COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER

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September 16, 2010

TO: Supervisor Gloria Molina, Chair
Supervisor Mark Ridley-Thomas
Supervisor Zev Yaroslavsky
Supervisor Don Knabe
Supervisor Michael D. Antonovich

FROM: Wendy L. Watanabe
Auditor-Controller

SUBJECT: **FRAUD HOTLINE STATUS REPORT – JANUARY 1, 2010 THROUGH JUNE 30, 2010**

The Los Angeles County Fraud Hotline began January 1, 2010 with 631 carryover pending cases. We opened 475 new cases and closed 466 during the six months ending June 30, 2010. Of the 466 closed cases (Attachment I), 122 were substantiated (Attachment II), 237 were not substantiated, and 107 were not investigated. These 107 not investigated cases are due to immateriality, the referral was previously investigated, referred to outside agencies, or insufficient information was provided. As of June 30, 2010, there were 640 cases in progress.

As the lead department in County investigations, we also oversee and review the final reports for cases referred to and investigated by other County departments to ensure that the allegations were properly investigated.

Of the 122 substantiated cases, the following actions were taken:

Contract Terminated	**1**		**Procedures Changed/Reinforced**	**1**
Convicted/Jail	**1**		**Referred to the District Attorney**	**1**
Counseled (verbal/written warning)	**39**		**Reprimand Letter**	**8**
Criminal Charges Filed by Law Enforcement	**3**		**Resigned/Retired/Resigned in lieu of Discharge**	**8**
Discharged	**9**		**Restitution**	**6**
Disciplinary/Corrective Action Pending	**103**		**Suspended**	**19**
			Transferred/Reassigned	**4**

Note: Some cases may include multiple suspects and multiple dispositions.

If you have any questions or need additional information, please contact me or have your staff contact Guy Zelenski at (213) 893-0243.

WLW:MMO:JET:GZ
Fraud Hotline Status ending June 30 2010.doc

Attachments

c: William T Fujioka, Chief Executive Officer
Department Heads
Audit Committee
Public Information Office

Attachment I

COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER

Los Angeles County Fraud Hotline
CLOSED CASE SUMMARY
January 1, 2010 through June 30, 2010

	Substantiated	*Not Substantiated*	*Not Investigated **	*Total*	
CASES INVESTIGATED BY AUDITOR-CONTROLLER:					
Office of County Investigations	**21**	**50**	**100**	**171**	**36.7%**
CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS:					
Affirmative Action Compliance (Countywide)	0	17	0	17	**4%**
Agriculture Commissioner/ Weighs and Measures	0	1	0	1	**0.2%**
Assessor	1	0	0	1	**0.2%**
Board of Supervisors	0	0	1	1	**0.2%**
CEO-Workers' Compensation	0	1	0	1	**0.2%**
Child Support Services	1	8	0	9	**1.9%**
Children and Family Services	8	24	1	33	**7%**
Fire Department	1	0	0	1	**0.2%**
Health Services	10	16	1	27	**6%**
Human Resources (Countywide)	0	6	0	6	**1%**
Internal Services Department	7	18	0	25	**5%**
Mental Health	7	2	0	9	**1.9%**
Parks and Recreation	5	3	1	9	**1.9%**
Probation Department	4	6	0	10	**2.1%**
Public Health	4	8	1	13	**2.8%**
Public Library	1	0	1	2	**0.4%**
Public Social Services	51	72	1	124	**27%**
Public Works	0	4	0	4	**0.9%**
Registrar-Recorder/County Clerk	1	0	0	1	**0.2%**
Treasurer and Tax Collector	0	1	0	1	**0.2%**
Other Departments Total:	**101**	**187**	**7**	**295**	**63.3%**
Grand Total	**122**	**26%**	**237**	**51%**	**107**	**23%**	**466**	**100%**

** Not investigated cases are due to immateriality, the referral was previously investigated, referred to outside agencies, or insufficient information was provided.*

COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
OFFICE OF COUNTY INVESTIGATIONS

SUMMARY OF SUBSTANTIATED CASES

FOR THE PERIOD JANUARY 1, 2010 THROUGH JUNE 30, 2010

SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER

Case Number: 20061135

A Department of Health Services (DHS) contract agency incorrectly billed the County for services totaling $162,000. Reimbursement is pending.

