COUNTY OF LOS ANGELES

MARVIN J. SOUTHARD, D.S.W.
Director

ROBIN KAY, Ph.D.
Chief Deputy Director

RODERICK SHANER, M.D.
Medical Director

550 SOUTH VERMONT AVENUE, LOS ANGELES, CALIFORNIA 90020

BOARD OF SUPERVISORS

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DEPARTMENT OF MENTAL HEALTH

http://dmh.lacounty.gov

Reply To: (213) 738-4601
Fax: (213) 386-1297

February 09, 2010

The Honorable Board of Supervisors
County of Los Angeles
383 Kenneth Hahn Hall of Administration
500 West Temple Street
Los Angeles, California 90012

Dear Supervisors:

APPROVAL OF A SOLE SOURCE AMENDMENT TO THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS ANGELES AFFILIATION AGREEMENT (ALL SUPERVISORIAL DISTRICTS) (3 VOTES)

SUBJECT

Request approval of a sole source amendment to the Affiliation Agreement with The Regents of the University of California, Los Angeles, to provide consultant services for the Child System Treatment and Enhancement Project.

IT IS RECOMMENDED THAT YOUR BOARD:

1. Approve and instruct the Director of Mental Health, or his designee, to prepare, sign, and execute a sole source amendment No. 17, substantially similar to Attachment I, to the current Affiliation Agreement No. MH010054 (Agreement) with The Regents of the University of California, Los Angeles (UCLA), for consultant services pertaining to implementation of the Child System Treatment and Enhancement Project (Child STEPs), in the amount of $216,000 per year for Fiscal Years (FYs) 2009-10 and 2010-11, with revised Total Contract Amounts (TCA) of $3,866,803 and $2,876,504 for FYs 2009-10 and 2010-11, respectively, effective upon Board approval, fully funded by $117,000 in Katie A. Settlement funding and $99,000 in Mental Health Services Act (MHSA) Workforce, Education and Training (WET) Regional Partnership funding.

2. Delegate authority to the Director of Mental Health, or his designee, to prepare, sign, and execute future amendments to the Agreement and establish as a new TCA the aggregate of the original

Agreement and all amendments through and including this amendment, provided that: 1) the County's total payments under this Agreement for the applicable fiscal years do not exceed an increase of 20 percent from the applicable revised Board approved TCA; 2) any such increase is used to provide additional services or to reflect program changes; 3) your Board has appropriated sufficient funds for all increases; 4) approval of the Chief Executive Office (CEO) and County Counsel, or their designees, is obtained prior to any such amendment; 5) the parties may, by written amendment, mutually agree to reduce programs or services without reference to the 20 percent limitation; and 6) the Director of Mental Health provides written notification to your Board within 30 days after the execution of each amendment.

PURPOSE/JUSTIFICATION OF RECOMMENDED ACTION

Approval of the first recommended action will allow DMH to amend the agreement with the Regents of UCLA to implement the Child STEPs program, including training and evaluation, designed to improve the quality of mental health services provided to children in the County's child welfare system. The second recommended action will allow DMH to amend the agreement as necessary, subject to the conditions specified.

In 2002, a class action lawsuit (Katie A.) was filed against the State of California and Los Angeles County alleging that children in contact with the County's child welfare system were not receiving the mental health services to which they were entitled. In July 2003, the County entered into a settlement agreement resolving the County's portion of the lawsuit. Under the terms of the settlement agreement, the County is obligated to make a number of systemic improvements in service delivery to better serve children with mental health needs.

Child STEPs represents a partnership between UCLA, DMH, Department of Children and Family Services (DCFS), and the MacArthur Foundation to provide training in, and evaluate the effectiveness of, evidence-based mental health practices for children served by the County's child welfare system, consistent with the terms of the Katie A. settlement agreement. Because of this partnership, as well as $250,000 in annual funding awarded by the MacArthur Foundation to UCLA for the project, DMH receives the full benefit of this program at a reduced cost. The Child STEPs Project will provide training for clinicians in a set of practices drawn from a variety of evidence-based treatments as well as ongoing consultation and supervision to support practice fidelity. Client services and outcomes will be guided and evaluated using an electronic database. The Project will provide information that will assist in the promotion of evidence-based practices within the public mental health system.

Implementation of Strategic Plan Goals

The recommended actions are consistent with the County's Strategic Plan Goal 1, Operational Effectiveness; Goal 2, Children, Family, and Adult Well Being; and Goal 4, Health and Mental Health.

FISCAL IMPACT/FINANCING

The amendment increases the TCA for FYs 2009-10 and 2010-11 in the amount of $216,000, fully funded by $117,000 in Katie A. Settlement funding and $99,000 in MHSA WET Regional Partnership funding for the Child STEPs Project. In addition, the MacArthur Foundation awarded UCLA with

funding of up to $250,000 each fiscal year for this project. There is no increase in net County cost.

FACTS AND PROVISIONS/LEGAL REQUIREMENTS

The Child Focus on Innovation and Redesign in Systems and Treatments (FIRST) Laboratory at UCLA is directed by Bruce F. Chorpita, Ph.D., who has extensive experience investigating and implementing large system reform efforts in children's mental health care. Dr. Chorpita's program at UCLA is uniquely qualified to perform the Child STEPs Los Angeles County Project, given that the project proposes to implement a multi-problem focused evidence-based protocol for children and youth in the child welfare system. Dr. Chorpita along with his colleague at Harvard University-John R. Weisz, Ph.D., have developed the only multi-problem focused clinical protocol of its kind in the children's public mental health system. The protocol delivery system was developed and is managed by Dr. Chorpita and colleagues, and they are therefore uniquely qualified to provide the training and consultation for the County.

The attached amendment has been approved as to form by County Counsel. The CEO has reviewed the proposed actions. Administrative staff of DMH will continue to administer and supervise the agreement and ensure that the agreement provisions and Departmental policies are followed. The policies and procedures for the human subjects review board for both DMH and DCFS will be followed.

