the HA relies, limited to the issues for which the complainant has received the opportunity for a formal hearing; and

The right to a decision based solely and exclusively upon the facts presented at the hearing.

If the hearing officer determines that the issue has been previously decided in another proceeding, a decision may be rendered without proceeding with the hearing.

If the complainant fails to appear within 30 minutes of the scheduled time, the hearing officer may determine that the complainant has waived their right to a hearing.

Such a determination in no way waives the complainant's right to appropriate judicial proceedings in another forum.

At the hearing, the complainant must first make a showing of an entitlement to the relief sought and thereafter the HA must sustain the burden of justifying the HA action or failure to act against which the complaint is directed.

The hearing shall be conducted by the hearing officer as follows:

Oral and documentary evidence pertinent to the facts and issues raised by the complaint may be received without regard to admissibility under the rules of evidence applicable to judicial proceedings.

The hearing officer shall require the HA, complainant, counsel, and other participants and spectators to conduct themselves in an orderly manner. The failure to comply with the directions of the hearing officer to maintain order will result in the exclusion from the proceedings, or a decision adverse to the interests of the disorderly party and granting or denial of the relief sought, as appropriate.

Either party may request a tape recording of the hearing. The HA shall provide equipment and an operator for the purpose of recording the hearing. The complainant may secure a duplicate at his/her expense.

G. DECISIONS OF THE HEARING OFFICER

The hearing officer shall mail to the HA and the complainant a written decision, including the reasons for the decision, within ten (10) calendar days for public housing following the hearing. The HA will place one copy in the resident files. The written decision will be sent to the address provided at the hearing.

The decision of the hearing officer shall be binding on the HA which shall take all actions necessary to carry out the decision, unless the Board of Commissioners intervene in the matter. The Board of Commissioners may overturn a hearing officer's decision in either of the following two situations:

> The grievance does not concern the HA action or failure to act in accordance with or involving the complainant's lease or HA regulations that adversely affect the complainant's rights, duties, welfare or status.
>
> The decision of the hearing officer is contrary to applicable Federal, State, or local law, HUD regulations or requirements of the Annual Contributions Contract between HUD and the HA.

A decision by the hearing officer or Board of Commissioners in favor of the HA or which denies the relief requested by the complainant in whole or part shall not constitute a waiver of, nor affect in any manner whatever, the rights of the complainant to a trial or judicial review in any proceedings which may thereafter be brought in the matter.

Any grievance in which the Resident claims a right under VAWA, a hearing officer will not issue a decision and instead will postpone the hearing until such time as a decision on the VAWA request has been made in compliance with all VAWA references made in this ACOP.

H. HA EVICTION ACTIONS

A notice of termination tolls pending the grievance process. As the notice of termination tolls, rent shall continue to be due and owing during and pending the grievance hearing procedures. The failure to pay rent pending the grievance process will result in a waiver of the grievance. If a resident has requested a hearing in accordance with these duly adopted Grievance Procedures on a complaint involving a HA notice of termination of tenancy, and the hearing officer upholds the HA action, the HA shall not commence an eviction action until the notice of termination of tenancy expires.

Chapter 15

FAMILY DEBTS TO THE HOUSING AUTHORITY

INTRODUCTION

This chapter describes the HA's policies and guidelines for the recovery of debts and the use of repayment agreements. Before a debt is assessed against a family, the file must contain documentation to support the HA's claim that the debt is owed. The file must further contain written documentation of the method of calculation, in a clear format for review by the family, as appropriate.

When families owe money to the HA, every effort will be made to collect the debt. A variety of collection tools to recover debts may be used including, but not limited to:

Requests for lump sum payments

Repayment agreements

Abatements

Deductions

Collection agencies

Credit bureaus

Civil suits

A. REPAYMENT AGREEMENT FOR FAMILIES

A Repayment Agreement is a document entered into between the HA and ~~the resident~~all adults in the household who owe~~s~~ a debt to the HA. The Repayment Agreement contains an acknowledgment by all adult household members ~~the person~~ of the debt in a specific amount, the terms of repayment, any special provisions of the agreement, and the remedies available to the HA upon default of the agreement.

If a repayment agreement is to be entered into, the HA will require that the family pay an initial 50% lump sum within 14 calendar days after entering into the repayment agreement with the remaining balance to be paid in equal payments over a period of time not to exceed 12 months. In the discretion of the HA, a repayment agreement can provide that the remaining balance be paid in equal payments over a period of time not to exceed 24 months if the debt is for an amount in excess of $2,400.

Late Payments

A payment will be considered to be in arrears if:

The payment has not been received by the close of the business day on which the payment was due. If the due date is on a weekend or holiday, the due date will be at the close of the next business day.

If the family's repayment agreement is in arrears, the HA may do one or more of the following:

Require the family to pay the entire amount that has not been paid timely plus the current month's payment in order to avoid termination of tenancy, or

Require the family to pay the balance in full in order to avoid termination of tenancy, or

Pursue civil collection of the balance due, or

Terminate the tenancy.

Requests to Move

If the family requests a move to another unit and has a repayment agreement in place and the repayment agreement is not in arrears, the family may be required to pay the balance in full prior to moving to the new unit.

If the family requests a move to another unit and is in arrears on a repayment agreement, unless they pay the balance in full, the request will be denied.

Under special circumstances, the HA may make an exception and allow a family to move without paying the entire balance of the debt if the family is current with its payments. However the balance is still owed by the household to the original AMP. The HA may also allow a family who is in arrears to become current in order to process a move if the move is for one of the following reasons:

A natural disaster.

The unit is uninhabitable or has major UPCS deficiencies that are not the result of a family action or inaction.

A life-threatening situation, such as the family is a witness to or a victim of a crime and must move for safety reasons. The family will be required to provide proof in such cases.

Guidelines for Repayment Agreements

~~The HA may not agree to a repayment agreement if the family already has a Repayment Agreement in place, or if the family has breached previous Repayment Agreements.~~

The HA, at its sole discretion, will determine on a case-by-case basis whether or not to offer a family a repayment agreement for monies owed to the HA. All Repayment Agreements must be approved by a HA Manager.

Repayment Agreements will be executed between the HA and the head of household or other adult family member.

The HA may approve in writing a decrease in the monthly payments, either temporary or permanent, in cases of hardship after receiving from the family a written request for a decrease and verification of hardship.

B. FAMILY DEBTS DUE TO FRAUD/NON-REPORTING OF INFORMATION

HUD's definition of program fraud and abuse is a single act or pattern of actions that:

> Constitutes a false statement, omission, or concealment of a substantive fact, made with intent to deceive or mislead the Housing Authority.

Immediate Payment of Retroactive Rent Where Debt is the Result of Resident Misrepresentation or Failure to Disclose Material Information

If the Resident submits false information on any application, Personal Declaration, certification documents or request for interim adjustment or does not report interim changes in family income or other factors as required by his/her Lease Agreement, and as a result, is charged a rent less than the amount required by HUD's rent formulas, the Resident agrees to reimburse the HA for the difference between the rent he/she should have paid and the rent he/she was charged. The HA, in its sole discretion, may terminate the Lease for a material breach and/or may make the rent increase retroactive to the date the income increased. If the HA determines that a Resident is liable for the payment of Retroactive Rent, the resulting retroactive rent amount, shall be paid immediately by the Resident.

A decision by the HA to accept the payment of Retroactive Rent from a Resident, shall not constitute a waiver of its right to either terminate the Lease or otherwise pursue any additional actions allowable under Federal, State or local law.

Payment of Retroactive Rent Where Debt Is Not the Result of Resident Misrepresentation or Failure to Disclose Material Information

The HA, in its sole discretion, may enter into a Repayment Agreement for a debt to the HA that did not result from the Resident's submission of false information on any application, Personal Declaration, certification documents or request for interim adjustment or from a failure to report interim changes in family income or other factors as required by his/her Lease Agreement.

C. REPAYMENT AGREEMENTS AT CONCLUSION OF TENANCY OR RESOLUTION OF EVICTION PROCEEDINGS

The HA may enter into a repayment agreement in resolution of a debt incurred by a Resident during the course of his or her tenancy where the Resident has indicated his or her intent to voluntarily vacate. The HA may also enter into a repayment agreement in resolution of either a notice to terminate or not renew a tenancy. The terms of such agreements shall be determined at the discretion of the HA.

D. FAMILY DEBTS PAID IN FULL

If the HA determines not to enter into a repayment agreement, or if the repayment agreement is breached and the HA demands payment of the balance in full, the family must pay the full amount due and owing in one lump sum. If the family fails to pay, the HA may pursue collection through a collection agency or a civil action and may notify credit agencies of the debt. Whether or not the amount is paid, the HA does not waive its right to take other action including termination of tenancy or referral for criminal prosecution in appropriate cases.

Chapter 16

COMMUNITY SERVICE REQUIREMENT

[24 CFR Part 960 Subpart F; ~~and~~ 24 CFR 903.7; PIH 2003-17; PIH-2015-12]

A. REQUIREMENT

Except for any adult resident (18 years or older) who is an exempt individual, each adult resident of public housing shall:

1. Contribute eight (8) hours per month of community service (not including political activities); or

2. Participate in an economic self-sufficiency program for eight (8) hours per month; or

3. Perform eight (8) hours per month of combined activities.

Community Service is "the performance of voluntary work or duties that are a public benefit, and that serve to improve the quality of life, enhance resident self-sufficiency, or increase resident self-responsibility in the community. Community service is not employment and may not include politica1 activities." (See 24 CFR 960.601(b)).

The required community service or self-sufficiency activity may be completed at 8 hours each month or may be aggregated across a year. Any blocking of hours is acceptable as long as 96 hours is completed by each annual certification.

B. EXEMPTIONS

The Housing Authority shall provide an exemption from the community service requirement for any adult resident who meets the following HUD exemption criteria:

A. 62 years or older;

B. 1. Blind or disabled, as defined under 216(i)(1) or 1614 of the Social Security Act (42 U.S.C. Section 416(i)(1); Section 1382c), and who certify that, because of this disability, she or he is unable to comply with the service provisions of this subpart, or

2. is a primary caretaker of such individual;

C. Engaged in work activities (see Notice PIH 2003-17 (HA)). In order for an individual to be exempt from the CSSR requirement because he/she is "engaged in work activities," the person must be participating in an activity that meets one of the following definitions of "work activity" contained in Section 407(d) of the Social Security Act (42 U.S.C. Section 607(d)):

1. Unsubsidized employment;
2. Subsidized private-sector employment;
3. Subsidized public-sector employment;
4. Work experience (including work associated with the refurbishing of publicly assisted housing) if sufficient private sector employment is not available;
5. On-the-job-training;
6. Job-search;
7. Community service programs;
8. Vocational educational training (not to exceed 12 months with respect to any individual);
9. Job-skills training directly related to employment;
10. Education directly related to employment in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency;
11. Satisfactory attendance at secondary school or in a course of study leading to a certificate of general equivalency, in the case of a recipient who has not completed secondary school or received such
a certificate;

D. Able to meet requirements under a State program funded under part A of title IV of the Social Security Act (42 U.S.C. Section 601 et seq.) or under any other welfare program of the State in which PHA is located including a State-administered Welfare-to-Work program; or,

E. A member of a family receiving assistance, benefits, or services under a State program funded under part A of title IV of the Social Security Act (42 U.S.C. Section 601 et seq.), or under any other welfare program of the State1 in which the PHA is located, including a State-administered Welfare-to-Work program, and has not been found by the State or other administering entity to be in noncompliance with such a program.

The Housing Authority uses 30 hours per week as the minimum number of hours for a work activity as described in Section 407(d) of the Social Security Act, and implementing regulations 45 CFR 261.31(1)(a)(1)

~~1. Is 62 years of age or older;~~

~~2. Is a blind or disabled individual, as defined under section 216(I)(1) of 1614 of the Social Security Act (42 U.S.C. 416 (I)(1); 1382c), and who certifies that because of this disability she or he is unable to comply with the service provisions of this subpart, or:~~

~~3. Is a primary caretaker of such individual;~~

~~4. Is engaged in a work activity as defined in section 407(d) of the Social Security Act;~~

~~5. Meets the requirements for being exempt from having to engage in a work activity under the State program funded under part A of Title IV of the Social Security Act, or under any other welfare program of the State in which the public housing authority is located, including a State-administered welfare-to-work program, and has not been found by the State or other administering entity to be in noncompliance with such program.~~

The Housing Authority will re-verify exemption status at the annual recertification except for adult residents who are 62 years of age or older.

At any time shall an adult resident experience a change in status that would make such individual exempt from the community service requirement, he/she must report the change in status within five (5) workingbusiness days to the site management office. The HOUSING AUTHORITYHousing Authority -will verify the exemption status of the requesting adult resident before authorizing non-exemption from the community service requirement.

At any time shall an adult resident experience a change in status that would make such individual non-exempt from the community service requirement, he/she must report the change in status within five (5) workingbusiness days to the site management office. The non-exempt adult resident shall only be responsible for the balance of community service hours to be completed before the annual recertification.

C. SATISFYING THE COMMUNITY SERVICE REQUIREMENT

Community Service

Eligible community service activities Activities that satisfy the Community Service Requirement include, but are not limited to, the following:

1. Participation in a Family Learning Center Literacy Program as a reading tutor and/or reading listener.;

2. Participation in activities which support the Family Learning Center, such as, but not limited to, after-school tutoring, summer programs, being a chaperone for educational field trips, assisting with events and programs related to youth/adult education and literacy.;

3. Participation in the site Resident Council as an elected board member or performing activities related to the Resident Council that total eight (8) hours per month.;

4. Participation in activities which support the Family Resource Center, such as, but not limited to, being a chaperone for youth and senior field trips, assisting with community events and family/youth programs, and other activities related to youth development, recreation, and family self-sufficiency.;

5. Local public or nonprofit institutions, such as schools, Head Start Programs, before-or after-school programs, childcare centers, hospitals, clinics, hospices, nursing homes, recreation centers, senior centers, adult daycare programs, homeless shelters, feeding programs, food banks (distributing either donated or commodity foods), or clothes closets (distributing donated clothing);

6. Nonprofit organizations serving PHA residents or their children, such as: Boy or Girl Scouts, Boys or Girls Club, 4-H Clubs, Police Activities League (PAL), organized children's

recreation, mentoring, or education programs, Big Brothers or Big Sisters, Garden Centers, community clean-up programs, beautification programs;

7. Programs funded under the Older Americans Act, such as Green Thumb, Service Corps of Retired Executives, senior meals programs, senior centers, Meals on Wheels;

8. Public or nonprofit organizations dedicated to seniors, youth, children, residents, citizens, special-needs populations or with missions to enhance the environment, historic resources, cultural identities, neighborhoods or performing arts;

9. PHA housing to improve grounds or provide gardens (so long as such work does not alter the PHA's insurance coverage); or work through resident organizations to help other residents with problems, including serving on the Resident Advisory Board, outreach and assistance with PHA-run self-sufficiency activities including supporting computer learning centers; and,

10. Care for the children of other residents so parents may volunteer.

Pursuant to 24 CFR 960.609, community service activities do not include work performed by a resident that would ordinarily be performed by a Housing Authority employee. However, residents may do community service on the Housing Authority property or with or through Housing Authority programs to assist with or enhance work done by a PHA employee.

~~4. A list of other eligible activities that satisfy the community service requirement shall be posted and kept on file at the site management office.~~

In addition to the activities stated above, participation in an economic self-sufficiency program satisfies the community service requirement. HUD defines economic self-sufficiency as: any program designed to encourage, assist, train, or facilitate economic independence of assisted families or to provide work for such families.

Self-Sufficiency

Eligible self-sufficiency activities include, but are not limited to, the following:

1. Job readiness or job training while not employed;

2. Training programs through local One-Stop Career Centers, Workforce Investment Boards (local entities administered through the U.S. Department of Labor), or other training providers;

3. Higher education (junior college or college);

4. Apprenticeships (formal or informal);

5. Substance abuse or mental health counseling;

6. Reading, financial and/or computer literacy classes;

7. English as a second language and/or English proficiency classes;

8. Budgeting and credit counseling.

In general economic self-sufficiency programs include: job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management skills training, apprenticeship, or any other program necessary to ready a participant for employment.

In addition to the activities listed above, the ~~HOUSING AUTHORITY~~Housing Authority authorizes the following economic self-sufficiency activities:

Participation in the ~~HOUSING AUTHORITY~~Housing Authority Family Self Sufficiency Program.

Other activities which further the goals of economic self-sufficiency as approved on an individual basis by the ~~HOUSING AUTHORITY.~~Housing Authority

The ~~HOUSING AUTHORITY~~Housing Authority will ensure that all community service activities which take place on ~~HOUSING AUTHORITY~~Housing Authority property are accessible for persons with disabilities.

D. ANNUAL COMPLIANCE CERTIFICATION

For each adult resident subject to the community service requirement, the ~~HOUSING AUTHORITY~~Housing Authority shall, 30 days before the expiration of the Lease Agreement, review and determine compliance with the community service requirement.

Such determinations shall be made in accordance the principles of due process and on a non-discriminatory basis.

If community service activities are administered by an organization other than the ~~HOUSING AUTHORITY~~Housing Authority, the ~~HOUSING AUTHORITY~~Housing Authority will obtain third-party verification.

Adult residents will not be permitted to self-certify their compliance with the community service requirement.

E. NONCOMPLIANCE

If the ~~HOUSING AUTHORITY~~Housing Authority determines that an adult resident subject to the community service requirement has not complied with the requirement, the ~~HOUSING AUTHORITY~~Housing Authority shall

1. Notify the adult resident in writing of such noncompliance which will include;

A. A brief description of the finding of non-compliance with CSSR.

B. A statement that the PHA will not renew the lease at the end of the current 12-month lease term unless the tenant enters into a written work-out agreement with the Housing Authority or the family provides written assurance that is satisfactory to the Housing Authority explaining that the tenant or other noncompliant resident no longer resides in the unit. Such written work-out agreement will include the means through which a noncompliant family member will comply with the CSSR requirement.