Case Number: 20082709

Cash deposits totaling $14,650 were stolen from Child Support Services Department (CSSD) collections. The perpetrator(s) could not be identified. The Department transferred one employee to a non-cash handling function and will strengthen internal controls over bank deposits to prevent further thefts.

Case Number: 20093280

A Department of Public Works (DPW) employee engaged in outside business preparing architectural plans, and he later inspected the construction work that resulted from his plans as part of his County duties, which was a conflict of interest. The employee was discharged for other reasons.

Case Number: 20093475

A Fire Department (Fire) employee falsified mileage claims totaling $920 by forging her supervisor's signature. The employee resigned for other reasons and the District Attorney's (DA) Office filed criminal charges.

Case Number: 20093593

A Chief Executive Office (CEO) employee received a $722 reimbursement for damages to his personal vehicle that did not occur during County work hours. Another CEO employee improperly claimed mileage resulting in an overpayment of $524. The employees each fully reimbursed the County.

Case Number: 20093716

An Internal Services Department (ISD) employee stole two notebook computers and other County information technology equipment valued over $3,700. The employee was discharged, convicted of grand theft and sentenced to 120 days in jail. The stolen items were returned to the County.

Case Number: 20093770

A CSSD employee used her assigned County computer and Internet access for non-County related business during County work hours. The employee received a Letter of Reprimand.

Case Number: 20093771

A CSSD employee used her assigned County computer and Internet access for non-County related business during County work hours. The employee received a Letter of Reprimand.

Case Number: 20093931

A DPW employee approved plans for a building project outside of his assigned geographically area in violation of Departmental policy. The employee was transferred to another area within DPW.

Case Number: 20093947
A CSSD employee used her assigned County computer and Internet access for personal use. The employee also did not disclose her outside business to the Department. The Department intends to give the employee a 30-day suspension.

Case Number: 20094175
A DPW employee stole and cashed a $1,206 payment check that was mailed to DPW. The employee was discharged and reimbursed the County. The Department will strengthen internal controls over checks received via mail. The case was referred to the DA for possible criminal prosecution.

Case Number: 20094197
An Auditor-Controller employee did not report to work one day, but recorded a full shift worked. A timecard correction was filed, and the employee and supervisor were counseled.

Case Number: 20094198
A DHS student worker falsely claimed to be enrolled in college to remain in the student worker program. The student worker was released from County service.

Case Number: 20094215
A CEO employee used his County assigned computer and Internet access to conduct personal business during County work hours. Disciplinary action is pending.

Case Number: 20094223
Two Department of Children and Family Services (DCFS) employees conspired to falsely complete and sign a verification of employment document for a home loan. One employee resigned and the other was discharged.

Case Number: 20104259
A Department of Parks and Recreation (Parks) employee supervised two family members for several months, creating a conflict of interest. Corrective and/or disciplinary action is pending.

Case Number: 20104282
A Department of Beaches and Harbors (Beaches) contract parking lot attendant was robbed of $150. A police report was filed and the perpetrator(s) could not be identified. The contractor reimbursed the Department.

Case Number: 20104315
One CEO employee used $516 in Charitable Giving Funds (CGF) for personal use and another employee received sporting event tickets that were purchased with CGF. The first employee has agreed to repay the $516 to the program. The other employee reimbursed the County $280. Several internal control weaknesses were noted in the management of these funds. Corrective action and reimbursement are pending.

Case Number: 20104373
An internet file sharing website posted confidential DCFS files that were obtained from a former DCFS contract service provider (provider). The provider had installed peer-to-peer (P2P) software on their computer which allowed the file sharing website to download the confidential DCFS files. The file sharing website removed the confidential files from its website and the provider uninstalled the P2P software and deleted confidential DCFS files from their computer.

Case Number: 20104423

A DCFS employee used their County issued cell phone to make 1,977 personal calls while on a six-month medical leave. Disciplinary action is pending.