CONTRACTING PROCESS

This is a sole source amendment to The Regents of UCLA Affiliation Agreement. The sole source selection is based on the contractor's unique qualifications as the only bona fide source for provision of these specific consulting services. The online protocol delivery system was developed and is managed by Dr. Chorpita and his colleagues working in the Child FIRST Laboratory at UCLA, and they are therefore in a unique position to provide training and consultation on this approach.

Previous amendments altered the agreement with the Regents of UCLA, originally adopted on October 30, 2007, for various purposes. The amendments included adjustments for MHSA expansion and transformation, additional services, changes in service delivery site addresses, and a term extension through FY 2010-11.

Attached is the required sole source contract checklist (Attachment II). A notification of intent to negotiate and award a sole source amendment was sent to your Board on October 15, 2009.

IMPACT ON CURRENT SERVICES (OR PROJECTS)

Approval of the requested actions will enable DMH to improve the quality of services for those children and youth in the County's child welfare system with mental health needs between the ages of 5 and 15, and evaluate and document expected improvements in clinical and functional outcomes.

Respectfully submitted,

MARVIN J. SOUTHARD, D.S.W.
Director

MJS:OC:cb

Enclosures

c: Chief Executive Officer
County Counsel
Executive Officer, Board of Supervisors
Department of Children and Family Services
Chairperson, Mental Health Commission

ATTACHMENT I

CONTRACT NO. **MH010054**

AMENDMENT NO. **17**

THIS AMENDMENT is made and entered into this _____ day of _____, 2010, by and between the COUNTY OF LOS ANGELES (hereafter "County") and The Regents of the University of California, Los Angeles (UCLA) (hereafter "Contractor").

WHEREAS, County and Contractor have entered into a written Agreement, dated June 12, 2007, identified as County Agreement No. MH010054, and any subsequent amendment(s) (hereafter collectively "Agreement"); and

WHEREAS, for Fiscal Years (FYs) 2009-10 and 2010-11 only, County and Contractor intend to amend Agreement as described hereunder; and

WHEREAS, for FYs 2009-10 and 2010-11, County and Contractor intend to amend Agreement to add consultant services related to the Child System Treatment and Enhancement Project (Child STEPs) to Addendum A (Countywide Academic and Medical Teaching Services); and

WHEREAS, for FY 2009-10 and 2010-11, County and Contractor intend to amend Agreement to **add** **$117,000** of Katie A. Settlement Agreement funds to Addendum A for the Child STEPs Project; and

WHEREAS, for FY 2009-10 and 2010-11, County and Contractor intend to amend Agreement to **add** **$99,000** of Mental Health Services Act (MHSA) Workforce,

Education and Training (WET) Regional Partnership funds to Addendum A for the Child STEPs Project; and

WHEREAS, for FYs 2009-10 and 2010-11 the Total Contract Amount (TCA) will be increased by **$216,000** for each FY.

NOW, THEREFORE, County and Contractor agree that Agreement shall be amended only as follows:

1. Addendum A-16 (Countywide Academic and Medical Teaching Services) shall be deleted in its entirety and replaced with Addendum A-17, attached hereto and incorporated herein.
2. For FYs 2009-10 and 2010-11, funding for Addendum A-17 (Countywide Academic and Medical Teaching Services), sub-section A2.7 (Child STEPs Project), will be increased in the amount of $216,000. The revised amount of funding for Addendum A-17 will be $2,004,501 and the revised Total Contract Amount (TCA) for FYs 2009-10 and 2010-11 will be $3,866,803 and $2,876,504, respectively.
3. The "Agreement Funding Summary -16" for FY 2009-10 and 2010-11 shall be deleted in its entirety and replaced with "Agreement Funding Summary -17", attached hereto and incorporated herein.
4. Except as provided in this Amendment, all other terms and conditions of the Agreement shall remain in full force and effect.

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IN WITNESS WHEREOF, the Board of Supervisors of the County of Los Angeles has caused this Amendment to be subscribed by County's Director of Mental Health or his designee, and Contractor has caused this Amendment to be subscribed in its behalf by its duly authorized officer, the day, month, and year first above written.

COUNTY OF LOS ANGELES

By_____
MARVIN J. SOUTHARD, D.S.W.
Director of Mental Health

The Regents of the University of
California, Los Angeles (UCLA)
CONTRACTOR

By _____

Name J. Thomas Rosenthal, M.D.

Title Associate Vice Chancellor, David Geffen School of Medicine at UCLA

APPROVED AS TO FORM:
OFFICE OF THE COUNTY COUNSEL

APPROVED AS TO CONTRACT ADMINISTRATION:

DEPARTMENT OF MENTAL HEALTH

By _____
Chief, Contracts Development
and Administration Division

Amend#17/Dec09/ds

Addendum A - 17
(Amendment #17)

Academic and Medical Teaching Services Program

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A1 INTRODUCTION

University and DMH agree that this Addendum A shall be adopted under Section 2.1 ("Academic and Medical Teaching Services") of the Agreement of **July 1st, 2006**, between University and DMH. University and DMH further agree that this Addendum A shall be subject to all of the provisions of the Agreement governing Academic and Medical Teaching Services, except where otherwise specified in this Addendum A.

A2 DESCRIPTION OF SERVICES

A2.1 Countywide Academic and Medical Teaching Services Program

University shall assign UCLA Department of Psychiatry and Biobehavioral Sciences faculty members ("University Faculty") to provide Academic and Medical Teaching Services in DMH Facilities to DMH personnel.

These services shall be measured and provided in terms of "sessions." The scheduling, content, and location of these sessions shall be described in Sections A2.2 and A2.3.

A2.2 Scheduling and Content of Sessions

The scheduling and content of sessions under this Section shall be developed by the Joint Mental Health Operations Committee ("Committee"). The Committee may delegate responsibility for creating initial scheduling and content to a subcommittee. However, any final session schedule or content must be approved by the full Committee, as required by Section 5.1.1.4.3 of the main Agreement.