C. A statement that the tenant may request a grievance hearing on the PHA determination, in accordance with the Housing Authority's Grievance Procedures (24 CFR Part 966, subpart B), and the tenant may exercise any available judicial remedy to seek timely redress for the Housing Authority's nonrenewal of the lease because of such determination.

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~~1. Include in the notification that the determination of noncompliance is subject to the administrative grievance procedure under the HOUSING AUTHORITY's Grievance Procedures; and~~

2. C. A statement stating that ~~U~~unless the resident enters into an agreement to comply with the community service requirement, the adult resident's Lease Agreement will not be renewed; and

The ~~HOUSING AUTHORITY~~Housing Authority may not renew or extend the resident's Lease Agreement upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household, unless the ~~HOUSING AUTHORITY~~Housing Authority enters into an agreement, before the expiration of the lease term, with the resident providing for the resident to cure any noncompliance with the community service requirement, by participating in an economic self-sufficiency program or contributing to community service as many additional hours as the resident needs to comply in the aggregate with such requirement over the 12-month term of the lease.

Enforcement Documentation

Should a family member refuse to sign a written work-out agreement, or fail to comply with the terms of the work-out agreement, the Housing Authority will initiate termination of tenancy proceedings at the end of the current 12-month lease (see 24 CFR 966.53(c)) due to the fact that the family is failing to comply with lease requirements. When initiating termination of tenancy proceedings, the Housing Authority will provide the following procedural safeguards:

A. Adequate notice to the tenant of the grounds for terminating the tenancy and for non-renewal of the lease;

B. Right of the tenant to be represented by counsel;

C. Opportunity for the tenant to refute the evidence presented by the Housing Authority, including the right to confront and cross-examine witnesses and present any affirmative legal or equitable defense which the tenant may have; and

D. A decision on the merits.

F. INELIGIBILITY FOR OCCUPANCY FOR NONCOMPLIANCE

The ~~HOUSING AUTHORITY~~Housing Authority shall not renew or extend any Lease Agreement, or provide any new Lease Agreement, for a dwelling unit for any household that includes an adult resident who was subject to the community service requirement and failed to comply with the requirement.

Chapter 17

HA CURFEW AND LOITERING POLICIES AND PROCEDURES

INTRODUCTION

The following are the policies and procedures governing the implementation, administration, and enforcement of the HA Curfew and Loitering Regulations.

A. DUTIES AND RESPONSIBILITIES

At the discretion of the Director of the Housing Management Division, the Property Manager shall have the primary responsibility for implementation, administration and enforcement of the Curfew and Loitering Regulations as it pertains to their respective assigned housing developments and scattered sites.

Security personnel and law enforcement personnel contracted to provide services at the various housing developments shall participate in the enforcement of the Curfew and Loitering Regulations. Such enforcement may include properly identifying curfew and loitering violators, and notifying the appropriate Property Manager of such curfew and loitering violations.

B. NIGHTTIME CURFEW

No minor under the age of 18 years shall remain in or upon any common area of the HA or within any HA community, including but not limited to a road, curb area, sidewalk, parking lot, alley, park grounds, playground, basketball court, hallway, stairway, laundry, or recreational room, community center, or other common area grounds, place, building or vacant lot between the hours of 10:00 p.m. on any day and 6:00 a.m. of the immediately following day, except for within an apartment unit or private yard area.

"Remain" means to stay behind, to tarry and to stay unnecessarily in or upon HA common area, including the congregating of groups of persons, in whom any minor involved is not on or upon HA common area for the purpose of mere passage or going home.

A parent, guardian or other person having the legal care, custody or control of any minor (under the age of 18 years) shall not knowingly permit or by ineffective control allow the minor to violate this curfew regulation. The term "knowingly" includes knowledge that a parent or guardian should reasonably be expected to have concerning the whereabouts of a minor in that person's legal custody. This requirement is intended to hold a neglectful or careless parent or guardian up to a reasonable community standard of parental responsibility.

It shall be no defense that a parent or guardian was indifferent to the activities or conduct or whereabouts of such minor.

The following shall constitute valid exceptions to the regulation:

1. When the minor is accompanied by his or her parent or parents, legal guardian or other person having the legal care or custody of the minor, or by his or her spouse/marital-type partner 18 years of age or older; or

2. When the minor is on an errand or other legitimate business or activity directed by his or her parent or parents or legal guardian or other adult person having the legal care or custody of the minor, or by his or her spouse/marital-type partner 18 years of age or older; or

3. When the minor is going directly to or returning directly home (without any unnecessary detour or stop), a public meeting, or activity of a religious or other voluntary association, a place of public entertainment such as a movie, play, sporting event, dance, school activity, or the minors place of employment; or

4. When the minor is actively participating in a sporting or community event on HA property, if the HA rules or regulations permit the sporting or community event during said hours; or

5. When the presence of such minor in said place or places is connected with or required with respect to a business, trade, profession, or occupation in which said minor is lawfully engaged; or

6. When minor is exercising First Amendment rights protected by the United States or California Constitution; or

7. When the minor is involved in an emergency or seeking medical assistance; or

8. When the minor is emancipated pursuant to law.

C. DAYTIME CURFEW

No minor (under the age of 18 years) who is subject to compulsory education or to compulsory continuing education shall remain in or upon any common area of the HA or within any HA community including, but not limited to, a road curb area, sidewalk, parking lot, alley, park grounds, playground, basketball court, hallway, stairway, laundry or recreational room, community center, or other common area grounds, place or building, vacant lot or parking lot, between the hours of 8:30 a.m. and 1:30 p.m. on days when school is in session. The following shall constitute valid exceptions to this regulation:

1. When the minor is accompanied by his or her parent or parents, legal guardian or other adult person having the legal care or custody of the minor, or by his or her spouse/ marital-type partner 18 years of age or older; or

2. When the minor is upon an emergency errand directed by his or her parent or parents, legal guardian or other adult person having the legal care or custody of the minor, or by his or her spouse/marital-type partner 18 years of age or older; or

3. When the minor is going directly to or returning directly home from, without any unnecessary detour or stop, his or her place of gainful employment or a medical, dental, optometry, or chiropractic appointment; or

4. When the minor has permission to leave school campus for lunch or school related activity and has in his or her possession a valid, school issued, off-campus permit; or

5. When the minor has in his or her possession a written excuse from the minors parent(s), legal guardian, or other adult person having the legal care or custody of the minor; or

6. When the minor is receiving instruction by a qualified tutor pursuant to Education Code Section 48224; or

7. When the minor is going to or returning directly from, without unnecessary detour or stop, a public meeting, or place of public entertainment, such as a movie, play, sporting event, dance or school activity, provided such meeting, event or activity is a school-approved activity for the minor or is otherwise supervised by school personnel of the minors school; or

8. When the minor is going to or returning directly from, without unnecessary detour or stop, an appearance in court, attendance at a funeral service, observance of a holiday or ceremony of his or her religion, attendance at religious retreats, or attendance at an employment conference; or

9. When the minor is emancipated pursuant to law.

D. LOITERING

No one will loiter in a common area of a housing site. A common area includes, but is not limited to a road, curb area, sidewalk, fire lane, parking lot, alley, park grounds, playground, basketball court, hallway, stairway, laundry, or recreational room, community center, or other common area grounds, place, building or vacant lot. This pertains to adults and minors.

"Loitering" is defined as when a person delays, lingers, idles or remains in an area and does not have a lawful purpose for being there.

E. BREACH OF THE LEASE

One violation of the Curfew Regulation by any household member of a unit shall constitute a minor breach of the Lease Agreement. Three or more violations of the Curfew Regulation within a 12-month period of time by any household member (in any combination) shall constitute a material breach of the Lease Agreement, and shall be sufficient grounds for termination of the Lease Agreement.

One violation of the Loitering Regulation by any household member of a unit or guest of the unit, shall constitute a minor breach of the Lease Agreement. Two or more violations of the Loitering Regulation within a 12-month period of time by any household member or guest (in any combination) shall constitute a material breach of the Lease Agreement, and shall be sufficient grounds for termination of the Lease Agreement.

F. NOTICES AND RECOMMENDATIONS

Notice

The Head of Household shall receive a copy of the citation for violation of the Curfew Regulation and written notification from HA management of each violation of the Curfew Regulation occurring within a 12-month period as follows:

First Violation: Written notice shall be served on Head of Household, by HA management, advising of curfew violation and that Head of Household is responsible for the minor's conduct. The notice shall constitute a WARNING to the Head of Household that subsequent violations may result in termination of the Lease Agreement.

Second Violation: Written notice of a second curfew violation shall be served on the Head of Household and shall provide an opportunity for counseling for the Head of Household and minor(s). The Property Manager should schedule the appointment for said counseling with ten (10) days of the second violation notice.

Third Violation: Written notice of third curfew violation shall be served on the Head of Household and the appropriate remedy shall be enforced as set forth below.

The Head of Household shall receive a copy of the citation for violation of the Loitering Regulation and written notification from HA management of each violation of the Loitering Regulation occurring within a 12-month period as follows:

First Violation: Written notice shall be served on Head of Household, by HA management, advising of loitering violation and that Head of Household is responsible for the household member or guests' conduct. The written notice shall also provide an opportunity for counseling for the Head of Household. The Property Manager should schedule the appointment for said counseling within ten (10) days of the notice. The notice shall constitute a WARNING to the Head of Household that subsequent violations may result in termination of the Lease Agreement.

Second Violation: Written notice of second loitering violation shall be served on the Head of Household and the appropriate remedy shall be enforced as set forth below.

G. REMEDIES

Review of Resident File

When a household member or members have been cited three times within a 12-month period for violating the Curfew or Loitering Regulations, the Property Manager shall conduct a review of the resident's historical file to determine the overall resident record. Based on such review, one of the following actions shall be taken:

Recommendation for Referral: The Property Manager shall offer a referral to counseling, if available, to a family in lieu of an eviction notice. Such option is available only if within the last 12 months preceding the third curfew or loitering violation the resident or household members have not received three (3) or more of any combination of the

following:

14-Day Notice

Notice to Comply

Notice to Pay Maintenance Charges

Counseling for Disturbing Neighbors

Counseling for any lease violation(s)

30-Day Notice to Cure or Quit

Thirty-Day Notice to Quit: If head of household and/or minor(s) should fail to complete referred sessions, or have received three (3) or more of the aforementioned notices, the Property Manager shall serve a Thirty-Day Notice to Quit based on the curfew or loitering violations and, if appropriate, other violations of the lease.

H. ENFORCEMENT

Security Personnel/Law Enforcement

Security personnel and law enforcement personnel contracted to provide services at the various housing developments shall participate in the enforcement of the Curfew and Loitering Regulations. Such enforcement shall include:

Violation Recognition: Should security/law enforcement officers observe a minor or minors in or about any common area of the HA developments between the hours of 10:00 p.m. and 6:00 a.m., said officers shall have the authority to inquire of the minor(s) as to their identity, whether they are residents of the housing development, and their reason(s) for being out during curfew hours. The purpose of the inquiry is to determine whether the minor(s) are in violation of the Curfew Regulation or whether any of the exceptions to the Curfew Regulation apply.

Should security/law enforcement officers observe anyone loitering in any common area of the HA developments, said officers shall have the authority to inquire of the person(s) as to their identity, whether they are residents of the housing development, and their reason(s) for being in the common area.

Citing Violations: Upon determining that a minor is in violation of the Curfew Regulation, the security/law enforcement officer may so inform the minor(s) of the violation. The officer may then issue a written citation. One copy of the citation shall be filed with the Property Manager for the development, and the security/law enforcement officer shall maintain a copy.

Upon determining that a person is in violation of the Loitering Regulation, the security/law enforcement officer may so inform the person(s) of the violation. The officer may then issue a written citation. One copy of the citation shall be filed with the Property Manager

for the development, and the security/law enforcement officer shall maintain a copy.

Enforcement by Resident Managers

Resident Managers shall participate in the enforcement of the Curfew Regulation by observing and reporting only. Should Resident Managers observe a minor or minors in violation of the Curfew Regulation the incident should be immediately documented, including the date, time, location, name of minor (if known), and number of times minor has been observed in violation of the Curfew Regulation. Such documentation should be recorded in the resident's file and a memorandum concerning the curfew violation(s) sent to the Property Manager.

Resident Managers shall participate in the enforcement of the Loitering Regulation by observing and reporting only. Should Resident Managers observe a person or persons in violation of the Loitering Regulation the incident should be immediately documented, including the date, time, location, name of person(s) (if known), and number of times person(s) has been observed in violation of the Loitering Regulation. Such documentation should be recorded in the resident's file and a memorandum concerning the loitering violation(s) sent to the Property Manager.

Enforcement by Management

Area Managers and Property Managers shall have the authority to serve citations for violations of the Curfew and Loitering Regulations.

I GRIEVANCE PROCEDURE

Residents shall have the right to file a grievance in response to actions taken by the HA concerning violations of the Curfew and Loitering Regulations.

The HA Grievance Policy is subject to the Code of Federal Regulations, Title 24, Part 966, revised as of April 1, 1985, and as further amended. Residents shall follow the grievance procedures as set forth in the ACOP.

Chapter 18

BANNING POLICIES AND PROCEDURES

INTRODUCTION

The following are the policies and procedures governing the implementation, administration, and enforcement of the HA banning regulation.

A. DUTIES AND RESPONSIBILITIES

At the discretion of the Director of the Housing Management Division, the Property Manager shall have the primary responsibility for the implementation, administration and enforcement of the Banning Regulation as it pertains to their respective assigned housing development and scattered sites. Property Managers shall be responsible for notifying residents of persons banned from HA property.

Security personnel and law enforcement personnel contracted to provide services at the various housing developments shall participate in the enforcement of the Banning Regulation. Such enforcement may include properly identifying trespassers, issuing citations, and notifying the respective Property Manager of such violation.

Resident Managers shall, upon approval by the Director of the Housing Management Division, and at the discretion of the Property Manager, be responsible for identifying Banning violators, documenting violations by both residents and non-residents, and notifying the appropriate Property Manager of such violations.

B. BANNING REGULATION

A non-resident, including, but not limited to, a guest or visitor of a resident, may be banned for twelve (12) consecutive months if they commit two or more of the following acts in or upon any area of the HA development within a twelve (12) month period.

Any misdemeanor or infraction that disturbs the peaceful enjoyment of the development, including, without limitation, illegal drug activity or violent criminal activity;

Destruction of either HA property or private property;

After warning, continuing to interfere with the job responsibilities of a HA employee or vendor; and/or

After warning, continuing to disturb other residents' peaceful enjoyment of the complex.

The non-resident may be banned if they commit one misdemeanor or infraction involving possession of a controlled substance or one felony under state or federal law in or upon any area of the HA development including without limitation, illegal drug activity or violent criminal activity. **Please note that the cultivation, sale, use or possession of marijuana for medical reasons

is also a violation of the HA's zero tolerance policy relating to drug-related criminal activity and constitutes "drug-related criminal activity" under federal law.

The HA development includes, but is not limited to, a private road or curb area, sidewalk, parking lot, alley, park grounds, playground, basketball court, hallway, stairway, laundry or recreational room, community center, or other common area grounds, place, building or vacant lot on HA property.

If a non-resident violates paragraph 1 above, he or she can be served with a banning notice excluding the non-resident from the HA development for twelve (12) consecutive months. At the time the non-resident is served, he or she will be requested to sign a form acknowledging receipt of the banning notice. A proof of service form indicating service of the banning notice on the non-resident shall be completed. A form documenting the incidents leading to the service of the banning notice shall also be completed.

Residents known to associate with the banned non-resident shall receive notice of the person banned from HA property in the form of a letter from the HA. The letter will also state that pursuant to the resident's Lease Agreement, the resident, or member of the resident's household, shall not allow the person who has been excluded to be a guest of the resident in the HA development.

A list of banned non-residents will be distributed to HA management and staff, security personnel and law enforcement, as appropriate.

If a banned non-resident comes on the HA development, he or she may be cited for trespass.

If the banned non-resident comes on the HA development with a resident who has received notice of the person's banned status, the resident will receive a lease violation. If the resident has not received notice, the resident will be provided notice and warned about future activities with the banned non-resident.

Pursuant to the Banning Policies and Procedures, a resident receiving a lease violation for violating this regulation will have his or her historical file reviewed to determine the subsequent course of action.

C. BREACH OF THE LEASE

One violation of the Banning Regulation by any household member of a unit shall constitute a minor breach of the Lease Agreement. Three or more violations of the Banning Regulation within a 12-month period of time by any household member (in any combination) shall constitute a material breach of the Lease Agreement, and shall be sufficient grounds for termination of the Lease Agreement.

D. NOTICES AND RECOMMENDATIONS

Once a resident is notified, in writing, of a non-resident being banned from the HA's property, the resident is deemed to have been put on notice that pursuant to their Lease Agreement they are

prohibited from allowing a person who has been banned from HA property to be a guest of the resident at the housing development. If a resident is observed associating with a banned non-resident on the housing development, he or she will be cited for a lease violation.

First Violation: Written notice shall be served on the head of household, by the HA, advising of the lease violation. The notice shall constitute a WARNING to the head of household that subsequent violations may result in termination of the Lease Agreement.

Second Violation: Written notice of a second lease violation shall be served on the head of household and shall provide an opportunity for counseling for the head of household and household members. The Property Manager shall schedule an appointment for said counseling with ten (10) days of the second violation notice.