Case Number: 20104448

An Office of Affirmative Action and Compliance (OAAC) supervisor borrowed $5,000 each from two subordinates, creating a conflict of interest. The supervisor repaid each employee. The supervisor was counseled.

TOTAL SUBSTANTIATED CASES INVESTIGATED BY AUDITOR-CONTROLLER: 21

COUNTY OF LOS ANGELES
DEPARTMENT OF AUDITOR-CONTROLLER
OFFICE OF COUNTY INVESTIGATIONS

SUMMARY OF SUBSTANTIATED CASES

FOR THE PERIOD JANUARY 1, 2010 THROUGH JUNE 30, 2010

CASES REFERRED TO AND INVESTIGATED BY OTHER DEPARTMENTS

Case Number: 20071667
A Department of Mental Health (DMH) employee used his assigned County computer, Internet access and e-mail account to conduct non-County related business and to access sexually explicit images and websites. The employee resigned for personal reasons.

Case Number: 20071669
A DMH employee used her assigned County computer, Internet access and e-mail account to conduct non-County related business. The employee received a 15-day suspension.

Case Number: 20082325
A Department of Public Social Services (DPSS) employee initiated documentation to terminate her daughter as an In-Home Supportive Services (IHSS) provider. The employee also did not inform her supervisor in a timely manner that her daughter was an IHSS provider for a participant who was assigned to her work unit. The Department intends to give the employee a 15-day suspension.

Case Number: 20082334
A Parks employee reported 178.5 hours of regular time and 26.5 hours of overtime during a 10-month period that was not documented on the facility's sign in/out log, and he failed to consistently sign in/out as required. Also, the employee and his supervisor both failed to report a change in their relationship after the employee became the supervisor's son-in-law. Disciplinary actions are pending.

Case Number: 20082548
Two DMH employees and one manager used their assigned County computers and e-mail accounts to send and receive inappropriate, non-County related material including pictures, chain letters, etc. Disciplinary actions are pending.

Case Number: 20082650
A DCFS employee did not report, as required, to the Department that she was involved in an accident and was arrested for driving under the influence (DUI) during non-County work hours. The employee also falsely reported to the Department that she was arrested for a probation violation after she was arrested a second time for DUI during non-County work hours. The employee was assigned to desk duty and disciplinary action is pending.

Case Number: 20082652
Two DHS employees clocked in each other's timecard before they started work. The employees each received a 10-day suspension.

Case Number: 20082653
A DHS employee used her assigned County computer and e-mail account to send inappropriate, non-County related e-mails to other County employees. Fifty additional employees were identified who sent non-County related e-mails. One employee received a Letter of Reprimand and disciplinary actions are pending for seven employees. All employees received a copy of the Department's Acceptable Use and Sexual Harassment Policies.

Case Number: 20082704
Two DHS employees claimed full shifts worked on their timecards for three and four days they did not work, respectively. In addition, 15 discrepancies were found for eight other employees who reported more hours worked on their timecards than what was indicated on their sign-in sheets. Timecard corrections and disciplinary actions are pending. In addition, disciplinary actions are pending for two supervisors who approved the timecards.

Case Number: 20082744
A DCFS employee entered falsified home visits with clients in the Child Welfare Services/Case Management System (CWS/CMS) and did not disclose her outside business to the Department. Disciplinary action is pending.

Case Number: 20082900
A Probation Department (Probation) employee stored inappropriate, non-County related files on a County shared network drive. The employee received a five-day suspension.

Case Number: 20082977
A Probation employee did not sign in/out on the Department's attendance log, claimed eight hours worked on a day she was absent and claimed 71 hours of overtime that she did not work. The employee was given a Letter of Warning, and timecard corrections are pending.

Case Number: 20083076
A Parks employee inappropriately gave another employee a $1,044 discount on a facility rental, and did not collect approximately $1,000 for a second facility rental. In addition, the employee claimed 10 hours of overtime for a day she did not work and inappropriately had her staff sign her in and out on the work site attendance log. The employee also threatened a subordinate with failure of his probationary period if he spoke with some one about a Department policy. The Department issued the employee a Letter of Intent to Discharge.

Case Number: 20083126
A DCFS supervisor did not properly accommodate an employee for his temporary work restriction. Disciplinary action is pending.