The Committee shall develop scheduling and content to implement the following objectives:

a) Developing a comprehensive programmatic approach to evaluate, improve, and expand programs offered to clients in DMH Facilities;

b) Providing DMH physicians, other DMH mental health professionals, and non university public sector mental health providers with training in the state-of-the-art knowledge regarding mental illness, psychopharmacology, and novel approaches to interventions and rehabilitation;

c) Increasing the collaboration between the University and the DMH facilities in establishing quality and outcome procedures to ensure continuous quality improvement in services provided;

d) Improving the ability of DMH to educate and hire qualified physicians and allied mental health professionals through the participation of trainees in public sector training programs;

e) Ensuring the development of personnel and protocols necessary for the establishment of a seamless system of care spanning inpatient and outpatient public and private sector settings;

f) Promoting a diversified and professionally stimulating environment within the community mental health program that ensures improvements in staff recruitment and retention;

g) Enhancing the training opportunities for all UCLA clinical interns and psychiatry residents within the field of community mental health and non university public sector settings; and

h) Including DMH Facilities within the research framework of the University, through the UCLA Neuropsychiatric Institute and Hospital.

In developing the scheduling and content of these sessions, the Committee shall also specifically set forth and describe the forms in which these services shall be provided, taking into account the specific needs of individual DMH Facilities. These forms include, but are not necessarily limited to, the following:

a) Presentations;

b) Seminars;

c) Round table discussion groups;

d) One-on-one tutorials;

e) Case consultations and conferences;

f) Participation in staff meetings;

g) Observation and evaluation of mental health services delivery and client interaction; and

h) Consultations on facility-specific issues.

As set forth in Section 5.1.1.4.3 of the main Affiliation Agreement, the final schedule approved by the Committee shall describe in detail the form by which services will be provided, who shall participate, the anticipated time, date, location, and duration of each session, as well as the specific topics to be covered.

A2.3 Location of Sessions

As provided in Section A2.2, the Committee shall jointly develop a schedule for the provision of Countywide Academic and Medical Teaching Services sessions by University Faculty. The Committee shall schedule these sessions among the following DMH Facilities as well as other DMH locations and programs as agreed

upon by University and DMH, in a manner to best achieve the goals expressed in Section A2.2, as well as the needs of the individual facilities:

Adult Justice, Housing, Employment and Education Services
Antelope Valley Mental Health Center
Arcadia Mental Health Center
Augustus F. Hawkins Mental Health Center
Coastal Asian Mental Health Center
Compton Mental Health Center
Downtown Mental Health Center
Edmund D. Edelman Westside Mental Health Center
Forensic Mental Health Services
Hollywood Mental Health Center
Long Beach Mental Health Center
Northeast Mental Health Center
Palmdale Mental Health Center
Rio Hondo Mental Health Center
Roybal Family Mental Health Centers
San Antonio Mental Health Center
San Fernando Mental Health Center
San Pedro Mental Health Center
Santa Clarita Mental Health Center
South Bay Mental Health Center
Valley Coordinated Children's Mental Health Services
West Central Mental Health Center
West Valley Mental Health Center
Women's Community Reintegration Services and Education Center

A2.4 Additional Academic and Medical Teaching Services, Program Evaluation and Research Focusing on Improving Services for Underserved Populations and Geographic Areas with a Substantial Component in conjunction with the National Institute of Mental Health (NIMH)

University shall provide for additional consultation, training, and academic supervision in support of an initiative that will focus on the development of innovative approaches and to identify barriers to access to quality mental health services, particularly for focal populations and geographic areas that have been underserved. This initiative will include research and program evaluation developed in partnership with NIMH, DMH and University. Research and program evaluation shall include, but not be limited to evidence-based, short-term treatment approaches; family treatment approaches; peer to peer approaches; community linkage of incarcerated mentally ill, supportive housing alternatives, changing the culture of care to a recovery model, that includes co-occurring substance abuse, as well as mental and/or physical health disorders. Funding of jointly developed proposals and research will be through the NIMH granting mechanisms.

The administration and development of this initiative will be the responsibility of and coordinated by the DMH Academic Administrator who will also serve as the DMH – NIMH Initiative Project Manager. Additional academic and medical teaching services, program evaluation and adjunctive support in underserved geographic areas may also be provided through the Drew University or other affiliated academic programs as determined by the DMH Academic Administrator. Due to the specialized nature of these services, these services shall not be subject to the planning, content, scheduling, and certification provisions set forth in Section 3.1.2 ("Nature and Scope of Academic and Medical Teaching Services") of the Agreement and its subsections. Furthermore, the Joint Mental Health Operations Committee shall not conduct any strategic planning, session content and scheduling, or claim resolution activities, as may be called for in Section 5.1.1 ("Joint Mental Health Operations Committee") or its subsections, regarding services provided under this Addendum, Section A2.4.

A2.5 Additional Academic and Medical Teaching Services through Harbor-UCLA Medical Center

University, through the Harbor-UCLA Medical Center, shall provide for additional consultation, training, and academic supervision for the inpatient and outpatient programs at Harbor-UCLA Medical Center and other DMH locations and programs as agreed upon by University and DMH. These services shall include, but not be limited to, clinical psychological testing, evaluation, and therapy services provided by clinical psychology interns and fellows as part of their training programs, and teaching and training of psychiatry interns, residents and facility staff in the areas of psychiatric emergency, crisis, and general adult/child outpatient and inpatient services. In addition, faculty from Harbor-UCLA Medical will provide training and consultation to the communities served, including mental health service providers, clients, and family members. Due to the specialized nature of these services, these services shall not be subject to the planning, content, scheduling, and certification provisions set forth in Section 3.1.2 ("Nature and Scope of Academic and Medical Teaching Services") of the Agreement and its subsections. Furthermore, the Joint Mental Health Operations Committee shall not conduct any strategic planning, session content and scheduling, or claim resolution activities, as may be called for in Section 5.1.1 ("Joint Mental Health Operations Committee") or its subsections, regarding services provided under this Addendum, Section A2.5.