Third Violation: Written notice of a third lease violation shall be served on the head of household and the appropriate remedy shall be enforced as set forth below.

More Than Three Violations: A Thirty-Day Notice to Quit will be served on the head of household if more than three violations are issued within a twelve (12) month period.

E. REMEDIES

Review of the Resident File

When a household member or members have been cited three times within a 12-month period for violating the Banning Regulation, the Property Manager shall conduct a review of the resident's file to determine the overall resident record. Based on such review, one of the following actions shall be taken:

1. Recommendation for Referral: The Property Manager shall offer a referral to counseling, if available, to a family in lieu of an eviction notice. Such option is available only if within the last 12 months preceding the third violation, the resident or household members have not received three (3) or more of any combination of the following:

   14-Day Notice

   Notice to Comply

   Notice to Pay Maintenance Charges

   Counseling for Disturbing Neighbors

   Counseling for any lease violation(s)

   30-Day Notice to Cure or Quit

2. Thirty-Day Notice to Quit: If the head of household and/or members of household should fail to complete counseling sessions, or have received three (3) or more of the aforementioned notices, the Property Manager shall serve a Thirty-Day Notice to Quit

based on the violations and if appropriate, other violations of the lease.

F. ENFORCEMENT

Security Personnel/Law Enforcement

Security personnel and law enforcement personnel contracted to provide services at the various housing developments shall participate in the enforcement of the Banning Regulation. Such enforcement shall include:

1. Violation Recognition: Should security/law enforcement officers observe a non-resident banned from the housing development in or about the HA complex, said officers shall have the authority to inquire of the individual(s) as to their identity, whether they are guest(s) of a resident, and their reason(s) for being on the property. The purpose of this inquiry is to determine whether a resident is subject to a lease violation, or a non-resident is subject to a trespass citation.

2. Citing Violations: Upon determining that an individual or individuals is in violation of the Banning Regulation, the security/law enforcement officer may so inform the resident and/or non-resident of the violation. The officer may then issue a written citation. One copy of the citation shall be filed with the Property Manager for the development, and the security/law enforcement officer shall maintain a copy.

G. ENFORCEMENT BY RESIDENT MANAGERS

Resident Managers shall participate in the enforcement of the Banning Regulation by observing and reporting. Should Resident Managers observe a resident in violation of the Banning Regulation, the incident should be immediately documented, including the date, time, location, person's name (if known), and number of times the person has been observed in violation of the Banning Regulation. Such documentation should be recorded in the resident's file and a memorandum concerning the Banning Violation(s) send to the Property Manager.

H. ENFORCEMENT BY MANAGEMENT

Area Managers and Property Managers shall have the authority to serve citations for violations of the Banning Regulation.

I. GRIEVANCE PROCEDURE

HA residents shall have the right to file a grievance in response to actions taken by the HA concerning issuance of a Banning Notice or violations of the Banning Regulation.

The HA Grievance Procedure is subject to the Code of Federal Regulations, Title 24, Part 966, revised as of April 1, 1985, and as further amended. Residents shall follow the grievance procedures as set forth in the ACOP.

Chapter 19

LIMITED ENGLISH PROFICIENCY (LEP)

INTRODUCTION

In accordance with federal, state, and local law, specifically Executive Order 13166, HUD LEP Guidance, the HA will provide meaningful access to its programs and activities by persons with Limited English Proficiency (LEP). This chapter describes how the HA will undertake reasonable efforts to provide or arrange free language assistance for its LEP public housing applicants or residents as well as the general public.

A. MEANINGFUL ACCESS; FOUR-FACTOR ANALYSIS

Meaningful access is free language assistance in accordance with federal guidelines. The HA is required to provide LEP services based on the balancing of the following four-factor analysis:

1. The number or proportion of LEP persons served or likely to be encountered by the HA.
2. The frequency with which with LEP persons using a particular language come into contact with the HA.
3. The nature and importance of the HA program, activity or service to the person's life.
4. The HA's resources available and the cost of providing meaningful access.

The Housing Authority will annually assess and update the four-factor analysis in accordance with Section C "Monitoring".

B. LANGUAGE ASSISTANCE

1. An LEP applicant or resident is entitled to language assistance with respect to HA programs and activities.

2. HA staff will provide language assistance to LEP applicants and residents who have difficulty communicating in English, identify themselves as LEP, or who request language assistance.

   Applicants will be asked at the time of application and residents will be asked at the time of annual reexamination to designate their primary language for both oral and written services and whether LEP services are needed.

3. Interpretive (Oral) Services

   LEP applicants and residents have the right to free interpreter services when the individual states a need or staff observes difficulty in communicating in English, whether or not the language they speak is considered a threshold language. Once a person is identified as LEP, interpreter services will be made available in all communication with or from the HA.

4. Translation of Documents

a. The HA will consider the following factors in determining whether a document requires translation:

1. The document meets the threshold of a "vital document". Per the HUD guidance, "vital documents" are those that are critical for ensuring meaningful access by beneficiaries or potential beneficiaries generally and LEP persons specifically.

2. The costs and benefits of translating documents for potential LEP groups, the barriers to meaningful translation or interpretation of technical housing information, the likelihood of frequent changes in documents, the existence of multiple dialects within a single language group, the literacy rate in an LEP group and other relevant factors. The HA will undertake this examination when an eligible LEP group constitutes 5 percent of an eligible group of beneficiaries or potential beneficiaries (for example, 5 percent of households living in HA housing developments) or 1,000 persons, whichever is less.

Documents deemed "vital" by the Housing Authority will be translated into threshold languages.

b. In consideration of the above, the HA will annually assess its documents to identify any vital documents that need to be translated (please refer to Appendix A for the list of currently translated "vital documents"). As the HA continues to translate further public housing "vital documents", Appendix A will be updated on an annual basis. The HA will then translate a portion of those documents identified every year as financially feasible. If the vital document has not been translated, the HA will provide the applicant or resident with oral translation.

c. As opportunities arise, the HA may work with other local Public Housing Authorities (PHAs) to share the costs of translating common documents.

d. As HUD continues to translate standard housing documents in multiple languages, the HA will replace its translated versions with the official HUD versions. The HA encourages HUD to provide this service to PHAs and other federally funded agencies whose limited resources hinder their LEP efforts.

5. Audiovisual Materials

The HA will make reasonable efforts to produce multiple translations of audiovisual materials it may use to inform or educate applicants, residents and other client groups. For example, the HA will translate material to be presented at community or other meetings into threshold languages. If this is not possible, interpreters will be used to provide simultaneous interpretation/translation.

6. Formal Interpreters

   a. To provide meaningful access for LEP applicants or residents, the HA will provide qualified interpreters, including agency bilingual staff and outside vendors to all identified LEP individuals or upon request.

   b. The HA may require an interpreter to certify that he/she understood the matter communicated and rendered is a competent interpretation.

   c. Only Formal Interpreters will be used at:

      i. Informal hearing(s) for denial of admission to public housing;

      ii. Informal conferences and formal hearings.

      Informal interpreters will not be used in lieu of formal interpreters provided by the Housing Authority.

   d. A HA staff interpreter may not be a subordinate to the person making the decision and will not be an HA staff person participating in the informal conference or formal hearing.

   e. The HA maintains a list of qualified, bilingual employees who have applied for, and tested for proficiency in interpreting and/or translating language from English into a language other than English. Those employees receive additional compensation for demonstrating non-English language proficiency and can provide assistance to HA staff and LEP clients as part of their regular job duties.

7. Informal Interpreters

   Informal interpreters may include the family members, friends, legal guardians, service representatives or advocates of the LEP individual. The use of informal interpreters is strongly discouraged. Minor children may not act as informal interpreters. If the LEP individual wishes to rely solely on an informal interpreter, HA staff will determine whether it is appropriate depending upon the circumstances and subject matter of the communication. However, in many circumstances, informal interpreters may not be an appropriate option to provide accurate interpretations. There may be issues of confidentiality, competency or conflict of interest. In these cases, the HA may require the use of a formal interpreter despite the wish of the LEP individual to rely solely on his or her informal interpreter.

   a. The HA will always offer a free interpreter. An LEP person may use an informal interpreter of his/her own choosing and at his/her expense, either in place of or as a supplement to the free language assistance offered by the HA. If possible, the HA will accommodate an LEP client's request to use an informal interpreter in place of a formal interpreter.

b. If an LEP client prefers an informal interpreter, after the HA has offered free interpreter services, the informal interpreter may interpret. In these cases the client and interpreter will be asked to sign a waiver refusing interpreter services.

c. If an LEP client wants to use his/her own informal interpreter, the HA reserves the right to also have a formal interpreter present.

8. Outside Resources

a. Outside resources may include competent community volunteers, competent HA residents or competent Housing Choice Voucher/Section 8 participants.

b. Outside resources may be used for interpreting services at public or informal meetings or events if a timely request has been made.

c. The HA will establish and maintain relationships with organizations that assist specific cultural and ethnic groups living in Los Angeles County. To help their clients obtain or keep housing assistance through the HA, these organizations may provide qualified interpreters for LEP persons.

C. MONITORING

1. The HA will review and revise this LEP Policy annually. The review will include:

a. Reports from the HA's software system on the number of LEP clients. Such reports may be supplemented by staff observations.

b. A determination as to whether 5 percent or 1,000 public housing residents or persons from the public housing waiting lists speak a specific language, which triggers consideration of document translation needs as described above.

c. Review of demographic data that indicates prevalent languages in Los Angeles County.

d. Analysis of staff requests for formal interpreters: the number of requests, the languages requested, the costs, etc.

e. Analysis of the frequency in which informal interpreters are used over formal interpreters.

D. LEP PLAN DISTRIBUTION AND TRAINING

The HA will ensure the LEP policy is distributed to the public and complied with by all staff by:

1. Distributing to all HA staff.

2. Posting on HA's website at www.hacola.org.

3. Posting at the site Management Offices and at the HA Administrative Offices in appropriate threshold languages.

4. Available at the site Management Offices and at the HA Administrative Office. Including notices summarizing the rights of LEP individuals under this policy in application and reexamination packets.

5. Conducting in-depth training for staff that interacts directly with applicants and residents. All other staff will receive at least a condensed training on LEP policies and procedures.

LEP Definitions:

1. "Applicant" includes applicants for any program administered by the Public Housing Division.
2. "Competent" refers to a person who is proficient and has knowledge of program terminology in both the English language and the non-English language being used.
3. "Interpretation" is competently taking oral or spoken information provided in one language and accurately communicating that information orally in another language.
4. "Interpreter" is a person (not a minor) able to speak fluently and read with full understanding both in the English language and the LEP applicant or resident.
5. "Language services" or "Language Assistance" is the provision of free, competent language interpretation (oral) or translation services (written).
6. "LEP Individual" is a person who identifies as a LEP person, does not speak English as a primary language, and who has a limited ability to read, write, speak or understand English.
7. "Oral Translation" means the oral translation of a document from English into a second language. Oral translation involves the translation of every word, not summarization. However, in oral translation, because of cultural and technical issues, further explanation may also be required and is encouraged.
8. "Resident" includes persons receiving assistance under the Public Housing Program.
9. "Threshold Language" is a language spoken by 5% or 1,000, whichever is less, of the population of persons eligible to be served or likely to be affected or encountered to determine the need for bilingual staff and translation of documents.
10. "Translation" means converting written material from one language to another in written form.
11. "Vital documents" are those that are critical for ensuring meaningful access by LEP persons to the rental assistance programs administered by the HA.

GLOSSARY

A. TERMS USED IN DETERMINING RENT

ANNUAL INCOME (24 CFR 5.609)

Annual income is the anticipated total income from all sources. This includes net income derived from assets, received by the family head of household/co-head and/or spouse/marital-type partner (even if temporarily absent) and by each additional family member for the 12 month period following the effective date of initial determination or reexamination of income. It does not include income that is temporary, non-recurring, or sporadic as defined in this section, or income that is specifically excluded by other federal statute. Annual income includes:

The full amount before any payroll deductions, of wages and salaries, overtime pay, commissions fees, tips and bonuses, and other compensation for personal services.

The net income from operation of a business or profession, including any withdrawal of cash or assets from the operation of the business. Expenditures for business expansion or amortization of capital indebtedness shall not be used as deductions in determining the net income from a business. An allowance for the straight line depreciation of assets used in a business or profession may be deducted as provided in IRS regulations. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the business.

Interest, dividends, and other net income of any kind from real or personal property. Expenditures for amortization of capital indebtedness shall not be used as deductions in determining net income. An allowance for the straight line depreciation of real or personal property is permitted. Withdrawals of cash or assets will not be considered income when used to reimburse the family for cash or assets invested in the property.

When the family has net family assets in excess of $5,000, Annual Income shall include the greater of the actual income derived from all net family assets, or .81% of the value of such assets based on the current passbook savings rate, as annually determined by the HA. The passbook savings rate is based on the Federal Deposit Insurance Corporation (FDIC) national savings rate.

The full amount of periodic payments received from Social Security, annuities, insurance policies, retirement funds, pensions, disability or death benefits, and other similar types of periodic receipts.

NOTE: Treatment of lump sum payments for delayed or deferred periodic payment of social security or SSI benefits is dealt with later in this section.

Payments in lieu of earnings, such as unemployment and disability compensation, workers' compensation, and severance pay.

All welfare assistance payments received by or on behalf of any family member. (24 CFR 913.106(b)(6) contains rules applicable to "as-paid" States).

Periodic and determinable allowances, such as alimony and child care support payments, and regular cash contributions or gifts received from persons not residing in the dwelling.

All regular pay, special pay and allowances of a member of the Armed Forces (except special pay to a family member serving the Armed Forces who is exposed to hostile fire).

EXCLUSIONS FROM ANNUAL INCOME (24 CFR 5.609)

Annual income does not include the following:

Any allowance paid under the provisions of 38 U.S.C. 1833(c) to children of Vietnam veterans born with spina bifida (38 U.S.C. 1802-05), children of women Vietnam veterans born with certain birth defects (38 U.S.C. 1811-16), and children of certain Korean service veterans born with spina bifida (38 U.S.C. 1821);

Any amount of crime victim compensation (under the Victims of Crime Act) received through crime victim assistance (or payment or reimbursement of the cost of such assistance) as determined under the Victims of Crime Act because of the commission of a crime against the applicant under the Victims of Crime Act (42 U.S.C. 10602(c));

Allowances, earnings, and payments to individuals participating in programs under the Workforce Investment Act of 1998 (29 U.S.C. 2931(a)(2));

Any amounts received under the Richard B. Russell School Lunch Act (42 U.S.C. 1760(e)) and the Child Nutrition Act of 1966 (42 U.S.C. 1780(b)), including reducted-price lunches and food under the Special Supplemental Food Program for Women, Infants, and Children (WIC);

Payments, funds, or distributions authorized, established, or directed by the Seneca Nation Settlement Act of 1990 (25 U.S.C. 1774f(b));

Payments from any deferred U.S. Department of Veteran Affairs disability benefits that are received in a lump sum amount or in prospective monthly amounts (42 U.S.C. 1437a(b)(4));

Compensation received by or on behalf of a veteran for service-connected disability, death, dependency, or indemnity compensation as provided by an amendment by the Indian Veterans Housing Opportunity Act of 2010 (Pub. L. 111-269; 25 U.S.C. 4103(9)) to the definition of income applicable to programs authorized under the Native American Housing Assistance and Self-Determination Act (NAHASDA) (25 U.S.C. 4101 et seq.) and administered by the Office of Native American Programs;

A lump sum or a periodic payment received by an individual Indian pursuant to the Class Action Settlement Agreement in the case entitled Elouis Cobell et al. v. Ken Salazar et al., 816 F.Supp.2d 10 (Oct. 5, 2011 D.D.C.), for a period of one year from the time of receipt of that payment as provided in the Claims Resolution Act of 2010 (Pub. L. 111-291);

Any amounts in an “individual development account” as provided by the Assets for Independence Act, as amended in 2002 (Pub. L. 107-110, 42 U.S.C. 604(h)(4));

Per capita payments made from the proceeds of Indian Tribal Trust Cases as described in PIH Notice 2013-30 “Exclusion from Income of Payments under Recent Tribal Trust Settlements” (25 U.S.C. 117b(a));

Major disaster and emergency assistance received by individuals and families under the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Pub. L. 93-288, as amended) and comparable disaster assistance provided by States, local governments, and disaster organizations (42 U.S.C. 5155(d));

Income from the employment of children (including foster children) under the age of 18 years;

Payments received for the care of foster children or foster adults;

Lump sum additions to family assets, such as inheritances, insurance payments (including payments under health, and accident insurance and workers' compensation) capital gains, and settlement for personal property losses;

Amounts received by the family that are specifically for, or in reimbursement of the cost of medical expenses for any family member.

Income of a live-in aide, provided the person meets the definition of a live-in aide.

The full amount of student financial assistance paid directly to the student or the educational institution.

The special pay to a family member serving in the Armed Forces who is exposed to hostile fire.

Amounts received under HUD funded training programs (e.g. Step-up program); excludes stipends, wages, transportation payments and child care vouchers for the duration of the training.

Amounts received by a person with disabilities that are disregarded for a limited time for purposes of Supplemental Security Income and benefits that are set aside for use under a Plan to Attain Self Sufficiency (PASS).

Amounts received by a participant in other publicly assisted programs that are specifically for, or in reimbursement of, out of pocket expenses incurred for items such as special equipment, clothing, transportation and childcare, to allow participation in a specific program.

Amount received as a Resident services stipend. A modest amount (not to exceed $200 per month) received by a public housing resident for performing a service for the PHA, on a part-time basis, that enhances the quality of life in public housing. Such services may include but are not limited to, fire patrol, hall monitoring, lawn maintenance, resident initiatives coordination, and serving as the resident member of the PHA governing Board. No resident may receive more than one such stipend during the same period of time.