Case Number: 20083159
Two related DPSS employees accessed the Los Angeles Eligibility Automated Determination Evaluation and Reporting (LEADER) system to view the personal and confidential information in the case records of their relative. In addition, the employees failed to report to the Department that a DPSS participant lived with them for several months. One employee received a 20-day suspension and disciplinary action is pending for the other employee.

Case Number: 20083190
A DHS employee used his assigned County computer and e-mail account to send personal and inappropriate e-mails to other County employees. Eight additional employees were identified who sent non-County related e-mails. Disciplinary actions are pending.

Case Number: 20083237
Two Department of Public Health (PH) employees falsified their mileage claims by claiming excessive mileage, fraudulent trips and/or non-reimbursable mileage, which resulted in one employee being overpaid $14,292 over a six-year period. That employee resigned in lieu of discharge and the Department is seeking reimbursement. The Department intends to suspend the second employee, whose mileage claims did not result in an overpayment. Also, a manager

and a supervisor failed to properly review the employees' mileage claims. The manager retired and the supervisor was counseled.

Case Number: 20083240
An ISD employee was overpaid $472 on her mileage claims after she miscalculated mileage and claimed mileage for days she did not work. The employee was counseled and reimbursement is pending.

Case Number: 20093256
A Fire employee used her assigned County computer and Internet access for non-County related business. The employee also shared the password to her computer with another employee. The Department intends to give the employee a three-day suspension.

Case Number: 20093257
A DMH service provider violated contract terms by failing to ensure physicians were available to attend court hearings for County patients and by not providing adequate aftercare plans. The service provider discharged their medical director and hired additional physicians to provide coverage for court hearings. DMH made recommendations to the provider to improve their aftercare plans.

Case Number: 20093261
A DPSS employee accessed the LEADER system to view the personal and confidential information in her relatives' and her own case records without authorization. In addition, she did not report to the Department that she lived with a DPSS participant and that she was receiving welfare assistance. The employee was discharged for another reason.

Case Number: 20093312
A DPSS employee inappropriately changed the Medi-Cal aid code in a participant's case record, resulting in the participant receiving increased Medi-Cal benefits for two months. The Medi-Cal aid code was corrected. The Department intends to give the employee a 30-day suspension.

Case Number: 20093340
A DPSS employee accessed the LEADER system to view the personal and confidential information in seven participants' case records without authorization. The Department intends to give the employee a 15-day suspension.

Case Number: 20093385
A DPSS employee used County postage for personal use. The employee received a 10-day suspension.

Case Number: 20093394
Two DPSS employees did not report that they had outside employment during the time they were CalWORKs and Food Stamp participants. They received a combined total of $46,245 in benefit overpayments. Both employees resigned in lieu of discharge and the DA filed criminal charges against both employees.

Case Number: 20093413
Two Registrar-Recorder/County Clerk (RR/CC) employees continued to sell meals to coworkers during County work hours after being instructed not to by their supervisor. One employee received a Warning Letter and the other employee received a Letter of Reprimand. Additionally, one manager and two supervisors failed to exercise appropriate supervisory and disciplinary control over the employees. The manager received a Letter of Reprimand and the two

supervisors received Warning Letters. Another employee behaved unprofessionally by making racial comments toward other employees. The employee received a Letter of Reprimand.

Case Number: 20093438
Two ISD employees were observed taking extended lunch breaks without reporting timecard variances. The employees were counseled.

Case Number: 20093485
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. Disciplinary action is pending.

Case Number: 20093489
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization and shared the information with family members. The employee received a 20-day suspension.

Case Number: 20093491
A DHS patient's photograph was displayed at an educational conference without the patient's consent. The employee who displayed the photograph was counseled and the California Department of Public Health was notified of the privacy breach.

Case Number: 20093533
An ISD employee gave his girlfriend a County laptop and case valued at $1,790 that he claimed were destroyed in a fire. The laptop and case were returned to the Department. The DA rejected the case and the employee received a 15-day suspension.

Case Number: 20093534
A DPSS employee displayed unprofessional behavior by tapping the heads of two employees. The Department intends to give the employee a 10-day suspension.