Not withstanding the above, services provided under this section must have the prior written approval of a detailed annual budget/spending plan for all covered services and expenditures by the DMH and UCLA Academic Administrator.

A2.6 Additional Academic and Medical Teaching Services for Recovery-Oriented Education and Training

University, through the Harbor-UCLA Medical Center, shall provide recovery-oriented education and training for communities, students and staff of all disciplines including psychiatrists, psychologists, social workers, marriage and family therapists, family members and peers that will strengthen DMH's ability to deliver services, consistent with the Mental Health Services Act (MHSA), to individuals comprising some of the most underserved populations in Los Angeles County. Special focus will be on co-occurring mental health and substance abuse disorders, stigma reduction, community reintegration in high need areas of the County, and the unique needs of at-risk populations including women, young adults, minorities, and persons involved in the justice system. In accordance with the intent of the MHSA, these education and training services shall include, but not be limited to:

a) Support of residency, internship and fellowship training positions focused on recovery from co-occurring mental health and substance abuse disorders, family reunification and successful community reintegration of persons involved in the justice system;

b) Didactic modules on short-term, focused psychotherapy models, behavioral interventions, community reintegration, trauma recovery and gang rehabilitation;

c) Teaching/supervision and consultation in interventions geared to the needs of adolescents at risk of suicide and those with co-occurring mental health and substance abuse disorders and other health-related high risk behaviors, including adolescents involved in the justice system;

d) Development and support of clinical supervisors that are knowledgeable of evidence-based and recovery-oriented treatment approaches;

e) Training and employing peer advocates, particularly for work in community reintegration;

f) Case conferencing that involves faculty, trainees and staff, including peer advocates;

g) Promoting a diversified and professionally stimulating environment within the jail and community mental health programs that ensures improvements in staff recruitment and retention; and

h) Development of educational materials, including a permanent digital library, focusing on the interplay of the advances in mental health care with stigma and discrimination reduction.

Due to the specialized nature of these services, these services shall not be subject to the planning, content, scheduling, and certification provisions set forth in Section 3.1.2 ("Nature and Scope of Academic and Medical Teaching Services") of the Agreement and its subsections. Furthermore, the Joint Mental Health Operations Committee shall not conduct any strategic planning, session content and scheduling, or claim resolution activities, as may be called for in Section 5.1.1 ("Joint Mental Health Operations Committee") or its subsections, regarding services provided under this Addendum, Section A2.6.

Not withstanding the above, services provided under this section shall have the prior written approval of a detailed annual budget/spending plan for all covered services and expenditures by the DMH and UCLA Academic Administrators.

A2.7 The Child System Treatment and Enhancement Project (Child STEPs)

The Child STEPs project will provide training for clinicians in a set of practices drawn from a variety of evidence based treatments as well as on going consultation and supervision to support practice fidelity. Client services and outcomes will be guided and evaluated using an electronic database. This three year project is meant to provide information that will assist in the promotion of evidence based practices within the public mental health system. The project services and deliverables are described in **Attachment I (Scope of Work – LA County Child STEPs Project).**

A3 FINANCIAL PROVISIONS

A3.1 Countywide Academic and Medical Teaching Services Program

For each year of this Agreement, University shall provide the Academic and Medical Teaching Services described in Section A2.1 according to the levels and rates set forth in the following chart:

Number of Sessions	661
Cost Per Session	$400
Total Cost	**$264,400**

For purposes of developing and coordinating the Academic and Medical Teaching Services provided under Section A2.1, A2.4, and A2.5 of the Affiliation Agreement, University may devote a portion of the funding for Academic and Medical Teaching Services sessions to program development and coordination activities. The total amount for this function shall not exceed **$70,000** annually. Reimbursement for Countywide Program Development and Coordination Activities shall be paid monthly in arrears by invoice from University to DMH based on University's cost for expenditures, subject to the terms and conditions set forth in the main Affiliation Agreement.

A3.2 Additional Academic and Medical Teaching Services, Program Evaluation, and Research Focusing on Improving Services for Underserved Populations and Geographic Areas with a Substantial Component in conjunction with the NIMH

For the services described in A2.4, DMH shall pay to University an amount not to exceed **$122,375** annually for the term of the Agreement. Reimbursement for these services shall be paid monthly in arrears by invoice from University to DMH based on one twelfth of the annual academic service plan and budget, with the written approval of the DMH Academic Administrator and subject to the terms and conditions set forth in the main Agreement.

Reimbursement under this section must have the prior written approval of the DMH Academic Administrator or his/her designee. Resources and funding under this section may be reallocated between identified areas in this section with the prior approval of the DMH Academic Administrator or his/her designee, based on changes in service needs.

For FY 2009-10 only, DMH shall pay to University an additional amount not to exceed **$103,299** for services described in A2.4, with the possibility of additional funding for a second and third FY. Reimbursement for these services shall be paid in arrears by invoice from University to DMH with the written approval of the Adult Systems of Care Deputy Director, subject to the terms and conditions set forth in the main Agreement

A3.3 Additional Academic and Medical Teaching Services through Harbor-UCLA Medical Center

For the services described in A2.5, DMH shall pay to University an amount not to exceed **$561,427** annually for the term of the Agreement. Reimbursement for these services shall be paid monthly in arrears by invoice from University to DMH based on one twelfth of the annual academic service plan and budget, subject to the terms and conditions set forth in the main Agreement. Services to Harbor-UCLA Medical Center and other DMH locations and programs as agreed upon by University and DMH shall be invoiced separately.