Incremental earnings and benefits resulting to any family member from participation in qualifying State or local employment training programs (including training programs not affiliated with a local government) and training of family members as resident management staff. Amounts excluded by this provision must be received under employment training programs with clearly defined goals and objectives, and are excluded only for the period during which the family member participates in the employment training program.

Temporary, non-recurring, or sporadic income (including gifts).

Reparation payments paid by foreign governments pursuant to claims filed under the laws of that government by persons who were persecuted during the Nazi era. (For all initial determinations and reexaminations of income on or after April 23, 1993.)

Earnings in excess of $480 for each full-time student 18 years old or older, (excluding the head of household/co-head and/or spouse/marital-type partner).

Adoption assistance payments in excess of $480 per adopted child.

The earnings and benefits to any resident resulting from the participation in a program providing employment training and supportive services in accordance with the Family Support Act of 1988 (42 U.S.C. 1437 et seq.), or any comparable Federal, State or local law during the exclusion period. For purposes of this paragraph the following definitions apply:

> Comparable Federal, State or local law means a program providing employment training and supportive services that: (1) is authorized by a Federal, State or local law; (2) is funded by the Federal, State or local government; (3) is operated or administered by a public agency; and (4) has as its objective to assist participants in acquiring job skills.
>
> Exclusion period means the period during which the resident participates in a program as described in this section plus 18 months from the date the resident begins the first job acquired by the resident after completion of such program that <u>is not</u> funded by public housing assistance under the U.S. Housing Act of 1937. If the resident is terminated from employment without good cause, the exclusion period shall end.
>
> Earnings and benefits means the incremental earnings and benefits resulting from a qualifying employment training program or subsequent job.

Deferred periodic payments from supplemental security income and social security benefits that are received in a lump sum amount or in prospective monthly amounts.

Amounts received by the family in the form of refunds or rebates under state or local law for property taxes paid on the dwelling unit.

Amounts paid by a State agency to a family with a developmentally disabled family member living at home to offset the cost of services and equipment needed to keep the developmentally disabled family member at home.

Amounts specifically excluded by any other Federal Statute from consideration as income for purposes of determining eligibility or benefits under a category of assistance programs that includes assistance under the United States Housing Act of 1937.(A notice will be published by HUD in the Federal Register identifying the benefits that qualify for this exclusion.

The following benefits are excluded by other Federal Statute as of August 3, 1933:

> The value of the allotment provided to an eligible household for coupons under the Food

Stamp Act of 1977;

Payments to volunteers under the Domestic Volunteer Service Act of 1973; examples of programs under this Act include but are not limited to:

The Retired Senior Volunteer Program (RSVP)

Foster Grandparent Program (FGP)

Senior Companion Program (SCP)

Older American Committee Service Program

National Volunteer Antipoverty Programs such as:

VISTA

Peace Corps

Service Learning Program

Special Volunteer Programs

Small Business Administration Programs such as:

National Volunteer Program to Assist Small Businesses

Service Corps of Retired Executives

Payments received under the Alaska Native Claims Settlement Act. [43 USC 1626 (a)]

Income derived from certain submarginal land of the United States that is held in trust for certain Indian tribes. [25 USC 459e]

Payments or allowances made under the Department of HHS' Low Income Home Energy Assistance Program. [42 USC 8624 (f)]

Payments received under programs funded in whole or in part under the Job Training Partnership Act (29 USC 1552 (b)

Income derived from the disposition of funds of the Grand River Band of Ottawa Indians (Pub. L. 94-540, section 6).

The first $2,000 of per capita shares received from judgment funds awarded by the Indian Claims Commission or the U.S. Court of Claims (25 USC. 1407-08), the interests of individual Indians in trust or restricted lands, and the first $2000 per year of income received by individual Indians from funds derived from interests held in such trust or restricted lands. This exclusion does not include proceeds of gaming operations regulated by the Commission.

Amounts of scholarships funded under Title IV of the Higher Education Act of 1965 including awards under the Federal work-study program or under the Bureau of Indian Affairs student assistance programs. [20 USC 1087 uu] Examples: Basic Educational Opportunity Grants (Pell Grants), Supplemental Opportunity Grants, State Student Incentive Grants, College-Work Study, and Byrd Scholarships.

Payments received under programs funded under Title V of the Older Americans Act of 1965 [42 USC 3056 (f)] Examples include Senior Community Services Employment Program, National Caucus Center on the Black Aged, National Urban League; Association National Pro Personas Mayores, National Council on Aging, American Association of Retired Persons, National Council on Senior Citizens, and Green Thumb.

Payments received after January 1, 1989 from the Agent Orange Settlement Fund (Pub. L. 101-201) or any other fund established in the In-Re Orange Product Liability litigation.

The value of any child care provided or arranged (or any amount received as payment for such care or reimbursement for costs of incurred in such care) under the Child Care and Development Block Grant Act of 1990. (42 USC 9858q)

Earned income tax credit refund payments received on or after January 1, 1991. (26 USC 32)(j).

Allowances, earnings and payment to AmeriCorps participants under the National and Community Service Act of 1990 (42 U.S.C. 12637 (d)).

ADJUSTED INCOME

Annual income, less allowable HUD deductions.

Note: Under the Continuing Resolution, PHAs are permitted to adopt other adjustments to earned income for residents of Public Housing, but must absorb any resulting loss in rental income.

All Families are eligible for the following:

Child Care Expenses: A deduction of amounts anticipated to be paid by the family for the care of children under 13 years of age for the period for which the Annual Income is computed. Child care expenses are only allowable when such care is necessary to enable a family member to be gainfully employed or to further his/her education. Amounts deducted must be unreimbursed expenses and shall not exceed: (1) The amount of income earned by the family member released to work, or (2) an amount determined to be reasonable by the PHA when the expense is incurred to permit education.

Dependent Deduction. An exemption of $480 for each member of the family residing in the household (other than the head of household/co-head and/or spouse/marital-type partner, live-in aide, foster child) who is under eighteen years of age or who is eighteen years of age or older and disabled, or a full-time student.

Disabled Person Expenses. A deduction of unreimbursed amounts paid for attendant care or

auxiliary apparatus expenses for disabled family members where such expenses are necessary to permit a family member(s), including the disabled member to be employed. In no event may the amount of the deduction exceed the employment income earned by the family member(s) freed to work.

Equipment and auxiliary apparatus may include but are not limited to: wheelchairs, lifts, reading devices for visually disabled, and equipment added to cars and vans to permit use by the disabled family member.

For non-elderly families and elderly families without medical expense: The amount of the deduction equals the cost of all unreimbursed expenses for disabled care and equipment less three percent of Annual Income, provided the amount so calculated does not exceed the employment income earned.

For elderly families with medical expenses: The amount of the deduction equals the cost of all unreimbursed expenses for disabled care and equipment less three percent of Annual Income, (provided the amount does not exceed earnings) plus medical expenses as defined below.

For Elderly and Disabled Families Only:

Medical Expenses: A deduction of unreimbursed medical expenses, including insurance premiums anticipated for the period for which Annual Income is computed. Medical expenses include, but are not limited to: services of physicians and other health care professionals, services of health care facilities; insurance premiums, including the cost of Medicare), prescription and non-prescription medicines, transportation to and from treatment, dental expenses, eyeglasses, hearing aids and batteries, attendant care (unrelated to employment of family members), and payments on accumulated medical bills. To be considered by the PHA for the purpose of determining a deduction from the income, the expenses claimed must be verifiable.

For elderly families without disabled person expenses: The amount of the deduction shall equal total medical expenses less 3% of annual income.

For elderly families with both disabled and medical expenses: The amount of disabled assistance is calculated first, then medical expenses are added.

Elderly/Disabled Household Exemption: An exemption of $400 per household.

B. HOUSING TERMS

ACCESSIBLE DWELLING UNITS. When used with respect to the design, construction or alteration of an individual dwelling unit, means that the unit is located on an accessible route, and when designed, constructed, or altered, can be approached, entered, and used by individuals with a physical disability.

A unit that is on an accessible route and is adaptable and otherwise in compliance with the standards set forth in 24 CFR 8.32 & 40, (the Uniform Federal Accessibility Standards) is “accessible” within the meaning of this paragraph.

ACCESSIBLE FACILITY. All or any portion of a facility other than an individual dwelling unit used by individuals with physical disabilities.

ACCESSIBLE ROUTE. For persons with a mobility impairment, a continuous, unobstructed path that complies with space and reach requirements of the Uniform Federal Accessibility Standards (UFAC). For persons with hearing or vision impairments, the route need not comply with requirements specific to mobility.

ADAPTABILITY. Ability to change certain elements in a dwelling unit to accommodate the needs of disabled and non-disabled persons; or ability to meet the needs of persons with different types and degrees of disability.

ADMISSION. Admission to the program is the effective date of the lease. The point at which a family becomes a resident.

ALLOCATION PLAN. The plan submitted by the PHA and approved by HUD under which the PHA is permitted to designate a building, or portion of a building, for occupancy by Elderly Families or Disabled Families.

ANNUAL INCOME AFTER ALLOWANCES. The Annual Income (described above) less the HUD-approved allowances.

APPLICANT (or applicant family). A family that has applied for admission to a program, but is not yet a participant in the program.

"AS-PAID" STATES. States where the welfare agency adjusts the shelter and utility component of the welfare grant in accordance with actual housing costs.

ASSETS. (See Net Family Assets.)

AUXILIARY AIDS. Services or devices that enable persons with impaired sensory, manual, or speaking skills to have an equal opportunity to participate in and enjoy the benefits of programs and activities.

CO-HEAD. An individual in the household who is equally responsible for the lease with the Head of Household. A family may have a Co-head or Spouse/Marital-Type Partner, but not both. A co-head never qualifies as a dependent.

COVERED FAMILIES. The statutory term "covered families" designates the universe of families who are required to participate in a welfare agency economic self-sufficiency program and may, therefore, be the subject of a welfare benefit sanction for noncompliance with this obligation. "Covered families" means families who receive welfare assistance or other public assistance benefits from a State or other public agency under a program for which Federal, State, or local law requires that a member of the family must participate in an economic self-sufficiency program as a condition for the assistance.

DEPENDENT. A member of the family household (excluding foster children) other than the family head or spouse/marital-type partner, who is under 18 years of age or is a Disabled Person, or is a full-time student 18 years of age or older.

DESIGNATED FAMILY. The category of family for whom the PHA elects to designate a development (e.g. elderly family in a development designated for elderly families) in accordance with the 1992 housing Act. (24 CFR 945.105)

DESIGNATED SMOKING AREA. A specified open-air area within 20 feet from an HA building identified with clear signage as a "Designated Smoking Area".

DISABILITY ASSISTANCE EXPENSE. Reasonable expenses that are anticipated, during the period for which annual income is computed, for attendant care and or auxiliary apparatus for a disabled family member and that are necessary to enable a family member (including the disabled member) to be employed, provided that the expenses are neither paid to a member of the family nor reimbursed by an outside source.

DISABILITY. This term is used where "handicap" was formerly used.

DISABLED FAMILY. A family whose head of household/co-head and/or spouse/marital-type partner, or sole member is a person with disabilities. A disabled family may include two or more persons with disabilities living together, or one or more persons with disabilities living with one or more live-in aides

DISABLED PERSON. See Person with Disabilities.

DISALLOWANCE. Exclusion from annual income.

DISPLACED FAMILY. A family in which each member, or whose sole member, is a person displaced by governmental action, or a person whose dwelling has been extensively damaged or destroyed as a result of a disaster declared or otherwise formally recognized pursuant to Federal Disaster relief laws.

DOMICILE. The legal residence of the head of household/co-head and/or spouse/marital-type partner as determined in accordance with State and local law.

DRUG-RELATED CRIMINAL ACTIVITY. Term means:

Drug-trafficking; or

> Illegal use, or possession for personal use of a controlled substance (as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802)). The cultivation, sale, use or possession of marijuana for medical reasons also constitutes "drug-related criminal activity" under federal law.

DRUG TRAFFICKING. The illegal manufacture, sale, distribution or the possession with intent to manufacture, sell, or distribute a controlled substance (as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802)). **Please note that marijuana for medical reasons is included as a controlled substance.

ECONOMIC SELF-SUFFICIENCY PROGRAM. Any program designed to encourage, assist, train, or facilitate the economic independence of assisted families or to provide work for such families. Economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, Workfare, financial or household management,

apprenticeship, any other program necessary to ready a participant to work (such as: substance abuse or mental health treatment. Economic self-sufficiency program includes any work activities as defined in the Social Security Act (42 U.S.C. 607(d)). See the definition of work activities at Sec. 5.603(c). The new definition of the term "economic self-sufficiency program'' is used in the following regulatory provisions, pursuant to the Public Housing Reform Act: family income includes welfare benefits reduced because of family failure to comply with welfare agency requirements to participate in an economic self-sufficiency program; and the requirement for public housing residents to participate in an economic self-sufficiency program or other eligible activities.

ELDERLY FAMILY. A family whose head of household/co-head and/or spouse/marital-type partner or whose sole member is at least 62 years, or two or more persons who are at least 62 years of age or a disabled person. It may include two or more elderly, disabled persons living together or one or more such persons living with one or more live-in aides.

ELDERLY PERSON. A person who is at least 62 years old.

ELIGIBLE FAMILY (Family). A family is defined by the PHA in the Admission and Continued Occupancy Plan.

EXCEPTIONAL MEDICAL OR OTHER EXPENSES. Prior to the regulation change in 1982, this meant medical and/or unusual expenses as defined in Part 889 which exceeded 25% of the Annual Income. It is no longer used.

EXCESS MEDICAL EXPENSES. Any medical expenses incurred by elderly families only in excess of 3% of Annual Income which are not reimbursable from any other source.

EXTREMELY LOW-INCOME FAMILY. A family whose annual income does not exceed 30 percent of the median income for the area, as determined by HUD, with adjustments for smaller and larger families, except that HUD may establish income ceilings higher or lower than 30 percent of the median income for the area if HUD finds that such variations are necessary because of unusually high or low family incomes.

FAMILY.

The term "family" includes, but is not limited to the following, regardless of actual or perceived sexual orientation, gender identity, or marital status:

A single person, who is an elderly person, displaced person, a person with disabilities, near-elderly person, or any other single person; or

A group of persons residing together and such group include, but is not limited to:

a. A family with or without children (a child who is temporarily away from the home because of placement in foster care is considered a member of the family);

b. An elderly family;

c. A near-elderly family;

d. A disabled family;

e. A displaced family;

f. The remaining member of a tenant family;

g. A foster care arrangement, or a kingship care arrangement;

h. Other persons, including members temporarily absent (e.g. a child temporarily placed in foster care or a student temporarily away at college), may be considered a part of the applicant family's household if they are living or will live regularly with the family;

i. Live-In Aides may also be considered part of the applicant family's household. However, live-in aides are not family members and have no right of tenancy or continued occupancy; and

j. Foster Care Arrangements include situations in which the family is caring for a foster adult, child or children in their home who have been placed there by a public child placement agency, or a foster adult or adults placed in the home by a public adult placement agency. For purposes of continued occupancy; the term family also includes the remaining member of a resident family with the capacity to execute a lease.

Elderly, disabled, and displaced families as defined by HUD in CFR 5.403.

Other families are defined by the HA as follows:

A family, other than an elderly, disabled, or displaced family, is defined by the HA as two or more persons who intend to share residency in the public housing unit, and whose income and resources are available to meet the family's needs.

FAMILY OF VETERAN OR SERVICE PERSON. A family is a "family of veteran or serviceperson" when:

The veteran or serviceperson (a) is either the head of household or is related to the head of the household; or (b) is deceased and was related to the head of the household, and was a family member at the time of death.

The veteran or serviceperson, unless deceased, is living with the family or is only temporarily absent unless s/he was (a) formerly the head of the household and is permanently absent because of hospitalization, separation, or desertion, or is divorced; provided, the family contains one or more persons for whose support s/he is legally responsible and the spouse/marital-type partner has not remarried; or (b) not the head of the household but is permanently hospitalized; provided, that s/he was a family member at the time of hospitalization and there remain in the family at least two related persons.

FAMILY SELF-SUFFICIENCY PROGRAM (FSS PROGRAM). The program established by a PHA to promote self-sufficiency of assisted families, including the provision of supportive services.

FLAT RENT. Rent for a public housing dwelling unit that is based on the market rent. The market rent is the rent charged for comparable units in the private, unassisted rental market at which the PHA could

lease the public housing unit after preparation for occupancy.

FOSTER CHILD CARE PAYMENT. Payment to eligible households by state, local, or private agencies appointed by the State, to administer payments for the care of foster children.

FULL-TIME STUDENT. A person who is attending school or vocational training on a full-time basis.

GENDER. Sex, and includes a person's gender identity and gender expression. "Gender expression" means a person's gender-related appearance and behavior whether or not stereotypically associated with the person's assigned sex at birth.

GENDER IDENTITY. Actual or perceived gender-related characteristics.

GUEST. For the purposes of determining whether an individual's criminal activity is the responsibility of the tenant, a guest is a person temporarily staying in the unit with the consent of a tenant or other member of the household who has express or implied authority to so consent on behalf of the tenant. The requirements of the lease apply to a guest as so defined.

HEAD OF HOUSEHOLD. The person who assumes legal and financial responsibility for the household and is listed on the application as head.

HOUSING AGENCY. A state, country, municipality or other governmental entity or public body authorized to administer the program. The term "PHA" includes an Indian housing authority (IHA). ("PHA" and "PHA" mean the same thing.). The Housing Authority is referred to as "HA" or "Housing Authority" throughout this document.

HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974. The Act in which the U.S. Housing Act of 1937 was recodified, and which added the Section 8 Programs.