Case Number: 20093539
A DHS employee signed patients' names on nine Medi-Cal application forms in order to expedite the ordering of equipment and supplies for the patient. Disciplinary action is pending.

Case Number: 20093564
A DHS employee fraudulently diverted 419 prescriptions on the pharmacy system using 67 patient records and at least one password was compromised. There was no evidence the patients should have received the medications. The patient pharmacy records were corrected. One employee was reassigned to restrict his access to patient information and all pharmacy employees have been required to change their passwords. The Sheriff determined that insufficient evidence exists to file criminal charges against any employee. Disciplinary action is pending.

Case Number: 20093571
A DPSS employee accessed the LEADER system to view the personal and confidential information in her Food Stamp case records without authorization. Disciplinary action is pending.

Case Number: 20093573
A PH contractor falsified client case documents and violated contract terms by failing to have counselors present for the entire duration of group counseling sessions. The Department issued a warning to the contractor and will perform monthly audits for contract compliance.

qCase Number: 20093587
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. Disciplinary action is pending.

Case Number: 20093588
DPSS fundraiser collections totaling $110 were stolen from an unlocked desk drawer. The individual(s) responsible could not be identified. The Department will properly secure future fundraiser collections.

Case Number: 20093617
A DCFS employee falsified her field itinerary reports, did not comply with departmental policies and procedures regarding proper documentation of child visitations and did not perform the required visits to her clients. Disciplinary action is pending.

Case Number: 20093627
A DHS contract physician exhibited inappropriate behavior and was discourteous to staff. The contract physician was counseled, removed from the facility for seven days and completed anger management training.

Case Number: 20093694
A Parks supervisor took home County equipment for personal use and allowed other employees to take home equipment for personal use. Most of the equipment was returned to Parks; however, the department determined a public address system and a camcorder are unaccounted for. Disciplinary action is pending.

Case Number: 20093698
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. Disciplinary action is pending.

Case Number: 20093718
A DPSS employee solicited and hired a participant to work for her in violation of Departmental policy. The employee also failed to report her home address to the Department. The Department intends to give the employee a 30-day suspension.

Case Number: 20093719
A DPSS employee accessed the LEADER system to view the personal and confidential information in her relative's case records without authorization and did not report that she lives with a participant. Disciplinary action is pending.

Case Number: 20093726
An ISD employee arrived to work late and took extended lunch breaks without reporting variances on her timecard. The employee was counseled.

Case Number: 20093741
A DMH psychiatrist's prescription pad was stolen by unknown individual(s) and used to fraudulently obtain a prescription drug. The Department reminded the psychiatrists of proper internal controls over prescription pads.

Case Number: 20093755
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization, and failed to report in a timely manner that a participant resides with her. The employee received a 10-day suspension.

Case Number: 20093802
A PH Children's Medical Services (CMS) employee did not disclose his 1987 criminal conviction and his sex offender status on the Employee Information Sheet he completed upon his transfer to the section in 1989. The employee received a 14-day suspension and was transferred to a non-CMS function.

Case Number: 20093807
A DPSS employee accessed the LEADER system to view the personal and confidential information in her relative's case records without authorization and did not report that she resided with the relative and was her IHSS provider. The employee received a 10-day suspension.

Case Number: 20093815
A DPSS employee accessed the LEADER system to view the personal and confidential information in the case records of eight participants without authorization. The employee also falsified the signature of a participant on several forms. The participant did not receive any unjustified benefits because of the falsified signature. Disciplinary action is pending.

Case Number: 20093818
A DPSS employee used his assigned County computer and e-mail account to send a sexually explicit joke to other DPSS employees. Disciplinary action is pending.

Case Number: 20093834
A Parks employee abandoned his job when he failed to report to work on 77 occasions over approximately a year and a half. The employee was discharged.

Case Number: 20093857
Two DPSS employees accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. One employee received a 15-day suspension and the Department intends to give the other employee a 10-day suspension.

Case Number: 20093862
A DPSS employee had an inappropriate intimate relationship with a participant. The Department intends to give the employee a 30-day suspension.

Case Number: 20093869
A DPSS employee used a County computer and Internet access for non-County related business use. Disciplinary action is pending.