A3.4 Additional Academic and Medical Teaching Services for Recovery-Oriented Education and Training

For the services described in A2.6, DMH shall pay to University an amount not to exceed **$737,000** annually for the term of the Agreement. Reimbursement for these services shall be paid monthly in arrears by invoice from University to DMH based on one twelfth of the annual academic service plan and budget, subject to the terms and conditions set forth in the main Agreement. For FY 2009-10 only, DMH shall pay to University an amount not to exceed **$237,000** for services described in A2.6.h. Reimbursement for these services shall be paid monthly in arrears by invoice from University to DMH based on one twelfth of the academic service plan and budget, subject to the terms and conditions set forth in the main Agreement.

A3.5 The Child System Treatment and Enhancement Project (Child STEPs)

For the services described in A2.7, DMH shall pay to University an amount not to exceed **$216,000** annually for the remaining term of the Agreement (Fiscal Years 2009-10 and 2010-11). (**Note**: Funding for FY 2011-12 is anticipated, but contingent upon renewal of Agreement and allocation of continued funding). Reimbursement for these services shall be paid monthly in arrears by invoice from University to DMH, subject to the terms and conditions set forth in the main Agreement. Services to Harbor-UCLA Medical Center and other DMH locations and programs as agreed upon by University and DMH shall be invoiced separately.

A3.6 MCA for Addendum A

The MCA for Addendum A is:

Program	FY 2009-10	FY 2010-11
Countywide Academic and Medical Teaching Services Program	$264,400	$264,400
Additional Academic and Medical Teaching Services, Program Evaluation, and Research Focusing on Underserved Populations and Geographic Areas with a Substantial Component in conjunction with the NIMH	$225,674 (Amend #16 added $103,299 for FY 2009-10 only)	$122,375
Additional Academic and Medical Teaching Services for Harbor-UCLA Medical Center and Metropolitan State Hospital	$561,427	$561,427
Additional Academic and Medical Teaching Services for Recovery-Oriented Education and Training	$737,000	$500,000
The Child STEPs Project	$216,000 (Amendment #17)	216,000
Total MCA for Addendum A	**$2,004,501**	**$1,664,202**

A3.7 Administration and Management

In recognition of the collaborative nature of this Affiliation Agreement, University shall contribute, at no cost to DMH, the managerial and administrative activities necessary to implement the program of services described in this Addendum. These activities include participation in the Joint Mental Health Operations Committee as called for in this Addendum and the Agreement.

The parties anticipate that the administrative and managerial efforts required to implement the program will be substantial, due to its novel and innovative nature. In addition, the parties recognize that this program will need to be continually monitored, improved, and refined in order to implement its objectives.

In light of these considerations, University has agreed to commit University personnel to providing, at no cost to DMH, no less than 240 hours per Contract Year to the particular administrative and managerial activities required to implement, monitor, and develop this new program. The parties anticipate that the greater part of these hours will be devoted to the Committee's responsibilities set forth in Sections A2.2 ("Scheduling and Content of Sessions") and A2.3 ("Location of Sessions"). University shall provide adequate documentation, such as meeting minutes, to DMH of these administrative and managerial services.

Minimum Number of Hours for Initial Period	240
Cost to DMH	$0.00

A3.8 Payment Procedures

University and DMH understand and agree that persons providing Academic and Medical Teaching Services under this Agreement are faculty members of the Harbor-UCLA Medical Center - Department of Psychiatry, Charles R. Drew University - Department of Psychiatry, and/or David Geffen School of Medicine at UCLA – Department of Psychiatry and Biobehavioral Sciences or guest lecturers and others as agreed upon by the DMH and University Academic Administrators. The parties understand and agree that the University shall be responsible for submitting monthly claim and certification forms and invoices as described in Section 6 under the Affiliation Agreement ("Financial' Provisions") and in this Section A3 for Academic and Medical Teaching Services provided by Harbor-UCLA Medical Center, Charles R. Drew University, and David Geffen School of Medicine at UCLA faculty at DMH designated sites. Upon receipt and approval of invoices from the University, DMH shall directly reimburse each aforementioned practice plan for services set forth in Section A2.2, ("Scheduling and Content of Sessions), A2.4, A2.5 and A2.6 according to the levels and rates set forth in A3.1 ("Countywide Academic and Medical Teaching Services Program"), and A3.2, A3.3 and A3.4 (Additional Academic and Medical Teaching Services).

University shall submit invoices to:

DMH ACADEMIC ADMINISTRATOR
COUNTY OF LOS ANGELES- DEPARTMENT OF MENTAL HEALTH
550 SOUTH VERMONT AVENUE, 12TH FLOOR
LOS ANGELES, CALIFORNIA 90020

DMH shall send payment to:

UCLA-NEUROPSYCHIATRIC INSTITUTE
DEPARTMENT OF FINANCE
760 WESTWOOD PLAZA, ROOM B7-35
LOS ANGELES, CALIFORNIA 90024-1759
ATTENTION: ASSISTANT DIRECTOR OF FINANCE

UCLA-HARBOR MEDICAL FOUNDATION, INC.
21840 SOUTH NORMANDIE AVENUE, SUITE 200
TORRANCE, CALIFORNIA 90502
ATTENTION: CHIEF EXECUTIVE OFFICER

CHARLES R. DREW UNIVERSITY
DEPARTMENT OF PSYCHIATRY - HAWKINS BUILDING 1021
1720 EAST 120TH STREET LOS ANGELES, CA 90059
ATTENTION: CHAIRMAN OF PSYCHIATRY & HUMAN BEHAVIOR

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Scope of Work:
LA County Child STEPs Project

Attachment I

Projected Start Date

February 1, 2010

Project Overview

The LA County Child STEPs Project represents a partnership between UCLA, the LA County Departments of Mental Health and Child and Family Services and the John D. and Catherine T. MacArthur Foundation. The aims of the project are (1) to increase service quality for children with mental health needs between the ages of 5 and 15 who are newly identified in LA County's child welfare or mental health system and (2) to evaluate and document expected improvements in clinical and functional outcomes through a careful randomized-trial evaluation of the Child STEPs program services relative to actual care in the child welfare system.