HOUSING ASSISTANCE PLAN. A Housing Assistance Plan submitted by a local government participating in the Community Development Block Program as part of the block grant application, in accordance with the requirements of 570.303(c) submitted by a local government not participating in the Community Development Block Grant Program and approved by HUD. A Housing Assistance Plan meeting the requirements of 570.303(c) submitted by a local government not participating in the Community Development Block Grant Program and approved by HUD.

HOUSING QUALITY STANDARDS (HQS). The HUD minimum quality standards for housing assisted under the Public Housing and Section 8 programs.

HUD. The Department of Housing and Urban Development or its designee.

HUD REQUIREMENTS. HUD requirements for the Section 8 programs. HUD requirements are issued by HUD headquarters as regulations. Federal Register notices or other binding program directives.

HURRA. The Housing and Urban/Rural Recovery Act of 1983 legislation that resulted in most of the 1984 HUD Regulation changes to the definition of income, allowances, and rent calculations.

IMPUTED ASSET. Asset disposed of for less than Fair Market Value during two years preceding examination or reexamination.

IMPUTED INCOME. HUD passbook rate times the total cash value of assets, when assets exceed $5,000.

IMPUTED WELFARE INCOME. The amount of annual income not actually received by a family, as a result of a specified welfare benefit reduction, that is nonetheless included in the family's annual income. This amount is included in family annual income and, therefore, reflected in the family rental contribution based on this income.

INCOME. Income from all sources of each member of the household as determined in accordance with criteria established by HUD.

INCOME-BASED RENT. The tenant rent paid to the PHA that is based on family income and the PHA rental policies. The PHA uses a percentage of family income or some other reasonable system to set income-based rents. The PHA has broad flexibility in deciding how to set income-based rent for its tenants. However, the income-based tenant rent plus the PHA's allowance for tenant paid utilities may not exceed the "total tenant payment" as determined by a statutory formula.

INCOME FOR ELIGIBILITY. Annual Income.

INCOME TARGETING. The HUD admissions requirement that PHAs not admit less than the number required by law of families whose income does not exceed 30% of the area median income in a fiscal year.

INDIAN. Any person recognized as an Indian or Alaska Native by an Indian Tribe, the federal government, or any State.

INDIAN HOUSING AUTHORITY (IHA). A housing agency established either by exercise of the power of self-government of an Indian Tribe, independent of State law, or by operation of State law providing specifically for housing authorities for Indians.

INTEREST REDUCTION SUBSIDIES. The monthly payments or discounts made by HUD to reduce the debt service payments and, hence, rents required on Section 236 and 221 (d)(3) BMIR developments. Includes monthly interest reduction payments made to mortgagees of Section 236 developments and front-end loan discounts paid on BMIR developments.

INVOLUNTARILY DISPLACED PERSON. Involuntarily Displaced Applicants are applicants who meet the HUD definition for the local preference, formerly known as a federal preference.

LANDLORD. Refers to the HA, as either the legal owner of the property, or the owner's representative or managing agent as designated by the owner.

LEASE. A written agreement between an owner and an eligible family for the leasing of a housing unit.

LIVE-IN AIDE. A person who resides with an elderly person or disabled person and who:

Is determined to be essential to the care and well-being of the person.

Is not obligated for the support of the person.

Would not be living in the unit except to provide necessary supportive services.

LOCAL PREFERENCE. A preference used by the PHA to select among applicant families without regard to their date and time of application.

LOW-INCOME FAMILY. This definition replaces a previous statutory reference. Generally, "low-income" designates a family whose income does not exceed 80 percent of area median income, with certain adjustments.

MARKET RENT. The rent HUD authorizes the owner of FHA insured/subsidized multi-family housing to collect from families ineligible for assistance. For unsubsidized units in an FHA-insured multi-family development in which a portion of the total units receive development-based rental assistance, under the Rental Supplement or Section 202/Section 8 Programs, the Market Rate Rent is that rent approved by HUD and is the Contract Rent for a Section 8 Certificate holder. For BMIR units, Market Rent varies by whether the development is a rental or cooperative.

MEDICAL EXPENSES. Those total medical expenses anticipated during the period for which Annual Income is computed, and which are not covered by insurance. (Only Elderly Families qualify) The allowances are applied when medical expenses exceed 3% of Annual Income.

MINIMUM RENT. An amount established by the PHA between zero and $50.00.

MINOR. A member of the family household (excluding foster children) other than the family head or spouse who is under 18 years of age.

MONTHLY ADJUSTED INCOME. 1/12 of the Annual Income after Allowances.

MONTHLY INCOME. 1/12 of the Annual Income before allowances.

NEAR-ELDERLY FAMILY. A family whose head of household/co-head and/or spouse/marital-type partner or sole member is at least 50, but less than 62 years of age. The term includes two or more near-elderly persons living together and one or more such persons living with one or more live-in aides.

NET FAMILY ASSETS. The net cash value, after deducting reasonable costs that would be incurred in disposing of:

•Real property (land, houses, mobile homes)

•Savings (CDs, IRA or KEOGH accounts, checking and savings accounts, precious metals)

•Cash value of whole life insurance policies

•Stocks and bonds (mutual funds, corporate bonds, savings bonds)

•Other forms of capital investments (business equipment)

Net cash value is determined by subtracting the reasonable costs likely to be incurred in selling or disposing of an asset from the market value of the asset. Examples of such costs are: brokerage or legal fees, settlement costs for real property, or penalties for withdrawing saving funds before maturity.

Net Family assets also include the amount in excess of any consideration received for assets disposed of

by an applicant or resident for less than fair market value during the two years preceding the date of the application or annual reexamination. This does not apply to assets transferred as the result of a foreclosure or bankruptcy sale.

In the case of disposition as part of a separation or divorce settlement, the disposition will not be considered to be less than fair market value if the applicant or resident receives important considerations not measurable in dollar terms.

NON-SMOKING AREA. A non-smoking area includes individual units, common areas, every building and adjoining grounds including but not limited to community rooms, community bathrooms, lobbies, reception areas, hallways, laundry rooms, stairways, offices and elevators, playground areas, entry ways, porches, balconies and patios. Smoking is strictly prohibited on all of the HA's properties, except for specified designated smoking areas.

OCCUPANCY STANDARDS [Now referred to as Subsidy Standards]. Standards established by a PHA to determine the appropriate number of bedrooms for families of different sizes and compositions.

OTHER CRIMINAL ACTIVITY. Any criminal activity including, but not limited to, violent criminal activity which would adversely affect the health, safety, or right to peaceful enjoyment of the public housing premises by other residents or persons residing in the immediate vicinity or employees of the HA.

PARTICIPANT. A family that has been admitted to the PHA program, and is currently assisted in the program.

PERSON WITH DISABILITIES

1. A person who has a disability, as defined in 42 U. S. C. 423, and is determined, under HUD regulations, to have a physical, mental, or emotional impairment that is expected to be of long-continued and indefinite duration, substantially impedes the ability to live independently, and is of such a nature that the ability to live independently could be improved by more suitable housing conditions.
2. A person who has a developmental disability as defined in 42 U.S.C. 6001.
3. An "individual with disabilities", as defined in 24 CFR 8.3, for purposes of reasonable accommodation and program accessibility for persons with disabilities
4. Does not exclude persons who have AIDS or conditions arising from AIDS
5. Does not include a person whose disability is based solely on any drug or alcohol dependence (for low income housing eligibility purposes)

PREMISES. The building or complex in which the dwelling unit is located including common areas and grounds.

PREVIOUSLY UNEMPLOYED. Includes a person who has earned, in the twelve months previous to employment, no more than would be received for 10 hours of work per week for 50 weeks at the established minimum wage.

PUBLIC ASSISTANCE. Welfare or other payments to families or individuals, based on need, which are made under programs funded, separately or jointly, by Federal, state, or local governments.

PUBLIC HOUSING AGENCY (PHA). A state, county, municipality, or other governmental entity or public body authorized to administer the programs. The term "PHA" includes an Indian housing authority (IHA). ("PHA" and "PHA" mean the same thing.)

QUALIFIED FAMILY. A family residing in public housing whose annual income increases as a result of employment of a family member who was unemployed for one or more years previous to employment; or increased earnings by a family member during participation in any economic self-sufficiency or on the job training program; or new employment or increased earnings of a family member, during or within 6 months after receiving assistance, benefits or services under any state program for temporary assistance for needy families funded under Part A of Title IV of the Social Security Act, as determined by the PHA in consultation with the local TANF agency and Welfare to Work programs. TANF includes income and benefits & services such as one time payments, wage subsidies & transportation assistance, as long as the total amount over a 6-month period is at least $500.

QUALITY HOUSING AND WORK RESPONSIBILITY ACT OF 1998. The Act which amended the U.S. Housing Act of 1937 and is known as the Public Housing Reform Bill. The Act is directed at revitalizing and improving HUD's Public Housing and Section 8 assistance programs.

RECERTIFICATION. Sometimes called reexamination. The process of securing documentation of total family income used to determine the rent the tenant will pay for the next 12 months if no interim changes are reported by the family.

REMAINING MEMBER OF TENANT FAMILY. Person left in assisted housing after other family members have left and become unassisted.

RESIDENT is used to refer to participants in terms of their relation as a lessee to the HA as the landlord.

RESIDENCY PREFERENCE. A local preference for admission of persons who reside in a specified geographic area.

RESPONSIBLE ENTITY. For the public housing, Section 8 tenant-based assistance, development-based certificate assistance and moderate rehabilitation program, the responsible entity means the PHA administering the program under an ACC with HUD. For all other Section 8 programs, the responsible entity means the Section 8 owner.

SECRETARY. The Secretary of Housing and Urban Development.

SECURITY DEPOSIT. A dollar amount which can be collected from the family by the owner upon termination of the lease and applied to unpaid rent, damages or other amounts owed to the owner under the lease according to State or local law.

SERVICEPERSON. A person in the active military or naval service (including the active reserve) of the United States.

SEXUAL ORIENTATION. Homosexuality, heterosexuality, or bisexuality.

SINGLE PERSON. A person living alone or intending to live alone who is not disabled, elderly, or displaced, or the remaining member of a tenant family.

SMOKING. The term "smoking" means inhaling, exhaling, breathing, or carrying or possessing any lighted cigarette, cigar, pipe or other tobacco product or similar lighted product in any manner or in any form.

SPECIFIED WELFARE BENEFIT REDUCTION. Those reductions of welfare agency benefits (for a covered family) that may not result in a reduction of the family rental contribution. "Specified welfare benefit reduction" means a reduction of welfare benefits by the welfare agency, in whole or in part, for a family member, as determined by the welfare agency, because of fraud by a family member in connection with the welfare program; or because of welfare agency sanction against a family member for noncompliance with a welfare agency requirement to participate in an economic self- sufficiency program.

SPOUSE. The marriage partner of the head of the household.

SUBSIDIZED DEVELOPMENT. A multi-family housing development (with the exception of a development owned by a cooperative housing mortgage corporation or association) which receives the benefit of subsidy in the form of:

Below-market interest rates pursuant to Section 221(d)(3) and (5) or interest reduction payments pursuant to Section 236 of the National Housing Act; or

Rent supplement payments under Section 101 of the Housing and Urban Development Act of 1965; or

Direct loans pursuant to Section 202 of the Housing Act of 1959; or

Payments under the Section 23 Housing Assistance Payments Program pursuant to Section 23 of the United States Housing Act of 1937 prior to amendment by the Housing and Community Development Act of 1974;

Payments under the Section 8 Housing Assistance Payments Program pursuant to Section 8 of the United States Housing Act after amendment by the Housing and Community Development Act unless the development is owned by a Public Housing Agency;

A Public Housing Development.

SUBSIDY STANDARDS. Standards established by a PHA to determine the appropriate number of bedrooms and amount of subsidy for families of different sizes and compositions.

TENANT. (Synonymous with resident) The person or persons who executes the lease as lessee of the dwelling unit.

TENANT RENT. The amount payable monthly by the family as rent to the PHA.

TOTAL TENANT PAYMENT (TTP). The total amount the HUD rent formula requires the tenant to pay toward rent and utilities.

UNIT/HOUSING UNIT. Residential space for the private use of a family. The size of a unit is based on the number of bedrooms contained within the unit and generally ranges from zero bedrooms to six bedrooms.

UTILITIES. Utilities means water, electricity, gas, other heating, refrigeration, cooking fuels, trash collection and sewage services. Telephone service is not included as a utility.

UTILITY ALLOWANCE. The PHA's estimate of the average monthly utility bills for an energy-conscious household. If all utilities are included in the rent, there is no utility allowance. The utility allowance will vary by unit size and type of utilities.

UTILITY REIMBURSEMENT PAYMENT. The amount, if any, by which the Utility Allowance for the unit, if applicable, exceeds the Total Tenant Payment for the family occupying the unit.

VERY LARGE LOWER-INCOME FAMILY. Prior to the change in the 1982 regulations this meant a lower-income family which included eight or more minors. (Term no longer used)

VERY LOW INCOME FAMILY. A Low-Income Family whose Annual Income does not exceed 50% of the median income for the area, as determined by HUD, with adjustments for smaller and larger families. HUD may establish income limits higher or lower than 50% of the median income for the area on the basis of its finding that such variations are necessary because of unusually high or low family incomes.

VETERAN. A person who has served in the active military or naval service of the United States at any time and who shall have been discharged or released therefrom under conditions other than dishonorable.

VIOLENT CRIMINAL ACTIVITY. Any illegal criminal activity that has as one of its elements the use, attempted use, or threatened use of physical force substantial enough to cause, or be reasonably likely to cause, serious bodily injury or property damage.

WAITING LIST. A list of families organized according to HUD regulations and PHA policy who are waiting for subsidy to become available.

WELFARE ASSISTANCE. Welfare or other payments to families or individuals, based on need, that are made under programs funded, separately or jointly, by Federal, state, or local governments. "Welfare assistance" means income assistance from Federal or State welfare programs, and includes only cash maintenance payments designed to meet a family's ongoing basic needs. The definition borrows from the Department of Health and Human Services' TANF definition of "assistance" and excludes nonrecurring short-term benefits designed to address individual crisis situations. For FSS purposes, the following do not constitute welfare assistance: food stamps; emergency rental and utilities assistance; and SSI, SSDI, and Social Security.

C. TERMS USED IN THE NON-CITIZENS RULE

CHILD. A member of the family other than the family head of household/co-head and/or spouse/marital-type partner who is under 18 years of age.

CITIZEN. A citizen or national of the United States.

EVIDENCE. Evidence of citizenship or eligible immigration status means the documents which must be submitted to evidence citizenship or eligible immigration status.

PHA. A housing authority- either a public housing agency or an Indian housing authority or both.

HEAD OF HOUSEHOLD. The adult member of the family who is the head of the household for purpose of determining income eligibility and rent.

HUD. Department of Housing and Urban Development.

USCIS. The United States Citizenship and Immigration Services.

MIXED FAMILY. A family whose members include those with citizenship or eligible immigration status and those without citizenship or eligible immigration status.

NATIONAL. A person who owes permanent allegiance to the United States, for example, as a result of birth in a United States territory or possession.

NONCITIZEN. A person who is neither a citizen nor nation of the United States.

NONCITIZENS RULE. Refers to the regulation effective June 19, 1995, restricting assistance to U.S. citizens and eligible immigrants.

PHA. A housing authority that operates Public Housing.

RESPONSIBLE ENTITY. The person or entity responsible for administering the restrictions on providing assistance to non-citizens with ineligible immigration status (the PHA).

SECTION 214. Section 214 restricts HUD from making financial assistance available for non-citizens unless they meet one of the categories of eligible immigration status specified in Section 214.

SPOUSE. Spouse refers to the marriage partner, either a husband or wife, who is someone you need to divorce in order to dissolve the relationship. It includes the partner in a common-law marriage. It does not cover boyfriends, girlfriends, significant others, or "co-heads." "Co-head" is a term recognized by some HUD programs, but not by public and Indian housing programs.

D. TERMS USED IN THE VIOLENCE AGAINST WOMEN ACT PROVISIONS

DOMESTIC VIOLENCE. The term "domestic violence" includes felony or misdemeanor crimes of violence committed by a current or former spouse/marital-type partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse/marital-type partner, by a person similarly situated to a spouse/marital-type partner of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction..

DATING VIOLENCE. Violence committed by a person-

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.

SEXUAL ASSAULT.

Any nonconsensual sexual act proscribed by Federal, tribal, or State law, including when the victim lacks capacity to consent.

STALKING.

(A) (i) To follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to-

(i) that person;

(ii) an affiliated individual.

AFFILIATED INDIVIDUAL. Means with respect to an individual-

(A) a spouse/marital-type partner, parent, brother, sister, or child of that individual, or a person to whom that individual stands in the place of a parent to a child (for example, the affiliated individual is a child in the care, custody, or control of that individual); or

(B) any individual, tenant, or lawful occupant living in the household of that individual.