Case Number: 20093908
A DPSS supervisor solicited subordinate staff to retrieve documents from her daughter's Medi-Cal case records without authorization. Disciplinary action is pending.

Case Number: 20093912
A DPSS employee accessed the LEADER and Medi-Cal Eligibility Data System (MEDS) systems to view the personal and confidential information in a participant's case records without authorization. The employee also did not report her outside employment and exceeded the 24-hour per week limit on outside employment. Disciplinary action is pending.

Case Number: 20093914
A DPSS employee exceeded the 24-hour per week limit on outside employment for a two and a half year period. Disciplinary action is pending.

Case Number: 20093916
A DPSS employee accessed the LEADER system to view the personal and confidential information in three participants' case records without authorization and did not disclose that she lived with one of the participants. The employee received a 10-day suspension.

Case Number: 20093921
A DPSS employee admitted to taking food orders and selling food outside of his regular working hours on County premises in violation of departmental policy. Disciplinary action is pending.

Case Number: 20093926
A DCFS employee created a conflict of interest when he misrepresented himself as a psychologist to the Court and a law enforcement officer in order to visit his relative, who was an inmate in Court lockup. Disciplinary action is pending.

Case Number: 20093930
A Parks supervisor did not properly verify employee timecards before approving them. Disciplinary action is pending.

Case Number: 20093973
A Public Library manager abused her authority by not giving work assignments equitably. The Department intends to counsel the manager.

Case Number: 20093996
A DPSS employee accessed the LEADER system to view the personal and confidential information in a relative's case records without authorization. Disciplinary action is pending.

Case Number: 20094002
An Assessor employee used her County computer and e-mail account to send "chain letter" e-mails to other County employees. The employee was counseled.

Case Number: 20094010
A DPSS employee exceeded the County's 24-hour per week limit on outside employment. The employee received a Warning Letter.

Case Number: 20094015
A DPSS employee did not report outside employment as an IHSS provider and exceeded the 24-hour per week limit on outside employment. Disciplinary action is pending.

Case Number: 20094037
A Probation meal ticket program audit found collections of $312 and 125 tickets were missing. The responsible employee(s) could not be identified due to internal control weaknesses. Disciplinary action for the manager responsible for the program and strengthening of internal controls are pending.

Case Number: 20094055
A DCFS employee inappropriately accessed the CWS/CMS system to view the personal and confidential information in her relative's case records without authorization. Disciplinary action is pending.

Case Number: 20094075
A PH employee exceeded her lunch period on eight occasions and the supervisor did not monitor the sign-in log. The employee and supervisor were counseled.

Case Number: 20094083
An ISD employee regularly parked his assigned County vehicle overnight at his residence without authorization. In addition, he used his County vehicle for non-County related business. Disciplinary action is pending.

Case Number: 20094088
A DPSS employee accessed the LEADER system to view the personal and confidential information in her daughter's case records without authorization and did not report to her supervisor that her grandson lived with her while receiving aid on his mother's case. The Department intends to give the employee a 20-day suspension.

Case Number: 20094106
Eighteen CSSD employees used their County e-mail accounts to send chain letters to one another, and four of these employees also used e-mail to send inappropriate material to one another and/or other County employees. Fifteen employees were counseled, one employee received a Letter of Reprimand, and warnings are pending for two employees.

Case Number: 20094118
A DMH employee used a County fax machine for personal use. The employee was counseled.

Case Number: 20094129
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. Disciplinary action is pending.

Case Number: 20094130
A DPSS employee accessed the LEADER system to view personal and confidential information in a participant's case records without authorization. Disciplinary action is pending.

Case Number: 20094132
A DPSS employee accessed the LEADER system to view the personal and confidential information in her case records without authorization. The employee also failed to inform her Department that she was receiving Medi-Cal benefits. The employee received a 10-day suspension.

Case Number: 20094138
A Probation employee borrowed a key from an ISD employee to access an ISD dumpster to dispose of roofing materials from his outside business. The employees were counseled.

Case Number: 20094144
A DPSS employee accessed the LEADER system to view the personal and confidential information in his son's case records without authorization. In addition, the employee did not inform the Department that his son receives assistance and that his son resides with him. Disciplinary action is pending.