To meet these aims, the project will train approximately 40-48 therapists in the first year, who are expected to serve several hundred youth. These youth will receive brief weekly telephone status checks, as well as more in-depth assessment on a quarterly basis. The outcomes observed will be compared with evaluation data drawn from other youth in the system who are not receiving project services. To be eligible for the project, youth must have mental health problems stemming from anxiety, depression, disruptive behavior, or traumatic stress and must be between the ages of 5 and 15.

Deliverables and Timeline: Year 1 (February 15, 2009 – June 30, 2010)

Milestones	Dates	Deliverables	Budget
Project Setup. Staging meetings with DMH and DCFS leadership to (1) identify performance sites likely to maximize program success, (2) outline ways to align project aims with specific strategic goals by county departments, and (3) establish proper reporting and communication strategies with the county to keep leadership informed of key developments and project milestones.	2/15/2010	Initial staging meetings held and documentation available regarding project design	$10,000
Site Selection. Selection of up to four performance sites (e.g., specific agencies, or service provider groups within county departments) in the first year of the project. These will be selected from a broad solicitation to potential sites, whereby interested service agencies will be chosen based on their service population characteristics, organizational capacity for a substantial practice development initiative, and provider-level interest in not only the project itself but also subsequent agency trainer roles following the project (to foster maximum benefit for the service system, the best performing therapists in the evaluation will be nominated to participate in subsequent system training efforts). Selection of performance sites will be conducted in partnership with county leadership.	3/1/2010	Invitations sent; proposals solicited and; final sites selected through committee review	$15,000
Project Design. Design of study and implementation plan for training and for evaluation trial, with approvals from county partners and participating agency leadership. This will involve fitting of proposed project aims with existing agency infrastructure and business practices.	3/1/2010	Workplan developed for study design, measurement model, and performance sites, as outlined in IRB proposals for county and UCLA	$15,000

Milestones	Dates	Deliverables	Budget
Clinical Trainings. Trainings will consist of 5-Day workshops to introduce and rehearse the contents of the MATCH-ADTC protocol (Modular Approach to Therapy for Children with Anxiety, Depression, Traumatic Stress, and Conduct Problems). The protocol is a multi-problem system that involves online decision support for which procedures to implement and perform based on child and family needs, and it has been evaluated in a large multi-site clinical trial in Boston and Honolulu, and is being tested in a second trial in the Maine child welfare system. Therapists will be trained in how to use the MATCH online system, will spend approximately 3 of the 5 days rehearsing the skills and getting feedback on their performance, and will be trained in a online feedback system that will give real-time graphical summaries of information about children's clinical progress and treatment history. Trainings will be performed in 2 groups of approximately 20 to 24 therapists.	4/1/2010	Between 40 and 48 therapists trained in MATCH protocol	$97,000
Consultation. Follow-up consultation will involve weekly on-site meetings between UCLA project staff and therapists at the performance sites. These meetings will involve review of clinical outcomes and consultation on the use of the clinical protocol, and will be integrated to the extent possible into existing agency business procedures.	4/1/2010 through 6/30/2010	Weekly consultation meetings held at each agency each month	$21,000
The UCLA team will configure an online data system hosted and managed by an independent vendor (Kismetrics, LLC) that has been used in all other Child STEPs clinical trials and evaluations. This system allows for the collection of project data and for the real-time review of clinically relevant data by authorized project and agency personnel (e.g., therapists and consultants). The UCLA staff will establish the roles, organizational hierarchies, privileging structures, and evaluation measurement model based on the outcome of initial scoping and design meetings with county leaders and agency staff.	3/31/2010	Draft MIS model for LA County system architecture, organizational structure, and measurement model, with agency approvals	$24,000

Milestones	Dates	Deliverables	Budget
Host and staff conference calls for management and implementation of MIS system.	2/1/2010 to 6/30/2010	Calls scheduled and held.	$5,000
Testing and debugging of technical issues for system.	2/1/2010 to 6/30/2010	Maintenance of technical issues log, with inventory of issues and solutions.	$5,000
The UCLA team will train and prepare staff and perform regular assessment of client outcomes through a series of interviews designed to balance the need for documentation of effectiveness with family burden. We have developed a weekly phone call interview that can be performed in under 2 minutes that allows for a rigorous evaluation of the course of progress. This is supplemented with longer interviews (e.g., 30 minutes) every three months, to allow for the evaluation of progress across multiple domains (e.g., functioning, academics, caregiver stress, need for additional services). Children will be assessed for up to 18 months following enrollment in the project. Caregiver interviews will be performed in English or Spanish, depending upon the preference of the family.	4/1/2010 through 6/30/2010	Populated database and clinical reports available through online MIS, based on weekly assessments, with up to date data available for all project participants	$24,000
		Total for Year 1	**$216,000**

Deliverables and Timeline: Year 2 (July 1, 2010 – June 30, 2011)

Milestones	Dates	Deliverables	Budget
Consultation. Follow-up consultation will involve weekly on-site meetings between UCLA project staff and therapists at the performance sites. These meetings will involve review of clinical outcomes and consultation on the use of the clinical protocol, and will be integrated to the extent possible into existing agency business procedures.	7/1/2010 to 6/30/2011	Weekly consultation meetings held at each agency each month	$84,000
Host and staff conference calls for management and implementation of MIS system.	7/1/2010 to 6/30/2011	Calls scheduled and held.	$12,000
Testing and debugging of technical issues for system.	7/1/2010 to 6/30/2011	Maintenance of technical issues log, with inventory of issues and solutions.	$12,000
The UCLA team will continue regular assessment of client outcomes through a series of interviews designed to balance the need for documentation of effectiveness with family burden.	7/1/2010 to 6/30/2011	Populated database and clinical reports available through online MIS, based on weekly assessments, with up to date data available for all project participants	$96,000
Administrative reporting and ongoing quality improvement efforts will take place over the first and second years of participant enrollment in service delivery. Agencies will be briefed about critical issues, patient safety, and service quality as needed. Reporting requirements and communications to the relevant county agencies will be served under this deliverable.	7/1/2010 to 6/30/2011	Reports delivered to county offices.	$12,000
		Total for Year 2	**$216,000**