APPENDIX A

HOUSING MANAGEMENT TRANSLATED MATERIALS

Anti-Hate Crime Policy Letter – Spanish
Citizen/Non-Citizen Eligibility Form – Spanish
Declaration of Child Alimony Form – Spanish
HUD Final Guidance
HUD "I Speak" Language Cards
HUD Rent Information Fact Sheet – Chinese
HUD Rent Information Fact Sheet – Korean
HUD Rent Information Fact Sheet – Spanish
HUD Rent Information Fact Sheet – Vietnamese
HUD Things You Should Know Form – Spanish
HUD Protect Your Family From Lead – Spanish
Kings Road Pet Policy – Russian
Maravilla Curfew Policy – Spanish
Maravilla Parking Policy – Spanish
Maravilla Pet Policy – Spanish
Rent Choice Form – Spanish
Satellite Dish Policy – Russian
Satellite Dish Policy – Spanish
Public Housing Lease – Russian
Public Housing Lease – Spanish
Public Housing Lease – Korean
Public Housing Lease – Chinese
VAWA Resident Notice – Spanish
VAWA Resident Notice – Chinese
VAWA Resident Notice – Russian
VAWA Resident Notice – Korean
Personal Declaration – Spanish
Personal Declaration – Russian
Personal Declaration – Korean
Personal Declaration – Chinese

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[1] 24 CFR 100.5
[2] If a unit become uninhabitable due to conditions caused by the resident, any member of the resident household, or the resident's guests, will be addressed through the lease violation process and the resident shall not have the rights set forth above, or if the cause for the conditions is determined after the transfer, the HA may still terminate tenancy.
[3] Such transfers may be initiated after the HA receives input from local law enforcement. In considering whether to initiate such transfers, the HA will take account the circumstances creating the risk of violence and make a determination in the best interest of the resident and the HA.
[4] Voluntary if the family is between the minimum and maximum occupancy standard but the family requests a transfer, e.g. to permit older children of opposite sexes to have separate bedrooms.

FISCAL YEAR 2016-2017

THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES ("MANAGEMENT")
PUBLIC HOUSING LEASE AGREEMENT

Name(s) of Resident(s) _____

_____

Housing Development _____

Address of Residence _____

Unit No. _____ Bedroom Size _____

Lease Date _____ Review Date _____

1. LEASE OF RESIDENCE

A. Management agrees to lease the Residence ("Residence") located at Housing Development described above to

_____

_____

_____

The term of this Lease shall be from_____ 20____ to _____ 20_____. At least thirty (30) days before the end of the twelve month (12) Lease term, Management shall give written notice to the Resident either 1) offering a twelve (12) month lease renewal on the same or revised terms, or 2) a 60 –Day Notice of non-renewal of the Lease by Management, including a statement of good cause, as provided in Section 12 F herein. Management may terminate this Lease at any time with appropriate notice if Resident breaches a material term of the Lease.

B. Occupancy of the Residence is limited to the following members of Resident's household having the relationship shown opposite his or her name.

_____ _____ _____

_____ _____ _____

_____ _____ _____

_____ _____ _____

(See attachment for additional family members if initialed by Resident) _____ Resident's initials

Any additional occupants to the Residence must be approved by Management and set forth by written rider to this Lease signed and dated by both parties. All others are considered unauthorized occupants and may subject this Lease to termination. Management approval of additional occupants shall be based upon such factors as appropriate size dwelling unit and suitability including without limitation, criminal background information.

C. Resident has the right to the exclusive use and occupancy of the Residence. Resident may allow a guest or visitor as described in Section 6 (J) (K) (L) (M), herein and shall not accept any payment from such guest or visitor.

D. Resident understands and agrees that Management enters into this Lease Agreement in reliance upon the truth of information provided in Resident's initial application for housing and subsequent information provided for continued occupancy. The discovery that any such information is false or that information was misrepresented, is a material breach of this Lease Agreement and may result in termination of this Lease and/or retroactive rent charges or other action deemed appropriate under Federal, State and/or local law.

E. Resident acknowledges that the Residence and the Housing Development is not a security complex. Management makes no representations or warranty that the Residence or the Housing Development is secure from theft or any other criminal act perpetrated by any other resident or person. Security officers and/or other security facilities which may be provided by Management are for Management's convenience only, and Management makes no warranties or representations as to the effectiveness of any such security officers or facilities as a deterrent against any criminal activity, damage or injury to Resident, household members or guests, or the personal property of Resident, household members or guests.

2. PAYMENTS OF RENT DUE UNDER THIS LEASE

A. Resident shall pay Management monthly rent of $_____ . Rent shall be paid on or before the first day of each calendar month beginning _____, 20____. The pro-rated rent payment for the period from, _____ 20 to_____, 20____ is $_____ and is due and payable at the time this Lease is executed. If the monthly rent is not paid in full by the fifth (5th) business day of each calendar month, Resident shall pay to the Management a late rent charge of twenty-five dollars ($25.00) due two weeks after receiving the notice. Management shall collect a fee in the amount charged the Housing Authority by the bank anytime a check is not honored for payment, or there are insufficient funds through an Automated Clearing House (ACH) or web based payment. For security reasons, Management shall not accept cash payment of rent. If a Resident is issued a Notice of Termination, the Housing Authority will not accept rent payments in any form unless the resident is in the grievance hearing process.
A Resident's right to pay by personal check shall be suspended if they have given the Housing Authority more than two personal checks that have been dishonored due to insufficient funds.

B. Residents who fail to pay monthly rent by the fifth (5th) business day of each calendar month are delinquent. Being delinquent in rent payment more than three (3) times during the twelve (12) month term of this Lease shall be a material breach of this Lease.

C. At the time that Resident signs this Lease, he/she will give Management a Security Deposit of $_____
After this Lease terminates, Management has the right to apply such amounts from the Security Deposit as are reasonably necessary to remedy damages suffered or cost incurred by Management due to any of the following: Resident's non-payment of rent or any other charges, damage to the Residence or the Housing Development caused by Resident, Resident's household members or guests or visitors, and which exceeds normal wear and tear, and Management's expenses in cleaning the Residence. Within twenty-one (21) days after the Resident vacates the Residence, the refundable amount (if any) of the

Security Deposit will be returned and, unless the full Security Deposit is returned, a written itemization of costs, charges or expenses or damages incurred and the disposition of the Security Deposit will be mailed to Residents' forwarding address. If Resident fails to provide a forwarding address, Management shall hold any refund in trust for Resident, without interest. If such costs, charges or expenses or damages exceed the amount of the Security Deposit, Resident will immediately pay the difference and shall remain obligated for such excess charges, expenses or damages after any termination of the Lease. If Resident vacates the Residence and owes a balance for any of the above reasons, he/she will not be eligible for re-admission to this or any other housing program administered by The Housing Authority of the County of Los Angeles until all outstanding charges have been paid in full.

3. UTILITIES AND APPLIANCES

A. HA Supplied Utilities: If indicated by an (X) below, the HA will provide the indicated utility as part of the rent for the premises:

( ) Electricity ( ) Natural Gas ( ) Water ( ) Sewerage ( ) Other:_____

If indicated by an (X) below, the HA will provide the following appliances for the premises:

( ) Cooking Range ( ) Refrigerator

B. If Resident resides in a development where the HA does not supply electricity, natural gas, heating fuel, water, sewer service, or trash collection, an Allowance for utilities shall be established, appropriate for the size and type of dwelling unit, for utilities Resident pays directly to the Utility supplier. It is the responsibility of the Resident to obtain utilities in his/her own name with the local Utility supplier. Resident's failure to retain utility service is a material breach of this Lease.

If indicated by an (X) below, the HA shall provide Resident with a Utility Allowance in the monthly amount totaling $_____ for the following utilities paid directly by the Resident to the Utility supplier:

( ) Electricity ( ) Gas ( ) Heat ( ) Water ( ) Sewage ( ) Trash Removal

If the Utility Allowance exceeds the Total Tenant Payment, the HA will pay a Utility Reimbursement each month directly to the Resident.

C. If Resident resides in a development where the HA does not provide appliance(s), the resident is responsible for the maintenance and upkeep of any resident owned appliance(s). Failure to maintain such appliance(s) is a material breach of the Lease.

If indicated by an (X) below, Resident owns and is responsible for the maintenance and upkeep of the following appliances for the premises:

( ) Cooking Range ( ) Refrigerator

_____ Resident's Initials

4. OTHER CHARGES

A. Resident shall pay other reasonable charges ("Other Charges") beyond normal wear and tear for repair or damage to the Residence or for unauthorized alteration to the Residence or common areas caused by Resident, other household members or guests. Other Charges shall be billed to Resident and will specify damaged items, corrective action taken and cost of labor and/or materials to correct damages. The cost of repairs will be based upon the Schedule of Other Charges provided to each Resident and which is posted in the Management Office and incorporated into this Lease by reference. The failure of Resident to pay Other Charges when due will constitute a material breach of the Lease. Other Charges will be due within 30 calendar days of receiving an invoice.

B. Management will accept payments of Other Charges separately from payments of rent owed by Resident.

5. REDETERMINATION OF RENT AND OCCUPANCY

A. (1) Once a year, or more frequently as requested by Management, Resident, who elects to pay income-based rent, must provide Management with a true and complete written verification of all family income including anticipated income from all sources, family composition, and any other information deemed pertinent by Management, which will be reviewed by Management to determine whether the rent being paid should be changed and/or if Resident is still eligible for continued occupancy in the Residence. If family composition changes requiring a change in unit size, Resident agrees to transfer to an appropriate size dwelling unit based on family composition upon one transfer offer by Management. If Resident refuses or fails to transfer to an appropriate size dwelling unit based on family composition (except for good cause refusal as set forth in Admissions and Continued Occupancy Policy), such refusal shall be a material breach and Management may terminate the Lease. Each review and redetermination of rent and occupancy in the Residence ("Regular Review") will be made in accordance with approved Admissions and Continued Occupancy Policy available in Management's Office and incorporated herein by reference.

(2) Management may begin processing the Regular Review prior to making a determination of whether or not to renew Resident's Lease. Management's action to begin the Regular Review shall not be deemed a waiver by Management of its right to refuse renewal of a Resident's Lease in accordance with Section 1A herein.

(3) Any rent adjustment required as a result of the Regular Review will be effective thirty (30) days after service on Resident of a Notice of Rent Adjustment as provided in subparagraph D below. The Resident may ask for an explanation stating the specific grounds of Management's determination. If Resident does not agree with the determination, the tenant shall have the right to request a hearing under Management's grievance procedure. The Regular Review will occur on or about the same date or dates each calendar year during Resident's occupancy. If Resident or Resident's household does not provide Management the written verification as to all items requested as described above, or execute authorization to release such items, within ten (10) days of

Management's request, or if Resident or Resident's household misrepresents any material fact or omits or fails to state any material fact therein, then Resident will have materially breached this Lease.

B. Interim Rent Redetermination

(1) The monthly rent described in Section 2 of this Lease as adjusted pursuant to the latest Regular Review described in subparagraph (A) above, will remain constant for the period between Regular Reviews, unless during such a period any of the following "Changes in Circumstance" occur:

(a) There is a loss of head of household (Resident) due to death, abandonment, separation, divorce or other continuing circumstances. In such event the Lease shall terminate and a new Lease shall be executed by a responsible, residual adult family member deemed legally eligible by the accepted Admissions and Continued Occupancy Policy (a copy of which is on file in Management's office and which is incorporated herein by reference), and Management.

(b) There is a loss of any person(s) other than head of household, whose name is listed in Section 1 (A), as a "Resident".

(c) There is an addition of a member of the household who is 18 years of age or older, by marriage, remarriage, cohabitation or otherwise, and the additional member is added to the Lease in accordance with Management's policy.

(d) Resident can show a change in his/her family circumstances (such as decrease in income) or other similar circumstances which will create a hardship to the Resident in relation to the prompt payment of the rent. In the event the monthly rent payment is decreased because of a verifiable hardship to Resident, Resident must promptly report to management any increases in family income which occur, and the monthly rent will be appropriately adjusted.

(e) ~~There is an additional source of income for any eligible family member, and/or income or the addition of a family member to the household.~~

A TRUE AND COMPLETE STATEMENT OF ALL CHANGES DESCRIBED IN SUBPARAGRAPHS (a), (b) (c) (d) AND (e) ABOVE MUST BE REPORTED TO MANAGEMENT WITHIN TEN (10) CALENDAR DAYS OF THEIR OCCURRENCE AND ANY FAILURE BY RESIDENT TO DO SO OR ANY MISREPRESENTATION OF ANY SUCH CHANGES WILL BE A MATERIAL BREACH OF THE LEASE.

(2) If, upon verification of a Change in Circumstances, Management determines that Resident's monthly rent does not conform to regulatory requirements, an adjustment in the monthly rent will be made. Interim adjustments in the monthly rent will be effective as follows:

(a) Any decrease in rent will be effective on the first day of the calendar month following the month in which a Change in Circumstances was reported. If the reduction in income is reported after the Housing Authority's cut-off date for the following month rent set-up, Management will charge the resident the former, higher rent, subject to a credit when the circumstances of reduction are verified.

(b) Any increase in rent will be effective on the first day of the second calendar month following the month in which the Change in Circumstance occurred.

(c) No interim increase or decrease in rent will be made until all the information for making the determination has been verified by Management.

C. Retroactive Rent

If the Resident or a member of his/her household misrepresents facts, omits any pertinent information, or fails to inform Management of information it requires for an annual reexamination or interim rent adjustment and these failures result in a lower rent than should have been charged, Management, in its sole discretion, may terminate the Lease for a material breach and/or may make the rent increase retroactive to the date it would have been effective. Any resulting retroactive rent must be paid by the Resident according to the terms of the Repayment Agreement. In addition to collecting the retroactive rent and/or terminating the Lease Agreement, Management may pursue any additional actions allowable under Federal, State or local law.

D. Notice

Management will mail or deliver a "Notice of Rent Adjustment" to Resident at the Residence in accordance with Section 11 of this Lease in the event that the monthly rent payment is increased or decreased pursuant to Section 5.

E. Confidentiality of Record

All records and information given by Resident to Management shall remain confidential and shall not be disclosed except insofar as the Management is authorized by California Health & Safety Code section 34217, the Privacy Act of 1974: Amendment to an existing system of records; Enterprise Income Verification HUD/PIH-5 or any other Federal and State Law to make disclosures to third parties or government agencies or as requested by Resident or the Resident's authorized representative.

6. RESIDENT'S OBLIGATIONS

Violation of this section may result in termination of this Lease Agreement. Resident agrees:

A. To refrain from, and to assure that household members and guests refrain from creating or maintaining a threat to the health and safety of other Residents, Management's employees, or the public, or engaging in illegal or offensive behavior, including but not limited to: (i) committing a crime that subjects a Resident or any household member to a lifetime sex offender registration requirement imposed by any State sex offender registration program; (ii) being in possession of an unregistered or illegal gun or other firearm; (iii) shooting guns or other firearm; (iv) threatening others with a gun or other firearm, knives or weapons; (v) verbal threats of criminal activity; (vi) lighting, exploding, storing or possessing firecrackers, explosives or flammable or combustible materials such as expired fire extinguishers or fluids; or (vii) engage in a Hate Crime in violation of California Penal Code 422.6 which includes but is not limited to the use of force or threat of force, willfully injure, intimidate, interfere with, oppress, or threaten any other person in whole or in part because of that person's race or ethnicity, disability, gender, nationality, religion, sexual orientation or association with a person or group with one or more of these actual or perceived characteristics and knowingly deface, damage, or destroy the real or personal property of any other person for in whole or in part because of that person's race or ethnicity, disability, gender, nationality,

religion, sexual orientation or association with a person or group with one or more of these actual or perceived characteristics. Resident understands and acknowledges that committing any of the acts described in this subparagraph (A) is a material breach of this Lease and that Management may proceed with termination of the Lease for any such violation on three (3) day's notice.

_____Resident's Initials

B. To refrain from and to assure household members and guests refrain from engaging in drug-related criminal activity on or off the Housing Development premises. Resident expressly acknowledges and agrees that the illegal manufacture, sale, distribution or use of, or possession with the intent to manufacture, sell, distribute or use, a controlled substance is a drug-related criminal activity. Resident further expressly acknowledges and agrees being on or off the Housing Development premises and having a controlled substance in his/her system is in violation of this subparagraph (B). Resident understands and acknowledges that committing any of the above acts described in this subparagraph (B) is a material breach of this Lease, and that Management may proceed with termination of the Lease for any such violation on three (3) day's notice.

_____Resident's Initials

C. To refrain from and to assure household members and guests refrain from engaging in violent criminal activity on or off the Housing Development premises. Resident expressly acknowledges and agrees that the commission of such violent criminal activity creates a threat to the health and safety of other Residents, Management's employees, and the public. A violation of this subparagraph (C) is a material breach of this Lease, and Management may proceed with termination of the Lease for any such violation on three (3) day's notice.

_____ Resident's Initials

D. To refrain from and to assure household members and guests refrain from abusing alcohol in a way that interferes with the right to peaceful enjoyment of the Housing Development by other Residents.

_____Resident's Initials

E. To refrain from and to assure household members and guests refrain from illegal or other activity which impairs the physical or social environment of the Housing Development.

F. To conduct himself/herself and to assure household members and guests conduct themselves in a manner that will not disturb his/her neighbors peaceful enjoyment of their residences and the public areas, and will be conducive to maintaining the Housing Development in a decent, safe and sanitary condition.

G. To immediately notify Management in writing if a member of the household is no longer residing in the Residence. Resident shall continue to be responsible for the actions of said household member until Management has been notified in writing of the change and the household member is removed from the Lease

H. To refrain from and to assure household members or guests refrain from interfering with the job responsibilities of authorized vendors, service personnel or representatives of Management.

I. To physically occupy the dwelling unit as the Resident's primary place of Residence. Although a Resident continues to pay rent and utilities, a resident may not be absent from the Premises for longer than 30 consecutive days, or 120 days if the absence is due to verified medical reasons without losing his/her rights to tenancy to the Premises. A Resident who plans to be or is absent from his/her unit for longer than 90 days must inform Management to avoid lease termination. A Resident may request in writing to have a longer absence approved.