Case Number: 20094145
A DPSS employee accessed the LEADER system to view the personal and confidential information in her case records without authorization. The employee also failed to inform her Department that she receives Medi-Cal benefits. The employee received a 10-day suspension.

Case Number: 20094157
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. The employee was discharged.

Case Number: 20094169
A DHS employee stole prescription slips and falsified prescriptions to obtain a controlled substance. The employee was discharged.

Case Number: 20094176
A DPSS employee accessed the LEADER system to view the personal and confidential information in four participants' case records without authorization. The employee also failed to report that she lived with a participant. Disciplinary action is pending.

Case Number: 20094177
A DPSS employee failed to report her outside employment activities. The employee submitted an updated declaration of outside employment. Disciplinary action is pending.

Case Number: 20094185
A DPSS employee used her assigned County computer to view sexually explicit images. She also used her County e-mail account to send and receive personal e-mails, and to receive e-mails containing sexually explicit images. Disciplinary action is pending.

Case Number: 20094199
A DPSS employee accessed the LEADER system to view the personal and confidential information in the case records of 45 participants without authorization. The Department intends to give the employee a 15-day suspension.

Case Number: 20094210
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization. The employee received a 15-day suspension.

Case Number: 20104268
An ISD employee used her County e-mail account to send personal e-mails to coworkers. The employee received a Warning Letter.

Case Number: 20104272
An ISD employee used a County vehicle for his personal use. The employee received a five-day suspension.

Case Number: 20104311
A DPSS Transitional Subsidized Employment Program contractor instructed participants during an orientation that they would work only 20 hours per week in the program, but to falsely record 40 hours worked. The contractor was going to require the participants to pay the contractor a portion of their wages and work the remaining 20 hours per week selling insurance. The contractor was terminated from the program.

Case Number: 20104322
A DPSS employee accessed the LEADER system to view the personal and confidential information in a participant's case records without authorization, and failed to disclose that she resided with a participant. Disciplinary action is pending.

Case Number: 20104325
A DPSS employee accessed the LEADER and MEDS systems to view the personal and confidential information in her own case records without authorization. The employee also failed to disclose timely that she received Medi-Cal benefits. Disciplinary action is pending.

Case Number: 20104338
A DMH out-of-state residential treatment facility contractor did not provide a DMH client with a therapist with California credentials. The facility subsequently provided the client with a primary therapist who is licensed in California.

Case Number: 20104354
A DPSS employee used a County telephone for personal use, created a hostile work environment, engaged in conduct unbecoming of someone in a position of authority and failed to follow County rules and regulations. Disciplinary action is pending.

Case Number: 20104368
A DPSS employee was excessively tardy to work. The employee was counseled.

Case Number: 20104377
A DCFS employee accessed the CWS/CMS system to view the personal and confidential information in her relative's case records without authorization. Disciplinary action is pending.

Case Number: 20104455
A DPSS employee accessed the LEADER system to view and update benefit issuance on her son's case records, and she inappropriately issued bus tokens and cash totaling $9 to her son. Disciplinary action is pending.

Case Number: 20104477
A DPSS employee accessed the LEADER system to view the personal and confidential information in a DPSS participant's case records without authorization and did not notify the Department that he resided with a participant. Disciplinary action is pending.

Case Number: 20104536
A DHS employee provided nail care services to other employees in a patient care area. In addition, weaknesses in compliance with facility policies and procedures were identified. The Department issued one employee a Letter of Intent to Discharge, a supervisor and two employees a Letter of Intent to Suspend and one employee a Letter of Reprimand.

Case Number: 20104541
A DPSS employee accessed the LEADER system to view the personal and confidential information in a DPSS participant's case records without authorization. Disciplinary action is pending.

Case Number: 20104631
A DCFS employee violated Countywide and Departmental policies by using their County assigned computer to solicit business for their outside employment practice. Disciplinary action is pending.

Total Cases Referred To, Investigated and Substantiated By Other Departments: 101

TOTAL NUMBER OF SUBSTANTIATED CASES JANUARY 1, 2010 – JUNE 30, 2010: 122