Deliverables and Timeline: Year 3 – PENDING AGREEMENT RENEWAL (July 1, 2011 – June 30, 2012)

Milestones	Dates	Deliverables	Budget
Consultation. Follow-up consultation will involve weekly on-site meetings between UCLA project staff and therapists at the performance sites. These meetings will involve review of clinical outcomes and consultation on the use of the clinical protocol, and will be integrated to the extent possible into existing agency business procedures.	7/1/2011 to 6/30/2012	Weekly consultation meetings held at each agency each month	$42,000
Clinical Trainings. Trainings for the second wave of 40-48 therapists will consist of a final 5-Day workshop to introduce and rehearse the contents of the MATCH-ADTC protocol (Modular Approach to Therapy for Children with Anxiety, Depression, Traumatic Stress, and Conduct Problems), as performed in year 1. These trainings will be hosted at UCLA and will include participants from the first wave of trainings to serve as facilitators.	5/1/2012	Between 40 and 48 therapists trained in MATCH protocol	$48,000
Host and staff conference calls for management and implementation of MIS system.	7/1/2011 to 12/31/2011	Calls scheduled and held.	$6,000
Clinical information archiving and privacy assurance reporting. The information gathered over the course of services will be archived for reporting purposes and reports will be made available to participating agencies regarding participant data as well as secure handling of clinical information.	5/31/2012	Data archive created and agency reporting performed	$6,000

Milestones	Dates	Deliverables	Budget
The UCLA team will continue regular assessment of client outcomes through a series of interviews designed to balance the need for documentation of effectiveness with family burden.	7/1/2011 to 6/30/2012	Populated database and clinical reports available through online MIS, based on weekly assessments, with up to date data available for all project participants	$96,000
Final administrative reporting and ongoing quality improvement efforts will take place in the final project year. Agencies will be continue to be briefed about critical issues, patient safety, and service quality as needed. Reporting requirements and communications to the relevant county agencies will be served under this deliverable, and there is an expected increase in effort on this item due to final year reporting requirements.	7/1/2011 to 6/30/2012	Reports delivered to county offices.	$18,000
		Total for Year 3	**$216,000**

Agreement Funding Summary -17
for
Fiscal Years 2009-10 and 2010-11

THE REGENTS of UCLA
Affiliation Agreement #MH010054

Description	FY 2009-10		FY 2010-11	
Addendum A (Countywide Academic and Medical Teaching Services Program)	**$2,004,501**	$1,448,202 (on-going) **Amend #14** – added $237,000 for FY 2009-10 only; **Amend #16** – added $103,299 for FY 2009-10 only; Amend #17 – added $216,000 for 2009-10 **and** 2010-11.	**$1,664,202**	$1,448,202 (on-going) Amend #17 – added $216,000 for 2009-10 **and** 2010-11.
Addendum B (Edmund D. Edelman Westside – MH Program)	$111,600	On - going	$111,600	On - going
Addendum C (TIES for Adoption Program) LE component of Agreement with Financial Summary	$1,100,702	**Amend #15** - reduced MCA in the amount of $630,598 for FYs 2009-10 **and** 10-11	$1,100,702	**Amend #15** - reduced MCA in the amount of $630,598 for FYs 2009-10 **and** 10-11
Addendum D (MHSA Master List Eligibility) Language only	N/A	N/A	N/A	N/A
Addendum E (Integrated Services for COD Training and Curriculum Development)	$0	no funding for 2009-10	$0	no funding for 2010-11
Addendum F (COD/HIV Professional Development and Consultation Program)	$0	no funding for 2009-10	$0	no funding for 2010-11
Addendum G (Development of a Disaster MH Model; Strategy and Training)	$650,000	$500K Homeland Security; $150K Urban Area Security Initiative funds ($650k is total funding for FY 2008-09 **through** 2009-10)	$0	no funding for 2010-11
Total Contract Amount (TCA)	**$3,866,803**		**$2,876,504**	

DMH Amendment Summary

LEGAL ENTITY NAME: The Regents of the University of California, Los Angeles (UCLA)

Contract No.: MH010054 Legal Entity No.: 00984 Amendment No. 17

LIST OF FUNDING SOURCES, PLANS, AND/OR SERVICES
(Please check all applicable funding sources, plans, and/or services for Amendment only)

1	Family Preservation Program		22	MHSA – FSP – Adult	
2	Child Abuse Prevention Intervention and Treatment (AB2994)		23	MHSA – FSP – Older Adult	
3	Special Education Pupil (SEP)		24	MHSA – Field Capable Clinical Services (FCCS)	
4	Specialized Foster Care		25	MHSA – Probation Camps	
5	Children's Comprehensive SOC Prog (SAMHSA, CFDA #93.958)		26	MHSA – Urgent Care Centers	
6	Child MH Initiative-Project ABC (SAMHSA, CFDA #93.104)		27	MHSA – Wellness/Client-Run Centers	
7	Juvenile Justice Program (STOP)		28	MHSA – Institutions for Mental Disease (IMD) Step Down	
8	Juvenile Justice Program (JJCPA)		29	MHSA – Enriched Residential Services	
9	Co-occuring Disorder		30	MHSA – Jail Transition and Linkage Services	
10	Path McKinney, CFDA #93.150		31	MHSA – POE (Outreach & Engagement)	
11	Homeless Services (NCC)		32	MHSA – PEI (Prevention and Early Intervention)	X
12	Family Functional Therapy Program		33	DCFS Star View	
13	CalWORKs		34	DHS LAMP	
14	Homeless – Family Project		35	DHS Social Model	
15	GROW		36	DCFS Hillview Transitional Independent Living	
16	Inpatient/Residential Services		37	DHS/ADPA Dual Diagnosis	
17	Non-Medi-Cal/Indigent		38	DCFS THP	
18	Other Mental Health Services for clients under the age of 21 years		39	DCFS Medical Hub	
19	Other Mental Health Services for clients 21 years of age or older		40	MAA	
20	MHSA – Full Service Partnership (FSP) - Child		41	Tri-City	
21	MHSA – FSP – TAY		42	Medi-Cal: NON-EPSDT, EPSDT/Healthy Families/MAA/Tri-City	