J. Not to assign this Lease or to sublet or transfer possession of all or any room of the Residence.

K. Not to give accommodations to paid boarders or lodgers.

L. To use the Residence solely as a private dwelling for Resident and Resident's household members and not to use, or permit its use, for any other purpose, except for a home occupation if authorized in writing by Management. Authorization for a home occupation shall be in the sole discretion of Management pursuant to the standards set forth in the Admissions and Continued Occupancy Policy. Resident may provide reasonable accommodations for Resident's guests, foster children or live-in caretaker for a member of Resident's household, except that Resident must obtain written permission of Management prior to giving accommodations to foster children or live-in caretaker. Resident is responsible for foster children and/or live-in caretakers who shall refrain from drug-related criminal activity and/or violent criminal activity or other activity which impairs the physical or social environment of the Housing Development or which disturbs other Residents' peaceful enjoyment of their Residence or the Housing Development.

M. To promptly obtain Management's written approval for the presence of any person not identified in Section 1 B as a member of Resident's household who occupies the residence for over ten (10) consecutive days or a total of fourteen (14) days within a twelve (12) month period.

N. To refrain from the use of the unit address for any purpose by a non-household member.

O. To abide by and to assure household members or guests abide by all necessary and reasonable rules and regulations established for the benefit and well-being of the Housing Development in which the Residence is located, which rules and regulations will be posted in Management's office and are incorporated into this Lease by this reference. Such rules and regulations may be modified from time to time as provided in Section 15.

P. To comply with all obligations imposed upon Residents by applicable provisions of Federal and State law and local building and housing codes, which materially affect health and safety.

Q. Not to keep any pets inside or outside the Residence unless permitted by the Housing Authority policy or by State or Federal law, and only after Management and Resident sign a Pet Agreement as an Addendum to this Lease.

R. To keep the Residence and such other areas including rear yards and/or patios as may be assigned to the Resident for his/her exclusive use, in a clean, sanitary and safe condition, comply with housekeeping standards and to promptly notify Management whenever repairs to his/her Residence are required.

S. To allow Management upon advance notification pursuant to Section 10 to enter the Residence in order to complete fumigation for the control of vermin and/or roaches, or to perform repairs, maintenance or other services such as painting or rehabilitation work. Resident further agrees to have the Residence prepared on said date and time for repairs, maintenance or other services and/or work.

T. To dispose of all garbage, rubbish and other waste from the Residence in a sanitary and safe manner in the receptacles and trash bins provided by Management or owned by Resident.

U. To use only in a reasonable and safe manner all electrical, plumbing, sanitary, heating, ventilating, air-conditioning and other facilities and appurtenances including elevators.

V. To refrain from and to assure household members and guests refrain from defacing, painting, damaging, destroying or removing any part of the Residence or Housing Development.

W. To make no major repairs, alterations or additions, including but not limited to changing locks and installing security bars, doors or safety devices without the prior written consent of Management, which consent Management may withhold at its sole discretion and to make no repairs at the cost of Management as permitted by California Civil Code Section 1942 without first giving Management notice and a reasonable time to commence repairs as provided by California Civil Code Section 1942. Any repairs made by Resident will be in accordance with California Civil Code Section 1942.

X. To not disturb the unit by piercing the surface of the ceiling or any wall in the unit by drilling or any other method; hanging plants, or any other objects from the ceiling or any wall; attaching any fixtures to the ceiling or any wall; allowing any objects to come in contact with the ceiling or any wall; permitting water or any liquid, other than ordinary steam condensation, to come into contact with the ceiling or any wall; painting, or undertaking any repairs of any portion of the ceiling or any wall; replacing light fixtures; and undertaking any activity which results in building vibration that may cause damage to the ceiling or any wall in the unit.

Y. To promptly notify Management if there is any damage to or deterioration of the ceiling or any wall in the unit, including, without limitation, flaking, loose, cracking, hanging or dislodged material, water leaks, or stains in the ceiling or any wall in the unit.

Z. To pay reasonable charges within 30 calendar days of receiving the invoice (other than for ordinary wear and tear) for the repair of damages to the Residence, Housing Development, buildings, facilities or common areas which are caused by Resident, his/her household members and guests according to the Schedule of Other Charges referred to in Section 4A (if applicable).

AA. To maintain Resident-paid utilities (gas and/or electricity), if applicable, by paying promptly for such services to avoid shut-off and to refrain from tampering with utility meter devices.

BB. Not to display on or about the Residence or Housing Development any advertisements for goods or services without the prior written approval of Management, which approval may be withheld at Management's sole discretion.

CC. To refrain from parking vehicles which habitually leak oil or other hazardous substances in Housing Authority parking areas as this creates a safety hazard; to refrain from conducting major vehicle repairs in Housing Authority parking areas or other Housing Authority premises; and to refrain from leaving inoperable vehicles in the parking areas for greater than 72 hours.

DD. To park only in areas designated for Resident's parking and to ensure that guests do not park in parking areas of other Residents of the Housing Development and to abide by parking regulations adopted by Management, which regulations shall be posted in the parking areas when feasible and in Management's office and are incorporated herein by reference. Management reserves the right to control the method, manner and time of parking spaces in and around the Housing Development; and to tow away at owner's expense, any vehicle parked in violation of the regulations.

EE. To be able to abide by the terms of the Lease or be assisted in doing so with available resources, so as not to be a safety or health hazard to themselves, other Residents or Management.

FF. To abide by the Management adopted Curfew and Loitering Policies that are posted in the Management office and are incorporated herein by reference.

_____Resident's Initials

GG. That upon receiving written notice of a banned individual from Management, Resident and/or household members shall not associate on Housing Development premises with an individual banned from the Housing Development.

_____Resident's Initials

HH. To refrain from and assure household members or guests refrain from obstructing any passageway, sidewalk, stair or hallway in the Housing Development

II. To return unit keys to the management office by 5:00 p.m. the day a resident permanently vacates their unit. Residents who fail to return their unit keys before the 5:00 p.m. deadline will incur a charge equal to one day's rent. To return unit keys of a previous unit when moving to a new unit, within five (5) calendar days of the date the transfer offer is made.,

JJ. To comply with the Community Service Requirement as set forth in the Admissions and Continued Occupancy Policy. Failure to comply is a material breach of the Lease and Management may proceed with non-renewal or termination of the Lease.

_____Resident's Initials

KK. To comply with the HA's pest control procedures and to immediately report the presence of water leaks, bed bugs, roaches or other similar pests.

LL. To safely and in a sanitary manner dispose of personal property with bed bugs, roaches or other similar pests.

MM. To abide by the "Public Housing Non-Smoking Lease Agreement Addendum".

_____Resident's Initials

NN. To abide by the "Public Housing Pest Control Lease Agreement Addendum".

_____Resident's Initials

OO. Prevention of Mold

(1) To remove any visible moisture accumulation in or on the unit, including on walls, windows, floors, ceilings, and bathroom fixtures; clean spills and thoroughly dry affected area as soon as possible after occurrence; and use exhaust fans in kitchen and bathroom when necessary.

(2) To clean the unit regularly and keep the unit well ventilated particularly the kitchen and bathroom.

(3) To promptly notify Management of the presence of the following: a water leak, excessive moisture, or standing water inside the unit and any site common area; mold like substance in or on the unit that persists after resident has attempted to remove it with a household cleaning solution or a combination of water and bleach; and a malfunction in any part of the heating, air conditioning, or ventilation system in the unit.

_____Resident's Initials

7. MANAGEMENT'S OBLIGATIONS

Management agrees:

A. To maintain the Residence and the Housing Development in a decent, safe and sanitary condition.

B. To comply with requirements of applicable building and housing codes and U.S. Department of Housing and Urban Development regulations materially affecting health and safety.

C. To make necessary repairs to the Residence.

D. To keep Housing Development buildings, facilities and common areas not reserved for the exclusive use of the Resident in a clean and safe condition.

E. To maintain in good and safe working order and condition electrical, plumbing, sanitary, heating, ventilation and other facilities, including elevators and appliances, supplied or required to be supplied by Management.

F. To provide and maintain appropriate receptacles and facilities (except containers for the exclusive use of Resident and his/her household) for the deposit of garbage, rubbish and other waste which must be removed from the Residence by Resident as provided in Section 6.

G. To supply running water, reasonable amounts of hot water and reasonable amounts of heat as required by seasonal weather conditions, except where the building that includes the Residence is not required by law to be equipped for such purpose, or where heat or hot water is generated by an installation which is in the exclusive control of Resident and is supplied by a direct utility connection.

H. To publicly post in a conspicuous manner in the Management Office all rules and regulations and schedules incorporated by reference in this Lease, and to furnish copies thereof to Resident upon request, upon payment to Management of a reasonable charge for such copies.

I. To notify the Resident of any proposed adverse action by Management. Such adverse action, includes, but is not limited to, a proposed lease termination, transfer of the resident and household members to another unit or imposition of charges for maintenance and repair. When Management is required to afford the Resident the opportunity for a hearing under the grievance procedure concerning a proposed adverse action, the notice of proposed adverse action shall inform the Resident of the right to request such hearing. In the case of a lease termination, a notice of lease termination in accordance with Section 11, shall constitute adequate notice of proposed adverse action. In the case of a proposed adverse action other than a proposed lease termination, Management shall not take the proposed action until the time for the Resident to request a grievance hearing has expired, or if a hearing was timely requested by the Resident, the grievance process has been completed.

J. To offer Resident a choice to select an income-based rent or a flat rent.

8. HAZARDOUS DEFECTS

Resident agrees to take every precaution to prevent fires, to not store gasoline, solvent, paper, cardboard or other flammable or combustible materials or substances in the Residence, and to supervise his/her children to ensure they do not play with matches or lighters, and agrees to promptly notify Management of the existence of any of the foregoing conditions in the Residence and, upon Resident's knowledge thereof, in the surrounding Residences and common areas of the Housing Development. In the event the Residence is damaged such that conditions are created which are hazardous to the life, health or safety of the occupants of the Residence:

A. Resident shall immediately notify Management of the damage.

B. Management shall be responsible for repair of the Residence within a reasonable time, provided that if the damage was caused by Resident, a member (s) of his/her household or Resident's guest (s), the reasonable cost for repairs will be charged to Resident and Management may terminate the Lease.

C. Management will offer standard alternative living accommodations, if available, when necessary repairs cannot be made within a reasonable time. However, if the damage was caused by Resident, a member(s) of his/her household or Resident's guest (s), and Management elects to terminate the Lease, Management shall not be required to offer alternative accommodations. If Management discovers the damage was caused by Resident, a member(s) of his/her household or

Resident's guest(s) after Resident has moved into the alternative accommodations, Management may terminate the Resident's lease and demand Resident to vacate the alternative accommodations.

D. Rent will be reduced in proportion to the seriousness of the damage and loss in the value of the Residence as a dwelling in the event repairs are not made by Management within a reasonable time or alternative accommodations are not provided when required (except that rent will not be reduced if Resident rejects the alternative living accommodations or if the damage was caused by Resident, a member of Resident's household or a guest).

9. INSPECTIONS

A. Prior to the time that the Resident begins to live in the Residence, and prior to the time Resident vacates the Residence, a representative of Management and Resident (or his/her representative) will inspect the Residence, unless Resident has vacated the Residence without notice to Management, in which case Management may perform an inspection without prior notice to Resident. WITH RESPECT TO THE PRE-OCCUPANCY INSPECTION: Resident will be given a written statement of the condition of the Residence and the appliance(s) and/or furniture provided with the Residence, which will be signed by Management and Resident. Management will keep a copy of the inspection report in the Resident's file folder. If Resident disputes the inspection statement and decides not to occupy the Residence, Management will refund all rent and deposits paid in advance to Resident. Resident has the right to request an amendment to the inspection statement within seven (7) days after taking possession of the Residence if defects are discovered which existed prior to occupancy and were not noted during the original inspection and which did not occur as a result of any fault of the Resident, a member of his/her household or guest.

WITH RESPECT TO THE PRE-TERMINATION INSPECTION: Resident will be given a statement of the condition of the Residence, the appliances, and/or furniture, and/or any other charges to be paid by Resident pursuant to Section 4.

10. ENTRY OF PREMISES DURING TENANCY

A. Resident Obligations:

(1) Resident agrees that the duly authorized agent, employee, or contractor of the HA shall be permitted to enter the Residence during reasonable hours for the purpose of performing routine maintenance, making improvements or repairs, inspecting the unit, or showing the unit for re-leasing. Resident also agrees that the HA, or its duly authorized agent, employee, or contractor may take photographs inside the residence while conducting official HA business.

(2) When Resident calls to request maintenance on the Residence, the HA shall attempt to provide such maintenance at a time convenient to the Resident. If Resident is absent from the dwelling unit when the HA comes to perform maintenance, the Resident's request for maintenance shall constitute permission to enter.

B. HA Obligations:

(1) The HA shall give the Resident at least 48 hours written notice that the HA intends to enter the unit. The HA shall enter only at a reasonable time.

(2) The HA may enter the Residence at any time without advance notification when there is reasonable cause to believe that an emergency exists.

(3) Should the Resident and all adult members of the household be absent from the Residence at the time of entry, the HA shall leave in the Residence a written statement specifying the date, time, and purpose of entry prior to leaving the Residence.

11. NOTICES

A. Any notice required or desired to be given by Management to Resident will be sufficient if:

(1) Delivered in writing to Resident personally; or

(2) Delivered in writing personally to any member of the Resident's family of suitable age and discretion living in the Residence; or

(3) Sent by first-class mail, postage prepaid, properly addressed to the Resident at the Residence; or

(4) In the case of notice per Section 15 of any proposed changes in rules, regulations, procedure or schedules, Management may, as an alternative to any of the notices provided in (1), (2), or (3) above, post such notice in at least three (3) conspicuous places within the structure or building in which the Residence is located, as well as in a conspicuous place in the Management Office.

B. Any notice to Management from Resident must be in writing, and must either be delivered or sent by first-class, postage pre-paid mail which is properly addressed to the Director of the Housing Management Division at P.O. Box 1510, Alhambra, California 91802.

C. Notices given as provided above shall be deemed effective on the date personally delivered or posted or mailed.

12. TERMINATION OF LEASE/NONRENEWAL OF LEASE

A. This lease may be terminated by Resident at any time by giving thirty (30) days written notice to Management in the manner specified in Section 11. Resident agrees to leave Residence in clean and good condition, reasonable wear and tear excepted, and to return the keys to Management when Resident vacates the Residence. If Resident fails to give proper notice, Resident will be responsible for payment of thirty (30) days rent from the date notice is given, or keys are returned, or the date the vacant unit is discovered by Management. If Resident transfers to another Management operated dwelling unit, unpaid rent balance and/or other charges under this Lease must be paid prior to effective date of new Lease. Exceptions may be made at the sole discretion of Management.

B. This Lease shall terminate upon the death of the Resident.

C. This Lease shall terminate upon the abandonment of the Residence by Resident.

D. If Management desires to terminate the Lease because of Resident's material breach of this Lease, Management shall give Resident written notice of termination of the Lease, which notice will require that the Resident vacate the premises in the time shown as follows:

(1) Fourteen (14) days in the case of failure to pay rent.

(2) Three (3) days if Resident, a member of his/her household or a guest engaged in any activity which creates or maintains a threat to the health or safety of other residents, Management's employees, or the public, in the Residence and/or the Housing Development. Violation of sections 6 (A), (B), or (C) shall be deemed activity, which creates such a threat to the health or safety of other residents, Management's employees, or the public.

(3) Thirty (30) days in all other cases.

E. Any notice of termination given to Resident shall state reasons for the termination, and except as provided in Section 12 (G) herein, shall inform Resident of his/her right to dispute the notice pursuant to the grievance procedure referred to in Section 13.

F. Management may terminate this Lease prior to its expiration or refuse to renew this Lease only for good cause which includes, but not limited to (i.) failure to comply with the Community Service Requirement, (ii) Resident's violation of any material term of the Lease, (iii) violation of Resident's obligations as described in Section 6 of this Lease, (iv) repeated violation of any terms, material or non- material, of this Lease.

G. Resident shall not be entitled to use the grievance procedure in the case of termination of this Lease or eviction of Resident under the unlawful detainer laws of the State of California due to any criminal activity that threatens the health, safety or right to peaceful enjoyment of other Residents or Management's employees or for any drug-related criminal activity as defined in and set forth in Section 6 herein.

H. This Lease shall terminate if no remaining family member in the household is a U.S. Citizen or an eligible immigrant.

I. This Lease shall terminate if a household has an income that is over 120 percent of the Area Median Income (AMI) for the most recent two (2) consecutive years; the Housing Authority will terminate the family's tenancy within 6 months of the two (2) consecutive years. This clause excludes families receiving the Earned Income Disregard and families participating in the Family Self-Sufficiency (FSS) Program.

13. GRIEVANCE PROCEDURE

A. Except as provided in Section 12 (G) herein, all grievances arising under this Lease shall be processed and attempted to be resolved pursuant to the grievance procedure which is in effect at the time of such grievance or appeal. This grievance procedure shall be posted in the Management office and is incorporated herein by this reference.

B. Resident shall be provided a reasonable opportunity, prior to hearing or trial, to examine any relevant documents, records or regulations directly related to the adverse action.

C. Management shall be provided a reasonable opportunity, prior to hearing or trial, to examine any relevant documents, records or regulations directly related to the adverse action.

D. Resident has the right to be represented by counsel or other person chosen as a representative at the family's expense.

14. RESIDENT'S RIGHTS UNDER VIOLENCE AGAINST WOMEN'S ACT (VAWA)

A. Being a victim of domestic violence, dating violence, sexual assault or stalking, engaged in by a member of the Resident's household or any guest or other person under the Resident's control is not by itself a cause for termination under Paragraph 6 of this Lease Agreement.

B. Criminal activity directly relating to domestic violence, dating violence, sexual assault or stalking that is engaged in by a member of the Resident's household or any guest or other person under the tenant's control, shall not be cause for termination of the tenancy, if the Resident, or affiliated individual of the Resident is a victim of that domestic violence, dating violence, sexual assault or stalking.