FUNDING SOURCE(S) (Select from Funding Sources listed above for Amendment.)	AMOUNT Increase/Decrease	FISCAL YEAR	MCA (Addendum A)
MHSA – PEI (Prevention and Early Intervention)	$99,000	2009-10 and 2010-11	
Katie A. Settlement funds	$117,000	2009-10 and 2010-11	$1,664,202

AMENDMENT ACTION(S): **BOARD ADOPTED DATE:** TBD **EFFECTIVE DATE:**

Amendment #17 adds $117,000 of Katie A. Settlement funds and $99,000 of MHSA (PEI) funding (total $216,000) for consultant services pertaining to implementation of the Child System Treatment and Enhancement Project (Child STEPs) for FYs 2009-10 and 2010-11. The Child STEPs Project Statement of Work is included in Addendum A.

New Headquarters' (HQ) Address: HQ Sup. District:

Service Area(s):

Deputy Director: K. Daly Lead Manager: K. Daly

ATTACHMENT II

SOLE SOURCE CHECKLIST
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS ANGELES

Check (✓)	JUSTIFICATION FOR SOLE SOURCE CONTRACTS *Identify applicable justification and provide documentation for each checked item.*
X	➢ **Only one bona fide source for the service exists; performance and price competition are not available.** The sole source selection is based on the contractor's unique qualifications as the only bona fide source for provision of these specific consulting services. The online protocol delivery system was developed, and is managed, by Dr. Chorpita and his colleagues working in the Child FIRST Laboratory at UCLA, and they are therefore in a unique position to provide training and consultation on this approach.
	➢ **Quick action is required (emergency situation).**
	➢ **Proposals have been solicited but no satisfactory proposals were received.**
	➢ **Additional services are needed to complete an ongoing task and it would be prohibitively costly in time and money to seek a new service provider.**
	➢ **Maintenance service agreements exist on equipment which must be serviced by the authorized manufacturer's service representatives.**
	➢ **It is more cost-effective to obtain services by exercising an option under an existing contract.**
	➢ **It is in the best interest of the County, e.g., administrative cost savings, excessive learning curve for a new service provider, etc.**
	➢ **Other reason. Please explain:**

Deputy Chief Executive Officer, CEO

1/17/10
Date

COUNTY OF LOS ANGELES

MARVIN J. SOUTHARD, D.S.W.
Director

ROBIN KAY, Ph.D.
Chief Deputy Director

RODERICK SHANER, M.D.
Medical Director

550 SOUTH VERMONT AVENUE, LOS ANGELES, CALIFORNIA 90020

BOARD OF SUPERVISORS
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DEPARTMENT OF MENTAL HEALTH

http://dmh.lacounty.gov

Reply To: (213) 738-4601
Fax: (213) 388-1297

October 15, 2009

TO: Each Supervisor

FROM: Marvin J. Southard, D.S.W.
Director of Mental Health

SUBJECT: **ADVANCE NOTIFICATION OF INTENT TO ENTER INTO A SOLE SOURCE CONTRACT NEGOTIATION WITH UNIVERSITY OF CALIFORNIA, LOS ANGELES**

This memo is to comply with the revised Board Policy 5.100, Sole Source Contract, by notifying the Board of our need to initiate a Sole Source contract. It is the Department of Mental Health's (DMH) intent to enter into new sole source contract negotiations with the University of California, Los Angeles (UCLA), located at 1285 Franz Hall, Box 951563, Los Angeles, CA 90095, for training, consultation, and evaluation of mental health services for children and youth. These services will support a partnership with UCLA, Department of Children and Family Services (DCFS), and DMH as part of a MacArthur Foundation funded project to evaluate the implementation of evidence based mental health practices for children served by the County's child welfare system, subject to the Katie A. Settlement Agreement.

The Child System Treatment and Enhancement Project (STEPs) Los Angeles County, will provide training for clinicians in a set of practices drawn from a variety of evidence based treatments as well as ongoing consultation and supervision to support practice fidelity. Client services and outcomes will be guided and evaluated using an electronic database. This three year project is meant to provide information that will assist in the promotion of evidence based practices within the public mental health system.

The Child Focus on Innovation and Redesign in Systems and Treatment (FIRST) Laboratory at UCLA is directed by Bruce F. Chorpita, Ph.D., who has extensive experience with investigating and implementing large system reform efforts in children's mental health, having served both in academic roles as well as in State clinical leadership positions in the past ten years. Dr. Chorpita's program at UCLA is uniquely qualified to perform the Child STEPs Los Angeles County project, given that the project proposes to implement a multi-problem focus, evidence based protocol for children and youth in the child welfare system.

Dr. Chorpita, along with his colleague at Harvard University, John R. Weisz, Ph.D., has developed the only multi-problem focused clinical protocol of its kind, which was recently tested in the largest clinical trial of its kind in the children's public mental health systems. The online protocol delivery system was developed and is managed by Dr. Chorpita and colleagues working in the Child FIRST Laboratory, and thus they are in a unique position to provide the training and consultation in this approach.

Funding is available for Fiscal Year 2009-2010 in the amount of $117,000 through anticipated savings from Katie A., and for each Fiscal Year in the amount of $99,000 in Mental Health Service Act (MHSA) Workforce, Education and Training (WET) Regional Partnership funded under DMH's FY 2009-10 Adopted Budget.

Unless otherwise instructed by your Board, DMH intends to proceed with negotiating the sole source contract within two weeks. DMH will work closely with both the Office of the County Counsel and the Chief Executive Officer in preparing an Agreement with UCLA.

MJS:OC:cb

c: Chief Executive Officer
Acting County Counsel