C. The Housing Authority may remove a member of the household from the lease, without regard to whether the member is a signatory to the lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a resident or authorized member and who engages in criminal acts of physical violence against the Resident or other authorized members or against others, without evicting, removing, terminating assistance to or otherwise penalizing the victim of such violence who is also a resident or authorized member.

D. The Housing Authority maintains the right to evict Resident or authorized member of the Resident's household, including a victim of domestic violence, for any violation of this Lease not premised on the act or acts of domestic violence in question.

E. The Housing Authority maintains the authority to terminate if it can demonstrate that the Resident, authorized members of the Resident's household, any guest or other person under the Resident's control, are causing an actual and imminent threat to other Residents, Management employees, agents of management, or persons residing in the immediate vicinity of the Premises.

F. Once the Housing Authority is notified, Management retains authority to honor court orders relating to the rights of access to or control of the property, including civil protection orders (i) issued to protect the victim and (ii) issued to address the distribution or possession of property among the family members in cases where a family breaks up.

15. MODIFICATIONS OR CHANGES

Modification of this Lease shall be by written rider executed by both parties, except for changes in rent made pursuant to Section 5 or changes or amendments set forth below. Management may, from time to time, make changes or amendments in the Utility Allowance, Schedule of Other Charges, and any and all other rules, regulations, schedules or procedures (including grievance procedures) herein or affecting the Lease and any and all such changes and amendments (subject to compliance with the notice procedure specified below) shall become part of this Lease. Prior to any change or amendment becoming effective Management shall provide at least thirty (30) days written notice to Resident setting forth the proposed change or amendment, the reasons therefore, and providing Resident an opportunity to make written comments. This notice shall be served on Resident as set forth in Section 11.

16. NO WAIVER

Notwithstanding any conduct or custom on the part of Management, the failure of Management to enforce any of Management's rights under this Lease Agreement shall not be construed as having created a custom in any way contrary to the specific terms of this Lease or as having in any way modified this Lease or as having constituted a Waiver of any of Management's rights or obligations under this Lease.

IN WITNESS, WHEREOF, the parties have executed this Lease this _____day of_____, 20_.

at _____

THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES

By:_____

_____(Resident) _____ (Date)

_____(Resident _____(Date)

Its: _____

The following adult members of the household as set forth in Section 1(B) have reviewed and acknowledge their receipt of this Lease Agreement.

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

I (we) have been provided a copy of this Lease in Chinese.

Initial(s) _____

I (we) have been provided a copy of this Lease in Korean.

Initial(s) _____

I (we) have been provided a copy of this Lease in Russian.

Initial(s) _____

I (we) have been provided a copy of this Lease in Spanish.

Initial(s) _____

FISCAL YEAR 2016-2017

THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES ("MANAGEMENT")

PUBLIC HOUSING NON-SMOKING LEASE AGREEMENT ADDENDUM

This Lease Agreement Addendum is incorporated into the Lease Agreement between Management and the Resident(s) listed below:

Name(s) of Resident(s) _____

_____

Housing Development _____

Address of Residence _____

Unit No. _____

This Addendum hereby supplements and modifies the Public Housing Lease Agreement, and shall be incorporated as part of the Lease Agreement.

EXCEPT FOR ONE SPECIFIED DESIGNATED SMOKING AREA AT THE SOUTHBAY GARDENS SENIOR HOUSING DEVELOPMENT, SMOKING IS STRICTLY PROHIBITED ON ALL OTHER HOUSING DEVELOPMENTS' PREMISES INCLUDING IN INDIVIDUAL UNITS, COMMON AREAS, EVERY BUILDING AND ADJOINING GROUNDS

1. **Purpose of Non-Smoking Policy.** Management would like to mitigate (i) the irritation and known health effects of secondhand smoke; (ii) the increased maintenance and cleaning costs from smoking; (iii) the increased risk of fire from smoking; and (iv) the higher costs of fire insurance for a non-smoke-free building.

2. **Definition of Smoking.** The term "smoking" means inhaling, exhaling, breathing, or carrying or possessing any lighted cigarette, cigar, pipe or other tobacco product or similar lighted product in any manner or in any form. Additionally, "smoking" also includes but is not limited to the use of an electronic cigarette (e-cig or e-cigarette), a personal vaporizer (PV) or an electronic nicotine delivery system (ENDS).

3. **Non-Smoking Area**. Resident agrees and acknowledges that it is strictly prohibited to smoke on the premises occupied by the Resident and members of the Resident's household in any common areas, including but not limited to community rooms, community bathrooms, within all living units, lobbies, reception areas, hallways, laundry rooms, stairways, offices and elevators, playground areas, entry ways, porches, balconies and patios. Resident and members of Resident's household shall not smoke anywhere in said Non-Smoking Area. The Resident may not permit any guests or visitors under the control of the Resident to smoke in said Non-Smoking Area.

4. **Designated Smoking Area:** Management will permit smoking only at the Southbay Gardens Senior Housing Development, at one specified open-air area that is at least 20 feet away from an HA building and identified with clear signage as a "Designated Smoking Area". All other housing developments are completely smoke free.

5. **Resident to Promote Non-Smoking Policy and to Alert Management of Violations.** Resident shall inform Resident's guests or visitors of the non-smoking policy. Residents are also encouraged to promptly submit to Management a written statement of any incident where tobacco smoke is migrating into the Resident's unit from sources outside of the Resident's unit.

6. **Resident Financial Responsibility.** Residents acknowledges that the HA holds Residents financially responsible for the mitigation of any damages caused by smoking in the Resident's unit or caused by smoking in non-smoking areas on the HA's premises. Residents shall pay for these damages as set forth in the Lease as "Other Charges". Costs incurred may include but are not limited to cleaning, sealing, painting, deodorizing, duct cleaning, and possible replacement of fixtures and various surface materials.

7. **Management to Promote Non-Smoking Policy.** Management shall post no-smoking signs at entrances and exits, in common areas, and in conspicuous locations adjoining the grounds of the Non-Smoking Area.

8. **Management Not a Guarantor of Smoke-Free Environment.** Resident acknowledges that Management's adoption of a non-smoking living environment does not make Management the guarantor of the Resident's, members of the Resident's household or their guests/visitors health or of the non-smoking condition of the Resident's unit and the common areas. However, Management shall take reasonable steps to enforce the non-smoking terms in this Lease Addendum and to make the Non-Smoking Area as smoke-free as is reasonably possible. Management is not required to take steps in response to smoking unless Management is aware of said smoking or has been given written notice of said smoking.

9. **Effect of Breach and Right to Terminate Lease.** A breach of this Lease Addendum shall give each party all the rights contained herein, as well as the rights contained in the Lease. A material or continuing breach of this Addendum shall be a material breach of the Lease and grounds for termination of the Lease by Management.

10. **Disclaimer by Management.** Resident acknowledges that Management's adoption of a non-smoking living environment does not in any way change the standard of care that Management would provide to a resident household to render buildings and premises designated as non-smoking any safer, more habitable, or improved in terms of air quality standards than any other rental premises. Management specifically disclaims any implied or express warranties that the building, common areas, or Resident's premises will have any higher or improved air quality standards than any other rental property. Management cannot and does not warrant or assert that the rental premises or common areas will be free from secondhand smoke. Resident acknowledges that Management's ability to police, monitor, or enforce the agreements of this Addendum is dependent in significant part on compliance by the Resident and Resident's guests/visitors. Residents and Resident's guests/visitors with respiratory ailments, allergies, or any other physical or mental condition relating to smoke are put on notice that Management does not assume any higher duty of care to enforce this Addendum than any other Management obligation under the Lease.

IN WITNESS, WHEREOF, the parties have executed this Lease Addendum this _____day of_____, 20__.

at _____

THE HOUSING AUTHORITY OF THE

COUNTY OF LOS ANGELES

By:_____

_____(Resident) _____ (Date)

_____(Resident _____(Date)

Its: _____

The following adult members of the household as set forth in Section 1(B) of the Lease Agreement have reviewed and acknowledge their receipt of this Lease Agreement Addendum.

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

I (we) have been provided a copy of this Lease Addendum in Chinese.

Initial(s) _____

I (we) have been provided a copy of this Lease Addendum in Korean.

Initial(s) _____

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I (we) have been provided a copy of this Lease Addendum in Spanish.

Initial(s) _____

FISCAL YEAR 2016-2017

THE HOUSING AUTHORITY OF THE COUNTY OF LOS ANGELES ("MANAGEMENT")

PUBLIC HOUSING PEST CONTROL LEASE AGREEMENT ADDENDUM

This Lease Agreement Addendum is incorporated into the Lease Agreement between Management and the Resident(s) listed below:

Name(s) of Resident(s) _____

_____

Housing Development Name_____

Address of Residence _____

Unit No. _____

REPRESENTATIONS:

WHEREAS bedbugs and other pests have become a problem facing the Housing Authority and the Resident; and

WHEREAS the Housing Authority and the Resident agree that policies need to be established to control these pests; and

WHEREAS the Housing Authority and the Resident need to clearly define their roles in handling incursions by these pests.

NOW, THEREFORE, the Resident agrees to adhere to the provisions set forth below with a clear understanding of the Resident's and Housing Authority's responsibilities under this Lease Agreement Addendum and therefore minimizing the costs, inconveniences and misunderstandings that often result from pest infestation.

1. This Addendum hereby supplements and modifies the Public Housing Lease Agreement, and shall be incorporated as part of the Lease Agreement.

2. For purposes of this Addendum, "bedbugs" or "pests" mean any insect of the genus cimex including but not limited to cimex lectularius, and/or its eggs. "Pests" also include but is not limited to roaches and spiders.

3. Resident agrees that he/she has read the bedbug educational materials provided by Management and states one of the following (check one box):

   ☐ Resident is not aware of any infestation of bedbugs in your current or previous apartment, home or dwelling. Resident agrees that he/she is not aware of any bedbug infestation or presence in any of his/her furniture, clothing, personal property or possessions. Resident further agrees that he/she has not been subjected to conditions in which there were any bedbug infestations or presence of bedbugs.

   ☐ Resident agrees that if he/she previously lived anywhere that had a bedbug infestation that all of his/her personal property (including furniture, clothing and other belongings) have been treated by a licensed pest control professional. Resident agrees that such items are free of further infestation. Resident previously experienced a bedbug infestation as follows: _____.

4. If Resident fails to report any pest infestation in their unit within seven (7) calendar days of move-in, it shall be an acknowledgement by Resident that the premises are acceptable, in good condition and pest free.

5. After move-in, Management will take immediate steps to address any identified pest infestation problem. Resident acknowledges that time is of the essence in dealing with issues of potential pest infestation. Because of the need for prompt action to avoid any further infestation, Resident shall report during business hours any actual or suspected infestation within forty-eight (48) hours of discovery to Management.

6. In the event that bedbugs or other pests are located within the unit, Management agrees that Management shall treat the premises for bedbugs or other pests in a timely manner and at their expense.

7. If a bedbug or pest control treatment is scheduled and the Resident is not prepared for treatment as delineated in bedbug or pest control instructions distributed prior to the service, the Resident will be **charged fifty (50) dollars**. Exceptions may be made due to verified Americans with Disability Act (ADA), medical or other emergency situations.

   _____ Resident's Initials

8. Resident acknowledges that used or second-hand furniture is the primary method in which bedbugs are spread. Resident agrees that he/she will not knowingly or recklessly bring onto the property furniture or other belongings that are infested with bedbugs or other pests. Resident further agrees to exercise caution when acquiring used or second-hand furniture and shall examine any such items thoroughly before bringing them into their unit. Resident further agrees that Resident shall not bring into the dwelling used or second-hand furniture items that have been abandoned or discarded in such areas as roadsides, trash rooms, and disposal receptacles.

   _____ Resident's Initials

9. In the event that there is a bedbug or pest infestation in Resident's unit, Resident agrees to cooperate fully with and to undertake all efforts and tasks required by Management, and in Management's sole discretion, or by Management's pest control company, employed to eradicate pests. Resident's full cooperation includes but is not limited to reporting during business hours any suspected pest infestation to Management within forty-eight (48) hours of discovery, making the premises available for entry to complete pest inspection and eradication treatment(s), completing all required pre-treatment activities, evacuating the premises during and after treatment for the required timeframe, completing all required post-treatment activities, and immediately reporting ineffective treatment or re-infestations to Management.

10. Resident acknowledges that any violation of this Addendum constitutes a material violation of the Lease and is grounds for termination of the Lease by Management. Conduct that constitutes a material violation of the Lease includes failure to promptly notify Management of evidence of any pest infestation, any action that prevents treatment of the unit or potentially exasperates or increases the bedbug issue, refusal to permit Management to enter to inspect for infestation or to perform eradication treatments, and failure to complete all required pre-treatment and post treatment activities, including a failure to report ineffective treatment or re-infestations. Proof of the violation of this Addendum shall be by a preponderance of the evidence.

11. Resident may request reasonable extermination services at any time. Upon notification from Resident, within twenty-four (24) hours of the report, Management shall provide Resident with information about control and prevention of bedbugs or pests and will discuss measures that Resident may be able to take in the unit before the inspection is performed. Management will conduct an inspection of the unit within three (3) business days if possible for bedbug infestations. If an inspection cannot be conducted within three (3) business days by trained staff or a licensed professional, Management will retain documentation of efforts made. Should a pest infestation be identified through the inspection, Management shall contact the Resident and schedule treatment within ten (10) calendar days for regular pests and five (5) calendar days for bedbugs, except for resident caused delays or lack of vendor availability. When Management requires access to a unit for purposes of inspecting for the presence of a household pest or controlling the presence of a household pest, Management shall provide at least forty-eight (48) hour's notice to Resident, that Management requires access. Management will notify Resident in advance of each pest inspection, including providing a preparation sheet. If Resident notifies Management of a possible infestation and requests extermination services, the notice from Resident constitutes permission to enter the dwelling unit for the purpose of acting on the inspection or extermination request.

12. Except in those situations where Management has been grossly negligent, and/or as provided by law, Owner, Management, and its employees, are not liable to Resident for any damages caused by pests, including but not limited to, personal expenses, replacement of furniture, and/or other personal items, including clothing, medications or medical expenses, or for the costs to treat, clean, replace and/or protect Resident's personal belongings. Owner, Management, and its employees are not responsible for any damage done to Resident's unit or personal items during pest control inspections and/or treatment(s).

    _____ Resident's Initials

13. Resident acknowledges that Management's adoption of this Addendum, and the efforts to provide a pest free environment, does not in any way change the standard of care that Management owes Resident under the Lease. Resident further acknowledges that Management does not guarantee or warranty a pest-free environment. Resident acknowledges and understands that Management's ability to police, monitor, or enforce the agreements of the Addendum is dependent in significant part on voluntary cooperation and compliance by the Resident and Resident's guests/visitors.

14. Management agrees that it will attempt to make reasonable accommodations in the event that any Resident requests an accommodation in connection with pest control service. Any Resident requesting such an accommodation is requested to provide notice to Management at the time the pest control is requested or when Resident receives notice that it will be provided, whichever is earlier. Management will provide the Resident with the ADA paperwork to complete and submit to Management. Resident understands that in some circumstances, because of the nature of a particular pest or infestation, there may not be an alternative, effective means of eradication, and in those circumstances, Management may use the eradication services that are effective in dealing with the infestation.

IN WITNESS, WHEREOF, the parties have executed this Lease Addendum this _____day of_____, 20__.

at _____

THE HOUSING AUTHORITY OF THE

COUNTY OF LOS ANGELES

By:_____

_____(Resident) _____ (Date)

_____(Resident _____(Date) Its: _____

The following adult members of the household as set forth in Section 1(B) of the Lease Agreement have reviewed and acknowledge their receipt of this Lease Agreement Addendum.

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

_____(Occupant) _____(Date)

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I (we) have been provided a copy of this Lease Addendum in Spanish.

Initial(s) _____

ATTACHMENT D

Certifications of Compliance with PHA Plans and Related Regulations *(Standard, Troubled, HCV-Only, and High Performer PHAs)*	U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 02/29/2016

PHA Certifications of Compliance with the PHA Plan and Related Regulations including Required Civil Rights Certifications

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ___ 5-Year and/or X Annual PHA Plan for the PHA fiscal year beginning 7/1/2016, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Resident Advisory Board or Boards in developing the Plan, including any changes or revisions to the policies and programs identified in the Plan before they were implemented, and considered the recommendations of the RAB (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
4. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
5. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
6. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identifying any impediments to fair housing choice within those programs, addressing those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and by maintaining records reflecting these analyses and actions.
7. For PHA Plans that includes a policy for site based waiting lists:
   - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2010-25);
   - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
   - Adoption of a site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
   - The PHA shall take reasonable measures to assure that such a waiting list is consistent with affirmatively furthering fair housing;
   - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
8. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
9. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
10. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
11. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

12. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
13. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
14. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
15. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
16. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
17. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
18. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
19. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
22. The PHA certifies that it is in compliance with applicable Federal statutory and regulatory requirements, including the Declaration of Trust(s).

Housing Authority of the County of Los Angeles__
PHA Name

CA002_____
PHA Number/HA Code

X___ Annual PHA Plan for Fiscal Year 2016

_____ 5-Year PHA Plan for Fiscal Years 20____ - 20____

Approved as to form:
MARY C. WICKHAM
County Counsel
By _____
Deputy

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802).

ATTEST: LORI GLASGOW
EXECUTIVE OFFICER
CLERK OF THE BOARD OF SUPERVISORS
By _____, Deputy

Name of Authorized Official	Title
Hilda L. Solis	Chair
Signature Hilda L. Solis	Date MAR 22 2016

form **HUD-50077-ST-HCV-HP** (12/2014)